
Section IV

Capital Budget

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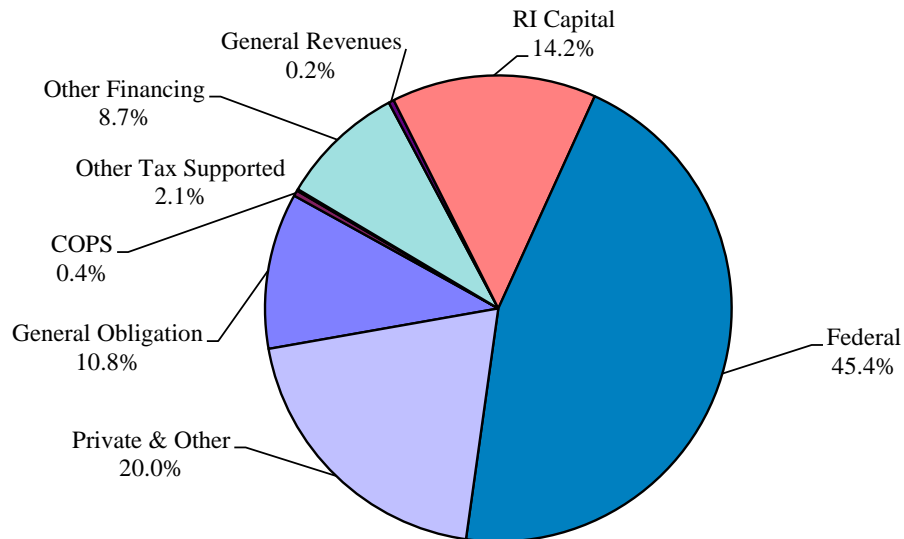
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Capital Budget

Summary

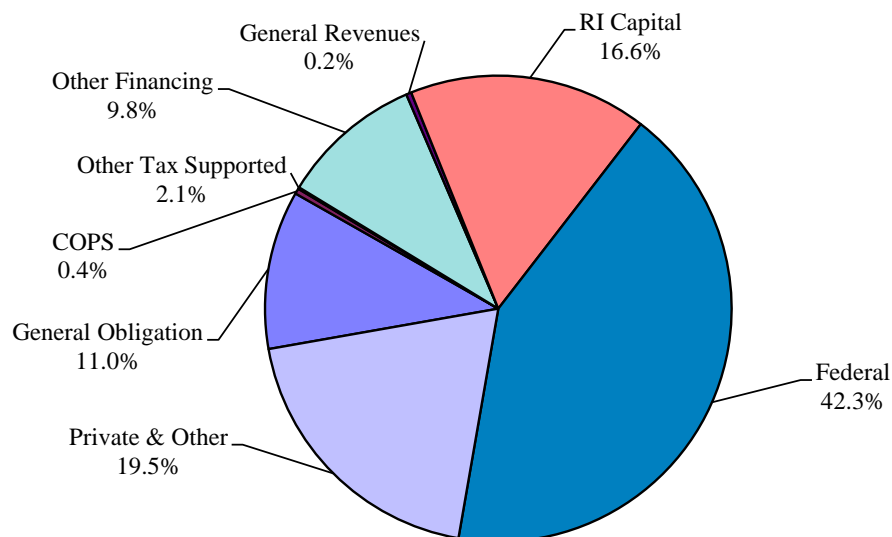
The Governor's five-year capital recommendations for FY 2023 through FY 2027 call for total outlays of \$7,789.2 million for the period. Financing the plan requires \$1,558.3 million of debt issuances and \$6,230.8 million from current revenue streams.

**Governor FY 2023 - FY 2027
Capital Projects Funding**



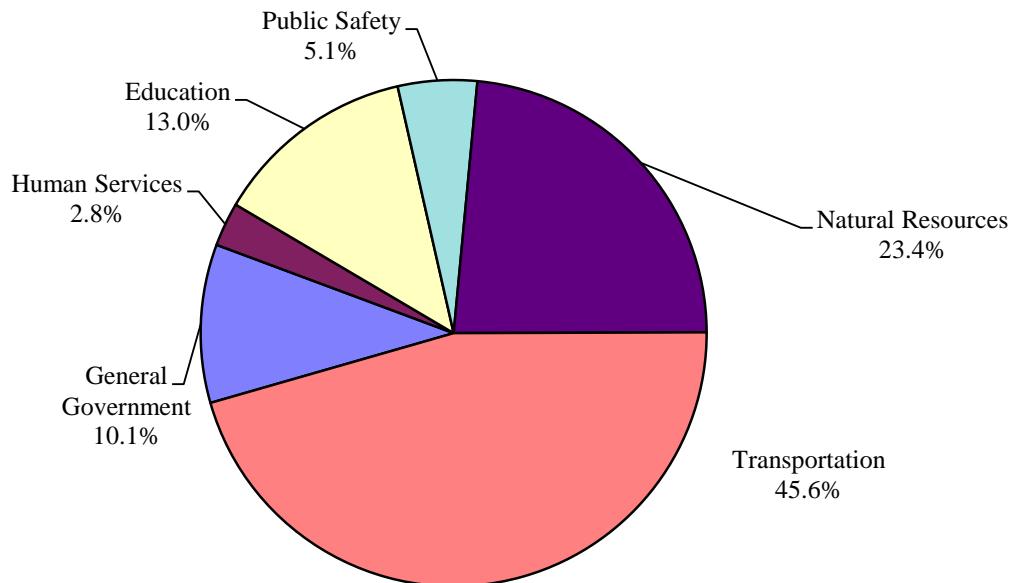
The Assembly's adopted plan includes capital outlays of \$8,154.6 million for the period. Financing the plan requires \$1,771.4 million of debt issuances and \$6,383.2 million from current revenue streams.

**Assembly FY 2023 - FY 2027
Capital Projects Funding**



- **Outlays and Funding.** The FY 2023 through FY 2027 plan includes \$8,154.6 million of outlays on \$17,170.8 million of project estimates. Average outlays would be \$1,630.9 million per year for the five-year period, with \$941.5 million required at the end of the period to complete the projects.
- **General Obligation Bonds Referenda.** Financing the five-year plan is based on \$892.8 million of general obligation bond debt issuances. This includes \$250.0 million from new general obligation bonds for a second school construction bond to go before the voters in November 2022, with funding programmed to begin in FY 2024; the voters approved an initial \$250.0 million in November 2018. It also includes \$100.0 million for the University of Rhode Island's Narragansett Bay Campus and \$50.0 million for environment and recreation projects. The average bond referenda over the past five elections was \$289.4 million, including the \$400.0 million approved by the voters in a March 2021 special election.
- **Other Debt Approvals.** The plan includes \$191.6 million approved by the 2022 Assembly under the Public Corporation Debt Management Act. This includes three projects at the University of Rhode Island including \$13.0 million for the Facilities Service Sector Upgrade project, \$15.5 million for the Utility Infrastructure Upgrade Phase II Project, and \$163.1 million for the Brookside Apartments II Project authorized after the budget was adopted.

FY 2023 - FY 2027 Capital Projects by Function



- **Financing.** Paying for the five-year outlays includes \$1,771.4 million from debt financing and \$6,383.2 million from current or pay-go sources. Pay-go represents 78.7 percent with debt funding being 21.3 percent. This is more pay-go funding than prior budgets largely in part to the inclusion of \$112.5 million from American Rescue Plan Act Capital Projects funds and an additional \$563.0 million from one-time resources transferred to the Rhode Island Capital Plan Fund.
- **Debt Levels.** Total net tax supported debt decreases during the period through FY 2027 by \$141.2 million from \$2,066.1 million to \$1,924.9 million. Past practice indicates that debt levels will be significantly higher as more projects are added within the five-year period of this capital budget.
- **Debt Ratios.** Net tax supported debt would decrease from 2.9 percent of personal income reported for FY 2021 to 2.3 percent in FY 2027, assuming that the capital budget is not increased. However, as with debt levels, past practice indicates it is likely to be higher than projected.

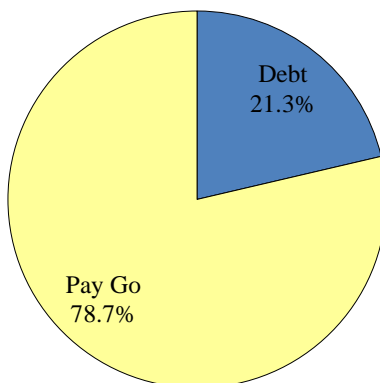
- ***Rhode Island Capital Plan Fund.*** The plan relies heavily on the use of Rhode Island Capital Plan funds, an important source of pay-go funds designed to reduce the need for borrowing. Total outlays for the five-year period are \$1,342.8 million. This includes the transfer of \$563.0 million from one-time general revenues to the Fund, including \$100.0 million for the state match for federal transportation projects.

Outlays and Funding

In order to support the maintenance, repair and construction of infrastructure throughout Rhode Island, the state has utilized both funding and financing for infrastructure. Funding can be considered as “pay-go,” which means that annual budgets include sufficient appropriations for projects to continue on schedule, without incurring long term debt. For Rhode Island, this is accomplished primarily through the Rhode Island Capital Plan Fund, which is described in greater detail elsewhere in this report.

The advantage of this approach is that it does not limit future resources by incurring long term debt service payments; however, it does limit the extent of what can be accomplished to currently available sources. Because of this, short-term projects for relatively smaller amounts of money, such as asset protection projects, are better suited for pay-go funding, while long term and large scale infrastructure projects, such as the relocation of Interstate 195, are better suited for financing.

FY 2023 - FY 2027 Outlays



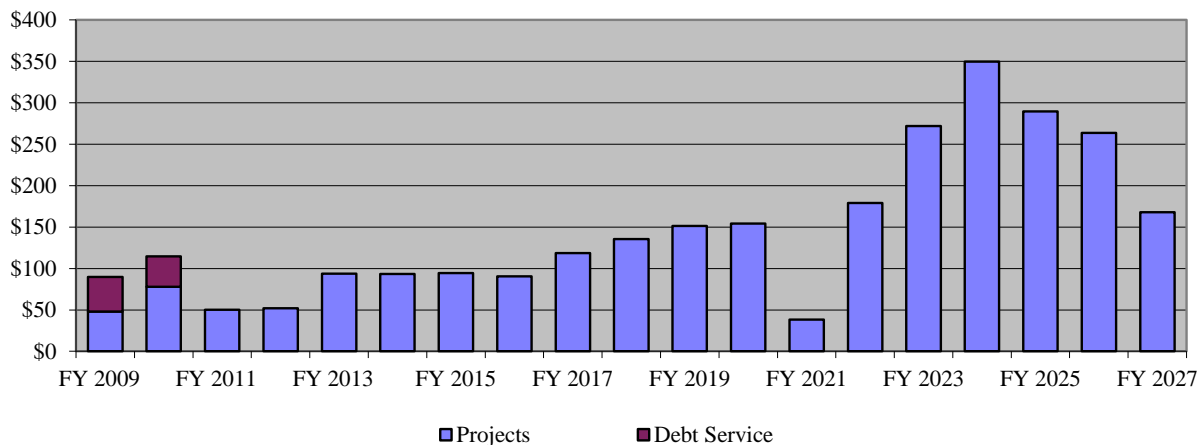
Financing capital projects includes borrowing, usually in the form of long term debt such as general obligation or revenue bonds. General obligation bonds are backed by the full faith and credit of the state, with annual debt service appropriated from general revenues. This type of borrowing is more closely related to state agencies, and the authorizations for this debt appear as referenda on election year ballots.

Revenue bonds are not backed by the full faith and credit of the state, and debt service is paid from a defined revenue stream, such as a user fee. This type of debt has historically been used by quasi-state agencies to fund long-term infrastructure projects. For example, the Turnpike and Bridge Authority uses toll revenue to fund the maintenance of the Newport Pell Bridge. Compared to pay-go funding, financing does not require large up-front investments in order to complete large projects; however, by the time the financing has been completed, issuance and interest costs can significantly add to a project’s total cost and may require payments long after a facility has been constructed.

The total five-year outlays of \$8,113.8 million are supported by a mix of pay-as-you-go funding and financing. Over two-thirds, 78.7 percent of outlays, are supported by current revenues, or pay-go, with the remaining 21.3 percent from financing. This is more pay-go funding than prior budgets, largely in part to the inclusion of \$112.3 million from American Rescue Plan Act Capital Projects funds and an additional \$563.0 million from one-time resources transferred to the Rhode Island Capital Plan Fund.

Pay-Go. The pay-go sources include \$3,430.3 million from federal sources, \$1,342.8 million from Rhode Island Capital Plan funds, and \$1,581.2 million from private and other sources. Federal sources are the largest source of capital funding, providing 42.9 percent of all funding and 53.7 percent of pay-go funding. Federal funds include \$112.3 million from American Rescue Plan Act Capital Projects funds.

Use of Rhode Island Capital Fund



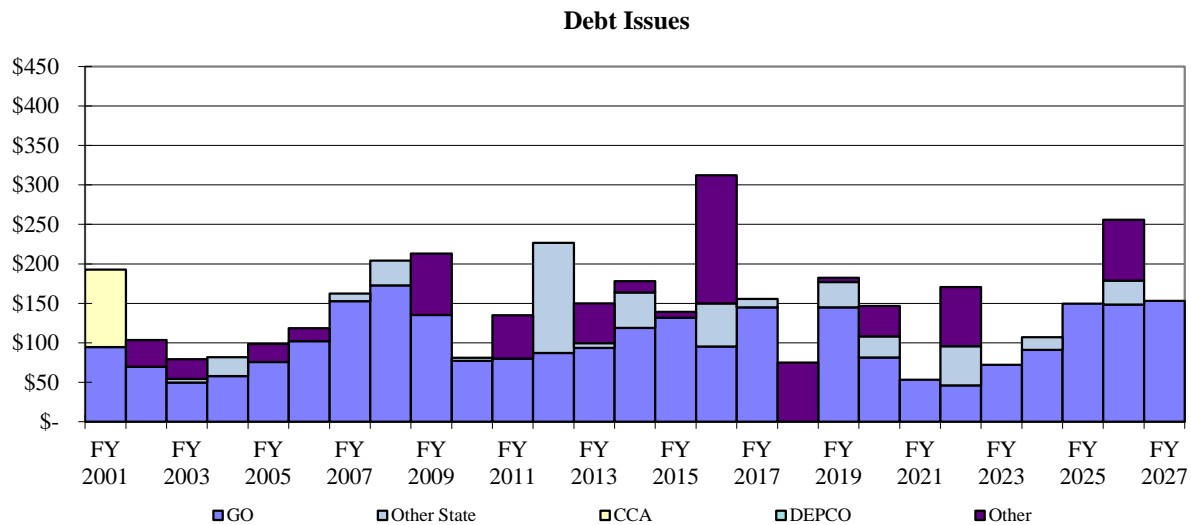
A primary source of state pay-go funding is the Rhode Island Capital Plan Fund. These revenues are derived from the amounts exceeding 5.0 percent of revenues in the state’s Budget Stabilization and Cash Reserve Account, or “rainy day fund.” The rainy day fund receives 3.0 percent of all revenues and opening surpluses capped at 5.0 percent. Resources above the cap are transferred to the Rhode Island Capital Plan Fund. Use of the rainy day fund to balance the FY 2020 budget interrupted the normal flow of resources into the Rhode Island Capital Plan Fund, which created a temporary resource shortfall pending payback of the \$120 million, which occurred in FY 2021.

The fund was used nearly exclusively for debt service in the early 1990s. Governor Almond began moving debt service back to general revenues as the economy improved in the latter half of that decade, reserving the fund for pay-go capital projects so as not to use any for debt service by FY 2002. However, the economic slowdown caused that to reverse beginning in FY 2002. The 2006 Assembly noted the increasing reliance on these funds for debt service, while increasing the amounts being financed. It submitted a constitutional amendment to limit the use of the fund to capital projects beginning in FY 2008, which the voters approved along with an increase from 3.0 percent to 5.0 percent.

More recently, resources in the Rhode Island Capital Plan Fund grew larger and began to fulfill the purpose of lowering borrowing needs, such as the annual \$27.2 million to match federal transportation funds in lieu of borrowing. Approximately \$100 million has transferred to this fund annually and programmed for use through the five-year capital plan. In addition to the transportation uses noted above, another primary use of the fund is for asset protection and other projects at the state’s public higher education institutions. These account for about one half of the use of the fund. The remaining half is used for the asset protection and improvements for state buildings, including courts, prisons, hospitals and other state facilities such as dams, piers, parks and office buildings. Many of these ongoing investments are designed to extend the life of the facilities and prevent the need for costly replacements. The Governor’s budget recommended the transfer of \$338.0 million from general revenues available from unanticipated FY 2021 surplus funds to the Rhode Island Capital Plan Fund, including \$100.0 million for the state match for federal transportation projects. The Assembly transferred an additional \$225.0 million for a total of \$563.0 million of additional resources to the Fund. Spending is spread across several years.

Debt Financing. Proceeds from financing, \$1,730.6 million, constitute 21.3 percent of the funds available for outlays during the period. Tax supported financing comprises \$932.4 million, including \$892.8 million from

general obligation bonds and \$30.5 million through Certificates of Participation. Outlays from tax supported financing are 53.9 percent of the financed outlays and 11.5 percent of all outlays. Other non-tax supported financing supports \$798.2 million of outlays, or 9.8 percent.



The term net tax supported debt refers to all debt for which an appropriation is or may be required. That includes not only general obligation bonds and direct state issuance, but also debt issued by other entities for which the state is pledged to an annual lease payment or to make an appropriation in the event of a default. Debt that is an obligation of the state for which a non-state entity makes the debt service payments is not generally considered as net tax supported debt. An example is the bonds issued for the Narragansett Bay Commission for which the Commission pays the debt service from user charges.

Debt issued by the colleges and university through the Rhode Island Health and Educational Building Corporation is also not included. The five-year budget includes expenditures of \$271.8 million from these bonds. It should be noted that it is the total charges to students that are used in tuition and fee comparisons to other institutions. Any tuition and fees used for debt service are not available for general operating expenses. This forms the context for general revenue requests by the higher education institutions. Further, in the event of insufficient university and college revenues, it is likely that the state would intervene with appropriations rather than allow bondholders to take over assets financed.

Unrestricted Debt Service				
	URI	RIC	CCRI	Total
FY 2014	25,800,709	6,024,206	2,645,586	\$ 34,470,501
FY 2015	23,992,610	4,424,086	2,720,253	\$ 31,136,949
FY 2016	13,251,194	2,408,090	1,341,228	\$ 17,000,512
FY 2017	20,880,779	3,641,528	2,450,444	\$ 26,972,751
FY 2018	27,655,388	7,661,625	2,887,674	\$ 38,204,687
FY 2019	34,790,206	7,908,810	2,707,744	\$ 45,406,760
FY 2020	41,047,284	7,847,645	2,703,067	\$ 51,597,996
FY 2021	39,593,709	7,231,339	2,605,366	\$ 49,430,414
FY 2022 Final	36,605,650	7,729,983	1,438,798	\$ 45,774,431
FY 2023 Enacted	36,523,101	7,581,614	1,721,143	\$ 45,825,858

The prior table shows debt service for Higher Education, funded from general revenues and tuition and fees from FY 2014 through the FY 2023 enacted budget. General obligation debt is funded solely from general revenues. For debt issued for projects funded through the Rhode Island Health and Educational Building Corporation, debt service is paid from both general revenues and tuition and fees. As the table shows, debt

service costs would increase over 30 percent between FY 2014 and FY 2023, based on the FY 2023 enacted budget. The FY 2016 budget included savings of \$14.6 million and the FY 2017 budget included savings of \$7.6 million from the refinancing of general obligation bond debt.

New Debt Authorizations

The adopted plan includes \$591.6 million of new debt authority that required approval by the General Assembly. Of this amount, \$400.0 million would be derived from general obligation bonds that would be placed on the November 2022 ballot for voter approval and \$191.6 million required approval by the Assembly under the Public Debt Management Act.

New Debt Authority	Amount	Legislation
November 2022 Bond Referenda		
Question 1		
URI Narragansett Bay Campus	\$ 100,000,000	Article 5
Question 2		
Rhode Island School Buildings	\$ 250,000,000	Article 5
Question 3		
Municipal Resiliency	\$ 16,000,000	Article 5
Small Business Energy Grants & Loans	5,000,000	Article 5
Narragansett Bay and Watershed Restoration	3,000,000	Article 5
Forest Restoration	3,000,000	
Brownfields Remediation & Economic Development	4,000,000	
State Land Acquisition Program	3,000,000	Article 5
Local Land Acquisition Matching Grant Program	2,000,000	Article 5
Local Recreation Development Matching Grant Program	2,000,000	Article 5
Roger Williams Park and Zoo	12,000,000	Article 5
Environment and Recreation Subtotal	\$ 50,000,000	
Total New Referenda	\$ 400,000,000	
Other Debt Instruments		
Revenue Bonds		
<i>University of Rhode Island</i>		
Facilities Service Sector Upgrade	\$ 13,000,000	Article 4
Utility Infrastructure Upgrade Phase II	15,450,000	Article 4
Brookside Apartments II	163,100,000	2022-H 8352, Sub A
Total Other Debt	\$ 191,550,000	
Total New Debt Authorization Recommended	\$ 591,550,000	

The Public Debt Management Act requires that all new debt authorizations be approved by the Assembly unless the Governor certifies that federal funds will be available to make all of the payments which the state is or could be obligated to make under the financing lease or guarantee; or the General Assembly has adjourned for the year with the expectation that it will not meet again until the following year and the Governor certifies that action is necessary because of events occurring after the General Assembly has adjourned to protect the physical integrity of an essential public facility, to ensure the continued delivery of essential public services, or to maintain the credit worthiness of the state in the financial markets. Select quasi-public agencies are exempt. The 2009 Assembly rescinded the exception previously granted to the Rhode Island Resource Recovery Corporation. The table above shows the \$591.6 million of recommended new debt authorized by the 2022 General Assembly. It is followed by descriptions of the projects which will be funded by the new debt.

General Obligation Bond Referenda. The adopted plan includes \$400.0 million in new referenda to be presented to the voters in November 2022. Section 35-3-7.1 of the Rhode Island General Laws provides that bond referenda only be presented during election years absent special circumstances. Debt service on these bonds is paid from state general revenues. Assuming a 5.0 percent interest rate and a 20-year amortization, annual debt service is estimated to be \$33.1 million. These individual projects are described in greater detail below.

URI Narragansett Bay Campus. Question 1 includes \$100.0 million for the University of Rhode Island's Narragansett Bay Campus. Work will include replacement of Horn Laboratories, replacement of the wave and acoustic lab, and construction of the Ocean Technology Research & Education Center. Annual debt service would be \$8.0 million assuming a 5.0 percent interest rate and a 20-year term; total debt service would be \$163.4 million.

Rhode Island School Buildings. Question 2 includes \$250.0 million for public school construction and repairs over the next five years. Funding would be provided to local education agencies on an upfront basis for school construction projects, reducing local borrowing expenses. Annual debt service would be \$20.7 million assuming a 5.0 percent interest rate and a 20-year term; total debt service would be \$401.4 million.

The Department of Elementary and Secondary Education's 2017 State of Rhode Island Schoolhouses report was the culmination of a year-long assessment commissioned by the School Building Authority and completed by Jacobs Engineering Group. The report identified more than \$2.2 billion in deficiencies across the state's 306 public schools. After its release, Governor Raimondo established the Rhode Island School Building Task Force led by the General Treasurer and the Commissioner of Elementary and Secondary Education. It met several times during the fall of 2017 and issued several recommendations, including enhanced incentives for new projects and spending oversight measures. It also recommended asking voters to approve \$500.0 million in general obligation bonds on the November 2018 and 2022 ballots for construction and repairs. Voters approved the first \$250.0 million in November 2018; all funds have been awarded.

Municipal Resiliency. Question 3 includes \$16.0 million to finance up to 75.0 percent matching grants to municipalities for restoring and/or improving the resiliency of vulnerable coastal habitats and river and stream flood plains. The funds are expected to leverage matching funds to support local programs to improve community resiliency and public safety in the face of increased flooding, major storm events, and environmental degradation. Annual debt service would be \$1.3 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$26.1 million.

Small Business Energy Grants and Loans. Question 3 includes \$5.0 million for grants for small business to remove impediments to clean energy project implementation. It would provide zero interest and below market rate loans for clean energy projects. Annual debt service would be \$0.4 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$8.2 million.

Narragansett Bay and Watershed Restoration. Question 3 includes \$3.0 million for activities to restore and protect the water quality and enhance the economic viability and environmental sustainability of Narragansett Bay and the state's watershed. Eligible activities include nonpoint source pollution abatement, including stormwater. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$4.9 million.

Forest Restoration. Question 3 includes \$3.0 million to maintain forest and wildlife habitats and infrastructure on state properties, including state management areas. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$4.9 million.

Brownfields Remediation and Economic Development. Question 3 includes \$4.0 million for up to 80.0 percent matching grants to public, private, and non-profit entities for brownfield remediation projects. Annual debt service would be \$0.3 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$6.5 million.

State Land Acquisition Program. Question 3 includes \$3.0 million for the state to acquire ownership or conservation easements to open space, farmland, watersheds, and recreation lands. Land acquisition includes land and development rights to preserve coastal access and shoreline open space with the goals of watershed protection, expansion of open space and recreation areas, protection of greenways, easements to recreation lands and preservation of wildlife habitats and natural heritage areas. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$4.9 million.

Local Land Acquisition Matching Grant Program. Question 3 includes \$2.0 million for up to 50.0 percent matching grants to municipalities, local land trusts, and nonprofit organizations to acquire ownership, development rights, or conservation easements on open space and urban parklands. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$3.3 million.

Local Recreation Development Matching Grant Program. Question 3 includes \$2.0 million for up to 80.0 percent matching grants to municipalities to acquire, develop, or rehabilitate local public recreational facilities in Rhode Island. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$3.3 million.

Roger Williams Park and Zoo. Question 3 includes \$12.0 million to construct a new education center at the Roger Williams Park and Zoo. Annual debt service would be \$1.0 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$19.2 million.

Public Corporation Debt Management Act Debt Issues. The adopted plan includes \$191.6 million of new authorization for debt that would not require voter approval but does require Assembly approval pursuant to the Public Corporation Debt Management Act. The projects are described below.

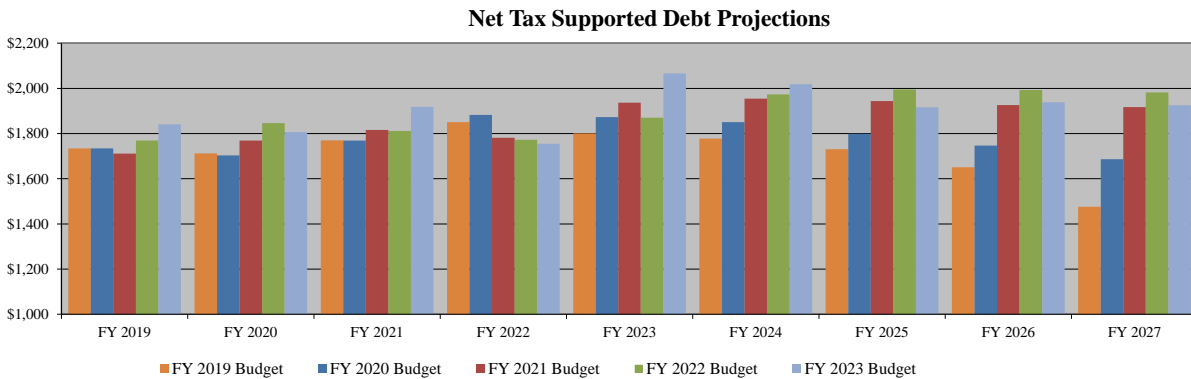
URI Facilities Service Sector Upgrade. The Budget includes approval of a \$13.0 million revenue bond for the Facilities Service Sector Upgrade project. The project will complete improvements to the service sector area that houses the operations and maintenance functions and stores equipment, furniture, and supplies. Annual debt service would be supported by the University's unrestricted budget and would not exceed \$1.2 million. Total debt service would not exceed \$23.0 million.

URI Utility Infrastructure Upgrade Phase II. The Budget includes approval of a \$15.5 million revenue bond for the Utility Infrastructure Upgrade Phase II project. The project involves the replacement of the steam/condensate distribution system, water and electrical distribution systems, sanitary sewer system, and storm water management system. The 2018 Assembly authorized \$6.5 million for the first phase of the project which is expected to be completed in FY 2023. Annual debt service on this authorization would be supported by the University's unrestricted budget and would not exceed \$1.4 million. Total debt service would not exceed \$27.0 million.

URI Brookside Apartments II. The Assembly passed 2022-H 8352, Substitute A, after the budget that authorizes approval of a \$163.1 million revenue bond for the Brookside Apartments II project. The project will fund the construction of a new 500-bed residence hall to provide additional student housing to address a housing shortage related to a new municipal ordinance limiting off campus options and some pandemic related impacts. Annual debt service on this authorization is estimated to be \$11.8 million supported by auxiliary fee revenues from dorm fees. Total debt service would not exceed \$356.0 million.

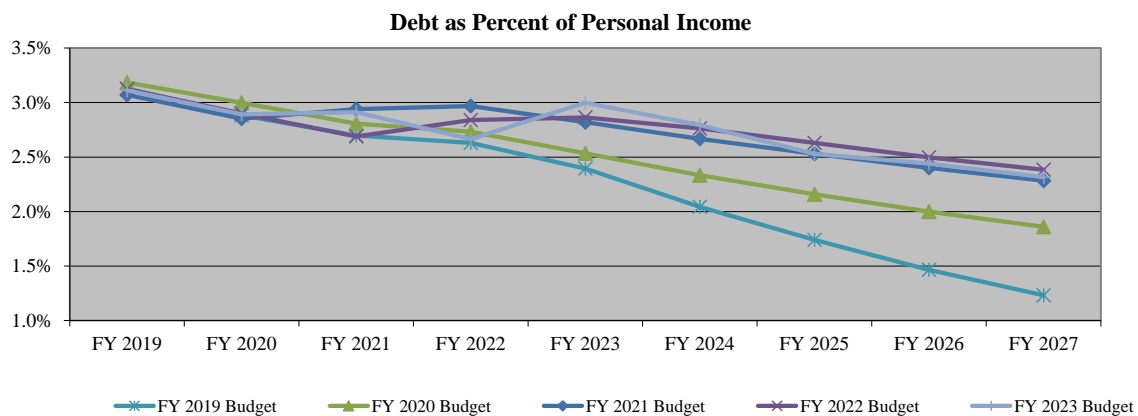
Debt Levels

Total net tax supported debt decreases during the period through FY 2027 by \$18.9 million, from \$1,943.8 million to \$1,924.9 million. Past practice indicates that this will be significantly higher as more projects are added within the five-year period of this capital budget. The average bond referenda over the past five elections was \$289.4 million, including the \$400.0 million approved by the voters in a March 2021 special election. The FY 2024 debt projection presented in the FY 2023 capital budget is \$332.6 million, or 19.7 percent more than the FY 2024 projection in the FY 2020 capital budget.



Debt is often expressed as a percent of state personal income as a measure to compare across jurisdictions; state personal income is a rough measure of the state's wealth, and therefore its potential ability to service its debt. Net tax supported debt would decrease from 2.9 percent in FY 2021 to 2.3 percent in FY 2027 assuming that the capital budget is not increased.

Debt ratios began increasing significantly in FY 1988, reaching a peak in FY 1994 of 8.4 percent of personal income as the Depositors Economic Protection Corporation and Convention Center debt was added. The current and prior administrations have expressed the reduction of that ratio as a major capital policy goal. The FY 2020 level of 2.9 percent is the lowest amount since staff began keeping track in FY 1991.



However, as with debt levels, past practice indicates it is likely to be higher than projected. The above chart shows projected debt as a percent of personal income for FY 2019 through FY 2027 in the past five budgets. The FY 2019 budget projected FY 2024 debt at 2.0 percent of personal income, which is nearly a third lower than the 2.7 percent projected in the FY 2023 budget. These projections assume that the debt levels do not increase in subsequent budgets.

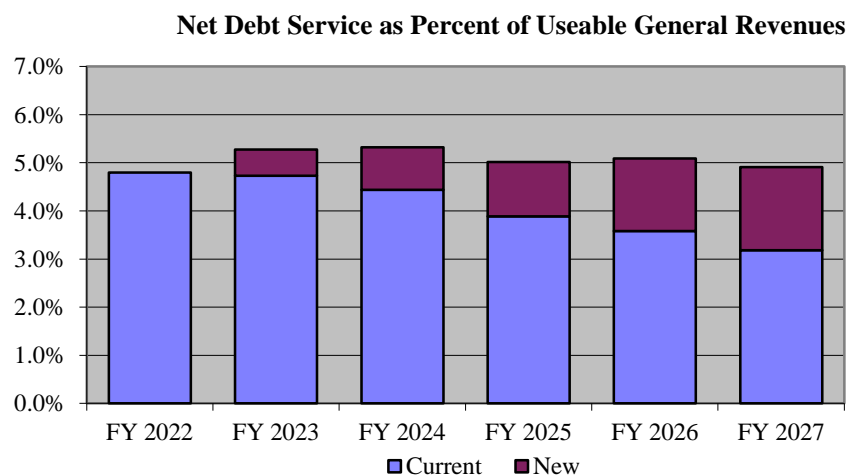
The 2016 Assembly required the Public Finance Management Board to oversee a debt affordability study at least once every two years, to include recommended limits for the debt capacity of state, municipal, and quasi-public corporations and districts with the authority to issue revenue or general obligation bonds or notes, GARVEE bonds, or other debt. The Board has the authority to offer non-binding, advisory opinions on all aspects of debt management practices of state, municipal, and public and quasi-public corporations. On December 16, 2021, the Public Finance Management Board released the third Debt Affordability Study.

Based on FY 2022 levels, the state is currently within all recommended affordability limits and the Board estimates that the state has available capacity to authorize up to \$736.8 million of new bonds for the 2022 ballot, with total debt capacity of \$3.3 billion through the 2032 ballot.

GO Debt Capacity	Debt Study December 2021
2022 Ballot	\$ 736,835,000
2024 Ballot	491,835,000
2026 Ballot	773,075,000
2028 Ballot	611,565,000
2030 Ballot	313,995,000
2032 Ballot	380,395,000
<i>Total 2022- 2032</i>	<i>\$ 3,307,700,000</i>

Debt Service

Useable general revenues are estimated collections net of the transfers to the Budget Stabilization and Cash Reserve account. These transfers ultimately become Rhode Island Capital Plan funds and cannot be used for debt service. The term net tax supported debt refers to all debt for which an appropriation is or may be required. That includes not only general obligation bonds and direct state issuance, but also debt issued by other entities for which the state is pledged to an annual lease payment or to make an appropriation in the event of a default. The proportion of net tax supported debt to useable general revenues provides a snapshot of how the state is leveraging its debt compared to available resources eligible to pay off that debt. For FY 2020 and FY 2021, debt service as a percent of general revenues was 5.8 percent and 5.5 percent, respectively. Debt service in the approved capital budget would increase from 4.8 percent of useable general revenues in FY 2022 to 5.3 percent in FY 2023 before decreasing to 4.9 percent by FY 2027.



Department of Administration

Projects	5 Year Total	Project Total
Pastore Center	\$ 172,030,000	\$ 221,323,387
Other State Facilities	285,170,439	457,902,042
Other Projects	110,127,216	147,967,765
Total	\$ 567,327,655	\$ 827,193,194
Sources of Funds		
Certificates of Participation	\$ 19,230,000	\$ 22,449,749
General Obligation Bonds	1,738,056	13,000,000
Internal Service Funds	3,200,000	6,000,000
Restricted Receipts	93,374,160	81,699,413
Rhode Island Capital Funds	449,785,439	704,044,032
Total	\$ 567,327,655	\$ 827,193,194

Summary. The Department of Administration requested \$785.2 million, of which \$615.8 million will be used from FY 2023 through FY 2027 for 43 projects, one of which is new. Funding in the five-year period includes \$380.6 million, or 61.8 percent from Rhode Island Capital Plan funds, and \$37.5 million would be supported by agency charges. The request includes \$49.0 million from a source not yet identified. These funds would be used to update the mechanical, electrical and plumbing systems at the State House. The request also includes \$102.0 million from the state's allocation of the federal Capital Projects Fund. This represents more than 90 percent of the \$112 million allocated to the state. The request is \$179.4 million more than the approved plan, including \$123.9 million for the construction of a long-term nursing facility, and inclusion of federal stimulus funds.

Federal guidance on use of the Capital Projects Fund was issued in September 2021. Projects must meet all following criteria: designed to directly enable work, education and health monitoring, address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency, and address a critical need of the community to be served. It appears that infrastructure projects, such as highways, bridges and transit systems are not eligible. The guidance further states that "general construction and improvement of hospitals and traditional schools are not presumed to be eligible."

The Governor recommended \$544.4 million in the five-year period for project costs of \$809.6 million for 43 projects, two of which are new. The Governor recommended the transfer of \$338.0 million from one-time general revenues funding to the Rhode Island Capital Plan Fund, and allocated \$120.8 million for infrastructure upgrades at the Pastore Center and \$108.2 million for the construction of a long-term care hospital. The recommendation includes \$37.5 million to update the mechanical, electrical and plumbing systems at the State House. It also includes \$40.2 million from the federal State Fiscal Recovery Funds, primarily for projects under the purview of the Convention Center Authority, some of which is offsetting prior commitments from Rhode Island Capital Plan funds. The recommendation is \$203.9 million more than the approved plan. The Governor subsequently requested two amendments to include a total \$0.8 million from Rhode Island Capital Plan funds for two projects; \$0.4 million each for the Old State House and the State House Renovations.

The Assembly provided a total of \$567.3 million in the five-year period for project costs of \$827.2 million. This is \$17.7 million more than the original recommendation. This includes \$25.0 million more from the Information Technology Investment Fund to support information technology

projects for which the Governor had recommended State Fiscal Recovery funds in other agency budgets. This is partially offset by \$5.0 million less for the fifth floor renovation project at the Convention Center Facility. The Assembly maintained Rhode Island Capital Plan funds in lieu of State Fiscal Recovery funds for four recommended projects.

Projects	Status	5 Year Total	Project Total
<i>Pastore Center</i>			
Pastore Center Infrastructure	New	\$ 120,800,000	\$ 120,800,000
Pastore Center Buildings Demolition	Revised	5,000,000	10,043,809
Pastore Center Hospital Buildings	Revised	21,730,000	25,687,890
Pastore Center Utilities Upgrade	Revised	100,000	9,865,490
Pastore Center Water Tanks and Pipes	Revised	100,000	1,352,774
Pastore Center Central Power Plant	Ongoing	50,000	7,427,055
Pastore Center Non-Hospital Buildings	Ongoing	24,250,000	46,146,369
Total		\$ 172,030,000	\$ 221,323,387

Pastore Center Infrastructure. As part of its capital budget, the Department requested a total of \$102.0 million from the federal Capital Projects Fund to make infrastructure improvements to the Pastore Center. This includes \$49.5 million to replace the electrical system and steam lines. The Department noted that some of the underground wiring is over 40 years old and has exceeded its useful life and further noted that current funding from Rhode Island Capital Plan funds is only sufficient to perform repairs. The request also includes \$52.5 million to identify and address deficiencies at the Pastore Center with respect to water supply and distribution. The funds would be used for design and construction of a new water line and distribution in order to increase the quality of water and fire suppression water flow.

Federal guidance on use of the Capital Projects Fund was issued in September. Projects must meet all the following criteria: designed to directly enable work, education and health monitoring, address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency, and address a critical need of the community to be served. It appears that infrastructure projects, such as highways, bridges and transit systems are not eligible. The guidance further states that “general construction and improvement of hospitals and traditional schools are not presumed to be eligible.”

The Governor’s budget recommended the transfer of \$338.0 million from one-time general revenues funding to the Rhode Island Capital Plan Fund. This helps fund his recommendation for \$120.8 million for infrastructure upgrades, such as electrical, water and information technology systems at the Pastore Center for which the Department’s request includes \$102.0 million. The increase reflects consolidation of previously approved projects related to utility upgrades. The Assembly concurred.

Pastore Center Buildings Demolition. The Department requested \$10.5 million from Rhode Island Capital Plan funds to be used from FY 2022 through the out-years to demolish several buildings on the Pastore Center, including Pinel, Eastman House, Adolph Meyer and Welcome Arnold buildings. The request is \$3.5 million more than the approved plan; it excludes \$4.0 million of prior expenses and adds a total of \$7.5 million through the out-years, reflecting the Department’s updated project costs. *The Governor recommended \$10.0 million, \$3.0 million more than the approved plan to include \$1.0 million of annual funding for FY 2025 through FY 2027. The Assembly concurred.*

Pastore Center Hospital Buildings. The Department requested project costs of \$3.3 million from Rhode Island Capital Plan funds, of which \$2.5 million will be used in the five-year period for asset protection projects of medical buildings on the Pastore Center. The total project cost is essentially consistent with the approved plan, with the exception of removing \$0.4 million of prior expenses and adding \$0.5 million for

annual funding in FY 2027. *The Governor recommended \$25.7 million from all sources, including \$3.6 million from Rhode Island Capital Plan funds, \$0.5 million more than approved, reflecting an additional year of annual funding. The recommendation also includes \$22.0 million from approved Certificates of Participation for the hospital consolidation project, as part of this project. The funds will be used for ligature risk remediation at Regan. The recommendation changes the project name to ensure applicable expenditures are limited to hospital buildings.* **The Assembly concurred.**

Pastore Center Utilities Upgrade. The Department requested \$52.8 million from all sources, of which \$48.8 million will be used in the five-year period to make improvements to the electrical distribution system at the Pastore Center and to replace steam condensate pumps that the Department indicated are failing. The Department noted that some of the underground wiring is over 40 years old and has exceeded its useful life. The request includes new expenditures of \$49.5 million from the state's allocation of the federal Capital Projects Fund authorized under the American Rescue Plan Act to replace the electrical system and steam lines. The Department noted that current funding from Rhode Island Capital Plan funds is only sufficient to perform repairs.

The request also includes \$3.3 million from Rhode Island Capital Plan funds for asset protection projects. These expenses are \$8.5 million less than the approved plan; it excludes \$9.8 million of prior expenses and adds \$1.1 million in the five-year period, including \$0.5 million for FY 2027. It also includes \$0.4 million in FY 2023 and FY 2024 to install new generators in the Mathias and Hazard buildings. *The Governor recommended \$0.1 million from Rhode Island Capital Plan funds in FY 2027 for asset protection. The recommendation consolidates \$1.8 million of previously approved funding with the new Pastore Center Infrastructure project.* **The Assembly concurred.**

Pastore Center Water Tanks and Pipes. The Department requested \$56.8 million from all sources, including \$4.3 million from Rhode Island Capital Plan funds and \$52.5 million from the state's allocation of the federal Capital Projects Fund authorized under the American Rescue Plan Act to identify and address deficiencies at the Pastore Center with respect to water supply and distribution. The funds would be used for design and construction of a new water line and distribution in order to increase the quality of water and fire suppression water flow. The request assumes use of \$2.0 million in FY 2022 from the federal Capital Projects Fund. Provided that the Governor recommends funding and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year. Expenditures from Rhode Island Capital Plan funds are \$2.1 million more than the approved plan, it excludes \$1.0 million of prior year expenses and adds \$3.1 million in FY 2027 and the out-years. *The Governor recommended \$0.1 million from Rhode Island Capital Plan funds in FY 2027 for asset protection. The recommendation consolidates \$1.1 million of previously approved funding with the new Pastore Center Infrastructure project.* **The Assembly concurred.**

Pastore Center Central Power Plant. The Department requested \$15.6 million from all sources, including \$14.1 million from Rhode Island Capital Plan funds in the five-year period to continue work on the Central Power Plant. The approved plan includes \$934,000 in the five-year period to repair a roof and steam repair work on the Benton Building; the request is \$14.6 million more. It includes \$5.0 million to demolish the old power plant. It should be noted that there is a separate project for demolition of buildings on the Pastore Center, which assumes \$1.0 million for the demolition of the old chimney and a boiler in FY 2022. The Department indicated that expenditures to demolish the power plant are included in this project because it has a higher priority than the Pastore Center Demolition project. The Department added a total of \$8.0 million for infrastructure upgrades, including steam repair projects. *Consistent with the approved plan, the recommendation does not include funding from FY 2023 through FY 2026; however, it adds \$50,000 in FY 2027 for asset protection, as resources to upgrade steam repair projects are included in the new Pastore Center Infrastructure project.* **The Assembly concurred.**

Pastore Center Non-Hospital Buildings. The request includes \$51.4 million from Rhode Island Capital Plan funds in the five-year period to fund major maintenance and capital repairs to multiple buildings at the Pastore Center for projects totaling \$54.8 million. The request is \$12.6 million more than the approved plan; it excludes \$18.7 million of prior expenses and adds \$31.3 million from FY 2022 through FY 2027. This includes \$27.5 million for a full renovation of the Louis Pasteur building, and \$3.8 million to revise project costs for elevator modernization, upgrading heating, ventilation and air conditioning systems, and mitigating water leaks at Center General buildings. *The Governor recommended \$46.1 million, \$4.0 million more than the approved plan, to include asset protection for FY 2027. The recommendation also changes the project name to ensure applicable expenditures are limited to non-hospital buildings.* **The Assembly concurred.**

Projects	Status	5 Year Total	Project Total
Community Facilities Asset Protection	New	\$ 650,000	\$ 650,000
Zambarano Long-Term Care Facility	New	83,804,439	108,232,095
BHDDH Substance Abuse - Asset Protection	Revised	2,500,000	4,049,655
Big River Management Area	Revised	1,187,000	2,388,944
Convention Center Facility Renovations	Revised	25,927,500	49,088,066
Dunkin' Donuts Center	Revised	21,062,500	32,915,234
DD & Community Facilities Asset Protection	Revised	4,250,000	6,196,563
DD & Community Facilities Fire Code Upgrades	Revised	1,625,000	5,400,000
DD & Regional Facilities Asset Protection	Revised	6,600,000	9,319,962
Group Homes	Revised	6,250,000	9,587,656
Shepard Building	Revised	3,000,000	5,009,018
State House Renovations	Revised	52,549,000	65,120,900
Zambarano Buildings and Utilities	Revised	11,200,000	30,071,358
560 Jefferson Boulevard	Ongoing	2,950,000	3,100,000
Accessibility - Facility Renovations	Ongoing	5,000,000	11,582,063
Arrigan Center	Ongoing	2,850,000	3,295,000
Cannon Building	Ongoing	13,025,000	18,076,160
Chapin Health Laboratory	Ongoing	1,275,000	4,140,957
Cranston Street Armory	Ongoing	7,950,000	12,415,264
IT Enterprise Operations Center	Ongoing	7,850,000	10,657,100
Old State House	Ongoing	500,000	4,601,186
Medical Examiners - New Facility	Ongoing	4,500,000	5,000,000
Security Measures - State Buildings	Ongoing	2,325,000	4,895,197
State Office Building	Ongoing	500,000	5,393,034
Washington County Government Center	Ongoing	2,650,000	6,917,483
William Powers Building	Ongoing	12,050,000	22,389,250
Veterans Memorial Auditorium Repairs	Ongoing	1,140,000	17,409,897
Total		\$ 285,170,439	\$ 457,902,042

Community Facilities Asset Protection. The 2016 Assembly adopted legislation to create the Division of Capital Asset Management and Maintenance, which assumed the responsibilities of the current Divisions of Facilities Management and Capital Projects and Property Management. Some of the responsibilities of the division director include: reviewing agency capital budget requests to ensure that requests are consistent with strategic and master facility plans of the state; managing and maintaining state owned facilities; overseeing new construction and rehabilitation projects; maintaining an inventory of all state properties, and responding to facilities emergencies. The request did not include funding for the Groden Center, which

are a state-owned facilities and houses programs for the Department of Children, Youth and Families, and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals.

The Governor recommended the creation of a new project intended to provide asset protection funding at state-owned facilities leased or operated by non-profit organizations, including the Groden Center, Civil Air Patrol, and possibly another ten facilities. The recommendation includes \$450,000 in FY 2023 and \$50,000 annually from FY 2024 through FY 2027. The Assembly concurred.

Zambarano Long-Term Care Facility. The Department requested \$123.9 million from Rhode Island Capital Plan funds to construct a new 110-patient long-term care facility at the Zambarano Campus of Eleanor Slater Hospital to replace the Beazley building. The Department indicated that the current building is not suitable for renovation into a medical facility that would meet compliance with current standards. The Department further noted that the project estimate is based on similar facilities in Massachusetts and the recently completed Veterans' Home, adjusted for current construction costs. However, the estimate is still preliminary and actual project costs would be determined once design is complete. It should be noted that funding is programmed in post-FY 2027, suggesting several years for construction.

Governor McKee's FY 2022 recommended budget proposed legislation for Assembly approval of \$53.6 million through Certificates of Participation for a new long-term care facility on the Burrillville campus. The legislation also expanded allowable uses from only the Regan Building to also include other hospital facilities including the Benton, Mathias and Adolph Meyer Buildings on the Pastore Campus, Beazley Building on Zambarano Campus, and any newly constructed facilities. The Assembly did not concur as the Governor paused the reorganization plans pending further review.

The Governor recommended the transfer of \$338.0 million from one-time general revenues funding to the Rhode Island Capital Plan Fund. This helps fund his recommendation for \$108.2 million for the construction of the long-term care facility. The recommendation is \$15.6 million less than requested; however additional funding is recommended as part of the Zambarano asset protection project. The Assembly concurred.

BHDDH Substance Abuse - Asset Protection. The 2019 Assembly transferred the oversight of the Substance Abuse Asset Protection project from the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals. Funds are used for emergency repairs to 11 community facilities housing substance abuse clients. Subsequent information provided by the Department included ten facilities. The request of \$3.4 million is \$0.8 million more than the approved plan; it includes annual funding of \$500,000, \$125,000 more than the approved plan. The 2021 Assembly increased the annual funding from \$250,000 to \$375,000 to address additional asset protection projects. The Department indicated that the facilities are in need of exterior renovations and heating system upgrades. It should be noted that expenditures in the last three completed fiscal years average \$176,404, about half the annual approved amount. *The Governor concurred, with the exceptions of adjusting prior year expenses, and includes the automatic reappropriation of \$145,442 for a total project cost of \$4.0 million. The Assembly concurred.*

Big River Management Area. The Department requested \$3.5 million from Rhode Island Capital Plan funds, of which \$2.1 million will be used in the five-year period for infrastructure repairs and improvements at the Big River Management Area. This project includes funding for security, litter/dumping enforcement and demolition of vacant homes. The Big River Management Area must be protected for future development as a source of potable water. The request is \$1.7 million more than the approved plan. Subsequently, the Department indicated that project costs were inadvertently overstated. *The Governor recommended \$2.4 million, which is \$1.1 million less than requested, as the request was overstated; however, the recommendation is \$0.6 million more than the approved plan. The Assembly concurred.*

Convention Center Facility Renovations. The request includes \$20.0 million from Rhode Island Capital Plan funds for use in the five-year period for improvements to the Convention Center Facility. The Authority indicated that preventive maintenance is required in order to maintain the venue to attract and keep customers. The state must pay Convention Center debt service that is not covered by operating revenue. To the extent the Authority sets aside resources for upkeep, its operating deficit grows, increasing the state's debt service costs.

Accounting for the exclusion of \$18.7 million from prior years' expenses, the request is \$3.5 million more than the approved plan, to primarily reflect funding of \$2.9 million programmed in FY 2027. This includes \$1.5 million to upgrade several escalators. The request is consistent with the Authority's capital budget request. It should be noted that the Authority submitted a separate request for use of federal stimulus funds, and requested project costs of \$38.0 million to accelerate projects that are included in the Authority's capital budget; it would now program these expenses through FY 2025. The Authority noted that this infusion of funding would help the recovery of revenues lost and expected to be lost as the facility emerges from the pandemic. It also includes \$9.0 million in FY 2022 for administrative support.

The Governor recommended project costs of \$54.6 million. He concurred with providing the Authority with \$47.0 million from the State Fiscal Recovery Fund. Of the \$38.0 million for capital projects, \$23.4 million is for the Convention Center facility. Previously approved expenditures from Rhode Island Capital Plan funds were reduced by \$10.9 million, resulting in a net increase of \$12.4 million.

The Assembly maintained Rhode Island Capital Plan funds for project expenses and included a total of \$49.1 million. This is \$5.0 million less than recommended for the fifth floor renovation project. The Assembly also provided \$10.0 million from State Fiscal Recovery funds for operating expenses.

Dunkin' Donuts Center. Consistent with the Authority's request, the Department included \$27.4 million from Rhode Island Capital Plan funds in the five-year period for renewal and replacement expenses for the Dunkin' Donuts Center. The Center was purchased by the Convention Center Authority with revenue bonds and leased back to the state. Rental payments from the Center lease are applied to the debt service payments on the revenue bonds. Bond covenants from the 2006 purchase and renovation financing require that funds be set aside each year to maintain the facility. The request of \$28.9 million is \$9.1 million more than the approved plan. It excludes \$7.3 million of prior expenses and adds \$16.4 million in capital expenses, including \$9.8 million in FY 2027, of which \$7.0 million is for replacement of all glycol lines and resurfacing of the cement slab. The Authority indicated that the ice surface system was installed in 1972. Expenditures for other projects such as roof replacement and risers in the lower arena were revised, reflecting the Authority's updated project costs.

The Governor recommended project costs of \$33.4 million. He concurs with providing the Authority with \$47.0 million from the State Fiscal Recovery Fund. Of the \$38.0 million for capital projects, \$14.6 million is for the Dunkin' Donuts Center. This includes \$2.6 million in FY 2022, \$7.3 million in FY 2023 and \$4.8 million in FY 2024. Previously approved expenditures from Rhode Island Capital Plan funds were reduced by \$5.5 million, resulting in a net increase of \$9.1 million.

The Assembly maintained Rhode Island Capital Plan funds for project expenses and provided a total of \$32.9 million. This is \$0.5 million less than recommended, which represents operating expenses funded with State Fiscal Recovery funds.

DD and Community Facility Asset Protection. The Department requested \$5.6 million from Rhode Island Capital Plan funds, of which \$4.3 million will be used from in the five-year period for repairs to mental health community facilities, including roofs, siding, doors and windows replacement. Funding provides a source of asset protection and emergency repairs to the 25 state-owned community facilities and eight state-owned centers housing indigent mental health clients. Of the 33 facilities, the Department

indicated that four need complete roof repairs, seven need complete heating, ventilation and air conditioning replacement, six need significant site improvements, and the others need major envelope repairs. Subsequent information provided by the Department included a total of 29 facilities. The request is \$2.3 million more than the approved plan to include projects that the Department indicated were identified on a facilities condition assessment undertaken by the Division of Capital Asset Management and Maintenance. The Department noted that the assessment identified a total of \$7.5 million of facility renovation costs. It should be noted that the assessment was conducted three years ago and costs are likely to be higher. *The Governor concurred, and included \$0.6 million to adjust prior year expenses. The Assembly concurred.*

DD and Community Facilities Fire Code Upgrades. The Department requested \$325,000 annually from Rhode Island Capital Plan funds for fire code upgrades at 11 state group homes and private developmental disabilities residences. Project costs of \$2.3 million are \$1.8 million less than the approved plan; it excludes \$3.1 million of prior expenses and adds annual funding of \$325,000 from FY 2025 through the out-years. *The Governor recommended \$5.4 million, \$1.3 million more than the approved plan to include annual funding of \$325,000 consistent with the request. The Assembly concurred.*

DD and Regional Facilities Asset Protection. The Department requested \$8.3 million from Rhode Island Capital Plan funds for repairs and renovations to the ten state-owned regional workshop centers and one storage facility. The state is under a federal consent decree to transition individuals from regional centers and sheltered workshops to employment and other integrated community settings. The request is \$4.9 million more than the approved plan; it excludes \$1.0 million of prior expenses and adds a total of \$6.0 million through the out-years. This includes \$2.5 million for the Maher Center in Middletown. The Department indicated that the center is in need of a new roof, siding, doors and windows replacement, and upgrades to the heating, ventilation, and air conditioning system. The request assumes annual funding of \$1.0 million for FY 2023 and FY 2024 and \$1.2 million for FY 2026 and beyond. The Department indicated that \$1.2 million annually is the amount needed over the next ten years to address the facility condition assessment, which totaled \$12 million in capital expenses. *The Governor recommended the requested increase and added \$1.0 million for prior year expenses and the automatic reappropriation of \$68,295. The Assembly concurred.*

Group Homes. The Department requested \$8.3 million from Rhode Island Capital Plan funds, of which \$1.3 million will be used annually for asset protection projects at the state's group homes for the developmentally disabled. There is a total of 123 state-owned group homes; 99 are operated by private providers and 24 are state-run. It appears that three of the facilities have been sold subsequent to the Department's request. It should be noted that the approved plan increased annual funding from \$500,000 to \$750,000 to address additional asset protection projects. The Department noted that a facilities assessment identified \$28.6 million in deferred and future maintenance costs. The request is \$2.7 million more than the approved plan; it excludes \$1.8 million of prior expenses and adds \$4.5 million for project costs of \$4.5 million. Consistent with the approved plan, the request includes \$750,000 for FY 2022 and through December, \$0.2 million has been spent. *The Governor recommended the requested increase and added \$1.3 million for prior year expenses. The Assembly concurred.*

Shepard Building. The request includes \$9.9 million from Rhode Island Capital Plan funds to be used from FY 2022 through FY 2027 for elevator repairs and securing the roof overhangs of the Shepard Building. The request is \$1.8 million more than the approved plan; it includes \$1.0 million each in FY 2024 and FY 2025 for asset protection projects that were not specified. Expenditures were also reprogrammed reflecting an updated project schedule. *The Governor recommended a project cost of \$5.0 million, \$3.1 million less than the approved plan, reflecting the administration's plan to sell the building. The Assembly concurred.*

State House Renovations. The Department requested \$58.9 million from all sources, of which \$9.9 million is from Rhode Island Capital Plan funds, and \$49.0 million is from a source not yet identified. This includes

\$30.0 million to update the building's mechanical, electrical and plumbing systems; \$14.0 million for exterior renovations, including the marble façade, windows, and masonry; and \$5.0 million for interior renovations. The Division of Capital Asset Management and Maintenance stated that because the care of the State House has not been consistent, there is significant deferred maintenance, misuse of spaces, and high priority repairs and renovations that need to be addressed. The request includes a total of \$1.9 million from FY 2022 through FY 2024 for a masterplan. It also assumes use of \$7.4 million in the five-year period for asset protection, and grounds and walkway repairs. Expenditures from Rhode Island Capital Plan funds are \$11.6 million less than the approved plan, reflecting the removal of prior expenses.

The Governor recommended \$64.7 million from Rhode Island Capital Plan funds, \$43.2 million more than the approved plan. This includes \$37.5 million to update the building's mechanical, electrical and plumbing systems, and additional for funding for asset protection and exterior renovations. He subsequently requested an amendment to add \$383,000 from FY 2021 unspent funds, of which \$228,000 would be used in FY 2022 for leak remediation and other projects, and \$155,000 in FY 2023 to begin a study of the building's mechanical, electrical and plumbing systems. The Assembly concurred with the amended recommendation.

Zambarano Buildings and Utilities. The Department requested \$9.8 million from Rhode Island Capital Plan funds in the five-year period for capital repairs to various buildings and equipment at the Zambarano Campus of Eleanor Slater Hospital in Burrillville. The Zambarano Campus includes buildings totaling 307,000 square feet on 460 acres of land. The project cost of \$15.7 million is consistent with the approved plan; however, it excludes \$12.5 million of prior expenses and adds a like amount in the five-year plan, including \$7.3 million to demolish buildings and an old medical waste incinerator, and \$2.6 million for elevator modernization. Consistent with the approved plan, the request includes \$1.3 million in the current year to upgrade the wastewater treatment facility to meet Department of Environmental Management's permitting requirements.

The Governor recommended a total project cost of \$30.1 million, which is \$14.3 million more than requested, of which \$11.4 million is to adjust prior expenses and adds a total of \$2.9 million from FY 2023 to the out-years for additional asset protection and the funding to upgrade a ventilation unit at in the Beazley Building. The Assembly concurred.

560 Jefferson Boulevard. The Department included \$3.1 million from Rhode Island Capital Plan funds for an asset protection project for the Office of Postsecondary Commissioner's current office building located at 560 Jefferson Boulevard in Warwick. Funding will support the installation of a generator and address deferred maintenance of the roof. The request is consistent with the approved plan, with the exception of adding \$50,000 in FY 2027 for asset protection. *The Governor recommended funding as requested. The Assembly concurred.*

Accessibility - Facility Renovations. The Department requested \$6.8 million from Rhode Island Capital Plan funds to be used in the five-year period for renovations of state-owned long-term care and community-based facilities, health centers, group homes, fire safety improvements, accessibility renovations to state administrative and higher education institutions and other renovations to buildings throughout the state where open meetings are held, such as senior citizen centers. The FY 2016 approved plan transferred the oversight of the accessibility project to the Department of Administration's budget. The Governor's Commission on Disabilities continues to be responsible for project prioritization.

The request of \$7.8 million is \$2.8 million less than the approved plan, it excludes \$5.6 million of prior expenses and adds \$2.8 million in the five-year period, including new expenditures of \$2.1 million programmed in FY 2027. Projects were revised reflecting the Commission's updated construction schedule in consultation with the Division of Capital Asset Management and Maintenance. *The Governor*

*recommended funding consistent with the approved plan and added \$1.0 million for FY 2027, for a total project cost of \$11.6 million. **The Assembly concurred.***

Arrigan Center. The Department requested \$3.3 million from all sources, including \$1.2 million from Rhode Island Capital Plan funds and \$1.7 million from Workers' Compensation Administrative Fund receipts to renovate the Arrigan Center, which offers comprehensive physical and occupational therapy to assist injured workers. It also includes a gym with a therapy pool. The request is \$0.2 million more than approved to reflect the Department's updated project cost for upgrading the heating, ventilation and air conditioning system and exterior repairs. Consistent with the approved plan, the request includes \$197,500 from Rhode Island Capital Plan funds in FY 2022 to renovate the pool to meet Department of Health standards. *The Governor concurred. **The Assembly concurred.***

Cannon Building. The Department requested \$13.8 million from Rhode Island Capital Plan funds, of which \$13.0 million will be used in the five-year period for repairs and renovations to the Cannon Building. The Department plans to upgrade heating, ventilation, and air conditioning and electrical systems. The request includes \$0.3 million in FY 2022 to relocate the customer service area and the Office of Vital Records to the Pastore Center. With the exception of excluding \$4.3 million of prior expenses, the request is \$0.2 million less than the approved plan, reflecting updated expenditures for asset protection. *The Governor concurred, and included \$4.0 million for prior expenses and the automatic reappropriation of \$0.3 million for a total project cost of \$18.1 million. **The Assembly concurred.***

Chapin Health Laboratory. The Department requested project costs of \$3.4 million from Rhode Island Capital Plan funds and restricted receipts for asset protection projects in the Chapin Health Laboratory. The building houses several laboratories for the Department of Health, and the Office of State Medical Examiners. The request is \$0.3 million less than the approved plan to primarily reflect the exclusion of prior expenses. *The Governor recommended funding consistent with the approved plan, which does not include funding beyond FY 2025. This is based on the plan to construct a new health laboratory with federal funds. The recommendation also includes \$472,000 in the current year from Regional Greenhouse Gas Initiative funds for heating, ventilation and air conditioning work. **The Assembly concurred.***

Cranston Street Armory. The Department requested \$9.3 million from Rhode Island Capital Plan funds from FY 2022 through FY 2027 to stabilize the exterior east and west sides of the Cranston Street Armory. With the exception of excluding \$4.1 million of prior expenses, the request is \$2.6 million more than the approved plan. This includes \$2.5 million programmed in FY 2026 for exterior renovations of the east side of the building and \$0.1 million in FY 2027 for asset protection projects.

All windows above the ground level were boarded up, and in 2006, the administration conducted a feasibility study to determine a use plan for the facility. Some of the recommendations for use included: state lab, museum, archive/storage, public school, apartments, athletic facility and others. Estimated project costs for the aforementioned uses ranged from \$41 million to \$103 million. Subsequently, the administration established a re-use steering committee to explore other options, including funding mechanisms. *The Governor recommended a total project cost of \$12.4 million, \$1.7 million more than the approved plan, including \$1.6 million in FY 2026 and \$0.1 million in FY 2027. Funding in the five-year period is \$1.0 million less than requested. **The Assembly concurred.***

IT Enterprise Operations Center. The Department requested \$7.3 million from Rhode Island Capital Plan funds to be used in the five-year period for various projects, including replacing the chiller and boiler, and improving the heating, ventilation, and air conditioning system. The Department purchased the building on Service Avenue off Jefferson Boulevard in 2009, completed a major renovation and moved in November 2011. The request is \$5.5 million less than the approved plan, reflecting the removal of prior expenses. It also adds \$0.7 million for FY 2024 through FY 2027 for unspecified asset protection projects.

The request includes a total of \$150,000 in FY 2022 and FY 2023 for office space reorganization. It should be noted that the approved plan includes a separate project for these expenses. *The Governor recommended funding consistent with the approved plan and added \$300,000 in FY 2027 for asset protection.* **The Assembly concurred, with the exception of shifting \$1.0 million from FY 2022 to FY 2023, reflecting a project delay.**

Old State House. The Department requested \$0.1 million annually from Rhode Island Capital Plan funds for asset protection projects at the Old State House. With the exception of excluding \$4.0 million of prior expenses, the request is \$0.1 million more than the approved plan, reflecting annual funding programmed in FY 2027. *The Governor concurred, with the exceptions of including \$3.6 million of prior year expenses, and excluding the reappropriation of \$0.4 million. He subsequently requested an amendment, restoring the automatic reappropriation, which will be used to match a \$0.5 million federal grant to make accessibility improvements to the facility. The total project cost is \$1.4 million; the remaining \$0.5 million will be funded from the Accessibility-Facility Renovations project.* **The Assembly concurred with the amended recommendation.**

Medical Examiners - New Facility. The Department of Health obtained approval from the Centers for Disease Control and Prevention to use \$2.5 million from a federal grant to purchase a 20,280 square foot building in Cumberland to house operations of the State of Medical Examiners and to use \$0.9 million for equipment; the approved plan assumes these expenses in the Department of Health's budget. The building was purchased in November 2020. The approved plan includes \$5.0 million from Rhode Island Capital Plan funds in the Department of Administration's budget, including \$0.5 million for architectural and engineering services and \$4.5 million to renovate the facility. According to the Department of Administration, phase I which included additional decedent capacity to address the COVID-19 surge was completed and some personnel from the office moved in December. Phase II will include interior renovations to accommodate the relocation of the entire Medical Examiners' staff from Chapin to the new facility. The request inadvertently excluded \$0.5 million for architectural services, which the Department indicated are nearly completed. *The Governor recommended \$5.0 million, consistent with the approved plan.* **The Assembly shifted \$4.5 million from FY 2022 to FY 2023, reflecting a project delay.**

Security Measures - State Buildings. The Department requested \$2.9 million from Rhode Island Capital Plan funds to be used in the five-year period to address security issues throughout state facilities. The total project cost of \$3.4 million is \$1.2 million more than the approved plan. It excludes \$2.1 million of prior expenses and adds \$0.9 million, including \$0.3 million in FY 2027 for annual funding. It also includes \$0.4 million for perimeter security of the Rhode Island Bomb Squad and \$0.3 million for video surveillance and access control at the Zambarano Campus. *The Governor recommended \$4.9 million, \$325,000 more than the approved plan, reflecting an additional year of annual funding.* **The Assembly concurred.**

State Office Building. The Department requested \$2.7 million from Rhode Island Capital Plan funds, of which \$2.5 million will be used in the five-year period for asset protection projects at the State Office Building, which is occupied by the Department of Transportation. The request is \$2.9 million less than the approved plan, it removes \$5.1 million of prior expenses and adds a total of \$2.2 million, including \$2.0 million to replace a boiler and \$0.1 million of annual funding for FY 2027 and the out-years. *Consistent with the approved plan, the Governor recommended \$0.1 million annually.* **The Assembly concurred.**

Washington County Government Center. The request includes \$22.0 million from Rhode Island Capital Plan funds to be used from FY 2023 through FY 2027 for asset protection projects at the Washington County Government Center, including bathroom renovations and upgrading the heating, ventilation, and air conditioning system. The 45,000 square foot building contains the operations of the Coastal Resources Management Council, the Division of Motor Vehicles, and the Department of Children, Youth and Families. The request is \$15.9 million more than the approved plan; it excludes \$3.8 million of prior expenses and adds \$20.0 million in FY 2026 and FY 2027 for a full renovation of the building.

The Governor recommended funding essentially consistent with the approved plan; the recommendation delays \$150,000 of FY 2023 expenses to FY 2025 and adds \$350,000 in FY 2027 for annual asset protection. The Assembly concurred.

William Powers Building. The Department requested \$12.1 million from Rhode Island Capital Plan funds to be used in the five-year period for repairs and improvements, such as bathroom renovations, window replacement and electrical and mechanical upgrades at the William Powers Building. The project cost of \$13.9 million is \$7.0 million less than the approved plan. It removes \$8.6 million of prior expenses and adds \$1.6 million of project expenses, including \$2.0 million in FY 2027 for bathroom renovations to be compliant with requirements for universal access, for a total of \$4.0 million. It should be noted that the approved plan includes \$2.0 million in FY 2026 for bathroom renovations. Other expenditures were also revised to reflect an updated project schedule. *The Governor recommended \$8.5 million more than requested, including \$8.0 million for prior year expenses and the automatic reappropriation of \$0.5 million. The Assembly concurred.*

Veterans Memorial Auditorium Repairs. Consistent with the Authority's request, the Department included \$1.6 million from Rhode Island Capital Plan funds to be used from FY 2022 through FY 2027 for ongoing asset protection costs of the Veterans Memorial Auditorium. The request includes \$765,000 in FY 2023 to replace seats that the Authority indicated are original to when the building opened in the 1950s. With the exception of excluding \$17.2 million of prior expenses, the request is \$0.3 million more than the approved plan, reflecting annual funding for FY 2027.

The Governor recommended a total project cost of \$17.4 million, \$15.9 million more than requested to primarily include prior year expenses. Funding in the five-year period is \$0.2 million less than requested, to essentially maintain annual funding at \$0.1 million, consistent with the approved plan. The recommendation includes \$70,000 from federal State Fiscal Recovery funds in FY 2022 in lieu of requested Rhode Island Capital Plan funds. The Assembly concurred with the total project costs; it maintained Rhode Island Capital Plan funds consistent with the approved plan.

Hospital Consolidation. The approved plan includes \$30.0 million, including \$8.0 million from Rhode Island Capital Plan funds and \$22.0 million borrowed through Certificates of Participation to reorganize hospital operations for the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals. The approved plan assumes use of \$9.8 million in FY 2021 to mitigate ligature risk on the sixth floor of the Regan Building and common areas in the building and \$1.2 million in FY 2022 through FY 2024 for a patient information system. The request includes \$1.2 million for the patient information system. Through November 2021, a total of \$2.9 million from the approved Certificates of Participation has been spent; that with the requested \$1.2 million would leave a balance of \$17.9 million. Subsequently, the Department indicated that the entire balance would be needed for ligature risk remediation at the Regan and Beazley buildings. *The Governor included these expenditures as part of the Pastore Center Hospital Buildings Project, previously discussed. The Assembly concurred.*

Old Colony House. The Department requested new expenditures of \$200,000 from Rhode Island Capital Plan funds, of which \$25,000 will be used annually and \$50,000 in FY 2022 for asset protection projects at the Old Colony House. Currently, no major renovations are anticipated. *The Governor did not recommend new funding. The Assembly concurred.*

Projects	Status	5 Year Total	Project Total
<i>Other Projects</i>			
Enterprise Resource Planning	Revised	\$ 64,400,000	\$ 68,700,000
Information Technology Investment Fund	Revised	25,749,160	32,854,913
Statewide Facility Master Plan	Revised	4,500,000	7,405,848
Energy Efficiency	Ongoing	10,250,000	14,470,000
Environmental Compliance	Ongoing	1,200,000	3,228,579
Replacement of Fueling Tanks	Ongoing	1,790,000	4,808,425
South County Groundwater Protection/Acquisition	Ongoing	1,347,144	8,000,000
State Office Reorganization and Relocation	Ongoing	500,000	3,500,000
Statewide Emergency Water Interconnect Projects	Ongoing	390,912	5,000,000
Total		\$ 110,127,216	\$ 147,967,765

Enterprise Resource Planning System. Consistent with the approved plan, the request includes \$68.7 million from all sources, including \$50.0 million from the Information Technology Investment Fund to develop and implement an Enterprise Resource Planning System. The components of the project are not limited to human resources, payroll, and financial management; the current systems are nearing the end of their useful lives and are susceptible to security risks. The Auditor General has historically reported inefficiencies with the various systems. A request for proposals was issued on November 1. The Department received six proposals and it is in the process of reviewing them. The request also assumes \$18.9 million of operating expenses to be financed through agency billings, including \$3.3 million in FY 2023. It should be noted that the human resources and payroll systems will be implemented in FY 2025 or FY 2026 and operating expenses cannot be billed before then.

The Governor concurred with the total project costs; this includes \$2.2 million from federal State Fiscal Recovery funds in FY 2023, in lieu of agency charges for implementation costs. The Assembly transferred a total of \$75.0 million to the Information Technology Investment Fund and allocated \$2.2 million from this source, in lieu of State Fiscal Recovery funds for implementation costs.

Information Technology Investment Fund. The request includes \$89.7 million, of which \$31.7 million would be used in the five-year period for several information technology projects. The request includes \$41.8 million from the Information Technology Investment Fund for previously approved projects, including \$17.0 million to support the state's share of the cost for a new child welfare system to replace the current Rhode Island Children's Information System. It adds \$13.6 million for new projects, including \$5.2 million for continuous support of the E-Procurement system, \$2.0 million to enhance the enterprise content management system, \$1.2 million to upgrade the Rhode Island Financial Accounting Network System, and several other projects.

The request also includes \$47.9 million for projects that would be supported by agency charges, including \$26.5 million in FY 2022 and \$21.4 million for FY 2023. It should be noted that billing rates have not been developed to assume these expenses. Subsequently, the Department indicated that it inadvertently omitted \$21.1 million of these project expenses for FY 2024 and FY 2025 from the request, which would bring these expenses to \$69.0 million. These projects include security upgrades and modernizing the state's information technology system.

The Information Technology Investment Fund was created by the 2011 Assembly for acquiring information technology improvements, including, but not limited to, hardware, software, and ongoing maintenance. The 2012 Assembly transferred \$9.0 million into the fund and the 2014 Assembly adopted legislation transferring tobacco bond refinancing proceeds into the fund. The 2021 Assembly transferred a total of \$67.0 million to the fund, including \$50.0 million for the Enterprise Resource Planning System, which is

requested as a stand-alone project. Consistent with current law, the FY 2023 request does not assume resources from the \$1.50 surcharge on Division of Motor Vehicles transactions. This was intended to repay the fund for expenses incurred for the Rhode Island Motor Vehicle System, which is complete.

The request of \$89.7 million is \$37.7 million less than the approved plan, to primarily reflect the exclusion of prior expenses, including \$4.7 million from federal funds for the Department of Health's Vital Records System upgrade. The request assumes \$23.0 million more for project costs that would be billed to agencies.

The Governor recommended transferring \$50.0 million from one-time general revenues to the Information Technology Investment Fund for new information technology projects. This includes \$19.4 million to replace the Department of Labor and Training's Temporary Disability Insurance and the Employer Tax functions and \$22.4 million for an electronic Medical Records System for Eleanor Slater Hospital. The capital budget includes these projects as well as the previously approved Rhode Island Children's Information System in the respective agency budget; however, the operating budget includes the funding in the Department of Administration, as has been previous practice. The administration indicated that the remaining \$8.2 million will be used to fund multiple information technology projects that were requested, subject to a review process.

The Assembly transferred a total of \$75.0 million to the fund, \$25.0 million more than recommended and allocated \$22.8 million to support projects for which the Governor had recommended State Fiscal Recovery funds in various agencies.

Statewide Facility Master Plan. The Department requested \$1.9 million from Rhode Island Capital Plan funds to be used from FY 2022 through FY 2027 for several master plans, including the State Office Building and the Pastore Center. The Department noted that most of the buildings on the campus were intended for hospital use; however, over time, their uses were converted to office space, which can be inefficient, time consuming and costly to renovate or reconfigure. The approved plan included a total of \$0.3 million in FY 2021 and FY 2022 to conduct a feasibility study of moving the state archives facility into the State Office Building. The study was completed in October and it concluded that the State Office Building is not suitable to house state archives.

The request is \$1.8 million less than the approved plan; it excludes \$2.5 million of prior expenses and adds a total of \$0.8 million, including annual funding of \$250,000 for FY 2026 and FY 2027. It also includes an additional \$300,000 in FY 2023 for the Pastore Center master plan, based on the Department's updated project costs. *The Governor recommended \$7.4 million, \$5.5 million more than requested. This includes \$2.5 million to adjust prior expenses and a total of \$3.0 million is included from FY 2023 to the out-years for two studies. This includes \$0.5 million for a consolidated garage feasibility; both the Department of Transportation and the Division of Motor Vehicles requested funding for garages and the Rhode Island Public Transit Authority submitted a request to the Federal Transit Authority to make renovations to its garage. The recommendation also includes \$3.0 million for a statewide deferred maintenance study.* **The Assembly concurred.**

Energy Efficiency. The Department requested \$14.0 million from Rhode Island Capital Plan funds and Regional Greenhouse Gas Initiative funds, of which \$11.3 million will be used in the five-year period for energy efficiency projects, including indoor and outdoor lighting projects, new heating, ventilation, and air conditioning, renewable energy and building automation systems. In December 2015, Governor Raimondo issued Executive Order 15-17, "State Agencies to Lead by Example in Energy Efficiency and Clean Energy," establishing a Lead by Example program in the Office of Energy Resources. The executive order charged the Office with overseeing and coordinating efforts to reduce energy consumption. Some of the program's goals are to improve state facility infrastructure, reduce state facility operating and on-going maintenance costs, and reduce greenhouse gas emissions.

The request is \$2.8 million less than the approved plan; it excludes \$3.9 million of prior expenses and adds a total of \$250,000 annually from FY 2024 through FY 2027 for projects to upgrade various heating, ventilation, and air conditioning systems. *The Governor recommended a total project cost of \$14.5 million, \$0.5 million more than requested. This includes \$1.5 million to adjust prior year expenses and \$1.0 million from Rhode Island Capital Plan funds, consistent with the approved plan.* **The Assembly concurred.**

Environmental Compliance. The request includes \$0.4 million annually from Rhode Island Capital Plan funds for projects that assist state agencies in maintaining compliance with all state and federal environmental rules and regulations. Funds will also be used to support the costs of mitigating asbestos, remediation of contaminated soils and other environmental issues for buildings under the Department's jurisdiction. The request of \$2.4 million is \$0.7 million less than the approved plan, it excludes \$1.7 million of prior expenses and assumes an additional \$200,000 annually. This includes \$50,000 for remediation, \$25,000 for environmental services, and \$150,000 for legal expenses. *The Governor recommended \$3.2 million, which is \$0.8 million more than requested. This includes \$1.6 million to adjust prior expenses and \$0.8 million less from FY 2024 through FY 2027 to maintain annual funding at \$0.2 million, consistent with approved plan.* **The Assembly concurred.**

Replacement of Fueling Tanks. The Department requested \$2.6 million from Rhode Island Capital Plan funds to be used from FY 2022 through FY 2027 to replace failing tanks at nine of the 15 state-owned and operated fueling stations for state vehicles. In FY 2022 and FY 2023, the Department plans to remove and install tanks at the University of Rhode Island, install tanks at the Zambarano Campus and on Pontiac Avenue. The request is \$2.7 million less than the approved plan; it removes \$2.6 million of prior expenses and delays \$0.2 million of FY 2026 expenses to FY 2027. *The Governor recommended a project cost of \$4.8 million, \$2.2 million more than requested. This includes \$2.0 million to adjust prior year expenses and the automatic reappropriation of \$244,770. Funding in the five-year period is consistent with the request.* **The Assembly concurred.**

South County Groundwater Protection/Acquisition. Consistent with the approved plan, the request includes \$8.0 million from approved general obligation bond proceeds of which \$3.1 million will be used in FY 2022 and FY 2023 to purchase water development rights from private landowners for well sites identified as high capacity. *The Governor recommended funding as requested.* **The Assembly concurred.**

State Office Reorganization and Relocation. The Department requested \$9.1 million from Rhode Island Capital Plan funds in the five-year period for redesigning office space, including smaller work stations, fewer private offices, moving personnel and relocating storage to alternative sites. Consistent with the approved plan, the request includes \$500,000 in FY 2022 for restacking of the administration building to accommodate staff moving from the Department of Human Services, and HealthSource Rhode Island. The total project cost of \$9.6 million is \$6.1 million more than the approved plan; it adds \$8.6 million in the five-year period. This includes \$2.0 million for additional restacking expenses of the Powers Building, adds \$0.8 million for project manager expenses and \$6.0 million for unspecified projects and understates prior expenses by \$2.5 million. *The Governor recommended \$3.5 million, consistent with the approved plan. This includes the automatic reappropriation of \$1.4 million from FY 2021.* **The Assembly concurred.**

Statewide Emergency Water Interconnect Projects. Consistent with the approved plan, the request includes \$5.0 million from previously approved general obligation bonds, of which \$0.4 million will be used in FY 2023 to continue a project to establish statewide emergency water interconnections between the state's 32 largest water supply systems. A system of interconnections will enable the movement of water from one source or system to another during any emergency, such as pipe breaks or water source contamination. The interconnections are funded with \$15.0 million from bond proceeds, of which \$10.0 million was authorized by the voters in 1987 and \$5.0 million in 2004. *The Governor recommended funding as requested.* **The Assembly concurred.**

Department of Business Regulation

Projects	Status	5 Year Total	Project Total
Fire Academy Expansion	New	\$ 8,331,000	\$ 8,331,000
Office of the Health Insurance Commissioner Website	New	200,000	200,000
Total		\$ 8,531,000	\$ 8,531,000
Sources of Funds			
Federal Funds		\$ 200,000	\$ 200,000
Rhode Island Capital Funds		8,331,000	8,331,000
Total		\$ 8,531,000	\$ 8,531,000

Summary. The Department of Business Regulation requested total capital expenditures of \$6.4 million for 11 projects, all of which are new. Funding includes \$0.5 million from federal funds and \$5.8 million from Rhode Island Capital Plan funds. *The Governor recommended total capital expenditures of \$2.9 million for three of the requested projects, including \$0.2 million from Rhode Island Capital Plan funds. The Assembly provided \$8.5 million for two projects, including \$8.2 million more than recommended from Rhode Island Capital Plan funds. It shifted the third to the Department of Administration's Information Technology Investment Fund.*

Fire Academy Expansion. The Department requested \$150,000 from Rhode Island Capital Plan funds to conduct a feasibility study for work previously requested through three separate projects by the Office of the State Fire Marshal. Two projects are located at the Fire Academy, including a 125-seat auditorium addition and the expansion of training simulators for specialized certifications. The third project is renovation of the laundry facility at the former Ladd School in Exeter as administrative space and a secure evidence examination and locker room. The Department indicated these projects are required to provide necessary certifications and ensure arson prosecutions are not dismissed from court as a result of improper evidence custody. *The Governor recommended funding as requested.*

The Assembly provided \$8.2 million more from Rhode Island Capital Plan funds than recommended to expand the State Fire Academy in Exeter, including \$0.5 million for FY 2023, \$5.7 million in FY 2024, and \$1.9 million in FY 2025. Major items include an auditorium, four additional classrooms, and an equipment storage building. The scope of work does not include an evidence repository.

Office of the Health Insurance Commissioner Website. The Department requested \$0.2 million from federal funds for FY 2022 and FY 2023 for a new project to upgrade the website of the Office of the Health Insurance Commissioner to expand its focus on consumer education and protection and improve effectiveness. The Department indicated the project was under federal grant review and would be completed in FY 2023 if the grant is approved. *The Governor recommended funding as requested. The Assembly concurred.*

Blockchain Pilot. The Department requested \$2.0 million from Rhode Island Capital Plan funds for a new project to implement a pilot program using blockchain technology for a limited number of certified public accountants for use in credential issuance, authentication, and automated compliance. The Department indicated that, if successful, the system could be expanded to other departments and offices. The Department noted the project may be eligible for funds provided under the American Rescue Plan Act. The request includes \$1.0 million or \$0.2 million in annual operating expenses programmed out to FY 2027. Rhode Island Capital Plan funds are not typically used for information technology expenses and are not

allowed for ongoing operating expenses. The Department's operating request includes \$2.0 million for FY 2023, and it indicates its operating request should prevail.

Blockchain increases the transparency and security of internet transactions and certifications. Any transaction, such as a license certification, is immediately recorded on a publicly available internet register along with select identifying information. Any falsifications or changes to the data will be apparent on the register. Though public, transaction data are scrambled by a one-way encryption, which prevents the release of sensitive personal information. *The Governor recommended \$2.5 million from State Fiscal Recovery funds for this project, including \$1.5 million for FY 2023 and \$1.0 million for FY 2024. The Assembly provided the recommended level of funding for this project through the Information Technology Investment Fund in the Department of Administration's budget.*

Seed to Sale Information Technology. The Department requested \$1.5 million from Rhode Island Capital Plan funds for a new project to switch vendors for a variety of marijuana-related information technology services. The Department reported its current vendor is in poor financial condition and has only delivered two components of a planned holistic system, and is paid a small percentage per transaction that goes through the current system. The new system or combination of systems would allow inspectors and auditors to automate code compliance for customers and prevent abuse of the home-grow system. Proposals were received in August 2021, and the Department has completed proposal cost evaluations. The request includes \$0.8 million or \$0.2 million in annual recurring licensing costs programmed out to FY 2027. Rhode Island Capital Plan funds are not typically used for information technology expenses and are not allowed for ongoing operating expenses.

The Governor did not include this project in his capital budget; however, the project is included in his FY 2023 operating budget recommendation for \$0.7 million from medical marijuana restricted receipts. Subsequently, the Governor requested an amendment to increase operating expenses by \$0.5 million to reflect updated cost projections. The Assembly concurred with the requested amendment.

Bomb Squad Robot Replacement. The Department requested \$340,000 from federal funds made available to the state through the American Rescue Plan Act for a new project to purchase x-ray diagnostic tools and replace three bomb disposal robots for the Bomb Squad. Five of the Department's seven current robots are inoperable and the Department indicated it would be cheaper to replace than repair them and the total will be reduced by two robots. The cost estimate relies on the manufacturer's ability to reuse parts from the largest robot to manufacture a newer model. *The Governor did not include this project in his capital budget; however, the expense is included in his FY 2023 operating budget recommendation along with other Bomb Squad equipment purchases for \$1.1 million from general revenues. The Assembly concurred and shifted \$0.1 million from FY 2023 to FY 2022, based on updated information.*

Licensing Software Replacement. The Department requested \$2.0 million from Rhode Island Capital Plan funds for a new project to replace its current outdated e-licensing platform with a modern alternative. The current platform dates back to the 1980s and is the main system used to maintain professional license records for individuals, businesses, and nonprofits under the Department's purview. The request includes \$0.5 million or \$0.1 million in annual recurring licensing costs, programmed out to FY 2027. Rhode Island Capital Plan funds are not typically used for information technology projects and are not allowed for ongoing operating expenses. The Department indicated its separate capital request to replace its licensing server was not necessarily related since this request deals with CAVU software, which can be replaced irrespective of upgrades to physical hardware. *The Governor did not recommend funding for this project. The Assembly concurred.*

Licensing Server Update. The Department requested \$40,000 from Rhode Island Capital Plan funds for a new project to move its existing e-licensing system to new servers and operating system. This is the main system used to maintain professional license records for individuals, businesses, and nonprofits under the

Department's purview. The Department indicated its current servers date back to 2008 and are no longer supported and therefore are vulnerable to malicious actors. The Department indicated its separate capital request to replace CAVU software was not necessarily related since this request deals with physical hardware which can be replaced irrespective of upgrades to software.

Rhode Island Capital Plan funds are not typically used for information technology expenses. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

State Fire Marshal Server Migration. The Department requested \$30,000 from Rhode Island Capital Plan funds for a new server for the State Fire Marshal to be located in the State Enterprise Operations Center. The Department indicated its current server dates back to at least 2015 and is no longer supported and therefore vulnerable to malicious actors. The server also has no reliable backup as a result of its outdated technology. Rhode Island Capital Plan funds are not typically used for information technology expenses. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Network Switch Upgrades. The Department requested \$13,000 from Rhode Island Capital Plan funds for a new project to replace three network switches in the Department of Business Regulation's building on the Pastore Campus that date back to 2009. The Department indicated the switches are out of support by its provider and are therefore vulnerable to malware. Upgrading the switches from the current 100 megabit download speed to one gigabit would substantially improve network performance. Rhode Island Capital Plan funds are not typically used for information technology expenses. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Bomb Squad Server Cage and Wiring. The Department requested \$30,000 from Rhode Island Capital Plan funds for a new project to upgrade physical information technology infrastructure at the Bomb Squad headquarters in Exeter. Funds would be used to install wireless internet, a backup battery for facility security systems, and a security cage around the server. The Department indicated that these upgrades will enhance team collaboration and ensure facility security in the event of a power outage. Rhode Island Capital Plan funds are not typically used for information technology expenses. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Construction Website Wizard. The Department requested \$110,000 from Rhode Island Capital Plan funds for a new project to develop an online tool that centralizes disparate regulation requirements for building construction into a consumer-friendly format. The Department believes centralizing instructions on how to build a building, currently spread across various state and municipal websites, will help attract developers outside the state by clarifying regulations according to a developer's individual needs. Rhode Island Capital Plan funds are not typically used for information technology expenses. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Executive Office of Commerce

Projects	Status	5 Year Total	Project Total
Minority Business Accelerator	New	\$ 6,000,000	\$ 6,000,000
Economic Relief through Port Investment	New	60,000,000	60,000,000
I-195 Redevelopment District Parks	Revised	3,000,000	4,000,000
I-195 Redevelopment District	Revised	1,610,000	4,940,876
Quonset Piers	Revised	1,125,720	83,100,000
Industrial Site Development	Revised	36,000,000	40,000,000
Port of Davisville Infrastructure at Quonset	Ongoing	16,000,000	20,000,000
Affordable Housing	Ongoing	67,500,000	105,000,000
Port of Providence	Ongoing	5,000,000	20,000,000
Innovation Campus	Ongoing	6,150,000	20,000,000
Quonset Port Infrastructure	Ongoing	-	14,000,000
Urban Revitalization and Blight Remediation	Ongoing	-	10,000,000
Total		\$ 202,385,720	\$ 387,040,876
Sources of Funds			
Federal - ARP-SFRF		\$ 66,000,000	\$ 66,000,000
General Obligation Bonds		133,650,000	269,000,000
Other Funds		1,125,720	23,079,726
Rhode Island Capital Funds		1,610,000	28,961,150
Total		\$ 202,385,720	\$ 387,040,876

Summary. The Executive Office of Commerce requested total expenditures of \$813.3 million for 16 projects, of which \$557.3 will be used in the five-year period. Funding in the five-year period consists of \$10.0 million from new general obligation bond proceeds to go before the voters in November 2022, \$112.7 million from general obligation bond proceeds approved by voters on the November 2016 and March 2021 ballots, \$426.6 million from the state's allocation of federal State Fiscal Recovery funds, \$7.0 million from Rhode Island Capital Plan funds, and \$1.1 million from other fund sources. *The Governor recommended \$391.2 million for a total of 12 projects, including six new ones. This is \$409.5 million less than requested, including \$356.6 million less in the five-year period.* **The Assembly provided \$4.1 million less than recommended, including \$3.1 million less from Rhode Island Capital Plan funds.**

Minority Business Accelerator. The Executive Office requested \$15.0 million from the state's allocation of federal State Fiscal Recovery funds for a new minority business accelerator grant program. The Commerce Corporation would competitively award grants for capital expenses such as rent, equipment, and site renovation in support of co-working and program space. Grant recipients would be required to provide matching funds for any proposed expenses. The request programs \$1.5 million for FY 2022, \$11.0 million for FY 2023, and \$2.5 million for FY 2024. *The Governor recommended \$10.0 million from State Fiscal Recovery funds and added technical assistance and grant components as allowable fund uses. The proposal programs \$1.5 million for FY 2023, \$6.0 million for FY 2024, and \$2.5 million for FY 2025. This is \$5.0 million less than requested.* **The Assembly provided \$6.0 million, including \$2.0 million for FY 2023 and \$4.0 million for FY 2024. It also required the program to include input from minority small business associations and provided that \$0.5 million will support the Rhode Island Black Business Association and \$0.3 million will support the Roger Williams University Business Start-Up Clinic.**

Economic Relief through Port Investment. The Executive Office requested \$78.1 million from the state's allocation of federal State Fiscal Recovery funds for a new project to support infrastructure at the Port of Davisville. Subsequent discussions suggest the intended request was \$78.5 million. The project will support the port's master plan and current area businesses through the construction of a new pier at terminal 5, rehabilitation of Pier 1, dredging, heavy project laydown space, and activities to support the anticipated development of offshore wind project cargo and logistics. The project is intended to reconfigure the port to provide additional berthing and additional growth capacity. The Office indicated private funds estimated at \$35.8 million are under negotiation for additional dedicated offshore wind infrastructure and laydown space. The request programs \$12.0 million for FY 2022, \$40.0 million for FY 2023, \$16.0 million for FY 2024, and \$10.1 million for FY 2025. *The Governor recommended \$60.0 million from State Fiscal Recovery funds and programmed \$6.0 million for FY 2023, \$19.4 million for FY 2024, \$27.0 million for FY 2025, and \$7.6 million for FY 2026. This is \$18.1 million less than requested.* **The Assembly concurred.**

I-195 Redevelopment District Parks. The Executive Office requested \$4.1 million, including \$4.0 million from general obligation bond proceeds approved in the March 2021 special election and \$0.1 million from Rhode Island Capital Plan funds, to finance infrastructure and development projects for the District's parks. The approved plan includes only the \$4.0 million from general obligation bond proceeds. Based on the final recommendations from Biederman Redevelopment Ventures, the Commission plans to build a food and beverage service pavilion, enhance utility infrastructure, and add a small storage and office space. The plan assumes food and beverage vendor revenues will offset the operating costs for the park, reducing the need for state operating support over time. The revised request adds \$0.1 million for infrastructure upgrades to park lighting, benches, and landscaping. *The Governor recommended funding consistent with the approved plan and delayed \$3.0 million from FY 2022 to FY 2023 to reflect updated project timelines.* **The Assembly concurred.**

I-195 Redevelopment District. The Executive Office requested \$8.1 million from Rhode Island Capital Plan funds to support the Commission's planning and zoning expenses. This includes \$0.2 million more in the current year and \$3.9 million more than the approved plan for the five-year period. The Commission indicates current funding is not sufficient to meet anticipated expenses. The Commission reported there were eight active development projects. Expenses include professional legal and engineering services related to the maintenance and marketability of the I-195 land. The requested expenditures typically do not fit the definition of capital projects; however, the Commission capitalizes these costs due to their project-specific transactional nature. The request programs \$0.8 million for FY 2023, \$0.9 million for FY 2024, and \$0.7 million annually for the FY 2025 through the post-FY 2027 period. *The Governor recommended \$1.0 million more than the approved plan, including \$0.2 million delayed from FY 2021 to FY 2022, \$0.2 million more for FY 2023, and \$0.8 million for FY 2024 based on projected Commission expenses.* **The Assembly concurred.**

Quonset Piers. The Executive Office requested \$83.1 million to refurbish and build an extension on Pier 2 at the Port of Davisville. The request includes \$0.1 million less from the \$50.0 million of the general obligation bonds approved by voters in the November 2016 election, \$3.1 million more from Rhode Island Capital Plan funds, and \$3.0 million less from Quonset Development Corporation resources.

The Quonset Development Corporation provided testimony that the updated estimated expense for the project was \$85.0 million, \$5.0 million less than the plan approved by the 2019 Assembly. The 2020 Assembly reduced Rhode Island Capital Plan Fund expenditures by \$5.0 million. The 2021 Assembly included total project costs of \$83.1 million, and allowed the \$1.9 million of newly reported savings to accrue to the Corporation. *The Governor recommended total funding consistent with the approved plan and included the requested shift of \$3.0 million from Corporation resources to Rhode Island Capital Plan funds.* **The Assembly did not concur with the recommended shift and provided funding consistent with the approved plan.**

Industrial Site Development. The Executive Office requested \$40.0 million from general obligation bond proceeds approved in the March 2021 election for offshore wind and industrial site development, reshoring of jobs, and economic revitalization. Administered by the Quonset Development Corporation, the program competitively distributes funds, which support activities related to manufacturing, assembly, distribution, offshore wind, or other job-producing activities, as well as provide small grants for pre-development purposes. If the Corporation funds a project, it is required to secure a Rhode Island benefits agreement. The FY 2020 and FY 2021 budgets provided the Executive Office of Commerce \$1.0 million from general revenues to assist municipalities to develop pad-ready industrial sites. As of September 2021, the Corporation has made 28 awards totaling \$2.0 million. Compared to the approved plan, the request includes \$14.0 million less for the current year, \$9.0 million less for FY 2023, and extends the program past FY 2023 with \$13.0 million for FY 2024 and \$10.0 million for FY 2025.

The separate Industrial Site Development Infrastructure request is a companion project and would supplement the Industrial Site Readiness program by funding eligible projects with restricted federal funds instead of more flexible general obligation bond proceeds. *The Governor recommended funding as requested. The Assembly concurred.*

Port of Davisville Infrastructure at Quonset. Consistent with the approved plan, the Executive Office requested \$20.0 million from general obligation bond proceeds approved by voters in the March 2021 special election to support infrastructure at the Quonset Business Park. The proceeds will support the port's master plan and current area businesses through the construction of a new pier at terminal 5, rehabilitation of Pier 1, dredging, and activities to support the anticipated development of offshore wind project cargo and logistics. The project is intended to reconfigure the port to provide additional berthing and growth. *The Governor recommended shifting \$3.0 million in general obligation bond proceeds from FY 2022 to FY 2023 to reflect updated project timelines. The Assembly concurred.*

Affordable Housing. Consistent with the approved plan, the Executive Office requested \$105.0 million from general obligation bonds proceeds, including \$65.0 million approved by voters in March 2021 and \$40.0 million from general obligation bond funds approved by the voters in November 2016, to support the development or rehabilitation of affordable housing. The request excludes \$85.0 million of previously spent bond funds from 2006 and 2012. The request programs funding at \$26.5 million in the current year, \$30.0 million for FY 2023, \$22.5 million for FY 2024, and \$5.0 million for FY 2025.

The 2021 Assembly enacted 2021-H 6494, Substitute A, containing \$15.0 million from State Fiscal Recovery funds to provide an enhanced level of gap financing for affordable housing developments. It requires these funds to be used in conjunction with general obligation bond funds and other available resources and only subsequent to the Housing Resources Commission Coordinating Committee establishing guidelines for the use of the Housing Production Fund proceeds. *The Governor recommended shifting \$10.0 million in general obligation bond proceeds from FY 2022 to FY 2023. The Governor's operating budget recommendation also contains a total of \$90.0 million from State Fiscal Recovery funds for the affordable housing development program noted above. The Assembly concurred. It also increased the Governor's recommendation for use of State Fiscal Recovery funds by \$10.0 million.*

Port of Providence. Consistent with the approved plan, the Executive Office requested \$20.0 million from general obligation bond funds approved by the voters on the November 2016 ballot for land acquisition and infrastructure improvements to the Port of Providence. Expansion and improvements at the port are anticipated to attract three to four new tenants through container port development or other market-driven operations. The request reflects a delay of \$15.0 million from FY 2021 to FY 2022. Only \$28,808 has been spent since 2016. This is \$11,192 less than previously reported. It should be noted that the Executive Office has programmed significant expenditures in each of the prior five years, though as of October 2021 no funds have been spent since December 2019. The Office subsequently reported it has preliminarily

obligated all funds. *The Governor recommended shifting \$5.0 million in general obligation bond proceeds from FY 2022 to FY 2023 to reflect updated project timelines. The Assembly concurred.*

Innovation Campus. The Executive Office requested \$20.0 million from general obligation bond funds approved by the voters in 2016 for one or more innovation campuses to facilitate collaboration between the University of Rhode Island, other higher education institutions, and the business community to expedite the conversion of research into marketable production or producers. Compared to the approved plan, the request includes \$9.8 million less for the current year, most of which is shifted to the post-FY 2027 period, and \$1.9 million more for FY 2023.

During the fall of 2017, the initial request for proposals was released. Through June 2022, \$14.4 million has been committed to five projects. These include the ASU Rhode Island Innovation Center; the Rhode Island Innovation Hub; Rhode Island Ag Technologies; 401 Tech Bridge; and Roger Williams Medical Center's Rhode Island Cell Training Institute. No additional awards have been announced since July 2019. As of December 10, 2021, the Office indicated \$1.1 million has been disbursed. *The Governor did not recommend the requested shift and programed \$12.8 million for FY 2022. The Assembly concurred.*

Quonset Point Infrastructure. Consistent with the approved plan, the request includes \$14.0 million from Rhode Island Capital Plan funds for infrastructure improvements at the Quonset Business Park. Phase I of the project, which includes increasing roadway crossing capacity and utility relocation to facilitate heavier shipping weights is nearly complete. Phase II, dredging the approach, began in October 2019. The final remaining phase is construction of a new transport barge pier. These funds support total estimated project costs of \$34.5 million, with the remaining expenses paid by tenants of the Quonset Business Park. The request programs \$6.0 million for FY 2022. *The Governor concurred. The Assembly concurred.*

Urban Revitalization and Blight Remediation. The Executive Office requested \$10.0 million from general obligation bond funds approved by the voters in November 2016 for the Urban Revitalization and Blight Remediation program administered by Rhode Island Housing. The requested funds support a statewide initiative to incentivize razing and/or redeveloping residential or commercial properties, and public and community spaces; the program prioritizes urban areas through a \$7.5 million set aside. The request programs the final \$40,892 for FY 2022. *The Governor recommended funding as requested. The Governor's operating budget recommendation also includes \$25.0 million from State Fiscal Recovery funds for an identical program. The Assembly concurred.*

Community Revitalization and Job Creation. The Executive Office requested \$316.0 million from the state's allocation of federal State Fiscal Recovery funds for a new project that would fund a series of initiatives focused on economic development. The Office indicated that in the absence of federal funds, it would pursue the approval of general obligation bonds to finance this request. This includes \$150.0 million for an industrial infrastructure initiative grant program; \$50.0 million for a program to adapt and upgrade physical office spaces; \$50.0 million for historic properties and upgrades to surrounding infrastructure; \$45.0 million for public space improvements; and \$50.0 million meant as flexible matching funds for future federal grant applications to the U.S. Economic Development Administration. The grants would be awarded to industry groups, municipalities, and landlords. The request programs \$19.0 million for FY 2022, \$143.0 million for FY 2023, \$95.0 million for FY 2024, \$43.5 million for FY 2025, \$15.0 million for FY 2026, and \$0.5 million for FY 2027.

Funds are unlikely to be available for use in FY 2022. *The Governor did not recommend this project. A segment of the industrial infrastructure initiative component of this project is included in the Governor's operating budget using \$70.0 million from State Fiscal Recovery funds for blue economy-related capital investments. The Assembly concurred.*

I-195 Wetlab Financing. The Executive Office requested \$10.0 million from the state's allocation of federal State Fiscal Recovery funds for a new project to finance a wetlab facility. A wetlab is a life science focused laboratory designed for the use of liquid chemicals as opposed to computer simulated experiments. The facility would be built on land administered by the I-195 Commission, either in cooperation with Wexford at its current location or within a new Department of Health laboratory facility. Lack of wetlab space appropriate for use by newly founded life science companies is specifically noted as an obstacle for biomedical innovation in Rhode Island's 2020 Comprehensive Economic Development Strategy. The Commission would finance development of a leasable facility with up to 100 benches suitable for small life science startups. The request programs \$10.0 million for FY 2023, which assumes locating the wetlab in Wexford's existing building.

The Office indicates that in the absence of federal funds, it would pursue the approval of general obligation bonds to finance this request. *The Governor's capital budget does not reflect this project; however, his operating budget recommendation includes \$30.0 million from State Fiscal Recovery funds for FY 2023 through FY 2026 to provide technology development supports and finance a wetlab facility that is not specifically limited to the I-195 land as requested.* **The Assembly concurred.**

Main Streets Revitalization. The Executive Office requested \$10.0 million from new general obligation bonds to go before voters on the November 2022 ballot to support the Main Street RI Streetscape Improvement Fund. The 2015 Assembly authorized the Commerce Corporation to award loans, matching grants and other forms of financing to enhance sidewalks, wayfinding signage, and lighting in order to create an attractive environment in local business districts. The program has been appropriated \$3.0 million, all of which has been awarded. The program is scheduled to sunset on December 31, 2022. Proposed grants from the program would be directed to projects and regions that are ineligible for federal COVID-19 relief. The request programs \$1.5 million for FY 2023, \$5.0 million for FY 2024, and \$3.5 million for FY 2025, and extended the sunset one year to December 31, 2023.

The 2021 Assembly enacted 2021-H 6494, Substitute A, containing \$13.0 million to address the negative impacts of the pandemic to the tourism, hospitality, and events industry, consistent with the Governor's intent. It allocates \$3.0 million for place making improvements and pandemic-safe programming. The agency's request does not reflect this appropriation. *The Governor recommended funding consistent with the approved plan and the sunset extension as requested. The Governor's operating budget recommendation includes a total of \$28.5 million for the assistance to the impacted industries program noted above and \$5.0 million from State Fiscal Recovery funds to recapitalize the fund.* **The Assembly provided general revenues in lieu of State Fiscal Recovery funds to recapitalize the fund and concurred with the sunset extension.**

Industrial Site Development Infrastructure. The Executive Office requested \$60.0 million from the state's allocation of federal State Fiscal Recovery funds for a new project to extend water and sewer infrastructure to prepare sites for development of industrial sites and facilities. This program would authorize the Quonset Development Corporation to competitively distribute funds to extend, upgrade, and construct water and industrial wastewater treatment infrastructure which support activities related to manufacturing, assembly, distribution production, processing, offshore wind, or other job-producing activities, as well as provide small grants for pre-development purposes. The intent is to supplement the Industrial Site Readiness program by funding eligible projects with restricted federal funds instead of more flexible general obligation bond proceeds. The Office indicated this would increase the total number of prepared industrial sites. The request programs \$10.0 million for FY 2022, \$20.0 million for FY 2023, \$20.0 million for FY 2024, and \$10.0 million for FY 2025.

In March 2021, voters approved \$40.0 million in general obligation bonds for the Quonset Development Corporation to administer a program to acquire land, provide pre-development grants, and prepare sites for

construction of industrial sites and facilities. Funded projects must secure a Rhode Island benefits agreement. *The Governor did not recommend this project.* **The Assembly concurred.**

Department of Labor and Training

Projects	Status	5 Year Total	Project Total
Mainframe Retirement/System Modernization	New	\$ 19,430,000	\$ 19,430,000
Unemployment Insurance Online	New	-	5,000,000
Unemployment Insurance Benefits Navigator	New	2,500,000	6,000,000
Unemployment Insurance System Modernization	New	3,000,000	7,000,000
UI/TDI Connect Call Center	New	500,000	1,500,000
Total		\$ 25,430,000	\$ 38,930,000
Sources of Funds			
Federal Funds		\$ 6,000,000	\$ 19,500,000
Information Technology Investment Fund		19,430,000	19,430,000
Total		\$ 25,430,000	\$ 38,930,000

Summary. The Department of Labor and Training requested \$63.8 million from federal funds for 17 new projects. This includes \$23.2 million to be spent in FY 2022. *The Governor recommended total project costs of \$38.9 million, \$24.9 million less than requested, largely to reflect the exclusion of out-year expenses, primarily operating costs, included in the Department's request. His recommendation includes five projects, four distinct projects associated with unemployment insurance, and one of which essentially consolidates 11 other requested projects, but delays the initial spending for those projects from FY 2022 to FY 2023 compared to the request. The Assembly concurred.*

Mainframe Retirement/System Modernization. The Department requested \$3.0 million from federal funds to transfer the existing interstate verification interface for unemployment and social security, and labor market information applications from a mainframe to a cloud-based system. The request assumes the use of State Fiscal Recovery funds and programs \$2.0 million for system replacement and development over FY 2022 and FY 2023. This assumes \$650,000 for development expenses and \$50,000 for cloud service expenses in FY 2022 and \$1.0 million and \$250,000, respectively, in FY 2023. For FY 2024 through FY 2027, the request includes \$250,000 annually for cloud-based services, which includes \$50,000 for ongoing operational expenses; these appear to be more appropriate for the Department's operating request. *The Governor consolidated ten other projects into this one for a total of \$19.4 million. The recommendation essentially funds the request for the combined projects but provides information technology investment funds, assumes spending begins in FY 2023, and excludes out-year operating expenses. The Assembly concurred.*

Unemployment Insurance Online. The Department requested \$15.0 million from federal funds to replace the public facing unemployment insurance applications with a cloud application. The request assumes use of U.S. Department of Labor funds and programs \$5.0 million in FY 2022 and \$2.0 million annually thereafter. This project includes functionality to allow claimants to follow the status of submitted claims. These subsequent expenses appear to be more appropriate for the Department's operating request. This is in conjunction with the system modernization project discussed separately. *The Governor recommended funding as requested for FY 2022 and excluded the out-year operating expenses. The Assembly concurred.*

Unemployment Insurance Benefits Navigator. The Department requested \$9.0 million from federal funds to replace its internal unemployment insurance computer application with a cloud-based system. The request assumes use of U.S. Department of Labor funding, and programs \$3.5 million in FY 2022, \$2.5

million in FY 2023, and \$0.8 million annually thereafter through FY 2027. This includes development costs of \$3.0 million and \$2.0 million in FY 2022 and FY 2023, respectively, and \$0.5 million annually for cloud service expenses. Expenses requested for FY 2024 through FY 2027 include annual operational expenses of \$0.3 million, which appear to be more appropriate for the Department's operating request. This is proposed in conjunction with the system modernization project discussed separately. *The Governor recommended funding as requested for FY 2022 and FY 2023 and excluded the out-year operating expenses.* **The Assembly concurred.**

Unemployment Insurance System Modernization. The Department requested \$10.1 million from federal funds to transfer the unemployment insurance database and program determination applications from a COBOL-programmed IBM AS/400 to a cloud-based system. The request assumes use of U.S. Department of Labor funding and programs \$4.0 million in FY 2022, \$3.0 million in FY 2023, \$1.0 million in FY 2024, and \$0.7 million annually thereafter through FY 2027. Development costs are estimated at \$3.5 million and \$2.5 million in FY 2022 and FY 2023, respectively. For FY 2024 through FY 2027, the request includes \$0.5 million each year for cloud service expenses, with the remainder for ongoing costs of operations, maintenance, and enhancement services to support the cloud-based application. These subsequent expenses appear to be more appropriate for the Department's operating request. This is proposed in conjunction with the Unemployment Insurance Online and Benefits Navigator projects, discussed separately, to interface with the new database and rules engine. *The Governor recommended funding as requested for FY 2022 and FY 2023 and excluded the out-year operating expenses.* **The Assembly concurred.**

UI/TDI Connect Call Center. The Department requested \$2.0 million from federal funds to continue to expand its cloud-based call center as a service technology to include its temporary disability operations, thereby replacing its current call center technology. The request programs \$1.0 million in FY 2022, \$0.5 million in FY 2023, and \$0.2 million in FY 2024, with \$0.1 million of ongoing expenses through FY 2027. The request assumes \$0.8 million for call center expenses in FY 2022 and \$0.2 million for cloud service expenses. The request assumes \$0.1 million annually from FY 2023 through FY 2027, of which half is for cloud service expenses, with the remainder for ongoing costs for operations, maintenance, and enhancement services to support the application. As this is an ongoing project, these expenses appear to be more appropriate for the Department's operating request. *The Governor recommended funding as requested for FY 2022 and FY 2023 and excluded the out-year operating expenses.* **The Assembly concurred.**

Strategic Planning Engagement. The Department requested \$1.0 million from federal funds for consulting services to provide an assessment and methodological plan and timeline for its information technology system enhancements, including determining technology requirements. The Department believes this outside guidance is necessary for maximum efficiency. The request programs \$750,000 in FY 2022 and \$250,000 in FY 2023. The Department assumed its other information technology projects will be informed by this plan; however, the projects are programmed to begin in FY 2022. The two-year split assumes the plan is delivered in FY 2022, with the vendor available in FY 2023 to assist with subsequent activities.

The Department noted that current systems use programming languages and operating systems originating from the 1960s and hardware from the 1980s. The request is for federal funds and ongoing project expenses will require future funding. Subsequent to submission, the Department noted that this expense is likely to be spread across multiple sources of funds, including its annual federal award. *The Governor recommended funding essentially as requested but provided information technology investment funds and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023.* **The Assembly concurred.**

Employer Tax System Replacement. The Department requested \$7.5 million from federal funds to replace its legacy mainframe-based, COBOL-programmed employment tax collection system with a cloud-

based application. The employer tax system is utilized by the employer tax division and services every employer throughout state when unemployment insurance claims are processed.

The request assumes use of American Rescue Plan Act State Fiscal Recovery funds, programing \$5.0 million in FY 2022, of which \$4.8 million is for system replacement and \$250,000 is for cloud service expenses. For the FY 2023 through FY 2027 period the request includes \$0.5 million, of which half is for cloud service expenses and the remainder for ongoing operational expenses to support the cloud-based application. These subsequent expenses appear to be more appropriate for the Department's operating request. *The Governor recommended \$5.0 million for FY 2023 from Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Federal Accounting Reporting System Replacement. The Department requested \$2.0 million from State Fiscal Recovery federal funds to replace its legacy mainframe-based, COBOL-programmed Federal Accounting Reporting System with a cloud-based application. The request programs \$0.7 million and \$0.5 million in FY 2022 and FY 2023, respectively. This includes \$650,000 for development expenses and \$50,000 for cloud service expenses in FY 2022; for FY 2023, these expenses are \$400,000 and \$100,000, respectively. The request includes \$0.2 million annually for the FY 2024 through FY 2027 period, of which half is for cloud service expenses and the remainder for ongoing costs of operations, maintenance, and enhancement services to support the cloud-based application. These subsequent expenses appear to be more appropriate for the Department's operating request. *The Governor recommended funding essentially as requested but provided Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Temporary Disability Insurance System Replacement. The Department requested \$10.0 million from State Fiscal Recovery federal funds to replace its legacy mainframe-based, COBOL-programmed Temporary Disability Insurance benefit system with a cloud-based application. The request programs \$7.8 million in FY 2022 through FY 2024, primarily for system replacement and development, beginning with \$0.8 million, with \$5.0 million and \$2.0 million in each remaining year. For FY 2025 through FY 2027, the request assumes \$0.8 million annually, of which \$0.5 million is for cloud service expenses and the remainder for ongoing operational expenses to support the application, which appear to be more appropriate for the Department's operating request. *The Governor recommended funding essentially as requested but provided Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Workforce Regulations and Safety System Replacement. The Department requested \$2.3 million from State Fiscal Recovery federal funds to replace its current Informix relational database system for its Workforce Regulations and Safety division. The system comprehensively supports the division's efforts for licensing and inspections, prevailing wage and labor standards, and Hazardous Substances Right-to-Know Act reporting and tracking. The Department reported the existing system is outdated and antiquated, its software is at its end of life, and the decreasing availability of system support poses a security risk. The request programs \$0.8 million each for FY 2022 and FY 2023 for initial project costs, and \$0.2 million annually thereafter through FY 2027 for operating costs and cloud service expenses, if cloud based. The technology selected will be based on Request for Proposal responses. *The Governor recommended funding essentially as requested, but provided Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Workforce Regulations and Safety Unit Imaging. The Department requested \$200,000 from State Fiscal Recovery federal funds to acquire scanners for, and increase network storage of, the existing imaging

system in order to digitize documents for the Workforce Regulations and Safety unit. The request assumes initial expenses of \$150,000 in FY 2022 for two scanners, set-up costs and licensing, and ongoing expenses of \$10,000 annually. *The Governor recommended \$150,000 for FY 2023 but provided Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Business Affairs Imaging. The Department requested \$200,000 from State Fiscal Recovery federal funds to acquire scanners for, and increase network storage of, the existing imaging system in order to digitize documents for the Business Affairs unit. The request assumes initial expenses of \$150,000 in FY 2022 for two scanners, set-up costs and licensing, and annual operating expenses of \$10,000. *The Governor recommended \$150,000 for FY 2023 from Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Board of Review Upgrade/Replacement SpeechExec. The Department requested \$55,000 from federal funds to replace its SpeechExec dictation and transcription software used to record administrative hearings. The Department reported the existing equipment is failing and at its end of life and support. The request programs \$30,000 to buy the software in FY 2022 and annual operating expenses of \$5,000 through FY 2027. *The Governor recommended \$30,000 for FY 2023 from Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Data Lake. The Department requested \$1.1 million from U.S. Department of Labor federal funds to replace its COBOL-programmed IBM AS/400, and transfer data storage capability to a cloud-based system. The request programs \$500,000 in FY 2022, and \$125,000 annually through FY 2027. This reflects initial system costs of \$400,000, annual cloud service expenses of \$100,000 and \$25,000 for ongoing operational expenses. The Department reported this project supports its decision making processes that impact staffing assignments, strategic direction, and aggregates data to improve its reporting capability. The project was reportedly near completion at the time of the capital budget submission. *The Governor recommended \$500,000 for FY 2023 from Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Department subsequently reported the Unemployment Insurance Online project pays some of these costs. The Assembly concurred.*

Network Switch Replacement. The Department requested \$250,000 from federal funds available from its annual award to replace its network switch infrastructure. The request assumes replacement expenses of \$200,000 in FY 2022 and \$10,000 for annual operating expenses through FY 2027. *The Governor recommended \$200,000 for FY 2023 from Information Technology Investment funds, excluded the out-year operating expenses, and consolidated these expenses into the Mainframe Retirement/System Modernization project, to begin in FY 2023. The Assembly concurred.*

Wi-Fi Deployment - Center General. The Department requested \$100,000 from federal funds to install Wi-Fi hotspots within its primary building on the Pastore campus. The request programs \$50,000 in FY 2022 for the installation, and \$10,000 annually for maintenance expenses. *The Governor's recommendation excludes this funding. The Assembly concurred.*

Wi-Fi Deployment - Remote Locations. The Department requested \$65,000 from federal funds to install Wi-Fi hotspots within its remote facilities in West Warwick, Woonsocket, and Wakefield locations. Workforce Solutions of Providence/Cranston is responsible for these upgrades in Providence. The request programs \$15,000 in FY 2022 for the installation, and \$10,000 annually for maintenance expenses. *The Governor's recommendation excludes this funding. The Assembly concurred.*

Department of Revenue

Projects	Status	5 Year Total	Project Total
Lottery Building Enhancements	New	1,140,000	1,140,000
DMV - Strategic Reform	New	750,000	750,000
Total		\$ 1,890,000	\$ 1,890,000
Sources of Funds			
General Revenues		\$ 750,000	\$ 750,000
Other Funds		290,000	290,000
Rhode Island Capital Plan Funds		850,000	850,000
Total		\$ 1,890,000	\$ 1,890,000

Summary. The Department of Revenue requested \$33.2 million from all sources, for four new projects of which \$5.0 million would be from Rhode Island Capital Plan funds. *The Governor recommended capital expenditures of \$3.4 million for two new projects during the five-year period. This is \$29.8 million less than requested. The Assembly provided \$1.3 million more than recommended for three new projects, including \$2.3 million from Information Technology Investment funds through the Department of Administration, and \$0.6 million provided in the Department of Revenue's operating budget.*

Tax Strategic Modernization. The Department requested \$4.1 million from federal State Fiscal Recovery funds for consulting services for a strategic plan, and to improve information technology hardware and software intended to enhance its services to more efficiently serve taxpayers. This includes back office equipment, including replacing the scanners for the Division of Taxation's integrated tax system, and copiers, providing dual screen set-ups for staff, and adding computers to have secure remote meetings with taxpayers. The request has several components, not all appear to be interrelated. The request programs \$2.2 million in the current year, and \$1.2 million and \$0.6 million for FY 2023 and FY 2024, respectively. *The Governor recommended \$2.3 million from federal State Fiscal Recovery funds.*

The recommendation programs \$0.8 million and \$1.5 million for FY 2023 and FY 2024, respectively. The recommendation supports remote meeting computers, a new mail tracking system, and modernizing some systems to a cloud-based platform. It did not include replacing the Division's scanners. The Assembly provided Information Technology Investment funds through the Department of Administration in lieu of State Fiscal Recovery funds, and added \$550,000 from general revenues to the Department of Revenue's operating budget to replace the Division's scanners.

Lottery Building Enhancements. The Department requested total project costs of \$1.1 million from lottery funds to install a generator to support its operations in the event of an extended power outage. Only portions of the facility have a back-up power supply, which would inhibit the Lottery's ability to be functional, and present a risk to its equipment in the event of a power outage. The request programs \$0.3 million in FY 2022, and \$0.9 million in FY 2023. *The Governor recommended total funding as requested but programs all of it in FY 2023, including \$0.3 million from lottery funds and \$0.9 million from Rhode Island Capital Plan funds. The Assembly concurred.*

DMV - Strategic Reform. The Department requested \$23.0 million from federal State Fiscal Recovery funds to enhance Division of Motor Vehicles service delivery channels for citizens through information technology hardware and software enhancements, including additional online services and self-service kiosks, and adding buses to use as mobile motor vehicle registries in lieu of part-time physical locations.

The Department estimated that transitioning these services and enhancing self-service capability will generate savings for printing and postage costs of approximately 30 percent, about \$0.6 million based on the prior three years' average, as well as reduce in-person traffic and wait times at its registries by up to 25.0 percent. The request programs \$2.8 million in the current year, and assumes use of \$20.1 million for the FY 2023 through FY 2025 period. Transitioning to mobile registry units will require a change to current law. Subsequent to the request, the Department supplied additional back-up which appears to suggest that the request may be overstated. *The Governor did not recommend this project.* **The Assembly provided \$750,000 from general revenues for one mobile registry unit and included language in Article 3 of 2022-H 7123, Substitute A, as amended, to accommodate its use.**

DMV Garage. The Department requested \$5.0 million from Rhode Island Capital Plan funds to locate a replacement facility for the inspection and road testing activities currently housed at 325 Melrose Street in Providence. A facility assessment conducted during 2019 grades the 16,050 square foot building in poor condition as measured by necessary expenses exceeding 10 percent of the building's replacement value. The estimate is reportedly based on a project of similar scope at the Fire Academy.

The request includes a feasibility study and architectural contracting in FY 2022, as well as locating a suitable new, state-owned property and a modular building. The safety and emissions staff license and supervise more than 325 emission and safety inspection stations that inspect over 379,000 vehicles per year; including direct inspection of over 3,600 vehicles, including vehicles for hire, school buses, salvage vehicles, kit cars, and law enforcement ordered inspections. The request programs \$0.8 million in FY 2022, \$2.2 million in FY 2023 and \$2.1 million in FY 2024.

The Governor did not recommend funding this project; however, the recommendation does include \$0.5 million in the Department of Administration's budget in FY 2023 as part of the Statewide Facility Master Plan project to conduct a consolidated garage study for both the Department of Transportation and the Division of Motor Vehicles' safety and emissions unit. **The Assembly concurred.**

Office of the Secretary of State

Summary. The approved plan includes \$170,000 from Rhode Island Capital Plan funds for FY 2023 for election equipment. The Office of the Secretary of State did not submit a capital budget request, but subsequently reported that the funding was intended to support a Request for Proposals anticipated to be released in February 2022, which will include 620 accessible voting units, 620 transport cases, and other equipment. The state's current accessible voting equipment had reportedly been in operation since 2006 and was in need of replacement. *Consistent with the approved plan, the Governor recommended \$0.2 million from Rhode Island Capital Plan funds for FY 2023.* **The Office reported having signed a new lease for the equipment which did not require additional capital funding; the Assembly removed the Rhode Island Capital Plan funding as it was unnecessary.**

Public Utilities Commission

Projects	Status	5 Year Total	Project Total
Electronic Business Portal	Ongoing	\$ 250,000	\$ 500,000
Asset Protection	Ongoing	575,000	625,000
Total		\$ 825,000	\$ 1,125,000
Sources of Funds			
Restricted Receipts		\$ 825,000	\$ 1,125,000
Total		\$ 825,000	\$ 1,125,000

Summary. The Public Utilities Commission requested a total of \$1.5 million from restricted receipts assessed to the regulated utilities and from its operating budget for three capital projects, one of which is new. This includes \$1.2 million to be spent in the five-year period and \$0.3 million in FY 2022. The total request is \$425,000 more than the approved plan which reflects funding for one new project and an additional year of funding for asset protection projects. *The Governor recommended funding as requested for the Commission's ongoing capital projects; he did not recommend funding for the new project.* **The Assembly concurred.**

Electronic Business Portal. Consistent with the approved plan, the Commission requested \$0.5 million from restricted receipts to complete an electronic business portal in FY 2023. The electronic business portal is a tool for docket management and e-filing capabilities for licensing. It also includes an in-house task scheduler for employees to track dockets and project completion. The portal will also manage a “list serve,” which is a service where the public can add themselves to follow specific dockets by adding their email to the service list of a docket. The Commission reported that these upgrades will relieve staff time by reducing document filing and streamlining docket management responsibilities. *The Governor recommended funding as requested.* **The Assembly concurred.**

Asset Protection. The Commission requested \$625,000 from restricted receipts for repairs and renovations to its headquarters, 89 Jefferson Boulevard in Warwick, from FY 2022 through FY 2026. The request includes \$575,000 in the five-year period. This is \$75,000 more than the approved plan to represent one additional year of funding and re-prioritization of projects. The project includes modernizing an elevator, replacing exterior windows, replacing the roof membrane, pavement resurfacing in two areas and replacing railings and ramps for handicapped accessibility. *The Governor recommended funding as requested.* **The Assembly concurred.**

Modern Hearing and Training Room. The Commission requested \$350,000 for a new project in FY 2023. The Commission plans to include this project in its operating budget request but is currently seeking federal funding from state American Rescue Plan Act stabilization funds as an alternative. The Commission indicates a need for updated technology in response to the COVID-19 pandemic. The Commission will renovate a portion of the basement at its headquarters for use as a modern hearing and training room. The project provides necessary infrastructure for a virtual courtroom. The Commission also plans to extend public engagement by live-streaming all Division and Commission hearings. According to the Commission, the current technological infrastructure cannot operate on a permanent basis. The project includes the purchase of new sound equipment, streaming video cameras and multiple screens for power point presentations. *The Governor did not recommend funding.* **The Assembly concurred.**

Executive Office of Health and Human Services

Projects	Status	5 Year Total	Project Total
Medicaid Enterprise System	New	\$ 52,026,490	\$ 52,026,490
Total		\$ 52,026,490	\$ 52,026,490
Source of Funds			
Federal Funds		\$ 46,823,842	\$ 46,823,842
General Revenues		5,202,648	5,202,648
Total		\$ 52,026,490	\$ 52,026,490

Summary. The Executive Office of Health and Human Services requested \$126.8 million for 13 new projects, including \$119.4 million from federal funds, \$4.6 million from restricted receipts, \$2.0 million from general revenues, and \$0.8 million from Information Technology Investment funds. The request includes \$22.1 million in FY 2022 and \$104.7 million in the five-year period. *The Governor recommended \$53.3 million, including \$5.3 million from general revenues for one project. He subsequently requested an amendment to lower project costs by \$1.3 million, including \$0.1 million from general revenues for FY 2023. The Assembly concurred.*

Medicaid Enterprise System. The Executive Office requested \$34.3 million for a Medicaid Enterprise System to replace the current Medicaid Management Information System. The FY 2022 enacted budget includes \$1.4 million from federal Medicaid funds and general revenues for contracted services for planning work in advance of the project.

The capital request includes \$32.6 million from Medicaid funds and \$1.7 million from matching general revenues. It includes \$2.4 million for project planning in FY 2022, \$1.0 million more than the enacted budget. The request for this part of the project is \$17.3 million over six years. The request also includes \$6.8 million for an independent verification and validation vendor from FY 2023 through FY 2026 and \$10.2 million to replace the system.

The state is required to match ten percent of the expenses with the remaining paid from Medicaid funds for technology projects. The request includes a state match on only the planning portion of the project. Adjusting for this error, the project cost would total \$36.2 million, including \$3.6 million from general revenues, \$1.9 million more than requested.

The Governor recommended \$53.3 million, including \$5.3 million from general revenues for the new system. This is \$19.0 million more than requested for updated project expenses. He requested an amendment to reduce FY 2023 expenses by \$1.3 million, including \$0.1 million from general revenues. The Assembly concurred with the amended recommendation.

Central Management Data & Analytics. The Executive Office requested \$35.5 million from federal State Fiscal Recovery funds included in the American Rescue Plan Act for technology and staffing expenses for the state's Data Ecosystem, including \$5.7 million for FY 2022. This includes Medicaid claims data, wage and job training information from the Department of Labor and Training, birth and death records, cash assistance programs through the Department of Human Services, COVID testing, and vaccine information and enrollment data through the HealthFacts RI (All Payer Claims Database). The project expands the information in the integrated data system and allows for enhanced analytic services. The request includes a data contractor to build out the Medicaid data warehouse, a project manager, and a database upgrade.

It should be noted that the Executive Office's capital request includes several projects that address data collection and analysis, but it is unclear if there is an overlap or duplication in these projects. *The Governor did not recommend funding this project. The Assembly concurred.*

Central Management System Modernization & Integration. The Executive Office requested \$22.0 million from federal funds to support the Medicaid program by adding staff for actuarial services to provide administrative support. The request includes \$7.7 million for FY 2022, \$9.4 million for FY 2023, and \$4.9 million for FY 2024. It appears this request was made in error as it is not a capital project. *The Governor did not recommend funding this project. The Assembly concurred.*

Quality Reporting System. The Executive Office requested \$12.0 million from federal funds and restricted receipts for a new quality reporting system. This assumes \$2.0 million annually, including FY 2022. The request includes \$3.0 million from federal Health Information Technology funds and \$3.0 million from Health System Transformation Project restricted receipts for FY 2022 through FY 2024. For FY 2025 through FY 2027, the Executive Office assumes the use of federal American Rescue Plan Act State Fiscal Recovery funds.

The new reporting system would standardize existing clinical data from electronic health records, health information exchange, and other claims data sources. It would be a shared information technology system to be used by community providers. The Executive Office provided updated information that includes a general revenue match for this project, which was not requested. *The Governor did not recommend funding this project. The Assembly concurred.*

Health Information Technology. The Executive Office requested \$9.1 million from federal State Fiscal Recovery funds included in the American Rescue Plan Act for several health information technology projects. This includes \$1.0 million for FY 2022, \$3.7 million for FY 2023, \$2.5 million for FY 2024, and \$0.6 million annually from FY 2025 through FY 2027.

The request includes \$3.5 million for three project managers, \$2.5 million for a provider directory, \$50,000 for a consultant to assess the electronic health records needs of the state's behavioral health care providers, and \$1.2 million for a new system for no more than five behavioral health care providers that lack them. It also includes \$0.9 million for a new public health reporting project through the Health Information Exchange and \$0.1 million for methadone tracking in the Department of Health's Prescription Drug Monitoring Program.

The request also includes \$0.3 million to integrate the new Unite Us community resource technology platform with other state agencies and \$0.5 million to add Unite Us licenses to other non-Medicaid state agencies once the technology integrations are completed. It should be noted that the Executive Office requested \$6.3 million from federal funds to support this platform in a separate capital project. *The Governor did not recommend funding this project. The Assembly concurred.*

Community Resource Platform. The Executive Office requested \$6.3 million, including \$4.7 million from federal funds and \$1.5 million from restricted receipts for a community resource platform, an e-referral system currently operated by Unite Us for social services recommendations from health care providers to community based organizations. This appears to be a placeholder as information provided by the Executive Office suggests the need for a general revenue match not included in the request. The FY 2022 enacted budget includes \$1.1 million for Unite Us to run the platform. The contract expired on April 28, 2022, but can be extended another two years; however, funding is requested through FY 2027. *The Governor included \$1.1 million in his revised and FY 2023 recommended budgets, but did not recommend expanding the program. The Assembly concurred.*

Care Management Dashboards. The Executive Office requested \$2.9 million from federal funds and restricted receipts to support maintenance and operations for Rhode Island Quality Institute's care management alerts and dashboards. The product is a customizable dashboard that provides information about emergency department use and hospital discharges to primary care providers, behavioral health agencies, the accountable entities, and other key stakeholders involved in coordinating care.

It should be noted that the capital request has at least five other projects that deal with the collection of data without any coordination or recognition from the Executive Office. *The Governor did not recommend funding this project.* **The Assembly concurred.**

Early Care and Education Data System. The Executive Office requested \$1.6 million from the federal Preschool Development grant for a new system to coordinate child care licensing, workforce registry, and program monitoring, and to create a website with information on subsidized child care and pre kindergarten programs in the Departments of Human Services and the Elementary and Secondary Education. The request includes \$480,000 for FY 2023 and FY 2024 and \$150,000 for FY 2025 through FY 2027. It also includes \$207,000 for FY 2022, \$18,000 less than the enacted budget.

The project is part of the Executive Office's capital request because its budget includes funding from the Preschool Development grant. The Executive Office reported that the lack of a coordinated system results in continual inefficiencies. Currently, child care providers apply separately to the Department of Human Services' licensing unit and the Department of Elementary and Secondary Education for Comprehensive Early Childhood Education certification. A new system will reduce the burden on small businesses and accelerate the Department of Human Services' licensing process. *The Governor did not recommend funding this, but did include \$225,000 for FY 2022 and \$341,000 for FY 2023 as part of the operating budget.* **The Assembly concurred.**

CurrentCare Opt Out Implementation. The Executive Office requested \$1.9 million from federal funds to change the enrollment process in CurrentCare, the state's Health Information Exchange, from an opt-in to an opt-out process to comply with a statutory change made by the 2021 Assembly. The request includes \$1.0 million for FY 2022, \$0.8 million for FY 2023, and \$0.2 million for FY 2024 to cover design, development, and implementation costs for the transition to the opt-out model. The Executive Office provided updated information that suggests the project will require \$0.8 million from general revenues not included in the request.

The Rhode Island Quality Institute operates the electronic network which gives medical professionals access to their patients' health information. Its funding is derived from an optional \$1 per member/per month payment for all covered lives by the commercial plans and self-insured employers. The Executive Office has an agreement with the Institute to make that payment on behalf of its Medicaid enrollees and state employees under the current opt-in process and pays \$1.4 million from Medicaid matched by general revenues.

It should be noted that the FY 2022 enacted budget includes \$4.3 million from all sources, including \$1.1 million from general revenues, to make the payment for the state's share for medical assistance recipients and other systems functions as noted above. *The Governor did not recommend funding as requested in the capital plan, but did include \$1.0 million for FY 2022 and \$1.5 million for FY 2023 in the operating budget. The recommendation also includes the ten percent state match of \$100,000 and \$150,000, respectively.* **The Assembly concurred.**

Fair Hearing/Appeals Database. The Executive Office requested \$750,000 from Information Technology Investment funds to expand the current system used to process fair hearing and appeals. The request includes \$250,000 annually for FY 2022 through FY 2024. *The Governor did not recommend funding this project.* **The Assembly concurred.**

eSignature Workflow Management. The Executive Office requested \$150,000 from general revenues for a new software subscription at an annual cost of \$25,000 for the auditable signature of contacts, purchase requests, and other legal documents and the ability to track the documents being signed. This request appears more appropriate as part of the operating budget. *The Governor did not recommend funding this project.* **The Assembly concurred.**

Third Party Data and Research Services. The Executive Office requested \$63,000 for research services and third party data subscriptions to support fraud, waste, and abuse prevention in its Medicaid program. The request includes \$15,750 from general revenues and \$47,250 from an expected 75 percent Medicaid match for prevention activities. It includes \$42,000 for FY 2022 and \$21,000 for FY 2023. It should be noted the Executive Office received federal approval for the higher match rate through December 2021. If not extended past that date, the rate will be reduced to 50.0 percent, which will require \$10,500 from general revenues to offset the loss of federal funds. *The Governor did not recommend funding this project.* **The Assembly concurred.**

Conference Rooms Technology Upgrades. The Executive Office requested \$226,000 for FY 2022 from Medicaid funds matched by general revenues for technology upgrades in nine conference rooms at the Virks building on the Pastore Campus in Cranston. This assumes \$25,000 per room for a large monitor, speakers, phones with video capabilities, and microphones. The cost totals \$225,000; the request inadvertently includes \$1,000. Renovations to the Virks building, completed in December 2017, cost \$21.0 million. *The Governor did not recommend funding this project.* **The Assembly concurred.**

Department of Children, Youth and Families

Projects	Status	5 Year Total	Project Total
Female Youth Facility	New	\$ 45,000,000	\$ 45,000,000
Transitional Housing	New	500,000	500,000
DCYF Headquarters	New	250,000	250,000
DCYF Fleet	Revised	-	809,200
Rhode Island Training School Asset Protection	Revised	1,250,000	4,093,497
RICHIST System Replacement	Revised	27,000,000	28,000,000
Training School Generators	Complete	-	708,092
Total		\$ 74,000,000	\$ 79,360,789
Sources of Funds			
Federal Funds		\$ 10,800,000	11,200,000
Information Technology Investment Fund		16,200,000	\$ 16,800,000
Rhode Island Capital Funds		47,000,000	50,551,589
State Fleet Replacement Revolving Loan Fund		-	809,200
Total		\$ 74,000,000	\$ 79,360,789

Summary. The Department of Children, Youth and Families requested \$104.3 million for the five-year period for total project costs of \$113.8 million. Funding in the five-year plan includes \$79.6 million from Rhode Island Capital Plan funds, \$9.7 million from federal funds, and \$15.0 million from the Information Technology Investment Fund. The request is for eight projects; four are new. It is \$76.2 million more than the approved plan. *The Governor recommended total project costs of \$34.4 million for six projects, including \$29.0 million to be spent in the five-year period and \$2.1 million for FY 2022. This includes \$750,000 from Rhode Island Capital Plan funds to conduct feasibility studies for two new projects in FY 2023. The Assembly provided \$45.0 million more than recommended for a new female youth facility and concurred with the remainder of the recommendation.*

Female Youth Facility. The Department requested \$5.0 million from federal funds to renovate a state owned building for a female youth residential facility not yet identified at the time of the request. The Department intended for this facility to house 30 female youth who require intensive treatment and are currently in an out-of-state facility. The Department indicated that the request reflects estimated renovation costs based on provider and staff inputs on what would be necessary to meet the requirements for a facility which provides intensive inpatient services fully funded through Medicaid for people under age 21 that have mental health issues. The enacted budget includes \$3.5 million from a combination of sources for a provider to deliver the services to this population beginning in October 2021, which does not seem likely to occur. The federal funds requested appear to be from the state allocation of fiscal recovery funds authorized in the American Rescue Plan Act.

The Governor did not recommend the funding as proposed. His budget does include \$6.0 million from State Fiscal Recovery funds in the operating budget to support opening a psychiatric residential treatment facility for use in FY 2023. The Assembly provided \$12.0 million from State Fiscal Recovery funds in the operating budget, or \$6.0 million more than recommended, to expand existing in-state capacity at private facilities and initial design of a new qualified residential treatment program facility. It also provided \$45.0 million from Rhode Island Capital Plan funds over FY 2024 through FY 2026 for the state to construct such a facility.

Transitional Housing. The Department requested \$57.0 million from Rhode Island Capital Plan funds for a new project to develop four geographically diverse transitional housing groups. These units would serve young adults age 18 through 26 with unstable housing, parents who need safe and stable housing temporarily to prevent them from opening a case with the Department, and parents known to the Department for whom housing is the last barrier to reunification with their child. It is worth noting that Rhode Island General Law 42-72-5 specifies the Department is to provide services through age 18, after which youths may enter into the Voluntary Extension of Care until they turn 21, or any person until age 21 who is developmentally disabled or severely emotionally disturbed.

The request includes \$0.5 million for FY 2023, \$37.0 million for FY 2024, and \$4.9 million annually from FY 2025 through the post-FY 2027 period. At the time of the request, the Department had not identified locations for the facilities, but indicated each would house two to four families and 10 to 20 youths. The Department would contract with providers at an estimated operating cost of \$21.8 million annually based on similar services already provided, funded from a combination of state and federal sources, for support services, maintenance, utilities, and rental assistance for clients. These services are expected to serve 24 families and 50 young adults at a time with turnover occurring every 60 days for an annual population served of 144 families and 300 young adults. This equates to \$192,920 per placement. *The Governor recommended \$500,000 from Rhode Island Capital Plan funds for FY 2023 to conduct a feasibility study to determine how to most effectively develop four transitional housing groups throughout the state.* **The Assembly concurred.**

DCYF Headquarters. The Department requested \$20.7 million from Rhode Island Capital Plan funds for FY 2023 for a new project to renovate a building on the Pastore Campus to serve as its headquarters. The Department currently rents property for \$2.5 million annually on Friendship Street in Providence. The Department indicated that it has not identified a suitable facility, but the requested funding is based on the Johannes Virks Renovation project, which now serves as the headquarters for the Executive Office of Health and Human Services. The Virks building renovation project totaled \$20.8 million and took five years to complete. *The Governor recommended \$250,000 from Rhode Island Capital Plan funds for FY 2023 for a feasibility study for a future headquarters. The study will include evaluating options to lease, purchase, or construct a new facility.* **The Assembly concurred.**

DCYF Fleet. The approved plan includes \$1.2 million from the State Fleet Revolving Loan Fund, including \$0.8 million in FY 2022 and \$0.4 million in FY 2023, to buy 30 vehicles that will replace existing cars and minivans. The operating budget also provided an additional \$0.2 million from general revenues for 20 leased vehicles to replace vehicles damaged during the summer 2020 social unrest. As of the time of the request, the Department had 100 vehicles, 20 of which are leased.

The Department requested funding consistent with the approved plan for FY 2022 and excluded funding for FY 2023. The Department's request includes offloading all of its state owned vehicles by FY 2027 and plans to have a fully leased fleet by FY 2027. Vehicles purchased in FY 2022 would be offloaded in FY 2027. In FY 2023, the Department would replace 39 of its own vehicles with privately leased vehicles. *The Governor recommended funding as requested.* **The Assembly concurred.**

Rhode Island Training School Asset Protection. The Department requested \$1.8 million from Rhode Island Capital Plan funds for various repairs and improvements, such as upgrades to shower valves, security upgrades, and water heater, gate, and door replacements, at the Youth Development Center at the Rhode Island Training School. Requested funding is consistent with the approved plan and includes annual funding of \$250,000 for FY 2027 and the post-FY 2027 period. *The Governor recommended funding as requested for FY 2022 through the post-FY 2027 period.* **The Assembly concurred.**

RICHIST System Replacement. Consistent with the approved plan, the Department requested funding of \$28.0 million, including \$17.0 million from the Information Technology Investment Fund in the

Department of Administration's budget and \$11.0 million from federal funds, for a new information system to replace the current Rhode Island Children's Information System. The new system would be similar to ones used in other jurisdictions and provides advanced analytics and data. As of October 1, the Department submitted an advanced planning document, which allows for the state to claim a 50.0 percent federal match for the planning portion of the project, a vendor request to the federal Administration for Children and Families and is expecting approval by November 2021. Once approved, the Department will put the project out to bid.

The Governor recommended total funding as enacted and shifted an additional \$0.2 million from the Information Technology Investment Fund to federal funds and extended the project an additional year to FY 2025. The recommendation includes funding for FY 2022 through FY 2025, including \$1.0 million, \$2.3 million, \$16.5 million and \$8.2 million for each respective year; however, he inadvertently understated the total state share and overstated the federal share in each year. The Administration for Children and Families approved the advanced planning document and the Department received five responses to its planning request for proposal from vendors, which are under review as of January.

*The Governor subsequently requested an amendment to correct the state fund source error. **The Assembly concurred and corrects the federal fund source error as well.***

Department Group Homes. The Department requested \$0.6 million for a new project, including \$0.3 million for FY 2023 and \$50,000 annually for FY 2024 through the post-FY 2027 period, for repairs to two Department owned group homes which are designed to accommodate youth with developmental disabilities. These funds are intended for fire code upgrades, roof repairs and structural maintenance. This project was approved most recently in FY 2017, although the Department had not expended funds since FY 2014 because Department leadership did not plan on continuing this project. The Assembly excluded funding for FY 2018, as the Department had not spent funds on this project in the three years prior.

*The Governor did not recommend funding in the Department's budget. He did recommend the creation of a new project in the Department of Administration intended to provide asset protection funding at state-owned facilities leased or operated by non-profit organizations, including the Groden Center, Civil Air Patrol, and possibly another ten facilities. The recommendation includes \$450,000 in FY 2023 and \$50,000 annually from FY 2024 through FY 2027. **The Assembly concurred.***

Rhode Island Training School Redesign. The Department's request excluded funding of \$3.5 million, or \$1.8 million annually for FY 2023 and FY 2024, which was included in the approved plan to redesign a module at the Training School. The Department indicated that it has decided that it will not move forward with the project at this time, as no plan has been finalized.

The original redesign plan was to be done in three phases with phase one being construction of an intake center in FY 2023. Phase two included closing the female module, moving these youth to the new residential treatment facility, and converting the vacated female module to a psychiatric residential treatment facility, which could house up to 15 adolescent males that would qualify for Medicaid reimbursement. This phase was scheduled for FY 2024. The last phase for FY 2025 had not been finalized, but two options were identified. Option one was to convert the remaining adjudicated male module into a second psychiatric residential treatment facility, leaving no correctional facilities for adjudicated juveniles. Option two was to update the module to be more therapy-based. Both of these options also included updating the detention center for non-adjudicated males to a more therapy-based approach. *The Governor excluded funding as requested. **The Assembly concurred.***

Department of Health

Projects	Status	5 Year Total	Project Total
Laboratory Information Management System	New	\$ 1,500,000	\$ 3,400,000
New Health Laboratory	New	81,216,590	81,766,590
Vaccine Management System Replacement	New	800,000	1,075,000
Health Laboratories & Medical Examiners Equipment	Ongoing	2,000,000	3,594,354
Vital Records Electronic System	Ongoing	1,914,966	4,792,966
Total		\$ 87,431,556	\$ 94,628,910
Sources of Funds			
Federal Funds		\$ 84,756,556	\$ 89,904,556
General Revenues		675,000	1,080,000
Restricted Receipts		-	50,000
Rhode Island Capital Funds		2,000,000	3,594,354
Total		\$ 87,431,556	\$ 94,628,910

Summary. The Department of Health requested capital expenditures totaling \$170.5 million from all sources, of which \$135.7 million would be used in the five-year period for 12 projects, ten are new. The request includes \$122.1 million from federal funds, all but \$116.7 million is from the state's allocation of the federal Capital Projects Fund authorized under the American Rescue Plan Act.

Federal guidance on use of the Capital Projects Fund was issued in September. Projects must meet all following criteria: designed to directly enable work, education and health monitoring, address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency, and address a critical need of the community to be served. It appears that infrastructure projects, such as highways, bridges and transit systems are not eligible. The guidance further states that "general construction and improvement of hospitals and traditional schools are not presumed to be eligible." The request includes ten information technology related projects and associated annual software maintenance costs, which are typically not included as capital expenses.

*The Governor recommended capital expenditures of \$87.4 million in the five-year period, for projects totaling \$94.6 million. This includes \$81.8 million for a new health laboratory. The recommendation is \$75.9 million less than requested, primarily from not funding new projects. **The Assembly concurred.***

Laboratory Information Management System. The Department requested \$3.4 million from all sources, including \$2.3 million from federal funds and \$1.1 million from general revenues, for a new project to replace the state laboratory information management system. The new system would allow the exchange of data and medical records more easily, improve efficiency, accuracy and connectivity. According to the Department, the laboratory's current Cerner Electronic Medical Record system is outdated, not adaptable and requires considerable maintenance. The Cerner Electronic Medical Record system is an integrated database, which was created to allow healthcare professionals to electronically store, capture and access patient health information in a variety of healthcare settings. The request assumes use of \$1.6 million in FY 2022 to replace the system and annual maintenance costs of \$0.3 million from FY 2023 through the out-years. *The Governor recommended funding as requested. **The Assembly concurred.***

New Health Laboratory. The Department requested \$81.8 million, including \$78.0 million from state fiscal recovery funds available through the American Rescue Plan Act and \$6.1 million from its indirect cost recovery restricted receipts to construct a new 80,000 square foot facility on former Interstate-195 land in Providence, to house the operations of the Health Laboratories program. The Department indicated that this location is preferable due to its proximity to major customers and collaborators in the medical and academic institutions. The current Chapin facility was built in 1976 and has 70,000 square feet. It currently houses the Office of State Medical Examiners and health laboratory programs, which perform chemical, physical and microbiologic analyses of environmental samples, clinical specimens and forensic evidence.

In November 2021, the administration issued a request for proposals for the project with responses due by December 10. The Department noted that the architectural plan for the new facility may include 30,000 to 40,000 square feet of space for private development; the cost for that component is not included in the request. The request does assume additional laboratory space for private bioscience tenants and retail space.

Subsequent to the budget submission, the Centers for Disease Control and Prevention announced that the state will receive an \$82 million federal grant for the construction of a new health laboratory facility. *The Governor recommended \$81.8 million from all sources, all but \$50,000 is from the federal funds. The recommendation includes \$50,000 in prior year expenses, \$0.5 million in FY 2022, \$30.0 million each in FY 2023 and FY 2024, and \$21.2 million in FY 2025. The recommendation does not cite a location.*

Subsequently, the Department provided additional information pertaining to the potential location. It noted that eight developers responded to the request for proposals and those all identified preferred sites as parcels within the I-195 District. Seven of the responses identified the same exact location. The Assembly concurred.

Vaccine Management System Replacement. The request includes \$1.1 million from direct federal stimulus grants the Department received for a new project to replace its vaccine management system. The system would enable online scheduling of appointments and the administration of clinics remotely. The request includes \$450,000 in FY 2022 and FY 2023 to replace the system, and annual maintenance costs of \$125,000. It should be noted that most of federal stimulus grants are scheduled to expire on September 30, 2024, at which point another source of funding for annual maintenance costs would have to be identified. *The Governor recommended funding as requested. The Assembly concurred.*

Health Laboratories & Medical Examiners Equipment. The request includes \$0.4 million annually from Rhode Island Capital Plan funds to replace and or upgrade medical equipment in the following laboratories: Biological, Forensic and Environmental Sciences. The Department indicated that some of its current equipment is no longer being supported by the manufacturers and noted that without this equipment, it would not be able to process DNA samples or upload data into the national database; conduct analysis of metals in drinking water and food, including arsenic, lead, mercury and copper, and measure levels of certain substances, such as alcohol or drugs. It appears that some of these instruments are more than 15 years old and according to the Department, the term of useful life is 10-12 years. This has caused downtime, thereby increasing the backlog of untested evidence, as well as maintenance costs. The request of \$3.0 million is \$0.2 million more than the approved plan, it adds \$0.4 million each for FY 2027 and post-FY 2027 and excludes \$0.6 million of prior years' expenses. *The Governor concurred and included prior year expenses, for a total project cost of \$3.6 million. The Assembly concurred.*

Vital Records Electronic System. The Department requested \$5.1 million from all sources, including \$2.0 million from federal funds and \$3.0 million from the Information Technology Investment Fund for the implementation of a vital records system, which will include the creation of an electronic death registration system. The project, which is expected to be completed in FY 2022, will support connectivity to eligible hospitals' systems in addition to vital records systems of the federal government and other states. The request is \$1.3 million more than the approved plan to primarily reflect the inclusion of annual maintenance

costs to be supported with information technology investment funds. *The Governor included \$4.8 million from federal funds. Consistent with the approved plan, the recommendation assumes use of \$0.5 million from the Information Technology Investment Fund as state match; however, these expenses are excluded from the Department of Health's budget to avoid double counting, as they are also included in the Department of Administration's budget.* **The Assembly concurred.**

Conference Room Technology Upgrades. The Department requested new expenditures of \$60,000 from its indirect cost recovery restricted receipts in FY 2022 to install technology upgrades to its conference rooms. The Department indicated that new telework arrangements have made it critical for all meeting spaces to be equipped with appropriate information technology support to function as hybrid work spaces. This expense does not meet the definition of a capital project. *The capital budget does not include funding for this project. The administration indicated that to the extent that the Department has the available funding, it can undertake the project.* **The Assembly concurred.**

IT Network Improvements. The Department requested \$135,000 from the state's allocation of the federal Capital Projects Fund to repair and replace information technology network devices. The request includes \$50,000 each in FY 2022 and FY 2023 to procure and install upgraded fiber, switches and wireless internet stations at the Cannon and Chapin buildings. The request also includes \$5,000 for annual software maintenance costs. This expense does not meet the definition of a capital project.

The capital budget does not include funding for this project. It should be noted that the Governor recommended transferring \$50.0 million from one-time general revenues to the Information Technology Investment Fund for new information technology projects. The recommendation allocates \$41.8 million of the funds to two projects. The remaining \$8.2 million will be used to fund multiple information technology projects, subject to a review process. **The Assembly concurred. It transferred a total of \$75.0 million to the Information Technology Investment Fund, a portion of the additional transfer will be used to support several projects for which the recommended budget had included State Fiscal Recovery funds.**

Licensing Online System. The request includes \$2.5 million from federal funds for a new project to replace the Department's information technology licensing system, which manages over 500 types of licenses for health professionals and healthcare facilities. The current contract for the Department's licensing system expires in 2022. The Department indicated that it will conduct a request for proposals to determine the best upgrade option. Annual maintenance is estimated at \$300,000, beginning in FY 2024.

The capital budget does not include funding for this project. It should be noted that the Governor recommended transferring \$50.0 million from one-time general revenues to the Information Technology Investment Fund for new information technology projects. The recommendation allocates \$41.8 million of the funds to two projects. The remaining \$8.2 million will be used to fund multiple information technology projects, subject to a review process. **The Assembly concurred.**

Mobile Communications Application. The request includes \$3.2 million from federal funds to re-procure the development and support of the Department's "Crush COVID RI" application. The mobile application allows the Department to contact trace COVID-19 cases by encouraging those with symptoms to self-report daily. The application's initial use was to store the locations of users where they spent 10 minutes or longer over the previous 20 days. Users were required to opt in to enable the tracking technology. The Department used the data to determine locations of where COVID-19 is spreading and complete contact tracing. The application was also used to acquire contact information from those with symptoms. The Department did not state if the application will be useful in the post COVID-19 era. The request assumes use of \$1.7 million in FY 2022 and FY 2023 for the system and annual maintenance costs of \$300,000, starting in FY 2024.

*The capital budget does not include funding for this project. It should be noted that the Governor recommended transferring \$50.0 million from one-time general revenues to the Information Technology Investment Fund for new information technology projects. The recommendation allocates \$41.8 million of the funds to two projects. The remaining \$8.2 million will be used to fund multiple information technology projects, subject to a review process. **The Assembly concurred.***

Public Documents Security. The Department requested \$175,000 from the state's allocation of the federal Capital Projects Fund for a new project to establish a public documents repository hosted by Amazon Web Services. The project would allow cloud computing with documents being shared securely by owners. The project costs include initial maintenance of \$10,000, increasing over time. *The Governor did not recommend funding this project. **The Assembly concurred.***

Public Health Modernization and Records System. The Department requested \$70.0 million with \$35.2 million from Rhode Island Capital Plan funds and \$35.1 million from the state's allocation of the federal Capital Projects Fund to develop and implement a new public health and records system database. The project would allow the Department to integrate its current system and solve data collection, processing, analyzing and reporting challenges it currently faces with its manual system. The project would be operational by July 2024. The request includes \$10.1 million for annual core system maintenance and continuous development costs. Rhode Island Capital Plan funds are usually limited to physical assets and cannot be used for annual software maintenance costs. *The Governor did not recommend funding this project. **The Assembly concurred.***

Website Upgrades. The Department requested \$200,000 from the state's allocation of the federal Capital Projects Fund for a new project to update its website in FY 2022. Specifically, the Department plans to convert its website to a new enterprise content management system. The system will provide the ability to manage electronic content through the entire life cycle of a document. *The Governor did not recommend funding this project. **The Assembly concurred.***

Department of Human Services

Projects	Status	5 Year Total	Project Total
<i>Office of Veterans Services</i>			
Veterans' Cemetery Asset Protection	Revised	\$ 4,705,000	\$ 5,984,000
Veterans' Home Asset Protection	Revised	2,400,000	2,768,608
New Veterans' Home	Ongoing	-	121,100,000
<i>Other Projects</i>			
Blind Vending Facilities	Ongoing	825,000	2,356,554
Early Childhood Care & Education Capital Fund	Ongoing	12,000,000	15,000,000
Total		\$ 19,930,000	\$ 147,209,162
Sources of Funds			
Federal Funds		\$ 2,700,000	\$ 64,359,000
General Obligation Bonds		12,000,000	75,500,000
Other Funds		255,000	255,000
Restricted Receipts		-	60,000
Rhode Island Capital Funds		4,975,000	7,035,162
Total		\$ 19,930,000	\$ 147,209,162

Summary. The Department requested \$34.3 million for the five-year period FY 2023 through FY 2027 and \$12.3 million for FY 2022. The request includes funding for 16 new information technology projects, many of which include funding for the current year. The request includes new projects for the Offices of Veterans Services, Healthy Aging, and Child Support Services, as well as for the child care assistance program and RI Bridges. It also includes five other ongoing projects, three of which are through the Office of Veterans Services.

The Governor recommended total project costs of \$152.2 million, with \$24.9 million to be spent in the five-year period and \$6.3 million in FY 2022. This includes funding for the Department's five ongoing projects and a new, three-year information technology project that was not requested in the original capital budget submission. The Assembly provided \$1.7 million more from Rhode Island Capital Plan funds for the Veterans' Home asset protection project and shifted funding sources for the new information technology project.

Office of Veterans Services

Veterans' Cemetery Asset Protection. The Department requested \$4.7 million in the five-year period from all sources for projects at the Rhode Island Veterans' Memorial Cemetery. The request consolidates previously approved projects, including the cemetery expansion and memorial walkway projects, under a single asset protection project. Adjusted for the exclusion of some prior year expenditures, it shifts \$80,000 from restricted receipts to Rhode Island Capital Plan funds. The request also includes an additional \$0.3 million to purchase new vehicles, including two backhoes, a tractor and a sport utility vehicle, through the state fleet revolving fund. However, vehicles do not meet the standard of asset protection and should be requested as a separate project. The request also shifts expenses across the five-year period based on updated project schedules. It programs \$0.2 million for FY 2023, \$0.8 million for FY 2024, \$0.3 million

for FY 2025, \$0.4 million for FY 2026, and \$3.0 million for FY 2027. *The Governor recommended funding as requested.* **The Assembly concurred with total funding recommended.**

Veterans' Home Asset Protection. The Department requested \$1.1 million from Rhode Island Capital Plan funds for asset protection projects at the Veterans' Home, including \$0.7 million in the five-year period. The request is \$1.2 million less than the approved plan and programs \$350,000 for FY 2022, \$300,000 for FY 2023, and \$100,000 annually from FY 2024 through FY 2027. For FY 2022, projects include preventative maintenance and repairs, renovations to the tower, and beginning expansion of the pharmacy. It should be noted that the Division of Capital Asset Management and Maintenance oversees all capital projects for the Home, including asset protection. *The Governor recommended funding as requested.* **The Assembly restored funding consistent with the approved plan including \$500,000 for FY 2027.**

New Veterans' Home. The Department's capital request reflects expenditures of \$122.2 million, including \$60.6 million from federal funds and \$61.6 million from general obligation bonds, for completion of the new Veterans' Home. This is \$1.1 million more than the approved plan and inadvertently double-counts some prior year expenditures. The new facility consists of six single-story residential buildings located around a two-story common area. The common areas also serve as administrative and operations space and provide amenities for residents. The new Veterans' Home opened to residents in November 2017; however, two small projects remain to be completed, including erecting three security gates and widening 32 doorways to accommodate wider wheelchair access to some resident rooms and common spaces. The project is expected to be completed by the end of FY 2022. *The Governor recommended total funding consistent with the approved plan and included \$2.0 million for FY 2022.* **The Assembly concurred.**

Veterans' Home Long Term Care System. The Department requested \$101,000 from information technology investment funds to convert the long term care system at the Rhode Island Veterans' Home to a remotely hosted, or cloud based, system. It currently uses an on-site system that was first implemented in 1994 and has been regularly upgraded since. The request includes \$50,000 in FY 2023 and \$17,000 annually from FY 2024 through FY 2026. The Office reported that the project is expected to take about six months to complete; all funding for the system would likely be needed in one fiscal year if approved. *The Governor did not recommend funding.* **The Assembly concurred.**

Other Projects

RI Bridges Mobile and Childcare Tracking. In October 2020, the Department released the HealthyRhode mobile application to give customers an additional way of accessing select benefit information. Features include checking balances of electronic benefits transfer (EBT) cards for Rhode Island Works and Supplemental Nutrition Assistance Program participants, viewing lists of pending documents for cases, uploading requested materials, and resetting account passwords. In October 2021, new features were added to allow participants to view statements and payment history, as well as to submit payments.

The Governor recommended \$6.7 million from federal State Fiscal Recovery funds to improve and expand HealthyRhode by allowing individuals to submit benefit applications and recertifications through the app. Funding would also be used to improve the collection of attendance data from child care providers. The recommendation includes \$2.4 million each for FY 2023 and FY 2024 and \$1.9 million for FY 2025. **The Assembly concurred with the project but shifted expenses to the Information Technology Investment Fund in the Department of Administration's budget.**

Blind Vending Facilities. The Department requested \$3.1 million from Rhode Island Capital Plan funds for ongoing construction and renovation of statewide blind vending facilities. Adjusting for the exclusion of \$0.1 million of prior expenditures, the request is \$0.6 million more than the approved plan. It includes the approved annual amount of \$165,000 for FY 2022, doubles this amount to \$330,000 for each FY 2023

through FY 2026, and excludes funding for FY 2027. The Department subsequently reported that it intended to request \$165,000 annually in the five-year period. *The Governor recommended \$273,063 for FY 2022, which includes \$108,062 carried forward from FY 2021, and \$165,000 annually thereafter through FY 2027.* **The Assembly concurred.**

Early Childhood Care and Education Capital Fund. The Department requested \$15.0 million from general obligation bonds approved by the voters in March 2021 for a new early childhood care and education capital fund. The funds would be used for physical improvements and development of licensed early childhood care and education facilities. It should be noted that the Department will not be requiring recipients to provide a match to any of the bonds awarded. The request includes total funding consistent with the approved plan; however, it shifts some funding from FY 2022 to later years. The request programs \$2.5 million for FY 2022, \$5.6 million for FY 2023 and \$6.8 million for FY 2024. *The Governor recommended total funding as requested but changed the schedule to \$3.0 million for FY 2022, \$5.2 million for FY 2023 and \$6.8 million for FY 2024.* **The Assembly concurred.**

Management Information System Replacement. The capital plan includes \$0.8 million from federal funds, including \$0.5 million in the five-year period, to replace the management information system used by the Office of Rehabilitation Services. As a result of changes in federal vocational rehabilitation program requirements under the Workforce Innovation and Opportunity Act, the Office previously reported that it needed to purchase new software to meet the requirements and remain in compliance with federal law. Funding would be used to purchase and install an off-the-shelf software product. The Department excluded the project from its capital budget submission as it plans to include ongoing project expenses in its operating budget requests. *The Governor excluded the project from the recommended capital plan, but recommended funding in the FY 2022 revised and FY 2023 operating budgets.* **The Assembly concurred.**

Business Intelligence Tools. The Department requested \$70,000 from the Information Technology Investment Fund to implement business intelligence tools such as Microsoft Power BI and Oracle Business Intelligence Suite Enterprise Edition. It uses programs supported by Deloitte which are being phased-out. The request includes \$20,000 for FY 2022 and \$10,000 annually from FY 2023 through FY 2027, which would be used for licensing and procurement of new software. *The Governor did not recommend funding.* **The Assembly concurred.**

Child Care Inspection Monitoring System. The Department requested \$0.3 million from federal Child Care Development block grant funds to launch a child care inspection monitoring system. The system would monitor and track corrective action items identified during regular program monitoring, a process that is currently paper based. The Department received notification from the Administration for Children and Families that its system is not in compliance with federal guidelines and needs to be rectified by October 2021. The Department is in the process of acquiring the system and expects to meet the October deadline. It should be noted that annual maintenance expenses of \$44,895 were erroneously included in the capital budget submission. *The Governor did not recommend funding.* **The Assembly concurred.**

Child Care Licensing System of Record. The Department requested \$0.5 million from the Information Technology Investment Fund for a new licensing system for family and center based child care providers. It uses the Department of Children, Youth and Families' child welfare system which will be replaced by the end of FY 2024. The new system will reportedly be child welfare based and will not include child care licensing, therefore the Department will need to create or purchase a new licensing system. The request includes \$150,000 for FY 2022 and \$75,000 annually from FY 2023 through FY 2027. It was reviewing potential system options and reported that all requested funds would be used for the purchase or development of the system; any ongoing maintenance expenses would be reflected in future operating budget requests. *The Governor did not recommend funding.* **The Assembly concurred.**

Customer Relationship Manager System. The Department requested \$200,000 from the Information Technology Investment Fund to launch a customer relationship management system. This system would manage the Department's interaction with current and future clients by analyzing data about clients' history with the agency in order to improve relationships and service practices. The Department currently uses a tool that was designed for HealthSource RI. The request includes \$100,000 for FY 2022 to establish and configure the program, as well as \$20,000 annually from FY 2023 through FY 2027 for ongoing licensing expenses. *The Governor did not recommend funding.* **The Assembly concurred.**

Enhanced Interactive Voice Response System. The Department requested \$1.3 million from the Information Technology Investment Fund to improve its interactive voice response system, an automated phone system that uses pre-recorded messages and keypad selections to triage client phone calls. The request includes \$0.2 million for each FY 2022 and FY 2024 through FY 2027, and \$0.4 million for FY 2023. Improvements to the system are projected to cost \$400,000 and take nine to twelve months to complete; remaining funds would be used for annual maintenance and improvements. *The Governor did not recommend funding.* **The Assembly concurred.**

Hazard Building Repairs. The Department requested \$0.6 million from Rhode Island Capital Plan funds for FY 2022 to renovate and repair the Hazard building located on the Pastore Campus. The Department announced in FY 2021 that it would be closing its office on Elmwood Avenue in Providence and shift staff among other state-owned and leased properties. This includes moving some back-office staff to the Powers building in Providence and the Hazard building in Cranston. While the Department of Administration used Rhode Island Capital Plan funds for improvements to the Powers building, it reportedly could not support repairs to the Hazard building and it requested funding through the Department's capital budget rather than through its own ongoing project for reorganizing state office buildings.

The Department of Administration has also annually been appropriated funding for asset protection projects at non-medical buildings on the Pastore Campus, which could also be used to support the requested renovations. It is not clear why the Division cannot use its own funds for the project as any delays in moving staff will impact operating savings in the enacted budget. The Department subsequently included \$0.7 million from indirect cost recovery restricted receipts for the project in its revised FY 2022 request. *The Governor did not recommend Rhode Island Capital Plan funds for the project, but concurred with the use of restricted receipts in his revised FY 2022 budget recommendation.* **The Assembly concurred.**

Information Technology Upgrades. The Department requested \$0.4 million from the Information Technology Investment Fund for information technology upgrades to various office locations. The Department announced in FY 2021 that it would be closing its office on Elmwood Avenue in Providence and shift staff among other state-owned and leased properties. This includes moving some back-office staff to the Powers building in Providence and the Hazard building in Cranston, direct services staff to the Department of Labor and Training on Reservoir Avenue and the Office of Rehabilitative Services on Fountain Street in Providence, and remaining staff among its existing field offices. Funding would be used to address telecommunications and network needs at these locations to accommodate new staff. *The Governor did not recommend funding.* **The Assembly concurred.**

LIHEAP Enhancements. The Department requested \$2.0 million from federal Low Income Heating Assistance Program funds authorized through the American Rescue Plan Act for improvements to the program's computer system. The state was awarded a total of \$30.8 million through the act, in addition to funds received through the CARES Act and Consolidated Appropriations Act, 2021. Funds would be used to establish an interface with the RI Bridges in order to streamline eligibility for households participating in the Supplemental Nutrition Assistance Program and allow for targeted outreach to income eligible households. The request includes \$1.3 million for FY 2022 and \$0.8 million for FY 2023 for system enhancements. The Department does not anticipate system maintenance and operating expenses will increase from this change. *The Governor did not recommend funding.* **The Assembly concurred.**

Office of Child Support Services - Case Management System. The Department requested \$6.0 million from the Information Technology Investment Fund for a new web-based case management system for child support services. The current system was first launched in 1991 and costs \$3.2 million annually to maintain. The Department requested \$1.0 million for FY 2023 and \$1.2 million annually from FY 2024 to FY 2027 to procure and implement the new system. It subsequently included \$1.3 million in its FY 2023 budget request, including \$0.4 million from general revenues and \$0.8 million from federal funds. *The Governor did not recommend funding.* **The Assembly concurred.**

Office of Child Support Services - Value Card System Replacement. The Department requested \$3.4 million in the five-year period from the Information Technology Investment Fund to transition to a new stored value card vendor for child support payments to custodial parents. The Office of Child Support Services currently contracts with Key Bank to issue the cards and manage account transactions. However, the vendor notified the Department that it would no longer be supporting stored value cards. The request includes \$0.2 million to transition to a new system and \$0.6 million annually from FY 2023 through FY 2027 for maintenance expenses, which is \$70,000 more than current maintenance expenses. *The Governor did not recommend funding.* **The Assembly concurred.**

Office of Child Support Services - Mobile App. The Department requested \$0.7 million, including \$0.5 million from federal child support enforcement funds and \$0.2 million from restricted receipts, to launch a mobile application for parents receiving child support services. The app would generate alerts and messaging to custodial and noncustodial parents regarding their individual cases, including appointment and payment reminders. The request includes \$0.5 million for FY 2022 to develop the application and \$49,158 annually from FY 2023 to FY 2027 for ongoing licensing and maintenance costs. It should be noted that this project is not contingent on approval of the new case management system and the Department could move forward upon appropriation of funds. *The Governor did not recommend funding.* **The Assembly concurred.**

Office of Healthy Aging - Case Management Upgrades. The Department requested \$0.6 million from federal adult protective services funds authorized under the American Rescue Plan Act to expand the Office of Healthy Aging's information technology system for adult protective services to include case management. It currently supports a separate program for all case management needs; however, adding this module will consolidate all adult protective services needs within one system. The change would also comply with federal program requirements. The request includes \$0.2 million annually from FY 2022 to FY 2024 and \$50,000 annually from FY 2025 to FY 2027. *The Governor did not recommend funding.* **The Assembly concurred.**

RI Bridges Bots. The Department requested \$3.4 million from federal funds to implement bot technology for eligibility programs processed through RI Bridges. A bot is a software application programmed to do certain tasks and run automatically. The Department currently uses this technology for its long term care program. In anticipation of expiring waivers for several programs implemented in response to the coronavirus pandemic, the Department requested funding for bot technology to address an expected influx of documentation from program participants needed in order to maintain benefits. The request includes \$1.2 million each for FY 2022 and FY 2023, and \$1.0 million for FY 2024. It should be noted that while the request is for federal funds, the Department intended to request use of information technology funds. The project may be eligible for federal reimbursement if included in the Implementation Advanced Planning Document for the Unified Health Infrastructure Project. *The Governor did not recommend funding.* **The Assembly concurred.**

RI Bridges COVID Mitigations and Recovery. The Department requested \$1.5 million from the Information Technology Investment Fund to support ongoing changes to the RI Bridges computer system. In response to the coronavirus emergency, changes were made to the system in order to accommodate waivers and temporary benefit enhancements authorized by the federal government and by the Governor via

executive order. These changes were supported by federal funds that will reportedly be exhausted by September 2021. It is not clear why reversing the changes would not be covered by maintenance and operating agreements with current vendors. The Department subsequently reported that the request is no longer needed. *The Governor did not recommend funding.* **The Assembly concurred.**

Online Appointment Scheduling. The Department requested \$275,000 from the Information Technology Investment Fund for a new appointment system that would allow individuals to schedule in-person appointments online. Prior to the coronavirus emergency, appointments were scheduled by email or over the telephone and individuals could also receive walk-in services. Field offices have been closed to the public as a result of the emergency, but were expected to reopen in the fall of 2021 and to serve the public by appointment only. While manual appointment scheduling will be used upon reopening, the Department would pursue this project as soon as funding is made available. The request includes \$150,000 for FY 2022 to purchase and install the software, and \$25,000 annually from FY 2023 to FY 2027 for ongoing licensing and maintenance expenses. *The Governor did not recommend funding.* **The Assembly concurred.**

Dept. of Behavioral Healthcare, Developmental Disabilities and Hospitals

Projects	Status	5 Year Total	Project Total
Hospital Electronic Medical Records System	New	\$ 22,400,000	\$ 22,400,000
State Fleet Replacement	New	1,015,100	1,196,100
DD Residential Support	Revised	500,000	10,191,312
Hospital Equipment	Revised	1,500,000	3,142,753
Total		\$ 25,415,100	\$ 36,930,165
Source of Funds			
General Obligation Bonds		\$ -	\$ 6,448,396
Information Technology Investment Fund		22,400,000	22,400,000
Rhode Island Capital Funds		2,000,000	6,885,669
State Fleet Replacement Revolving Loan Fund		1,015,100	1,196,100
Total		\$ 25,415,100	\$ 36,930,165

Summary. The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals requested \$97.6 million for 18 capital projects, 16 of which are new. Of this amount, \$82.5 million will be spent from FY 2023 through FY 2027. The request includes \$62.1 million from federal funds, \$18.4 million from Information Technology Investment funds and \$2.0 million from Rhode Island Capital Plan funds. The approved capital plan totals \$1.1 million for two projects. The request provides no significant detail on the plans for these expenses and requests for source details went unanswered. *The Governor recommended \$25.4 million for the five-year period and \$1.0 million for FY 2022 for four projects, two of which are new. The Assembly concurred.*

Hospital Electronic Medical Records System. The Department requested \$17.0 million from Information Technology Investment funds to purchase an electronic medical records system for the state-run Eleanor Slater Hospital, including \$0.5 million for FY 2022. The state hospital currently does not have an electronic medical records system. The system would support the hospital's administrative, financial, clinical and reporting activities. The Department reported that it would maximize reimbursements and develop treatment and residential options for psychiatric patients, including geriatric, and developmentally disabled adults. The request includes \$8.5 million for the system and ongoing operating expenses anticipated to total \$2.5 million for FY 2024 and \$2.0 million in subsequent fiscal years.

The Governor recommended \$22.4 million from Information Technology Investment funds which includes \$10.4 million for FY 2023, \$4.0 million for FY 2024, \$3.0 million for FY 2025 and \$2.5 million annually for FY 2026 and FY 2027 for the new medical records system. This is \$5.4 million more than requested based on an updated cost for the system since the original plan was developed three years ago, as well as overtime to train hospital staff, and temporary clinical staff during the implementation period and new equipment. The Assembly concurred.

State Fleet Replacement. The Department's request did not include any funding to replace any vehicles that are used at the state hospital to transport patients. *The Governor recommended \$0.2 million annually for FY 2022 through FY 2027 from the State Fleet Revolving Loan Fund for vehicle replacements at both the Cranston and Zambrano campuses. The Assembly concurred.*

DD Residential Support. The Department requested \$0.1 million annually from Rhode Island Capital Plan funds for the period FY 2023 through FY 2027 for the thresholds program for residential development with additional funding provided by Rhode Island Housing to support new construction of and/or acquisition and rehabilitation of existing housing units. Funding also supports the access to independence program which allows adults with developmental disabilities to remain in their home and provides furniture for the residences.

The request is consistent with the approved plan and adds \$0.1 million for FY 2027. The Department included \$0.2 million for FY 2022 of which \$0.1 million is unspent from FY 2021. The request excludes \$9.5 million in prior year expenses, including \$6.4 million from general obligation bond funding. *The Governor recommended funding as requested.* **The Assembly concurred.**

Hospital Equipment. The Department requested \$3.1 million from Rhode Island Capital Plan funds for new medical and other equipment, including hospital beds at both campuses of the Eleanor Slater hospital system. The request includes \$0.6 million for FY 2022 and \$1.5 million for FY 2023 through FY 2027. This is \$1.5 million more than the approved capital plan, which included only \$0.3 million for FY 2022 and FY 2023. The Department spent \$0.2 million for FY 2015, \$0.3 million annually for FY 2016 through FY 2019, \$14,269 for FY 2020 and there were no expenses for FY 2021. *The Governor recommended funding as requested.* **The Assembly concurred.**

DD System Capital Transformation Investment. The Department requested \$74.5 million from federal stimulus funds related to investments in facilities and services to adults with developmental disabilities. This includes \$60.4 million for capital repairs to community based facilities, including residential facilities and regional centers. This includes \$6.0 million for a diversion program that appears to meet the requirements of the consent decree and expand training and opportunities, and \$8.2 million for new facilities and services to accommodate youth transferring into the adult system from the Department of Children, Youth and Families. The request assumes \$12.4 million would be spent in FY 2022.

The Governor did not recommend funding. He did add \$8.4 million from Rhode Island Capital Plan funds in the Department of Administration above prior funding levels for several ongoing repair projects for the residential and community based regional centers. The Governor also recommended \$12.6 million from general revenues to write off the start-up loans given to the agencies as an advance to operate the community based facilities while waiting for a reimbursement for those services being provided after the Ladd School closed. **The Assembly concurred.**

Hospital Cerner System. The Department requested \$0.3 million from the Information Technology Investment Fund for FY 2022 for a new laboratory management information system that will be incorporated as a part of the Department of Health's new laboratory management information system. The current system is shared with the Department of Health and the contract for the Cerner Laboratory Management Information System is expiring. The Department of Health's capital request includes \$1.3 million for FY 2022 and \$0.3 million annually through the post-FY 2027 period for a new laboratory management information system. *The Governor did not recommend funding.* **The Assembly concurred.**

Hospital Workforce Management System. The Department requested \$150,000 from Information Technology Investment funds for FY 2022 to automate the scheduling of the direct care workforce at the state hospital. *The Governor did not recommend funding.* **The Assembly concurred.**

Hospital Risk Management System. The Department requested \$110,000 from Information Technology Investment funds for FY 2022 to automate and centralize the reporting and analysis of all incidents and events at the hospital, which currently uses an unsupported and outdated Informix system. The new management system will allow incident reporting so that trend analysis can be generated to determine

appropriate next steps, including patient care plan changes, and facilities and security process improvements. *The Governor did not recommend funding.* **The Assembly concurred.**

Hospital Patient Accounts Tracking System. The Department requested \$60,000 from Information Technology Investment funds for a new system that reports and tracks income available for use by the state to offset patient expenses at the state hospital. Depending on the patient's financial status, the state may collect a patient's Social Security and/or disability checks. Currently, the state hospital has a single master bank account that individually tracks each resident's balance and transactions. A patient trust system records the individual patient deposits and expenses and produces account statements. *The Governor did not recommend funding.* **The Assembly concurred.**

Hospital Discharge Planning System. The Department requested \$60,000 from Information Technology Investment funds for a new discharge planning information system at the state hospital. This will address one of the issues the state has with federal billing compliance with the Centers for Medicare and Medicaid Services because each patient who enters the hospital must have a discharge plan in order for the state to bill federal Medicaid funds. *The Governor did not recommend funding.* **The Assembly concurred.**

Hospital Dietary System. The Department requested \$35,000 from Information Technology Investment funds for FY 2023 to replace the hospital's dietary/nutrition management software system to streamline the planning, production and meal delivery. This will support patients at the Cranston and Zambarano campuses. *The Governor did not recommend funding.* **The Assembly concurred.**

Hospital Dental Imaging. The Department requested \$30,000 from the Information Technology Investment Fund for FY 2022 for a new digital dental system in the Regan Dental Clinic. The process involves the use of dental technologies or devices that incorporate digital or computer-controlled components to carry out procedures, and x-rays are captured and stored electronically. The Department reported it would be similar to the one used at the Rhode Island Training School. *The Governor did not recommend funding.* **The Assembly concurred.**

Hospital Radiology Archive. The Department requested \$12,000 from the Information Technology Investment Fund for FY 2022 to replace the radiology information system which is used to capture, store and track digital x-rays of hospital patients. The existing system consists of two picture archiving and communication systems, one for each campus to allow storage and access to patient x-rays. This will serve both campuses with about 440 x-rays taken annually. *The Governor did not recommend funding.* **The Assembly concurred.**

RIBHOLD. The Department requested \$1.4 million from Information Technology Investment funds to update its Behavioral Health On-Line Database which allows behavioral healthcare agencies to submit mandated federal reports and for the Department to collect client-level data from the licensed providers. The request includes \$0.2 million for FY 2022 and \$1.3 million for FY 2023. *The Governor did not recommend funding.* **The Assembly concurred.**

Prevention Collection and Reporting System. The Department requested \$100,000 from Information Technology Investment funds in FY 2022 to replace its behavioral health prevention collection and reporting system. This web-based application allows for a compliance monitoring system for community based organizations receiving prevention funds from the Department. This system contains a collection of standard data elements that enables the Division of Behavioral Healthcare to quantify and compare the number and type of primary prevention and early intervention services delivered.

It is unclear if this request overlaps with a separate project to update its Behavioral Health On-Line Database which allows behavioral healthcare agencies to submit mandated federal reports and for the Department to

collect client-level data for the licensed providers. *The Governor did not recommend funding.* **The Assembly concurred.**

Document Management System. The Department requested \$300,000 from Information Technology Investment funds for a document management system to replace the paper-based system currently used by the Department for records storage. This includes \$50,000 for FY 2023 and \$250,000 for FY 2024. *The Governor did not recommend funding.* **The Assembly concurred.**

PASRR System. The Department requested \$320,000 from the Information Technology Investment Fund for a new system to ensure the state's compliance for Preadmission Screening and Resident Review (PASRR), including \$20,000 for FY 2022 and \$300,000 for FY 2023. The review process is federally mandated so that individuals are not inappropriately placed in nursing homes. Medicaid-certified nursing facilities are required to evaluate applicants for serious mental illness and/or intellectual disability and to offer the most appropriate setting for their needs. *The Governor did not recommend funding.* **The Assembly concurred.**

Data Analytics Warehouse. The Department requested \$250,000 from Information Technology Investment funds for FY 2022 to develop a data analytics warehouse hosted by Amazon Web Services and upgrade its data analytic tools. This is similar to the platform used by the Executive Office of Health and Human Services for its Data Ecosystem. The annual operating expenses are approximately \$120,000 for hosting, software licensing and contacted services. *The Governor did not recommend funding.* **The Assembly concurred.**

Licensing System. The Department requested \$150,000 for FY 2022 from Information Technology Investment funds to replace its licensing system or use the new Department of Health's licensing system. The Department licenses approximately 750 providers and facilities and requests a new web-based system that is accessible to submit applications, update information, and automate annual reviews.

It should be noted that the Department of Health's request includes \$2.5 million from FY 2022 through FY 2027 from federal funds available through the American Rescue Plan Act for a new project to replace its information technology licensing system vendor. *The Governor did not recommend funding.* **The Assembly concurred.**

Department of Elementary and Secondary Education

Projects	Status	5 Year Total	Project Total
School Facilities Survey	New	\$ 3,500,000	\$ 3,500,000
School Construction	Revised	339,000,000	500,000,000
School for the Deaf Asset Protection	Revised	1,800,000	2,254,974
Davies School Health Career Classrooms	Revised	6,500,000	7,000,000
Davies School HVAC	Revised	1,150,000	2,298,218
Met School Asset Protection	Revised	5,300,000	7,096,089
Davies School Asset Protection	Ongoing	2,500,000	5,309,530
Total		\$ 359,750,000	\$ 527,458,811
Sources of Funds			
General Obligation Bonds - New		\$ 246,200,000	\$ 250,000,000
General Obligation Bonds		92,800,000	250,000,000
Other Funds		3,500,000	3,530,000
Rhode Island Capital Funds		17,250,000	23,928,811
Total		\$ 359,750,000	\$ 527,458,811

Summary. The Council on Elementary and Secondary Education requested capital project expenditures totaling \$559.6 million, including \$413.5 million to be spent in the FY 2023 through FY 2027 period on five new projects, including three for the School for the Deaf and two for the school construction program. Consistent with the Governor's FY 2022 capital budget, the request assumes a second \$250.0 million general obligation bond will be authorized to support local school construction projects. The first referendum was approved by the voters on the November 2018 ballot, the request assumes the second referendum would go before the voters on the November 2022 ballot.

*The Governor recommended total project costs of \$525.5 million, with \$356.8 million to be spent in the five-year period, \$111.6 million in FY 2022 and \$3.8 million in the post-FY 2027 period. The recommendation is \$32.6 million less than requested and merges requested projects for both the Met School and the School for the Deaf. He included legislation in Article 5 of 2022-H 7123 to submit a second school construction bond to the voters on the November 2022 ballot. **The Assembly provided an additional \$2.0 million from Rhode Island Capital Plan funds for the health careers classroom project at Davies.***

School Facilities Survey. The Council requested \$3.5 million for FY 2023 from Rhode Island Capital Plan funds to conduct a survey of local public school facilities. Under Section 16-105-3 (14) of the Rhode Island General Laws, a facilities needs survey should be conducted at least every five years. The most recent survey results, published in 2017, identified \$2.2 billion of public school building deficiencies across the state. That study was supported with \$3.4 million from general revenues and \$1.0 million from the Rhode Island Health and Educational Building Corporation. The new assessment is estimated to cost \$0.9 million less than the 2017 survey as there has not been significant changes in the state of school facilities since then. This assessment does not appear to be an appropriate use of Rhode Island Capital Plan funds. *The Governor's recommended capital plan assumes use of the School Building Authority's available resources for the project. It is uncertain if there is support for this expense. **The Assembly took no action.***

School Construction. The Council requested \$500.0 million from general obligation bonds for local school construction and renovation projects. This includes \$250.0 million from general obligation bonds

approved by voters on the November 2018 ballot with an authorization limit of \$100.0 million in any one year, of which \$137.5 million was issued through May 2021. Approval of the bond measure in 2018 triggered several temporary incentives that could increase the state share of a local district's projects by up to 20.0 percent. Incentives include 5.0 percent increases each for health and safety, educational enhancements for programs such as career and technical education, consolidation of facilities, improving functional utilization of a facility, and replacing a facility that has a facilities condition index of 65.0 percent or higher. To receive the incentives, projects must meet spending requirements and begin and end within a specific time frame.

The request also assumes a second \$250.0 million referendum would be authorized to go before the voters on the November 2022 ballot. Annual debt service on a second referendum would be \$20.7 million, assuming a 5.0 percent interest rate and a 20-year term; total debt service would be \$401.4 million. The Council's request programs existing bond funds at \$75.0 million annually for FY 2022 and FY 2023 and assumes use of new bond funds beginning in FY 2024. Funding will be used to support the state share of the traditional housing aid program and offered to districts on an upfront basis. The School Building Authority operationalized this requirement by offering 15.0 percent of the base state share of estimated project costs, including interest for those projects that are bond funded. Funding cannot be used to reimburse debt service for previously approved projects.

*The Governor recommended total funding as requested but programmed \$108.7 million for FY 2022, \$67.8 million annually for FY 2023 through FY 2027, and \$3.8 million in the post-FY 2027 period. He included legislation in Article 5 of 2022-H 7123 to submit a second \$250.0 million general obligation bond before the voters on the November 2022 ballot. This includes \$200.0 million for local school construction and renovation projects and \$50.0 million for the School Building Authority Capital Fund. The proposal does not limit the amount of funding that could be issued in any given year. **The Assembly concurred with total general obligations as recommended, but did not dedicate any of the bonds to the School Building Authority Capital Fund. It separately appropriated \$50.0 million from general revenues for the capital fund for FY 2023.***

School for the Deaf Asset Protection. The Council requested total project costs of \$550,000 from Rhode Island Capital Plan funds for asset protection projects at the Rhode Island School for the Deaf. This is \$0.2 million less than the approved plan but excludes \$174,974 of prior year expenses and \$200,000 assumed for security enhancements, which is requested separately. Adjusted for this, the request is \$125,000 more than the approved plan. This includes \$75,000 for FY 2022 and \$100,000 annually from FY 2023 through FY 2026, \$25,000 more annually than the approved plan. The request also inadvertently excludes funding for FY 2027. It should be noted that the School separately requested funding for three other projects that could be included in the asset protection project, including renovations to the gymnasium, resurfacing the parking lot, and resurfacing the athletic track. *The Governor recommended total project funding of \$2.3 million, including \$1.8 million in the five-year period. This includes sufficient funding for the separately requested projects. **The Assembly concurred.***

Davies School Health Career Classrooms. The Council requested total project costs of \$6.5 million from Rhode Island Capital Plan funds for renovations to health career classroom space at Davies Career and Technical School, \$1.5 million more than the approved plan. Davies offers programs to students interested in health careers, including certified nursing assistant and pharmacy technician. Classrooms for these programs are located in a modular building, which opened in the 1970s and has exhausted its useful life. Funding will be used to renovate space within the main school building to accommodate the programs, including hiring an owner's representative, architecture and engineering work, and space upgrades. Existing equipment is anticipated to be reused in the new space. The request programs \$0.5 million for FY 2022 and \$6.0 million for FY 2023. The additional funds reflect the rising cost of labor and construction materials given the delay in execution of the work. *The Governor recommended total funding consistent with the approved plan, including \$0.5 million for FY 2022 and \$4.5 million for FY 2023. **Based on***

updated cost estimates provided by Davies, the Assembly provided an additional \$2.0 million for FY 2023.

Davies School HVAC. The Council requested total project costs of \$1.6 million from Rhode Island Capital Plan funds for repairs to the heating, ventilation and air-conditioning system at Davies Career and Technical School. Adjusted for the exclusion of \$3.1 million of prior expenditures, the request is \$1.1 million less than the approved plan. This includes the enacted amount of \$0.9 million for FY 2022 and \$0.2 million for FY 2023, \$0.8 million less than the approved funding; it excludes funding for FY 2024. The Department completed the replacement of units within the third-floor freshman wing in FY 2018; remaining repairs have been delayed contingent upon funding for major renovations. Current year funding would support repairing units in the front of the building, including administrative staff offices. *The Governor recommended total project expenditures of \$2.3 million, which excludes \$2.0 million of prior year expenditures. It includes the requested amount of \$0.2 million for FY 2023 and \$126,500 more for FY 2022, or \$1.0 million.* **Based on expenses through the third quarter, the Assembly shifted \$1.0 million from FY 2022 to FY 2023.**

Met School Asset Protection. The Council requested total project costs of \$4.3 million from Rhode Island Capital Plan funds, but excludes \$1.3 million of prior year expenditures. Adjusted for this, the request is \$2.8 million more than the approved plan. The request includes \$250,000 in each FY 2022 and FY 2027, \$500,000 annually from FY 2023 through FY 2026, and \$1.6 million in the post-FY 2027 period. The School subsequently reported that it intended to request \$250,000 annually from FY 2022 through FY 2027, which is consistent with the approved plan with an additional year of funding. Funding will be used for asset protection projects at the Met School's Peace Street and Public Street campuses in Providence and the East Bay campus in Newport. Projects will address building and safety issues at the Providence campuses.

The Governor recommended total project costs of \$7.1 million, including \$3.0 million for asset protection projects and \$4.1 million for the roof replacement project originally approved as a separate project. It includes \$250,000 annually from FY 2022 through FY 2026 for asset protection projects, consistent with the approved plan, and adds funding for FY 2027. Funding for the roof replacement project is consistent with the approved plan. **The Assembly concurred.**

Davies School Asset Protection. The Council requested total funding of \$2.8 million from Rhode Island Capital Plan funds for asset protection projects at Davies Career and Technical School. Adjusted for \$2.0 million of prior expenditures excluded from the request, it is consistent with the approved plan. This includes \$0.7 million for FY 2022 and \$0.5 million annually from FY 2023 through FY 2026. Funding would be used to address infrastructure deficiencies, Americans with Disabilities Act compliance, and modifications for the new childcare education program. It should be noted that the request excludes an additional year of funding for FY 2027. *The Governor recommended total project costs of \$5.3 million, including \$0.8 million for FY 2022 and \$0.5 million annually from FY 2023 through FY 2027.* **The Assembly concurred.**

Met School Roof Replacement. Consistent with the approved plan, the Council requested \$4.1 million from Rhode Island Capital Plan funds to replace the roofs of the Met School's Providence campuses. The buildings were constructed in 2002 and 1998, respectively, and have their original roofs which have exceeded their 15-year life expectancy. The plan includes \$0.6 million in FY 2023 and \$1.8 million in each FY 2024 and FY 2025. *The Governor recommended combining this with the asset protection project and maintains the approved funds.* **The Assembly concurred.**

School Building Authority Capital Fund. The Council requested \$35.0 million from Rhode Island Capital Plan funds to support the School Building Authority Capital Fund. Funding would be used to provide the state share of traditional housing aid upfront for projects to those local education agencies with a 60.0 percent or greater state share ratio. Impacted communities include Central Falls, Providence,

Pawtucket, West Warwick, and Woonsocket. Under the traditional school housing aid program, local education agencies would be reimbursed for school construction projects upon completion. The request programs \$5.0 million for FY 2023, increasing by \$1.0 million annually through FY 2027, for which \$9.0 million is programmed. Rhode Island Capital Plan funds do not appear to be an appropriate source of funds for this as it is typically used for state facilities. *The Governor recommended that \$50.0 million of the \$250.0 million from general obligation bonds to be submitted to the voters on the November 2022 ballot be used for the fund. The Assembly provided \$50.0 million from general revenues for FY 2023 in lieu of general obligation bond funds.*

School for the Deaf Athletic Track Resurfacing. The Council requested \$0.2 million from Rhode Island Capital Plan funds to resurface the athletic track at the School for the Deaf. The request programs \$0.1 million in each FY 2024 and FY 2025, though the project would likely take less time to complete. It should be noted this is asset protection work and could be included with that project if funding were adjusted to accommodate the work. *The Governor recommended funding through the school's asset protection project. The Assembly concurred.*

School for the Deaf Gymnasium Repairs. The Council requested \$0.6 million from Rhode Island Capital Plan funds to replace the gymnasium floor, bleachers, and basketball nets at the School for the Deaf. The request includes \$0.3 million in each FY 2024 and FY 2025; though total funding appears to be a placeholder as the School is in the process of soliciting quotes. It reports that moisture and water have caused some of the wooden floor planks to buckle. Additionally, the bleachers are 75 years old and, as a result of age and wear, have reportedly become irreparable. It should be noted this is asset protection work and could be included with that project if funding were adjusted to accommodate the work. *The Governor recommended funding through the school's asset protection project. The Assembly concurred.*

School for the Deaf Parking Lot Resurfacing. The Council requested \$0.2 million from Rhode Island Capital Plan funds to resurface the parking lot at the School for the Deaf. The project would address cracks and walkway expansion joints. Funding is programmed between FY 2023 and FY 2024; however, the project would occur in FY 2023. It should be noted this is asset protection work and could be included with that project if funding were adjusted to accommodate the work. *The Governor recommended funding through the school's asset protection project. The Assembly concurred.*

School for the Deaf Security Enhancements. The Council requested total project costs of \$250,000 from Rhode Island Capital Plan funds for security enhancements at the School for the Deaf for FY 2022. The approved plan includes \$250,000 for asset protection projects at the school for FY 2022, of which \$200,000 is assumed for upgrading the school's security system. The School was working with the Division of Capital Asset Management and Maintenance on this project and the additional funding appears to reflect updated costs. *The Governor recommended funding through the school's asset protection project. The Assembly concurred.*

Public Higher Education

Projects	Status	5 Year Total	Project Total
Systemwide			
Asset Protection	Revised	\$ 107,561,156	\$ 260,126,946
Office of Postsecondary Commissioner		3,739,500	4,849,500
Community College		84,646,182	112,670,374
College		117,360,785	168,760,327
University		614,247,713	978,664,064
Total		\$ 927,555,336	\$ 1,525,071,211
Sources of Funds			
Certificates of Participation		\$ 807,000	\$ 11,417,000
General Obligation Bonds - New		100,000,000	100,000,000
General Obligation Bonds		126,395,846	327,800,000
Federal-ARP-CPF		35,000,000	35,000,000
Other Funds		41,890,125	63,875,137
Rhode Island Capital Funds		306,614,838	527,607,570
RIHEBC		296,609,597	417,500,180
University and College Funds		20,237,930	41,871,324
Total		\$ 927,555,336	\$ 1,525,071,211

Summary. The governing bodies approved five-year capital requests that include projects totaling \$1,839.4 million, of which \$1,037.5 million would be spent between FY 2023 and FY 2027; \$117.3 million would be spent in FY 2022. *The Governor recommended \$1,260.0 million with \$648.7 million to be spent in the FY 2023 through FY 2027 period and \$111.3 million to be spent in FY 2022. Average outlays for the five-year period total \$129.7 million.* **The Assembly provided total funding of \$1,525.1 million with \$927.6 million to be spent in the FY 2023 through FY 2027 period. The Assembly added \$43.0 million from Rhode Island Capital Plan funds to address shortfalls in three projects, increased support for the Narragansett Bay Phase II project, approved \$163.1 million in new revenue bonds for the University's Brookside Apartments II project, and adjusted timing for other projects based on updated schedules.**

New Bond Initiatives. The request includes \$586.7 million from new general obligation bonds for six projects, including three at the University, two at the College, and one at the Community College. The University's Narragansett Bay Campus Renewal Phase II project would be placed on the November 2022 ballot with a total cost of \$150.5 million. The University's Ryan Institute Laboratory Facility Phase II project is requested for the November 2022 ballot at \$62.3 million and the Historic Quadrangle Renovations project would be placed on the November 2024 ballot with a total cost of \$84.9 million.

The new general obligation bonds for the phased renovations of academic buildings at the College are divided among several ballot years. The Academic Buildings Phase IV project would be placed on the November 2022 ballot with a total cost of \$35.0 million. The Academic Buildings Phase V project would include one referenda on each November ballot in 2022, 2024, and 2026, respectively. The cost of the referenda would be \$60.0 million, \$35.0 million, and an unknown amount, respectively.

The College was waiting for a feasibility study to be completed before formalizing the cost of the 2026 referenda. The new general obligation bonds for the Community College's Campuswide Renovation and Modernization Phase II-IV projects would include one referenda on each November ballot in 2022, 2024, and 2026. The cost of the referenda would be \$52.0 million, \$52.0 million, and \$55.0 million, respectively. Annual debt service for all the newly requested general obligation bonds, assuming 20 years and a 5.0 percent interest rate, is \$47.0 million with a total cost of \$957.9 million.

Requested General Obligation Bonds				
Project		2022 Ballot	2024 Ballot	2026 Ballot
Historic Quadrangle Building Renovations Phase I	URI	\$ -	\$ 84,900,000	\$ -
Narragansett Bay Campus Renewal Phase II	URI	150,500,000	-	-
Ryan Institute Laboratory Facility Phase II	URI	62,300,000	-	-
Academic Buildings Phase IV: Student Services One-Stop	RIC	35,000,000	-	-
Academic Buildings Phase V: Fogarty, Whipple, and Adams Library	RIC	60,000,000	35,000,000	TBD
Renovation and Modernization Phase II-IV	CCRI	52,000,000	52,000,000	55,000,000
Total		\$ 359,800,000	\$ 171,900,000	\$ 55,000,000

*The Governor's capital budget recommended that \$62.0 million be placed on the November 2022 ballot including \$50.0 million for the University's Narragansett Bay Campus Renewal Phase II project and \$12.0 million for the Community College's Campuswide Renovation and Modernization Phase II-IV project. The Governor's budget does not contemplate any bond initiatives for the 2024 or 2026 ballots. **The Assembly approved \$100.0 million to be placed on the November 2022 ballot for the University's Narragansett Bay Campus Renewal Phase II project and provided \$25.0 million from Rhode Island Capital Plan funds for total support of \$125.0 million. The Assembly also provided \$12.0 million from Rhode Island Capital Plan funds in lieu of general obligation bonds for the Community College.***

Other Debt. The request also includes projects funded from revenue bonds. If a revenue stream is available, that revenue pays the debt service on the bonds. For example, housing fees would pay the debt service on a new dorm or renovation project. With projects for which there is no dedicated revenue stream, debt service is paid from either general revenues or tuition and fee revenues. The request includes a total of \$270.4 million from revenue bonds, including \$149.5 million in the five-year period. Annual debt service on the \$149.5 million, assuming 20 years and a 5.0 percent interest rate, is \$12.0 million. Annual debt service on the entire \$270.4 million is \$21.7 million.

*The Governor's recommendation includes a request for Assembly approval of revenue bonds for two projects at the University including \$13.0 million for the Facilities Service Sector Upgrade project and \$15.5 million for the Utility Infrastructure Upgrade Phase II project. Annual debt service for the recommended bonds is not expected to exceed \$2.5 million and total debt service would not exceed \$50.0 million. The Governor subsequently requested a budget amendment to include a resolution for approval of \$16.0 million in revenue bonds for the University's Fine Art Center Project. **The Assembly concurred with the original recommendation and provided Rhode Island Capital Plan funds for the Fine Arts Center project. It passed 2022-H 8352, Substitute A, which authorized \$163.1 million of revenue bonds for the University of Rhode Island to fund the construction of a new residence hall, Brookside Apartments II. Annual debt service for the bond is not expected to exceed \$11.8 million and total debt service would not exceed \$356.0 million.***

Systemwide Projects

Asset Protection. The approved plan includes \$90.8 million from Rhode Island Capital Plan funds for asset protection projects in the five-year period FY 2022 through FY 2026. The governing bodies requested \$117.8 million from Rhode Island Capital Plan funds for asset protection projects for that same period and assumed use of \$19.5 million for FY 2022 and \$24.1 million for FY 2023. The five-year request for the period FY 2023 through FY 2027 is \$124.4 million, an increase of \$51.2 million over the approved capital

plan. This included the addition of \$26.0 million for FY 2027. For the University, the request is \$39.9 million more, including \$17.3 million more for FY 2027. The five-year request for the College is \$8.5 million more than the approved capital plan, which reflects \$6.0 million for FY 2026 and \$2.5 million more between FY 2025 and FY 2026. For the Community College, the request is \$1.3 million more, which reflects \$2.7 million more for FY 2027 and \$1.5 million less from prior spending.

The Governor recommended funding for the Community College and College consistent with its request. For the University, the Governor recommended funding consistent with the approved plan for FY 2023 and FY 2024 and included \$19.0 million more for FY 2025 through FY 2027, including \$2.7 million more for FY 2025, \$3.1 million more for FY 2026, and \$13.2 million more for FY 2027. The Assembly provided total funding as recommended but shifted \$4.1 million from FY 2022 to FY 2023 for the College based on planned spending.

Postsecondary Commissioner

Projects	Status	5 Year Total	Project Total
<i>Office of Postsecondary Commissioner</i>			
Higher Education Center	Ongoing	2,932,500	\$ 3,932,500
Nursing Education Center	Ongoing	807,000	917,000
Total		\$ 3,739,500	\$ 4,849,500

Higher Education Center. Consistent with the approved plan, the Office requested \$3.9 million from Rhode Island Capital Plan funds for creating an adult job training and workforce development site in northern Rhode Island with expected completion in FY 2022. The Office identified \$2.3 million in donations to meet the required matching funds for the project. The Office reported that it could not provide a cost breakdown by category as it had just started to receive bids and quotes for the architectural and engineering work and furniture fixtures. The center will be located in downtown Woonsocket on the commercial block of Main Street. Renovations are underway and the center is expected to open by early 2022.

Since original approval of this project, proviso language has required that state funding comprise no more than 50.0 percent of the total cost. The request notes that the center will open early 2022. The 2020 Assembly authorized a lease for the center in Woonsocket not to exceed 10 years and a total aggregate rent of \$2.2 million. *The Governor recommended funding as requested. The Assembly concurred with total funding recommended but shifted \$2.9 million from FY 2022 to FY 2023 based on project delays. The Center is now expected to open July 2022.*

Nursing Education Center. The Office requested use of the remaining \$0.9 million from Certificates of Participation to furnish and equip the Nursing Education Center, originally authorized by the 2014 Assembly. The request includes \$0.1 million in FY 2022, and \$0.3 million annually from FY 2023 through FY 2025. The Rhode Island Nursing Education Center currently has a workgroup creating a technological upgrade plan for this project. *The Governor recommended funding as requested. The Assembly concurred.*

Datacenter Replacement. The Office requested total project costs of \$115,000 from the Information Technology Investment Fund for FY 2023 to replace its existing datacenter with a cloud based solution. The Office reported that by FY 2023, its datacenter host servers and central data storage will be out of warranty and susceptible to failure and that its network, security, and battery backup devices are over ten years old and are no longer supported by the original equipment manufacturer. This would leave student data and other secure data vulnerable to a cyberattack, according to the Office. *The Governor did not recommend funding. The Assembly concurred.*

Westerly Education Center Computer Replacement. The Office requested total project costs of \$52,156 from the Information Technology Investment Fund for FY 2023 to replace 32 classroom and staff computers and provide Microsoft server 2019 licenses at the Westerly Education Center. The Office noted that the computers at the Westerly Education Center will be at end of life during FY 2022, will no longer be supported, and will be vulnerable to a cyber-attack. The Westerly Education Center operates two host servers that contain Intel Xeon processors that utilize i4 cores that will be reaching end of life. The Office noted they have to be replaced to ensure cyber security. The Westerly Education Center is designed to be financially self-sustaining. The restricted receipt account, Higher Education and Industry Centers-Operations had a balance of \$1.4 million as of September 14, 2021. *The Governor did not recommend funding.* **The Assembly concurred.**

Asset Protection. The Office requested \$60,000 from Rhode Island Capital Plan funds for an asset protection project for the Westerly Education Center, furnishing the new classrooms and offices in the mezzanine for FY 2023. The mezzanine is being constructed to expand instructional and staff office space. The Westerly Education Center is designed to be financially self-sustaining. The restricted receipt account, Higher Education and Industry Centers-Operations, had a balance of \$1.4 million as of September 14, 2021. *The Governor did not recommend funding.* **The Assembly concurred.**

Community College of Rhode Island

Projects	Status	5 Year Total	Project Total
<i>Community College of Rhode Island</i>			
Campuswide Renovation and Modernization - Phase II-IV	New	\$ 12,000,000	\$ 12,000,000
Accessibility Improvements	Revised	1,760,000	3,234,759
Knight Campus Renewal	Revised	4,286,182	22,271,272
Lincoln Campus Student Commons	Ongoing	1,625,000	1,820,958
Providence Atrium and Dining	Ongoing	1,750,000	2,072,893
Campuswide Renovation and Modernization - Phase I	Ongoing	36,000,000	40,000,000
Data Cabling and Power Infrastructure	Ongoing	14,743,000	15,000,696
Infrastructure Improvement - Flanagan Campus	Ongoing	12,482,000	13,236,994
Knight Campus Second Floor Sciences Renovation	Ongoing	-	3,032,802
Total		\$ 84,646,182	\$ 112,670,374

Campuswide Renovation and Modernization Phase II-IV. The Community College requested \$159.0 million from new general obligation bonds on three successive ballots to renovate and modernize academic and student support spaces as well as other infrastructure on the Community College's four campuses. The request includes referenda being presented to voters as follows: \$52.0 million in 2022, \$52.0 million in 2024, and \$55.0 million in 2026. The total cost of the three bonds, assuming 5.0 percent interest and a 20-year term, would be \$259.8 million with annual debt service of \$12.8 million supported by general revenues.

The Community College noted that each bond would fund a separate phase of the work. This is a comprehensive renovation and modernization which the Community College breaks down into eight categories: modernize academic classrooms, laboratories and student support spaces, upgrade student services and common areas, core building infrastructure upgrades, expand campus technology, improve energy efficiency, Americans with Disabilities Act improvements, campus parking and traffic flow, and campus vehicle circulation and traffic flow. Projects in all categories would be funded from the 2022 ballot, all but parking and traffic flow are continued from the 2024 ballot. The 2026 phases includes only building infrastructure upgrades, expansion of campus technology, modernization of academic and student support spaces, and upgrading student services and common areas. The Community College utilized the Facilities Master Plan's recommendations, completed in January 2020, to establish the eight categories that work will

fall under. The Community College estimated the total cost of all recommended work to be over \$215.0 million, but only included the next three referenda in the request. The Community College did not provide a cost breakdown of the project, nor specifics regarding planned work.

The Governor recommended \$12.0 million from a new general obligation bond for voter approval on the November 2022 ballot. Assuming an interest rate of 5.0 percent and a 20-year term, annual debt service payments for the general obligation bonds would be \$1.0 million paid from general revenues. The Assembly concurred with the \$12.0 million, but provided Rhode Island Capital Plan funds in lieu of borrowing.

Accessibility Improvements. The Community College requested \$3.2 million for continued accessibility improvements on the Knight Campus. This is \$0.5 million more than the approved plan and extends the project timeline from FY 2026 to FY 2027 to account for expanding the scope beyond the Knight Campus. Funding includes \$2.8 million from the Governor's Commission on Disabilities and \$0.5 million from College funds. In addition to the Knight Campus, the Community College plans to bring Lincoln's Flanagan campus bathrooms and athletic restrooms into full Americans with Disabilities Act compliance while also addressing plumbing that is original to the campus. *The Governor recommended funding as requested. The Assembly concurred.*

Knight Campus Renewal. The Community College requested \$22.3 million for the Knight Campus Renewal project, which is \$0.9 million more than the approved plan as the result of College funds that were used to cover a portion of the replacement of the 6th floor roof. Funding includes \$20.1 million from Rhode Island Capital Plan funds, \$0.9 million from University/College funds, and \$1.2 million from 1977 auxiliary bond funds redeemed by the Community College during the spring of 2015. Funding is programmed through FY 2023, consistent with the approved plan. The Community College indicated that the last remaining component of the Knight Campus Renewal project is the Student Services renovation and that this project, which is part of the Campuswide Renovation and Modernization – Phase I, will be receiving bids soon with an anticipated start date of March 2022. The Community College is anticipating the construction to take a number of years to complete, with current estimates showing completion in summer 2025. *The Governor recommended funding as requested. The Assembly shifted \$2.9 million from FY 2022 to FY 2023 and FY 2024, reflecting an updated schedule.*

Lincoln Campus Student Commons. The Community College requested \$2.7 million to redesign and renovate the Lincoln Campus Student Commons. The Community College noted that this part of the facility has not been updated since the facility opened in 1976 and is in need of redesign and improvement. The Community College has engaged an architectural and engineering firm for redesign of this space and is finalizing the design. The project's timeline is consistent with the approved plan, but the request is \$0.9 million more than the approved plan with a different primary funding source. The approved plan includes \$0.2 million from College funds and \$1.6 million from a combination of auxiliary bond funds and grants. The Community College's request includes \$2.2 million from the state's share of federal American Rescue Plan Act Capital Projects Fund, \$0.4 million from auxiliary bond funds, and \$0.1 million from College funds. The increased request is the result of expanding the scope of the project to now include the relocation of a staircase. *The Governor recommended \$1.8 million, consistent with the approved plan. The Assembly concurred.*

Providence Campus Atrium and Dining. The Community College requested \$2.5 million for the redesign and renovation of the atrium space at the Liston Campus in Providence. The Community College reported that the project's design process is currently underway and that bid documents are being prepared so that once a determination is made regarding the appropriation of the state's share of federal American Rescue Plan Act Capital Projects Fund, the project can go out to bid. The Community College indicated that if the state's share of American Rescue Plan Act Capital Projects Fund are not appropriated, a decision will have to be made as to the status of the project and other funds available to cover the construction costs.

The Community College noted that this part of the facility has not been updated, is in need of redesign and improvements, and is adjacent to high traffic areas such as the Student Services offices, the bookstore, and the dining facility. The request is \$0.6 million more than the approved plan, moves the project's completion from FY 2024 to FY 2023, and is the same request as the previous year adjusted for cost escalation. The request includes \$2.1 million from the state's share of the federal American Rescue Plan Capital Projects Fund, \$0.3 million from auxiliary bond funds, and \$0.2 million from College funds; the approved plan includes \$0.2 million from College funds and \$2.0 million from a combination of donations, auxiliary bond funds, and grants. *The Governor recommended funding consistent with the approved plan and added \$0.2 million in prior spending.* **The Assembly concurred.**

Campuswide Renovation and Modernization Phase I. Consistent with the approved plan, the Community College requested \$40.0 million from all sources to renovate and modernize academic and student support spaces, as well as other infrastructure on the Community College's four campuses. The request includes \$12.0 million from general obligation bonds approved by the voters in March 2021 and \$28.0 million from Rhode Island Capital Plan funds. Funding is programmed from FY 2022 through FY 2025. *The Governor recommended shifting \$3.0 million of bond funding from FY 2022 to FY 2023 with the remainder consistent with the approved plan.* **The Assembly concurred.**

Data Cabling and Power Infrastructure. Consistent with the approved plan, the Community College requested \$15.0 million for the assessment, design and construction of modernized data cabling and power infrastructure across the four Community College campuses. The request moves the completion date from FY 2024 to FY 2025. The project will include upgrades to network wiring, infrastructure capacity, and support of various systems such as voice over internet provider phones. Funding includes \$13.7 million from Rhode Island Capital Plan funds and \$1.3 million from College funds programmed through FY 2025. *The Governor recommended funding as requested.* **The Assembly shifted all funding out by one year through FY 2026 based on project delays.**

Infrastructure Improvement - Flanagan Campus. The Community College requested \$13.2 million for renovations and upgrades to the Flanagan Campus, located in Lincoln. Funding includes \$12.5 million from Rhode Island Capital Plan funds, \$0.4 million from College funds, and \$0.3 million in private funding. This request omitted \$0.4 million in prior year spending from College funds. Adjusted for this, the request is consistent with the approved plan. Subprojects include laboratory expansion and renovation, classroom and learning space renovations, building renovations, and ensuring Americans with Disabilities Act compliance. *The Governor recommended funding as requested.* **The Assembly shifted \$6.0 million from FY 2022 through FY 2024 to FY 2025 and FY 2026 based on project delays. This provides \$18,000 for FY 2022, \$2.0 million for FY 2023, and \$2.0 million for FY 2024.**

Knight Campus Second Floor Sciences Renovation. The Community College requested \$3.0 million for the renovation of the physics and engineering labs at the Knight Campus in Warwick. Funding includes \$2.9 million from Rhode Island Capital Plan funds and \$94,900 from College funds, programmed through FY 2022, consistent with the approved plan. The scope of renovations includes lab equipment replacement, updating the heating, ventilation and air conditioning system, and closing off the labs that are currently open classrooms. *The Governor recommended funding as requested.* **The Assembly concurred.**

Rhode Island College

Projects	Status	5 Year Total	Project Total
<i>Rhode Island College</i>			
Academic Buildings Phase IV: Student Services One-Stop	New	\$ 35,000,000	\$ 35,000,000
Infrastructure Modernization	Revised	27,200,000	55,760,327
Horace Mann Renovation (School of Education)	Ongoing	4,160,785	25,000,000
Academic Buildings Phase III	Ongoing	51,000,000	53,000,000
Total		\$ 117,360,785	\$ 168,760,327

Academic Buildings Phase IV: Student Services One-Stop. The College requested \$35.0 million from new general obligation bonds to go before the voters on the November 2022 ballot. The request includes \$5.0 million in FY 2024 and \$15.0 million each in FY 2025 and FY 2026. This project would fund the creation of a centralized location for student services and student support. The cost of this phase, assuming 5.0 percent interest and a 20-year term, is \$57.2 million with annual debt service of \$2.8 million supported by general revenues. Student services that are proposed to be included in this consolidation are the bursar, financial aid, records, student customer service function, health services, and/or academic advising and support. The College noted that the goal of this project is to create a centralized student services and support location that would be conveniently located and easily identifiable by the student body. This is part of the College's overall effort to remove deterrents that students face in completing their education and obtaining degrees. The College believes that providing a centralized location will encourage student use.

The College had reprioritized the Academic Buildings projects; Phase IV project is now focused on establishing a one-stop student services facility, while Phase V addresses Fogarty, Whipple Hall, and Adams Library. The College is awaiting the results of a feasibility study to determine the best project optimization with regards to cost and physical location on campus. The College's current price of \$35.0 million comes from a feasibility study completed a couple of years ago, which put the cost of renovating the building at \$28.3 million, and the College's current estimate included adjustments for inflation and the costs associated with demolishing the Henry Barnard School. The two options are: build a new stand-alone building on campus or to renovate and reconfigure the Henry Barnard School. The Fogarty Life Sciences building renovations are now being requested in the Academic Buildings Phase V project. *The Governor recommended \$35.0 million from the American Rescue Plan Act Capital Projects Fund and programs \$5.0 million for FY 2023 and \$15.0 million each for FY 2024 and FY 2025. The feasibility study is not yet complete. The Assembly concurred. The feasibility study is complete but the College has not made further decisions.*

Infrastructure Modernization. The College requested \$56.1 million from Rhode Island Capital Plan funds programmed through FY 2027 for infrastructure modernization. This is \$8.4 million more than the approved plan, but largely consistent with last year's funding request adjusted for inflation. The request adds \$5.9 million in FY 2027 and alters funding in every other year. The request includes \$0.4 million more in FY 2024, \$1.2 million more in FY 2025, and \$1.0 million more in FY 2026. Work includes the modernization and replacement of steam and sewer distribution lines, power plant upgrades, improvements for electric, gas and water systems, campus wide drainage improvements, signage improvements as well as supporting information technology network improvements. *The Governor recommended funding essentially as requested. Total funding is \$8.3 million more than the approved plan. The Assembly concurred.*

Horace Mann Renovation (School of Education). Consistent with the approved plan, the College requested \$25.0 million from general obligation bonds approved by the voters on the November 2018 ballot to renovate Horace Mann Hall, home of the School of Education. This is the second phase of a four-phase project to modernize and rehabilitate academic buildings. Funding is programmed through FY 2023 and

construction is currently underway. Prior to FY 2021, \$2.5 million of the bond was issued at 4.1 percent interest over 20 years.

Assuming the remaining \$22.5 million is issued at the same rate, the total cost would be \$33.9 million supported by general revenues with annual debt service of \$1.7 million. *The Governor recommended funding as requested. The Assembly concurred.*

Academic Buildings Phase III. Consistent with the approved plan, the College requested \$38.0 million from general obligation bonds that received voter approval in March 2021, to complete the third phase of a four-phase project to modernize and rehabilitate essential academic buildings. The Clarke Science building is 43,126 square feet and includes several labs, two lecture halls, classrooms, and faculty offices. The building was rated to be in poor condition as part of a facilities condition assessment in September 2016. The College projected that the renovations will extend the useful life of the building by 50 years. Funding is programmed from FY 2022 through FY 2024. The College had begun the process of selecting an architectural and design vendor, as well as an owner's representative and construction manager. *The Governor recommended funding as requested. The Assembly added \$15.0 million from Rhode Island Capital Plan funds including \$10.0 million in FY 2025 and \$5.0 million in FY 2026 to address a shortfall identified by the College subsequent to the Governor's recommendation.*

Auxiliary Enterprise Asset Protection. The College's request shows \$13.1 million from auxiliary revenues programmed through FY 2021 for asset protection projects in dorms, dining halls, and other self-supporting facilities. This request is \$0.7 million less than the approved plan, as the College completed a smaller version of the Sweet Hall and Donovan Dining Center projects. Limited capacity in dorms due to COVID restrictions has reduced revenues and the request reflects no future projects. Given the pandemic, the housing and dining occupancy level trends have decreased in recent years. The \$13.1 million represents all capital and building maintenance repair spends since FY 2015. *The Governor did not recommend funding for this project. The Assembly concurred.*

Academic Buildings Phase V: Fogarty, Whipple, and Adams Library. The College requested \$95.0 million from new general obligation bonds to go on the November 2024 and 2026 ballots for voter approval to continue the fifth phase of its project to modernize and rehabilitate essential academic buildings. Funding is programmed from FY 2026 into the post-FY 2027 period. The fifth phase encompasses the modernization of the Fogarty Life Science Building, Whipple Hall and Steam Plant, and Adams Library/Student Learning and Success Center. For the modernization of the Fogarty Life Science Building, the College is requesting \$60.0 million from a new general obligation bond to go on the November 2024 ballot for voter approval. The total cost of this project, assuming 5.0 percent interest and a 20-year term, is \$98.0 million with annual debt service of \$4.8 million supported by general revenues. For the modernization of Whipple Hall and the Steam Plant, the College is requesting \$35.0 million from a new general obligation bond to go on the November 2024 ballot for voter approval. The College has opted not to include an estimate for the Adams Library/Student Learning and Success Center as it needs to further define the scope of this aspect of the project.

The College has reprioritized the Academic Buildings projects. The Academic Buildings Phase IV project is now focused on establishing a one-stop student services facility. Academic Buildings Phase V is a new phase focused on the Fogarty, Whipple Hall & Steam Plant, and Adams Library/Student Learning & Success Center, all removed from Phase IV and placed in the new Phase V. *The Governor did not recommend funding for this project. The Assembly concurred.*

University of Rhode Island

Projects	Status	5 Year Total	Project Total
<i>University of Rhode Island</i>			
Academic, Mechanical, Electrical and Plumbing Improvements	New	\$ 17,900,000	\$ 17,900,000
Narragansett Bay Campus Renewal Phase II	New	132,000,000	132,000,000
Ryan Institute Laboratory Facility - Phase I	New	-	4,400,000
Brookside Apartments II	New	163,100,000	163,100,000
Ballentine Hall Expansion	Revised	16,429,681	17,800,000
Dining Facilities Improvements	Revised	4,659,588	5,000,000
Fine Arts Center Renovation & Addition Phase II	Revised	73,300,000	78,300,000
Fire Protection Auxiliary Phase I	Revised	1,608,205	18,205,000
Fire Protection Academic Phase II	Revised	8,100,000	8,100,000
Stormwater Management	Revised	4,700,000	4,700,000
Combined Health and Counseling Center	Revised	34,500,551	37,000,000
Engineering Building Renovations - Phase II	Ongoing	-	26,906,516
Engineering Building Renovations - Phase I	Ongoing	-	131,866,596
Facilities Services Sector Upgrade	Ongoing	13,000,000	13,000,000
Fine Arts Center Renovation and Addition Phase I	Ongoing	-	14,883,314
Fire Protection - Auxiliary Enterprises Phase II	Ongoing	2,330,611	2,366,056
Fraternity Circle Infrastructure Phase I	Ongoing	-	5,618,237
Fraternity Circle Master Plan Implementation	Ongoing	1,400,000	1,400,000
Memorial Union Renovation & Addition	Ongoing	65,387,791	68,600,000
Narragansett Bay Campus Renewal Phase I	Ongoing	39,935,061	59,012,780
Ranger Hall Phase II	Ongoing	900,830	8,521,740
Repaving, Hardscape, and Landscape	Ongoing	3,842,528	11,896,108
Soloviev Basketball Practice Facility	Ongoing	3,718,190	7,900,000
Utility Infrastructure Upgrades Phase I	Ongoing	1,487,003	7,029,835
Utility Infrastructure Upgrades Phase II	Ongoing	15,450,000	15,450,000
Utility Infrastructure Upgrades Phase III	Ongoing	9,191,250	9,191,250
White Horn Brook Apartments	Ongoing	1,306,424	91,888,547
Biological Resources Laboratory	Complete	-	6,128,085
Energy Conservation and Performance Contract - Phase III	Complete	-	10,500,000
Total		\$ 614,247,713	\$ 978,664,064

Academic, Mechanical, Electrical and Plumbing Improvements. The University requested \$17.9 million from Rhode Island Capital Plan funds for a new project to make mechanical, electrical, and plumbing improvements in Fogarty and White halls. The request is for \$4.7 million in FY 2023 and \$13.2 million in FY 2024. Fogarty Hall would get a new heating, ventilation and air conditioning distribution system to maintain a safe working environment and comply with current code requirements and new plumbing and electrical to prevent future failures, such as major flooding when the plumbing lines fail. White Hall would receive a new heating, ventilation and air conditioning distribution system to properly link it to a new boiler and chiller installed in the Richard E. Beaufre Center for Chemical and Forensic Sciences building that were sized to also serve White Hall. *The Governor recommended funding as requested. The Assembly concurred.*

Narragansett Bay Campus Renewal Phase II. The University requested \$157.5 million for the second phase of a three-phase project to complete a comprehensive renewal of the Narragansett Bay Campus from FY 2024 through FY 2027. Funding includes \$150.5 million from new general obligation bonds to be placed on the November 2022 ballot for voter approval and \$7.0 million from private funding. This was requested last year at a total of \$154.8 million, of which \$147.8 million would have been from bonds; however, the project was not approved. The total cost of the requested bond, assuming 5.0 percent interest and a 20-year term, is \$245.1 million with annual debt service of \$12.0 million supported by general revenues. The second phase projects are focused on the replacement of Horn Laboratories, the construction of the Ocean Engineering Research & Education Center, and the Wave and Acoustics Laboratory.

The University completed an advanced planning study for the renewal of the Narragansett Bay Campus in FY 2016, determining that extensive renovations or total replacement of existing buildings on the campus is necessary to meet the University's future programmatic plans. The approved plan includes \$45.0 million from general obligation bonds approved by voters in November 2018 for Phase I of this project.

The Governor recommended \$50.0 million of new general obligation bonds to be submitted to the voters on the November 2022 ballot. Annual debt service would be \$4.0 million assuming a 5.0 percent interest rate and a 20-year term; total debt service would be \$81.7 million. The Governor's recommendation also includes \$7.0 million from private funding, consistent with the request; it does not identify what aspects of the project are to be funded from the amount proposed, which is \$100.5 million less than the request. The Assembly provided a total of \$125.0 million. This includes \$100.0 million of new general obligation bonds to be submitted to the voters on the November 2022 ballot and \$25.0 million from Rhode Island Capital Plan funds including \$12.5 million in FY 2026 and \$12.5 million in FY 2027. Annual debt service would be \$8.0 million assuming a 5.0 percent interest rate and a 20-year term; total debt service would be \$163.4 million

Ryan Institute Laboratory Facility - Phase I. The University requested \$4.4 million for a new project to fit out 2,400 square feet of laboratory space in the Center for Biotechnology and Life Sciences. The request includes \$4.1 million from private funding and \$0.4 million from University funds. The University considers this the quickest opportunity to provide lab space, which would be expanded upon in phase two of the project. The University noted that this project directly aligns with several goals in President Dooley's Transformational Goals for the 21st Century report, which identifies neuroscience as a field targeted for rapid faculty growth. The University reported that TLB Architecture will design the project and that E.W. Burman was selected as the general contractor after a competitive bid process. Construction is currently underway and is expected to be complete in early February 2022. *The Governor recommended funding as requested. The Assembly concurred.*

Brookside Apartments II. During FY 2022, the University identified an emerging housing shortage for its students that arose after its submitted capital budget. The University noted three changes that negatively impacted the student housing market. The first is the housing shortage that has grown during the pandemic with remote workers opting to live full-time in their summer homes instead of renting to students during the academic year. The second is a recent change in a local ordinance that limits the maximum per rental dwelling of college students to three. The University lost its legal appeal that the ordinance was discriminatory. The third is an increase interest among upperclassman to live on campus, where there is a greater access to student resources.

The University testified at the House Finance Committee hearing in support of 2022-H 8352, a bill that would authorize \$163.1 million of revenue bonds for the construction of a new apartment style residence hall. The University testified that the new apartment style residence hall, Brookside Apartments II, would be a 500-bed residence hall and would be designed similarly to Brookside Hall, which opened in January 2020. The University identified a waitlist for student housing of 600 students. The University noted that if the revenue bonds are approved, the dorm would be expected to be completed in January 2025, which

will alleviate the housing shortage as quickly as possible. *The Governor did not make any recommendations on this issue.* **After the Budget passed, the Assembly adopted 2022-H 8352, Substitute A, which authorized \$163.1 million of revenue bonds for the University of Rhode Island to fund the construction of a new 500-bed residence hall. The bond is expected to have an interest rate of 6.0 percent and a 30 year-term, with maximum debt service of \$356.0 million. Debt service on the bond will be supported by fees charged to students living there.**

Ballentine Hall Expansion. The University requested \$17.8 million from private and University funds for the renovation and construction of an extension to Ballentine Hall. The 13,600 square foot building will have a 7,200 square foot addition, adding a business student success center, business analytics and artificial intelligence lab, business application prototyping lab, advanced trading lab, corporate partner stations, an Executive-In-Residence, student lounge, and a renovated building lobby for the College of Business. While the total funding and timeline of the project is consistent with the approved plan, financing has changed. The request reduced private funding from \$17.8 million to \$17.5 million and added \$0.3 million from University funding, specifically from the unrestricted general fund to be spent in FY 2022 as part of the design phase. *The Governor recommended funding as requested.* **The Assembly concurred.**

Dining Facilities Improvements. The University requested \$5.0 million from University funds for renovation and expansion of its dining distribution center from FY 2022 to FY 2024. This is \$1.5 million more than the approved plan, which reflects a larger scope with improvements to the Hope Commons dining hall. Previously planned work includes the expansion of the catering division and the conversion of the distribution center from warehouse space to a kitchen. *The Governor recommended funding as requested.* **The Assembly concurred.**

Fine Arts Center Renovation & Addition Phase II. The University requested \$78.3 million to complete the second phase of comprehensive renovations and enhancements to the 120,720 square foot fine arts center on the Kingston Campus, which houses the University's musical, theatrical, visual and graphic arts programs. The request includes \$57.3 million in general obligation bonds and \$5.0 million in private funding, consistent with the approved plan. It also includes \$16.0 million from new debt to cover cost increases not accounted for in the approved plan. The general obligation bond received voter approval in March 2021. The University completed a study where the cost was determined to be \$78.3 million, a nearly 28 percent increase. The approved plan was based upon a 2016 study inflated to account for cost escalation related to time and commodities. The April 2021 study includes the impact of COVID-19 related cost escalation but also revealed that the University's 2016 study underestimated the baseline cost of the project. It is not clear how much of the \$16.0 million increase can be attributed to the base estimate problem and how much is COVID-19 related, but both appear to be significant contributors. The debt service on the new \$16.0 million revenue bond, assuming 5.0 percent interest and a 20-year term, is \$26.1 million with annual debt service of \$1.3 million supported by the University's unrestricted general revenues. The request moves the completion of this project from FY 2024 to FY 2025.

The Governor recommended total funding consistent with the request, but advanced \$3.0 million from FY 2023 to FY 2022. He did not include a resolution for approval of the new debt and proposed this funding for FY 2025, meaning this would have to be approved by the 2024 Assembly or sooner. The Governor subsequently requested a budget amendment to include a resolution for approval of the new debt. The Assembly provided \$16.0 million in Rhode Island Capital Plan funds in lieu of debt.

Fire Protection Auxiliary Phase I. The University requested \$18.2 million from revenue bonds, consistent with the approved plan in terms of funding, but delays completion by two years to allow completion of a major two-phased project to provide emergency power for its residence halls in the Roger Williams Complex. The first phase which included the replacement of the electrical gear servicing these buildings commenced in 2018 and was completed in 2019. The request notes that in addition to keeping all emergency systems operational, this project will allow for shelter-in-place during prolonged power outages

and other campus emergencies. The second phase is to provide a central bank of generators to provide the necessary back-up power. It is currently in design and is expected to be constructed in 2022. *The Governor recommended funding as requested. The Assembly concurred.*

Fire Protection Academic Phase II. The University requested \$8.1 million from Rhode Island Capital Plan funds for the second phase of a two-phase project to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all of the University's academic and administrative buildings that are not presently equipped with sprinkler and addressable alarm systems. Funding includes \$1.7 million in FY 2023, \$3.1 million in FY 2024, and \$3.3 million in FY 2025. The University's request starts the project three years earlier and is \$5.0 million more than the approved plan. It is \$0.5 million more than last year's request to account for cost escalation. The first phase of this project is complete. *The Governor recommended funding as requested. The Assembly concurred.*

Stormwater Management. The University requested \$4.7 million from Rhode Island Capital Plan funds for storm water improvements to existing drainage systems on campus from FY 2024 through FY 2026. The drainage improvements would provide additional storage volume and improve channel and pipe sizes and alignment to reduce the frequency of overtopping and flooding along Whitehorn Brook, Ellery Pond and the adjacent areas that feed into these waterways. The current request is \$0.2 million more than last year's request and \$2.6 million more than the approved plan. It accelerates the start date by two years to FY 2024 compared to the approved plan. *The Governor recommended funding as requested. The Assembly concurred.*

Combined Health and Counseling Center. The University requested \$37.0 million from revenue bonds and University funds for a project to combine its health services and counseling center into a single facility, consistent with the approved plan. The University reported that the capacity of both facilities is insufficient to meet the demand for services. Additionally, the current separate facilities require duplications in support staff and medical recordkeeping. It should be noted that the 2019 Assembly authorized \$26.9 million of debt and the 2021 Assembly authorized an additional \$2.1 million of debt for this project. This project had been placed on hold for the past year so that the University could take the time to evaluate and modify the project to ensure that the facilities meet the students' health needs within the context of the new environment. The University resumed design in September 2021 with construction completion expected in the summer of 2024. *The Governor recommended funding as requested. The Assembly concurred.*

Engineering Building Renovations - Phase II. The University requested \$26.9 million for the second phase of the engineering building renovation project. While the funding for this project is consistent with the approved plan, the completion date is one year later, FY 2022. The request includes the \$25.5 million from general obligation bonds approved by voters on the November 2016 ballot, \$1.2 million from University funds, \$0.2 million from private funding, and \$30,837 from third-party financing. The second phase of the engineering building renovation includes the renovation of Bliss Hall and the construction of an addition to that building. *The Governor recommended funding as requested. The Assembly concurred.*

Engineering Building Renovations - Phase I. The University requested \$131.9 million for the first phase of a project to renovate buildings encompassing 186,000 square feet in the engineering quadrangle, consistent with the approved plan. Funding includes \$125.0 million from general obligation bonds approved by the voters on the November 2014 ballot, \$4.2 million from private funding, \$1.7 million from University funds, \$0.8 million from third-party financing, and \$0.2 million from restricted receipt funds. The first phase involves the demolition of Crawford, Gilbreth, Kelly, and Wales halls and Kelly Annex; renovations to Kirk Hall; site work modification to the Engineering Quadrangle; and the construction of a new building. The project will focus on the improvement of classrooms and offices, modernization of teaching laboratories, and upgrading elevators and restrooms. The heating, ventilating, and air conditioning systems will also be replaced along with the roofs. Funding is programmed through FY 2022. This project

is nearly complete and is in the final closeout phase. *The Governor recommended funding as requested. The Assembly concurred.*

Facilities Services Sector Upgrade. The University requested \$13.0 million from new revenue bonds to complete improvements to the service sector area that houses the operations and maintenance functions and stores equipment, furniture, and supplies. The project is programmed through FY 2026 and is consistent with the approved plan. The request calls for the demolition of the automotive garage and the grounds building. New buildings will accommodate equipment and furniture storage, automotive garages, and any workshops necessary in the facilities compound while largely hiding the operations from public view. *The Governor recommended funding as requested and included authorization for Assembly approval of \$13.0 million from revenue bonds. Annual debt service is not expected to exceed \$1.2 million with a total cost not expected to exceed \$23.0 million supported by revenues from the University's unrestricted budget, including general revenues and tuition and fees. The Assembly concurred.*

Fine Arts Center Renovation and Addition Phase I. The University requested \$14.9 million from Rhode Island Capital Plan funds for the Fine Arts Center, consistent with the approved plan, but the timeline has been extended one year, to FY 2022. The project will complete the first phase of comprehensive renovations and enhancements to the 120,720 square foot fine arts center on the Kingston Campus, which houses the University's musical, theatrical, visual and graphic arts programs. The first phase of the project involves the heating, ventilation and air conditioning system replacement and exterior envelope repairs; also included in phase one is design work for phase two. The second phase would provide complete renovations to the building and reconfiguration and enhancements, performance and studio facilities, parking, landscaping, and storm water management system. The second phase is a separate request which includes \$57.3 million from a general obligation bond, \$5.0 million from private funding, and \$16.0 million from revenue bonds. *The Governor recommended funding consistent with the approved plan and extended the timeline by one year to FY 2022, consistent with the request. The Assembly concurred.*

Fire Protection - Auxiliary Enterprises Phase II. The University requested \$2.4 million from revenue bonds programmed through FY 2023 for the second phase of a two-phase project to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all auxiliary enterprise buildings that are not presently equipped with sprinkler and addressable alarm systems. The request is consistent with the approved plan. The scope of this project is to install sprinkler and upgraded alarm systems and to make other mandatory and beneficial fire safety improvements, including emergency power for fire protection systems to preserve full occupancy in the event of power outages. Annual debt service is \$0.2 million over 20 years, for a total of \$3.4 million. Debt was issued in 2018 and is paid from auxiliary revenue sources. *The Governor recommended funding as requested. The Assembly concurred.*

Fraternity Circle Infrastructure Phase I. The University's request for \$5.6 million from revenue bonds for the first phase of a project to make road, utilities and landscaping improvements to Fraternity Circle, is largely consistent with the approved plan. This includes \$0.2 million from interest earned from the revenue bonds issued in FY 2016. The University plans to use the additional funding to complete the redevelopment of the Fraternity Circle North Road during the summer of 2021. Once this roadwork is complete, this project will be closed out. *The Governor recommended funding as requested. The Assembly concurred.*

Fraternity Circle Master Plan Implementation. Consistent with the approved plan, the University requested \$1.4 million from third-party and University funds for the second phase of a project to make road, utilities, and landscaping improvements to Fraternity Circle from FY 2024 to FY 2025. Work for the second phase of the project includes the construction of pedestrian walkways, new site lighting, and recreational amenities. Construction for the first phase is anticipated to be completed during the summer of 2021 with phase two commencing once a qualified architectural/engineering firm develops plans for the project. *The Governor recommended funding as requested. The Assembly concurred.*

Memorial Union Renovation & Addition. Consistent with the approved plan, the University requested \$68.6 million for the complete renovation and expansion of Memorial Student Union, a 133,000 square foot, four-story building that houses over 180 student groups along with the organizations and offices for Student Affairs. The University proposed to renovate and expand the building, with a 9,000 square foot addition, while improving adjacent parking and landscaping. The request includes \$57.6 million from revenue bonds and \$11.0 million from University funds. The 2019 Assembly enacted Article 6 of H-5151 Substitute A, as amended, authorizing the debt, with total debt service not to exceed \$112.3 million on 6.0 percent interest over a 30 year term. This authorization was increased by \$6.1 million through Article 4 of 2021-H 6122 Substitute A, as amended, to cover cost increases from a project delay. Funding is programmed for FY 2022 through FY 2026. *The Governor recommended funding as requested. The Assembly concurred.*

Narragansett Bay Campus Renewal Phase I. The University requested \$47.0 million for the first phase of a three-phase project to complete a comprehensive renewal of the Narragansett Bay Campus. The first phase includes improvements to the existing pier and marine operations facilities, which are necessary to adequately support the new 198-foot research vessel awarded to the University by the National Science Foundation. Funding includes \$45.0 million from general obligation bonds approved by voters in 2018 and \$2.0 million from private funding, consistent with the approved plan. The University shifted \$13.2 million to FY 2024 and extended the project's timeline one year to FY 2024. The University indicated that this project is short \$10.0 million. The bids for the pier came in \$5.0 million over budget, so the University is assuming that the Ocean Robotics, Marine Operations, and Hazardous Material Storage buildings' bid will also come in \$5.0 million over budget. The University's intended request for stimulus funding will include a request to cover this deficit. *The Governor recommended total funding consistent with the request. The potential shortfall issue is not addressed. The Assembly added \$12.0 million from Rhode Island Capital Plan funds including \$6.0 million in FY 2023 and \$6.0 million in FY 2024 to address the identified shortfall.*

Ranger Hall Phase II. The University requested \$8.5 million for the second phase of the rehabilitation and restoration of Ranger Hall. Funding includes \$4.9 million from private funding and \$3.6 million from University funds. The request is \$0.5 million less than the approved plan from private funding to reflect construction bids being less than expected. The project will allow for the renovation of the second floor of the hall, which houses the Harrington School of Communication and Media, as well as general assignment classrooms. Funding is programmed through FY 2023. *The Governor recommended funding as requested. The Assembly concurred.*

Repaving, Hardscape, and Landscape. The University requested \$11.9 million from revenue bonds through FY 2024 for the fourth phase of the University's efforts to repave and reconstruct major parking facilities, internal roadways, and walkways. The funding is consistent with the approved plan, but extends the project by one year. The University engaged Pare Engineering to further study, scope, and prioritize future repaving, hardscape, and landscape projects. According to the University, Pare Engineering has developed the selected projects and created construction documents for implementation in the summer of 2022 and 2023. *The Governor recommended funding as requested. The Assembly concurred.*

Soloviev Basketball Practice Facility. Consistent with the approved plan, the University requested \$7.9 million from private funding for the renovation of the West Gym located in the Tootell Physical Education Center to a National Collegiate Athletic Association Division I men's and women's basketball practice facility, which will include a new entry, court, heating, ventilation and air conditioning system, and lighting. This project has been renamed to reflect the new name of the facility after the University received a \$3.0 million donation from the Soloviev family. The University hired an architectural and engineering team and anticipated construction to start in April 2022 and be completed in March 2023. *The Governor recommended funding as requested. The Assembly concurred.*

Utility Infrastructure Upgrades Phase I. The University requested \$6.5 million from revenue bonds for the first phase of the University's three-phase utility infrastructure upgrade project. Funding is programmed through FY 2023 and is consistent with the approved plan. The project involves the replacement of the steam/condensation distribution system, water and electrical distribution systems, sanitary sewer system, and storm water management system. The total request for all phases of the utility infrastructure upgrade plan is \$31.2 million, including \$6.5 million from revenue bonds for the first phase, \$15.5 million from revenue bonds for the second phase, and \$9.2 million from revenue bonds for the third phase. The total debt service for the first phase is \$10.0 million with annual debt service of \$0.5 million. *The Governor recommended funding as requested.* **The Assembly concurred.**

Utility Infrastructure Upgrades Phase II. Consistent with the approved plan, the University requested \$15.5 million from revenue bonds supported by general revenues, tuition and fees for the second phase of the three-phase utility infrastructure upgrade project. Funding is programmed from FY 2023 through FY 2025. The total request for all phases of the utility infrastructure upgrade plan is \$31.5 million, including the \$6.5 million from revenue bonds for the first phase, \$15.5 million from revenue bonds for the second phase and \$9.2 million from revenue bonds for the third phase. The project involves the replacement of the steam/condensation distribution system, water distribution system, electrical distribution system, sanitary sewer system, and storm water management system. *The Governor recommended funding as requested and included authorization for Assembly approval of the bonds. Annual debt service is not expected to exceed \$1.4 million with a total cost not expected to exceed \$27.0 million supported by the University's unrestricted budget, including general revenues and tuition and fees.* **The Assembly concurred.**

Utility Infrastructure Upgrades Phase III. Consistent with the approved plan, the University requested \$9.2 million from revenue bonds supported by general revenues, tuition and fees for the final phase of the University's three-phase utility infrastructure upgrade project. The total request for all phases of the utility infrastructure upgrade plan is \$31.5 million, including the \$6.5 million from revenue bonds for the first phase, \$15.5 million from revenue bonds for the second phase and \$9.2 million from revenue bonds for the third phase. The project involves the replacement of the steam/condensation distribution system, water and electrical distribution systems, sanitary sewer system, and storm water management system. *The Governor recommended funding as requested.* **The Assembly concurred.**

White Horn Brook Apartments. The University requested \$91.9 million for the construction of a six story residence hall providing 500 new, apartment style beds for third and fourth-year students. Requested funding includes \$85.2 million from revenue bonds, \$5.0 million from University funds, \$1.6 million from interest earnings, and \$97,273 from third party financing. While the total requested funding is consistent with the approved plan, the University extended its timeline until FY 2023. The University indicated that while the residence hall portion is complete and is in the final closeout phase, it is now preparing a permanent space for facilities operations for staff relocated during construction. *The Governor recommended funding as requested.* **The Assembly concurred.**

Biological Resources Laboratory. The University's request includes \$6.8 million for the renovation of Avedisian Hall's lower floors to establish a new Biological Resources Laboratory, which is \$0.7 million more than the approved plan. Funding includes \$5.7 million from Rhode Island Capital Plan funds and \$1.1 million from University funds. The facility will contain Bio Safety Level-2 laboratories, lab animal holding rooms, a redundant emergency heating, ventilation and air conditioning system, and electrical systems for secure care of the animals and research work. This project is nearly complete and is in the final closeout phase. *The Governor recommended \$6.1 million from all sources, consistent with the approved plan.* **The Assembly concurred.**

Energy Conservation/Performance Contract - Phase III. The University's request includes the \$10.5 million borrowed through Certificates of Participation programmed through FY 2021 for the third phase of its Energy Performance Contract project, consistent with the approved plan. The scope of work includes

comprehensive multi-campus interior LED dimmable lighting, fume hood upgrades at the Center for Biology and Environmental Studies, replacement of heating, ventilation and air conditioning systems, installation of plug load controllers, electric sub-metering through the Kingston Campus, and weatherization upgrades. *The Governor recommended funding as requested. The Assembly concurred.*

Historic Quadrangle Building Renovations - Phase I. The University requested \$84.9 million from new general obligation bonds to go on the November 2024 ballot for voter approval for the first phase of a project to renovate the buildings in the historic quadrangle. Funding is programmed from FY 2026 into the post-FY 2027 period. The request is \$1.4 million more than last year's request, which represents the cost escalation for the addition of funding in FY 2027 and post-FY 2027. The first phase encompasses the renovation and restoration of Edwards Hall, East Hall, Washburn Hall and Morrill Hall as well as exterior improvements to Bliss Hall and Ranger Hall. The total cost of this phase, assuming 5.0 percent interest and a 20-year term, is \$138.7 million with annual debt service of \$6.8 million supported by general revenues. The renovations focus on the improvement of classrooms and offices, modernization of teaching laboratories, upgrading elevators and restrooms, replacing or installing heating, ventilation, and air conditioning systems, while also preserving and restoring the historical exterior building envelopes. While the request refers to this as the first phase of a project, there is no discussion of the second phase or funding requested. The University noted that this project would complement recent capital investments made in these buildings including roof replacements and window replacements. Additionally, renovations to Morrill Hall, which has mostly been vacant since 2013, would allow it to go back into full use. *The Governor did not recommend funding for this project. The Assembly took no action.*

Ryan Institute Laboratory Facility - Phase II. The University requested \$63.2 million for a new project to construct a laboratory and research facility to expand the Ryan Institute for Neuroscience. Requested funding includes \$1.0 million from private funding and \$62.3 million from a new general obligation bond to go before voters on the November 2022 ballot. This was requested last year at a total of \$65.2 million, of which \$64.3 million would have been from bonds; however, the project was not approved. The decrease is the result of moving the requested general obligation bond from 2024 to 2022, which saved two years of cost escalation. The total cost of the requested bond, assuming 5.0 percent interest and a 20-year term, is \$101.8 million with an annual debt service of \$5.0 million supported by general revenues. The University noted that this project aligns with several goals in President Dooley's Transformational Goals for the 21st Century report, which identifies neuroscience as a field targeted for rapid faculty growth. The University believes that developing a Ryan Institute laboratory facility demonstrates its commitment to invest in neuroscience. *The Governor did not recommend funding for this project. The Assembly concurred.*

Student Success Center. The University requested \$46.5 million from federal funding available to the state from the American Rescue Plan Act for the construction of a Student Success Center. The request is for \$2.8 million for FY 2022, \$9.4 million in FY 2023, \$17.2 million in FY 2024, and \$17.0 million in FY 2025. The request notes that the Student Success Center must be strategically located in or near the center of campus to send a clear signal about the University's institutional values and priorities in support of students, which the University believes will help with recruitment as well as retention of students. The Student Success Center would replace the Carlotti building and be sited on the southwestern corner of the historic quadrangle. The University stated that the Student Success Center must include the following components: Academic Advising Center aimed specifically at undergraduate students; Center for Career and Experiential Education in support of career guidance, internships, and student employment opportunities; Academic Enhancement Center providing science, technology, engineering, and math tutoring, time management and student skills, and in person and virtual learning skills; and disability student services and support. All of the services that will be housed in this new building already exist on campus and would not have any new associated operating costs. The University plans to engage a qualified architectural and engineering firm to develop plans for the project based on the programmatic needs identified in the ongoing advanced planning study. *The Governor did not recommend funding for this project. The Assembly concurred.*

Rhode Island State Council on the Arts

Projects	Status	5 Year Total	Project Total
Creative and Cultural Economy Bonds	Revised	\$ 3,603,288	\$ 36,000,000
Total		\$ 3,603,288	\$ 36,000,000
Sources of Funds			
General Obligation Bonds		\$ 3,603,288	\$ 36,000,000
Total		\$ 3,603,288	\$ 36,000,000

Summary. The Rhode Island State Council on the Arts requested \$66.3 million from general obligation bond proceeds for the Creative and Cultural Economy Grants program including \$36.3 million previously approved and \$30.0 million to be placed before the voters on the November 2022 ballot. The Council requested all new funds be awarded through a competitive application process. Previous bonds allocated a portion of funds to specific arts organizations as identified in the ballot question. The request includes \$2.4 million in the current year, \$1.4 million in FY 2023, \$1.1 million in both FY 2024 and FY 2025, and \$0.2 million in FY 2026 to exhaust the existing funding. The newly requested bonds are programmed with \$10.3 million in FY 2023 and the remaining over five additional years.

The request appears to double count \$0.3 million of previously approved funds. The Council indicated that of \$36.0 million in approved funding, \$29.7 million has already been allocated and only \$6.3 million remains. This level of expenditure is unlikely in FY 2023, the same year voter approval is expected. *The Governor did not recommend new bonds and programmed the bond proceeds as follows: \$3.2 million for FY 2022, \$1.2 million for FY 2023, \$1.1 million each for FY 2024 and FY 2025, and \$0.2 million for FY 2026.* **The Assembly concurred.**

Rhode Island Atomic Energy Commission

Projects	Status	5 Year Total	Project Total
Asset Protection	Ongoing	\$ 250,000	\$ 844,347
Total		\$ 250,000	\$ 844,347
Sources of Funds			
Rhode Island Capital Funds		\$ 250,000	\$ 844,347
Total		\$ 250,000	\$ 844,347

Summary. The Commission requested \$250,000 from Rhode Island Capital Plan funds for asset protection projects at the Rhode Island Nuclear Science Center in Narragansett during the five-year period of the capital plan and FY 2022. The request is consistent with the approved five-year plan, which provides \$50,000 annually and adds \$50,000 for FY 2027. The Commission divided asset protection projects into several categories to address immediate and long term needs of the facilities. These include landscaping improvements, exterior renovations, interior renovations, HVAC system improvements, and capability upgrades, which includes a radiation calibration range, gamma irradiator, and hot cell. *The Governor recommended funding as requested. The Assembly concurred.*

Historical Preservation and Heritage Commission

Projects	Status	5 Year Total	Project Total
Artifact Relocation	New	\$ 150,000	\$ 150,000
Old State House Rennovations	New	500,000	500,000
State Preservation Grants	Ongoing	750,000	6,000,000
Total		\$ 1,400,000	\$ 6,650,000
Sources of Funds			
Federal Funds		\$ 600,000	\$ 600,000
General Obligation Bonds		750,000	6,000,000
Other		50,000	50,000
Total		\$ 1,400,000	\$ 6,650,000

Summary. The Historical Preservation and Heritage Commission requested total capital expenditures of \$7.8 million for four projects, three of which are new. The request is \$1.8 million more than the approved plan. Funding includes \$3.0 million from federal funds, \$4.0 million from general obligation bonds already approved by voters, \$0.7 million from Rhode Island Capital Plan funds, and in-kind work valued at \$50,000. It inadvertently understates prior approved general obligation bonds by \$2.0 million. *The Governor recommended \$6.1 million from all sources for two projects, one of which is new.* **The Assembly provided \$0.5 million more from federal funds for three projects, two of which are new.**

Artifact Relocation. The Commission applied for a \$75,000 federal grant to relocate and catalog historical artifacts currently housed in the basement of the Old State House to a central repository at the University of Rhode Island. The project includes cataloging and moving to be completed by Commission members and storage space provided by the University of Rhode Island. The Commission indicates that hundreds of boxes of historically significant, informally accepted artifacts are stored in deteriorating conditions. Relocating, repackaging, and cataloging artifacts would ensure their safety and the ability of researchers to access the collection.

The Commission's request omits the expected \$75,000 from available federal funds and includes only the state contribution for in-kind work valued at \$50,000. Federal funds would pay for archival grade packaging, equipment, and upgrades to storage space at the University of Rhode Island. In the event the grant application is denied, work to move the artifacts will proceed, but the collection will not be cataloged. *The Governor's recommendation includes \$75,000 from federal funds and \$50,000 from University of Rhode Island funds for this project for FY 2023, consistent with the intended request.* **The Assembly provided \$25,000 more from federal funds to reflect an updated federal award.**

Old State House Renovation. The Commission requested \$0.7 million from Rhode Island Capital Plan funds over FY 2022 and FY 2023 for a new project to bring the Old State House into regulatory compliance. Subprojects include asbestos abatement, updated fire alarms and sprinklers, and Americans with Disabilities Act compliant elevator and bathrooms for all levels of the building. The goal of the work is to reopen the Old State House to the general public.

The request for \$0.7 million represents a state match for a federal grant. The grant application indicates a total project cost of \$1.7 million, including \$0.9 million from Rhode Island Capital Plan funds and \$0.9 million from federal funds. The Commission's request includes no federal funds and \$0.2 million less from Rhode Island Capital Plan funds than indicated in the federal grant application. The Commission indicated

it submitted a separate Save America's Treasures grant application for the same project in the event the first grant is not approved. That grant application includes only elevator-related work for a total project cost of \$1.4 million, including \$0.9 million from Rhode Island Capital Plan funds and \$0.5 million from federal funds. The Commission notes it will use any state funds to renovate the building regardless of federal funds availability. In September, subsequent to its request submission, the Commission indicated it did not receive the first grant but did receive the second grant.

The Governor did not recommend funding for this project and did not include the awarded funds in his capital or operating budgets. It should be noted that the Department of Administration's budget includes \$100,000 annually for asset protection needs at the Old State House, and the Commission indicates this project was awarded \$0.5 million in Accessibility Renovation funds from the Governor's Commission on Disabilities, including \$0.2 million for FY 2023 and \$0.4 million for FY 2024, also in the Department of Administration's budget. Subsequently, the Governor requested an amendment to add \$375,952 from Rhode Island Capital Plan funds for FY 2022 in the Department of Administration's budget for this project.

The Assembly concurred with the requested amendment for project funding in the Department of Administration and included \$0.5 million from federal funds in the Commission's operating budget to reflect the grant award.

State Preservation Grants. The Commission requested \$4.0 million from general obligation bonds to support the State Preservation Grants program. The request is \$2.0 million less than approved in error; the Commission intended to request funding consistent with the approved plan.

The voters approved funding in 2002 and 2004 for capital preservation and renovation projects at public historic sites, museums, and cultural art centers that were located in historic structures throughout the state through general obligation bond proceeds. These are reimbursement grants that have matching requirements per regulations that were established in 2015. Voters approved \$5.0 million on the November 2014 ballot and an additional \$1.0 million in the March 2021 special election to continue the program. Of that, \$0.5 million is programmed for both FY 2023 and FY 2024. *The Governor recommended \$0.7 million for FY 2022, \$0.5 million for FY 2023, and \$0.3 million for FY 2024, advancing \$0.3 million to FY 2022 compared to the approved plan. The Assembly concurred.*

Capital Improvement Grants. The Commission requested \$3.0 million from federal funds made available to the state under the American Rescue Plan Act for capital improvement grants to heritage organizations affected by COVID-19. The Commission proposed to limit eligibility to nonprofits that qualify as heritage organizations and prioritize those using the funds for public health related projects and those which have never received a State Preservation Grant. The Commission anticipated receiving applications and making awards in early 2022 for two-year projects. The request programs all funds for FY 2023. *The Governor did not recommend this project. The Assembly concurred.*

Office of the Attorney General

Projects	Status	5 Year Total	Project Total
New Building and Other Renovations	Revised	\$ 4,250,000	\$ 4,850,000
Building Renovations and Repairs	Ongoing	2,490,000	4,235,198
Total		\$ 6,740,000	\$ 9,085,198
Sources of Funds			
Federal Funds		\$ 4,250,000	\$ 4,850,000
Rhode Island Capital Funds		2,490,000	4,235,198
Total		\$ 6,740,000	\$ 9,085,198

Summary. The Office of the Attorney General requested \$7.6 million for three projects, one of which is new, including \$6.7 million to be spent in the five-year period. The request includes the use of \$4.9 million from federal forfeiture funds from Federal Equitable Sharing funds, with \$4.3 million in the five-year plan. The remainder is from Rhode Island Capital Plan funds. *The Governor recommended \$9.1 million, including \$6.7 million to be spent in the five-year period, essentially as requested, but combined the new project with an existing one.* **The Assembly concurred.**

New Building and Other Renovations. The Office requested \$4.9 million from its \$60.0 million share of Federal Equitable Sharing funds for ongoing projects related to its facilities during the five-year plan. Funding includes \$0.4 million for FY 2022 to closeout construction costs at 150 South Main Street. The Office shifted \$3.8 million from FY 2024 in the approved plan to FY 2027 based on a revised schedule allowing for additional time to complete an assessment on timing and energy efficiency. The request adds \$0.1 million for FY 2027 and \$0.1 million for post-FY 2027, but excludes \$50.0 million in prior year spending. *The Governor recommended funding as requested.* **The Assembly concurred.**

Building Renovations and Repairs. Consistent with the approved plan, the Office requested \$1.1 million from Rhode Island Capital Plan funds for asset protection at 150 South Main Street in Providence during the five-year period. Projects for FY 2022 include construction closeout, architectural and engineering services, and an assessment of the building. For FY 2023 through post-FY 2027, the Office requested \$150,000 annually for the continuation of interior renovations and capital improvement projects, consistent with the approved funding levels, but excludes \$3.1 million in prior year spending. *The Governor recommended funding largely consistent with the request, with the exception of including \$3.1 million in prior year spending and added the \$1.7 million from Rhode Island Capital Plan funds requested separately for roof repairs to this project for FY 2023.* **The Assembly concurred.**

Building Roof Repairs. The Office requested \$1.7 million from Rhode Island Capital Plan funds for FY 2023 to replace its main office roof at 150 South Main Street in Providence. This building was almost entirely remodeled over the past three years, but the building's roof membrane and gravel roof was last replaced in 1997. The Office anticipates this replacement will prevent water filtration, protecting the recently renovated interior. *The Governor included the requested funding as part of the building renovations and repairs project.* **The Assembly concurred.**

Department of Corrections

Projects	Status	5 Year Total	Project Total
Asset Protection	Revised	\$ 21,525,000	\$ 51,195,688
Facilities Renovations	Revised	250,000	30,416,714
Total		\$ 21,775,000	\$ 81,612,402
Sources of Funds			
Rhode Island Capital Funds		\$ 21,775,000	\$ 81,612,402
Total		\$ 21,775,000	\$ 81,612,402

Summary. The Department of Corrections requested \$396.7 million for the five-year period for total project costs of \$452.7 million. Funding in the five-year plan includes \$389.9 million from Rhode Island Capital Plan funds and \$6.8 million from federal funds. The request is for five projects; three of which are new. It is \$374.6 million more than the approved plan, including \$367.3 million for new projects. *The Governor recommended total project costs of \$87.9 million, including \$6.0 million from federal State Fiscal Recovery funds and \$81.9 million from Rhode Island Capital Plan funds for three projects, one of which is new. This includes \$27.8 million to be spent in the five-year period and \$8.5 million for use in FY 2022.*

The Governor subsequently requested an amendment to exclude \$0.3 million from Rhode Island Capital Plan funds in FY 2022 for Medium Security renovations reflecting updated project costs. The Assembly concurred with the amendment and provided \$81.6 million from Rhode Island Capital Plan funds, including \$21.8 million over the five-year period, for two projects. It also shifts \$3.3 million for the new project to the Information Technology Investment Fund in the Department of Administration's budget.

Asset Protection. The Department requested \$51.1 million from Rhode Island Capital Plan funds for asset protection projects at various facilities, including \$5.1 million for FY 2022 and FY 2023, and \$4.1 million annually from FY 2024 through the post- FY 2027 period. This request adds \$4.1 million for FY 2027 and the post- FY 2027 period and is otherwise consistent with the approved plan. The project includes replacing the radio system, plumbing, architectural and engineering costs, and heating, ventilation and air conditioning replacement across all its facilities. *The Governor recommended Rhode Island Capital Plan funds as requested and \$2.7 million from federal State Fiscal Recovery funds programmed for FY 2023 for the requested radio and communications system replacement. The Assembly concurred with the recommended Rhode Island Capital Plan funds and shifted the radio expense to general revenues.*

Facilities Renovations. The Department requested \$30.0 million from Rhode Island Capital Plan funds for renovations at the Medium and High Security facilities, which is \$9.8 million more than the approved plan, adjusted for \$11.6 million excluded from prior years. This includes \$1.0 million more than the approved plan for FY 2022 for the ongoing Medium Security renovations, reflecting project delays caused by the COVID-19 emergency.

The remaining changes of \$1.0 million for FY 2022 and \$7.8 million for FY 2023 are for the construction of a new transitional care unit within the High Security facility that will provide psychiatric treatment for individuals who need specialized clinical intervention. The enacted budget includes \$750,000 from general revenues to design a transitional care unit and a pilot program; a consultant was hired in summer 2021. This unit would house between 75 and 100 inmates and provide 10 hours per week of unstructured out of cell time and require 32 staff to operate. The American Civil Liberties Union, the National Prison Project,

and Disability RI filed a lawsuit against the Department in 2019, which alleged the Department's practices relating to inmates in need of clinical intervention was unconstitutional. The case consolidated several lawsuits involving the rights of seriously and persistently mentally ill inmates. The Department separately requested replacement for the High Security facility, which would also include this unit. *The Governor recommended \$3.4 million for FY 2022 to finish renovations at Medium Security and \$250,000 for FY 2023 for a study for the transitional care unit, renamed the behavioral management unit. This will determine the appropriate site, needs, design and cost for the unit. The Governor requested an amendment to exclude \$0.3 million reflecting updated project costs. The Assembly concurred.*

Information Technology Projects. The Department of Corrections requested \$19.4 million from the Rhode Island Capital Plan Fund for a new project that includes various technological upgrades and replacements. The request includes \$2.9 million for the current fiscal year, \$4.6 million for FY 2023, \$10.1 million for FY 2024, and \$0.6 million annually for FY 2025 through FY 2027. The project includes building a new system that would replace the existing facility tracking system, transition to community system, and probation and parole system. It also includes upgrading its substance abuse tracking system, Wi-Fi, and time tracking system. *The Governor recommended \$3.3 million from federal State Fiscal Recovery funds for the purchase and installation of Wi-Fi systems to allow for increased access to education programming for inmates. Funding is programmed as \$3.1 million for FY 2023, \$100,000 for FY 2024, and \$50,000 annually for FY 2025 and FY 2026. The Assembly concurred with the project, but shifted the expense to the Information Technology Investment Fund in the Department of Administration's budget.*

Weapons Qualification Facility. The Department requested \$8.2 million from federal funds, including \$1.4 million for FY 2022 and \$6.8 million for FY 2023, for a new project to build a new indoor firearms range at the Maximum Security facility. Annual weapons qualifications of correctional officers are required by statute; the Department has used the Camp Fogarty range, private ranges, and a municipal range to conduct qualifications. The Department indicated use of its own facility would allow for year round training not dependent on availability of other ranges or weather and result in overtime savings. The Department was unable to provide an estimate of those savings. *The Governor did not recommend funding. The Assembly concurred.*

New Maximum and High Security Facility and Minimum Security Facility. During FY 2020, CGL Companies conducted a report on behalf of the Department, which included a 25-year master plan for facilities renovations. Based on that report, the Department requested \$344.0 million from Rhode Island Capital Plan funds for two new facilities; one would combine the populations of the Maximum and High Security facilities and a new Minimum Security facility. The Department indicated it is still at the early stages of planning for these new facilities; the total requested is only a fraction of the projected cost.

The replacement of the Maximum and High Security facilities would be on land currently occupied by High Security. Construction would be complete in FY 2030 for a total project cost of \$366.0 million, including \$11.2 million in FY 2024 and \$106.4 million each in FY 2025 and FY 2026. It would contain 776 beds, including 512 for two maximum security units, 108 for a single high security housing unit, 100 for mental health and 56 for a medical infirmary.

The new Minimum Security facility is estimated to cost \$242.0 million and would be completed in FY 2034. For the five-year period, the request includes \$6.0 million for FY 2025 and \$57.0 million each for FY 2026 and FY 2027. The July 2021 occupancy at the Minimum Security facility was 124 inmates while the new facility is expected to contain 600 beds. The Department indicated that the existing Minimum Security facility design does not allow for the housing of certain types of inmates, such as sex offenders, to be housed there due to the lack of a secure perimeter. The new facility would allow for the Department to move 300 additional inmates to the new facility primarily from the Medium Security facility. *The Governor did not recommend funding. The Assembly concurred.*

Judicial Department

Projects	Status	5 Year Total	Project Total
Garrahy Judicial Complex - Asset Protection	Revised	\$ 4,500,000	\$ 4,500,000
Garrahy Courtroom Restoration	Revised	1,500,000	1,750,000
Judicial Complexes Asset Protection	Ongoing	6,600,000	16,850,482
Judicial Complexes - HVAC	Ongoing	3,500,000	13,468,328
Judicial Complexes - Fan Coil Unit Replacements	Ongoing	2,750,000	3,500,000
Licht Judicial Complex Restoration	Ongoing	2,250,000	8,000,359
Murray Courtroom Restoration	Ongoing	-	700,000
McGrath - HVAC	Ongoing	225,000	225,000
Total		\$ 21,325,000	\$ 48,994,169
Sources of Funds			
Rhode Island Capital Funds		\$ 21,325,000	\$ 48,994,169
Total		\$ 21,325,000	\$ 48,994,169

Summary. The Judiciary requested capital project expenditures totaling \$27.8 million. The request programs \$22.7 million to be spent in the FY 2023 through FY 2027 period; it includes eight previously approved projects, two of which are revised. Requested spending in the five-year period is \$950,000 more than the approved capital plan. Subsequent to submission of the budget, the Judiciary reported the request is overstated by \$250,000. *The Governor recommended \$1.6 million less than requested for one project inadvertently. The remaining recommendation is as requested and adjusts project totals to reflect prior year spending.* **The Assembly provided \$250,000 more than recommended to reflect correcting the overstatement identified by the Judiciary, offset by \$500,000 more for one project.**

Garrahy Judicial Complex - Asset Protection. The Judiciary requested \$4.5 million from Rhode Island Capital Plan funds to restore the interior of the Garrahy Judicial Complex. Projects include repairing tiles, removing and replacing floors and carpets, sealing and repainting the walls and ceilings, woodwork refinishing, and refurbishing employee bathrooms. The request excludes \$1.0 million from the Governor's capital budget publication programmed for the post-FY 2026 period, correcting a prior overstatement. *The Governor recommended funding as requested.* **The Assembly concurred.**

Garrahy Courtroom Restoration. The Judiciary requested \$2.0 million from Rhode Island Capital Plan funds for courtroom restoration projects in the Garrahy Judicial Complex. Restoration projects include replacing courtroom seating and benches, sealing and repainting the walls, carpet replacement, and woodwork refinishing. The Judiciary noted that all of the courtrooms in the Garrahy Complex are in disrepair and require restoration. The Judiciary estimated that it can complete four to five courtrooms per fiscal year, at an average cost of \$62,500 per courtroom. This is one year longer and \$0.3 million more than the approved plan to reflect funding for FY 2025. The Judiciary subsequently reported the additional funding is inadvertent; the request should reflect the approved plan. *The Governor recommended funding as requested, erroneously retaining the error.* **The Assembly provided \$250,000 less to correct the error.**

Judicial Complexes Asset Protection. The Judiciary requested \$8.1 million from Rhode Island Capital Plan funds for the FY 2022 through FY 2027 period. The request excludes \$11.3 million of expenditures prior to FY 2022. Requested spending for the FY 2022 to FY 2026 period is consistent with the approved plan; FY 2027 includes \$1.2 million, consistent with approved funding for FY 2025 and FY 2026. Projects

planned through FY 2027 include upgrades for the elevators, fire suppression and alarm system, and security system, interior restoration, LED lighting replacements, and exterior renovations of the John E. Fogarty Court Building. The Fogarty project is assumed to be completed in FY 2022; the remaining projects are ongoing. *The Governor recommended funding as requested and included prior year expenses. The Assembly concurred.*

Judicial Complexes - HVAC. The Judiciary requested \$4.6 million from Rhode Island Capital Plan funds for the FY 2022 through FY 2027 period for the ongoing replacement or restoration of the heating, ventilation, and air conditioning systems for all judicial complexes, including Licht, Garrahy, Murray, McGrath, Kent, Traffic Tribunal, and the Fogarty Judicial Annex. The Judiciary utilizes this funding to address ongoing issues as they arise, requesting funding indefinitely. The request excludes \$11.6 million of spending prior to FY 2022. The request shifts \$0.1 million of unspent FY 2021 funding to FY 2022, and adds \$0.5 million for FY 2027. For FY 2022, projects include finalizing the major component replacement at the Garrahy complex, water heater replacement at the Traffic Tribunal, Fogarty Annex and McGrath complex, and heat pump, exhaust fan, and snow melt heat exchanger work at the library offices, and Licht and Noel complexes. *The Governor recommended funding as requested and included prior year expenses. The Assembly concurred.*

Judicial Complexes - Fan Coil Unit Replacements. The Judiciary requested \$4.6 million from Rhode Island Capital Plan funds to replace the fan coil units at the Licht and Murray judicial complexes and the Fogarty Judicial Annex. Many of the current fan coil units are approximately 30 years old, five years beyond their useful life. The Judiciary noted that replacement of the units will result in energy savings. The requested total and funding schedule for FY 2022 through FY 2026 is unchanged from the approved plan; \$1.6 million of previously approved funding is split between FY 2027 and the post-FY 2027 period. Programmed expenditures include \$0.8 million each for FY 2022, FY 2023 and FY 2027, \$0.5 million each for the FY 2024 through FY 2026 period, and \$0.9 million for the post-FY 2027 period. *The Governor recommended \$1.6 million less, excluding funding for the post-FY 2026 period. It appeared this may have been inadvertent. The Assembly provided \$500,000 for FY 2027, consistent with the FY 2024 to FY 2026 period.*

Licht Judicial Complex Restoration. The Judiciary requested \$3.0 million from Rhode Island Capital Plan funds for the restoration of the Licht Judicial Complex, essentially consistent with the approved plan. Requested funding includes \$2.3 million in the five-year period. The request shifts \$42,193 of unspent FY 2021 funding to FY 2022. The request excludes \$5.0 million of spending prior to FY 2022. Restoration projects include ornamental plaster and woodwork repair, courtroom seating and benches, carpet replacement, and repainting of the courthouse interior. *The Governor recommended funding and included prior year expenses. The Assembly concurred.*

Murray Courtroom Restoration. Consistent with the approved plan, the Judiciary requested \$0.7 million from Rhode Island Capital Plan funds for the current year to restore the Murray Judicial Complex. Restoration projects include replacing courtroom seating and benches, sealing and repainting the walls, carpet replacement, and woodwork and ornamental plaster restoration. *The Governor recommended funding as requested. The Assembly concurred.*

McGrath - HVAC. Consistent with the approved plan, the Judiciary requested \$0.2 million from Rhode Island Capital Plan funds to replace all 18 heating, ventilation and air conditioning rooftop units at the McGrath Judicial Complex in FY 2023. The Judiciary reported that these units are nearing the end of their useful lives; furthermore, that the location of the courthouse and elements these units are exposed to leads to a shorter useful life for these units than for units at other courthouses. *The Governor recommended funding as requested. The Assembly concurred.*

Military Staff

Projects	Status	5 Year Total	Project Total
Quonset Air National Guard Headquarters Facility	New	\$ 31,000,000	\$ 33,520,000
Sun Valley Armory	New	7,313,424	7,878,606
Quonset Air National Guard Facilities	Revised	123,167,500	213,475,146
Military Staff Asset Protection	Revised	21,515,820	50,075,783
Aviation Readiness Center	Revised	38,263,735	38,413,735
Quonset Airway Runway Reconstruction	Ongoing	57,461,856	57,461,856
Joint Force Headquarters Building	Ongoing	-	35,086,919
Middletown Armory Addition	Ongoing	-	4,176,214
Counterdrug Training Facility	Ongoing	-	5,243,329
Bristol Readiness Center	Ongoing	-	157,309
Armory of Mounted Commands Rehabilitation	Ongoing	733,000	733,000
Total		\$ 279,455,335	\$ 446,221,897
Sources of Funds			
Federal Funds		\$ 264,002,665	\$ 413,789,995
Rhode Island Capital Funds		15,452,670	32,431,902
Total		\$ 279,455,335	\$ 446,221,897

Summary. The Military Staff requested a total of \$475.5 million for 13 projects, four of which are new. Funding in the five-year period includes \$284.8 million from federal funds and \$15.1 million from Rhode Island Capital Plan funds. This is \$65.1 million more than the approved plan over the five-year period, including \$59.6 million more from federal funds and \$5.5 million more from Rhode Island Capital Plan funds.

The Governor recommended total project costs of \$446.7 million, including \$32.9 million from Rhode Island Capital Plan funds and \$413.8 million from federal funds, for 11 projects, two of which are new. The recommendation assumes \$278.7 million will be spent in the five-year period and \$24.8 million for use in FY 2022. The Assembly provided \$0.4 million less from Rhode Island Capital Plan funds to reflect final expenses for a completed project.

Quonset Air National Guard Headquarters Facility. The Military Staff requested \$33.5 million, including \$30.5 million from federal funds and \$3.0 million from Rhode Island Capital Plan funds, for a new project to construct an operations, training, medical, and dining facility on an empty lot at the Quonset Air National Guard Base. Leadership, medical, and support staff would relocate from the current facility, which the Military Staff indicated will substantially lower energy, maintenance, and other costs. The existing facility will be demolished.

The National Guard Bureau has funded the project's design, and a plan is expected to be completed by the start of FY 2023. Construction is expected to begin in FY 2024. The Military Staff indicated that estimated project costs exceeded federal funding approved by the National Guard Bureau, and it requested the state to fund the difference from Rhode Island Capital Plan funds. This would mean that the state is responsible for any future project cost increases unless more federal funds become available in the future. *The Governor recommended funding as requested. The Assembly concurred.*

Sun Valley Armory. The Military Staff requested \$7.9 million, including \$7.1 million from federal funds and \$0.8 million from Rhode Island Capital Plan funds, for a new project to construct additional armory and storage space at the Sun Valley Armory. The Military Staff indicated that the current facility lacks space for sensitive equipment, and egress routes currently double as additional storage space posing a safety hazard. The Military Staff indicated National Guard members do not have the necessary training space inside; outside training limits opportunities and exposes sensitive equipment to damage. The request includes \$0.6 million for FY 2022 and \$7.3 million for FY 2023. The requested state funds will be used for any unforeseen changes in construction and design costs above federal appropriations. *The Governor recommended shifting \$62,798 from FY 2022 to FY 2023 to reflect an updated project timeline.* **The Assembly concurred.**

Quonset Air National Guard Facilities. The Military Staff requested \$213.5 million from federal funds for various projects at the Quonset Air National Guard Base, which are funded solely from federal National Guard Bureau funds. The request is \$18.9 million more than the approved plan, reflecting updated project costs and additional years of work. It omits \$0.3 million in prior year funds and includes \$11.3 million less for FY 2022, \$21.6 million more for FY 2027, and \$7.2 million for post-FY 2027.

Some of the larger projects include construction of a new Wing Headquarters and a 282nd Combat Communications Squadron facility, renovations and repairs to base supply and vehicle maintenance buildings, and additional construction work and repairs to the base fire and crash rescue station. *The Governor recommended funding as requested.* **The Assembly concurred.**

Military Staff Asset Protection. The Military Staff requested \$53.8 million from all sources for asset protection projects, including \$11.8 million from Rhode Island Capital Plan funds and \$42.0 million from federal funds. The agency identified 14 distinct asset protection projects, including repairs and upgrades to heating, ventilation and air conditioning system, masonry, latrines, generators, and environmental regulatory compliance. The request is \$1.7 million more than approved, including \$1.3 million less from federal funds and \$3.0 million more from Rhode Island Capital Plan funds. The increase reflects inclusion of an unexpected \$6.0 million from federal funds for FY 2022 to install an aircraft hangar ramp. The request programs \$8.8 million more than approved from FY 2022 through FY 2027, including \$5.2 million more primarily from federal funds for FY 2022. It also omits \$10.6 million in prior year funds and adds \$3.6 million for post-FY 2027.

The Governor recommended \$3.7 million less than requested, including \$0.3 million less from Rhode Island Capital Plan funds. Compared to the request, the recommendation omits the \$3.6 million for the post-FY 2027 period, includes \$40,917 less from Rhode Island Capital Plan funds for FY 2022, and omits \$149,367 from prior year funds. **The Assembly concurred.**

Aviation Readiness Center. Consistent with the approved plan, the Military Staff requested \$38.4 million, including \$35.6 million from federal funds and \$2.9 million from Rhode Island Capital Plan funds to construct a National Guard Readiness Center that supports training, administrative and logistical requirements of the 1-126 Aviation Unit of the Rhode Island Army National Guard. The Military Staff currently utilizes three facilities that were not designed for military purposes and two of the facilities do not meet the minimum antiterrorism and force protection requirements set by the Department of Defense. The new facility will be on state-owned land located at Quonset Point Airport and construction would begin in FY 2024 and finish in FY 2025.

This project is included in the Department of Defense's five-year Future Year Defense Program for \$28.1 million for 92.5 percent of total costs, including 95.0 percent of construction costs, and begins in FY 2024. The Department indicated funding through FY 2023 will be used for planning coordination between Military Staff and federal military designees who will validate that the facility meets requirements and construction cost estimates are met. Once an agreement is reached, design will be completed, likely in FY

2023. *The Governor concurred. The Assembly shifted \$2.6 million from Rhode Island Capital Plan funds from FY 2023 and FY 2024 to FY 2025 and FY 2026 based on planned expenditures.*

Quonset Airway Runway Reconstruction. Consistent with the approved plan, the Military Staff requested \$57.5 million, including \$54.6 million from federal funds and \$2.9 million from Rhode Island Capital Plan funds to upgrade runway 16-34 at Quonset State Airport. The Military Staff utilizes the Quonset State Airport for military operations, including training and aviation operations. According to the Military Staff, the airport's runway does not currently meet military regulations for safety overruns, taxiway separation, pavement type, and taxiway width. The project would permit the runway to accommodate most military aircraft. *The Governor recommended total funding consistent with the approved plan and advanced \$3.4 million from FY 2024 to FY 2023, including \$3.2 million from federal funds and \$0.2 million from Rhode Island Capital Plan funds. The Assembly concurred.*

Joint Force Headquarters Building. The Military Staff requested \$35.3 million for a new joint headquarters facility at Camp Fogarty in East Greenwich, including \$24.6 million from federal funds and \$10.7 million from Rhode Island Capital Plan funds. The request is \$1.8 million more than approved, including \$2.3 million more from federal funds and \$0.5 million less from Rhode Island Capital Plan funds. The increase reflects previously excluded federal funds for furniture, fixtures, and equipment. This will be used for training and administration of the Rhode Island National Guard and will replace the Command Readiness Center. At the time of its request, the Military Staff reported the project is nearly complete. *The Governor recommended federal funds as requested and Rhode Island Capital Plan funds consistent with the approved plan. The Assembly included \$0.4 million less from Rhode Island Capital Plan funds for FY 2022 to reflect final project expenses.*

Middletown Armory Addition. The Military Staff requested \$3.2 million to construct additional armory and storage space at the Middletown Armory, including \$3.1 million from federal funds and \$0.1 million from Rhode Island Capital Plan funds. The Military Staff indicated that the current facility lacks the necessary training, administrative, and storage space to adequately conduct its operations. The project required the acquisition of additional land from the Airport Corporation where the Middletown Armory is located, which was done in FY 2019.

The request is \$0.8 million less from Rhode Island Capital Plan funds than approved, all for pre-FY 2022 funding, but the Military Staff indicated this is an unintentional mistake. The \$0.8 million reflects the cost of land transfer from the Airport Corporation and the Department of Transportation. The Military Staff intended to request funding consistent with the approved plan. *The Governor recommended total funding consistent with the approved plan and delayed federal funds from FY 2021 to FY 2022 to reflect project delays. The Assembly concurred.*

Counter Drug Training Facility. Consistent with the approved plan, the Military Staff requested \$5.2 million from federal funds to construct a new counterdrug facility at Camp Fogarty in East Greenwich. The funds are available from asset forfeitures generated from the Rhode Island National Guard's counter drug activities and are part of the state's overall Google settlement. The facility would provide administration, classroom, storage, and barrack space to support counter drug training activities. All funds are programmed for FY 2022. *The Governor recommended funding as requested. The Assembly concurred.*

Bristol Readiness Center. The Military Staff requested \$214,000 from Rhode Island Capital Plan funds, \$22,000 more than approved, for a feasibility study on constructing a new two-unit readiness center in Bristol to replace the Bristol and Warren Armories. The request shifts \$34,691 from FY 2021 to FY 2022 and the requested increase reflects finalized contract costs. The feasibility study proposes renovation of an existing site and construction of a new armory facility in Bristol that will meet all updated federal requirements and is capable of supporting two Rhode Island National Guard units and a field maintenance shop. The existing East Bay armories and field shop do not meet the standards or needs of the National

Guard. The National Guard Bureau is responsible for 75.0 percent of the cost for constructing a new readiness center. *The Governor recommended \$34,691 less than approved, excluding all funding reappropriated to FY 2022. Subsequently, the Budget Office indicated the project is not complete and the Military Staff is expected to use \$22,720 from asset protection plan funds to complete the project.* **The Assembly concurred.**

Armory of Mounted Commands Rehabilitation. The Military Staff requested \$0.7 million for the final year of a project to rehabilitate the Armory of Mounted Commands facility, including \$0.4 million from federal funds and \$0.4 million from Rhode Island Capital Plan funds. The request omits \$8.5 million of prior year funding, a reduction which the Military Staff indicates is unintentional. Individual components include heating, ventilation, and air conditioning systems upgrades, elevator installation, roof replacement, parking lot paving, and exterior repointing. *The Governor recommended funding as requested.* **The Assembly delayed \$0.4 million from FY 2022 to FY 2023 to reflect an updated project timeline.**

National Guard Information Technology. The Military Staff requested \$3.2 million from federal funds made available to the state through the American Rescue Plan Act for a new project to purchase updated information technology equipment. Major items include 800 laptops, 200 desktops, 15 servers, and associated accessories. The Military Staff indicated that current information technology equipment is sourced directly from the Department of Defense and is not compatible with state government equipment and technology. This hampers coordination and efficiency in responding to domestic emergencies, and requires the use of National Guard members' personal devices. Existing information technology assets have been overwhelmed by more resource demand and users than anticipated as a result of the COVID-19 pandemic. The request programs all funding for FY 2022. *The Governor did not recommend this project.* **The Assembly concurred.**

Reception Staging and Responder Support Facility. The Military Staff requested \$23.0 million, including \$22.9 million from federal funds made available to the state under the American Rescue Plan Act and \$0.1 million from federal National Guard Bureau funds, for a new project to construct a new Responder Support Facility on Camp Fogarty. In emergency situations, the facility would provide housing and dining space for over 100 responders to be deployed. The facility would not be an open bay sleeping facility but would be laid out in dormitory style, sleeping two to four people per room with a shared latrine, consistent with new army standards. The Military Staff indicated the Rhode Island National Guard lacks sufficient housing and dining space for its members for training, preparation, and emergency response. The state currently houses emergency responders in commercial dual-occupancy lodging at the federal per diem rate of \$96 to \$220 per night. *The Governor did not recommend this project.* **The Assembly concurred.**

Rhode Island Emergency Management Agency

Projects	Status	5 Year Total	Project Total
RI Statewide Communications System Network Infrastructure Upgrades	New	\$ 2,519,400	\$ 2,669,400
State Emergency Operations Center Upgrade	New	524,657	524,657
RI Statewide Communications System Network	Ongoing	5,983,200	18,449,671
Emergency Management Building Feasibility Study	Ongoing	-	250,000
Total		\$ 9,027,257	\$ 21,893,728
Sources of Funds			
Federal Funds		\$ 2,000,000	\$ 5,000,000
Rhode Island Capital Funds		7,027,257	16,893,728
Total		\$ 9,027,257	\$ 21,893,728

Summary. The Emergency Management Agency requested capital project expenditures totaling \$30.1 million, which is \$11.9 million more than the approved capital plan. The request programmed \$15.7 million to be spent in the FY 2023 through FY 2027 period, and includes five projects, three of which are new. *The Governor recommended total project costs of \$21.9 million, including \$16.9 million from Rhode Island Capital Plan funds and \$5.0 million from federal funds, for four projects, including two new ones. This includes \$9.0 million to be spent in the five-year period and \$2.2 million for use in FY 2022.* **The Assembly concurred.**

Rhode Island Statewide Communications Network Infrastructure Upgrades. The Agency requested \$2.7 million from Rhode Island Capital Plan funds to conduct software, equipment and hardware upgrades to increase the capabilities of the statewide communications system network. This will include replacing propane generators, add generators to sites, provide more resilient fiber cable connections, add repeaters to extend the range of communications, and harden current security, lighting and camera systems. The network is a radio system used to expedite emergency phone calls and disaster related communications throughout the state. The request includes \$1.1 million for FY 2023, \$1.2 million for FY 2024, and the remaining \$0.3 million is spread through the post-FY 2027 period. *The Governor recommended funding as requested.* **The Assembly concurred.**

State Emergency Operations Center Upgrade. The Agency requested \$0.5 million from Rhode Island Capital Plan funds for FY 2023 for a new project to upgrade the State Emergency Operations Center. Proposed work includes new equipment, desk and office reconfigurations, geographic information system software, lighting system replacement, renovating restrooms, break rooms and lunch areas, and other upgrades. The Center serves as the coordinating center for all of state government during an emergency, including during the COVID-19 pandemic. The Agency reported the building was last updated in 2010 and requires updating to ensure a more effective use of space, and provide a training area for emergency response during non-emergency periods. The approved plan includes \$250,000 for a feasibility study to evaluate the adequacy of the current facilities for use as a State Emergency Operations Center, and determine the feasibility of renovating existing facilities, or alternatively, identify a more suitable location that meets the Agency's needs. The Agency indicated that if it did move to a new facility, it would be able to move the requested equipment. *The Governor recommended funding as requested.* **The Assembly concurred.**

Rhode Island Statewide Communications Network. The Agency requested \$23.9 million, including \$1.5 million from Rhode Island Capital Plan funds and \$0.5 million from federal funds annually for FY 2022 through FY 2027, for required and preventative maintenance to the Rhode Island Statewide Communications Network. The network is a radio system used to expedite emergency phone calls and disaster related communications throughout the state. Requested funding is consistent with the approved plan for FY 2022 through FY 2025 and includes additional funding for FY 2026 and FY 2027. *The Governor recommended funding consistent with the approved plan.* **The Assembly concurred.**

Emergency Management Building Feasibility Study. Consistent with the approved plan, the Agency requested \$250,000 from Rhode Island Capital Plan funds for FY 2022 for a feasibility study of its headquarters in Cranston and its separately located warehouse facility in Scituate, for use as a State Emergency Operations Center. In addition to other responsibilities, the Emergency Management Agency is tasked with providing Rhode Island with a State Emergency Operations Center from which the state's response to man-made and natural disasters can be directed and coordinated. The study would evaluate the adequacy of the current facilities for use as a State Emergency Operations Center, and determine the feasibility of renovating existing facilities, or alternatively, identify a more suitable location that meets the Agency's needs. The Agency's request includes \$0.5 million for a new project for FY 2023 to upgrade the existing State Emergency Operations Center. The Agency indicated that if it did move to a new facility, it would be able to move the requested equipment. *The Governor recommended funding as requested.* **The Assembly concurred.**

Geographic Information System. The Agency requested \$2.7 million to license a statewide geographic information system to enhance emergency response and preparation capabilities. The Agency reported that agencies using geographic information systems use their own systems and different products, which slows coordination efforts and data sharing issues. The Agency indicated that all state agencies would utilize the centralized system. The request includes funding of \$0.5 million for the second half of FY 2025 and annual funding of \$1.1 million for FY 2026 and FY 2027. *The Governor did not recommend funding.* **The Assembly concurred.**

Department of Public Safety

Projects	Status	5 Year Total	Project Total
State Police Vehicle Cameras	New	\$ 960,000	\$ 1,200,000
Information Technology	New	-	879,500
Vehicles	New	10,889,000	13,279,000
Asset Protection	Revised	5,645,320	7,925,017
Headquarters Roof Replacement	Revised	-	2,100,270
Training Academy Upgrades	Revised	5,071,380	5,605,758
Microwave System Replacement	Revised	923,716	1,154,645
Portsmouth Barracks	Ongoing	1,650,000	2,000,000
New Southern Barracks	Ongoing	26,000,000	28,100,000
State Police Facilities Master Plan	Complete	-	800,000
Total		\$ 51,139,416	\$ 63,044,190
Sources of Funds			
General Revenues		\$ 960,000	\$ 2,079,500
Rhode Island Capital Funds		39,290,416	47,685,690
State Fleet Replacement Loan Fund		10,889,000	13,279,000
Total		\$ 51,139,416	\$ 63,044,190

Summary. The Department of Public Safety requested \$50.8 million of which \$40.4 million would be used in the five-year period for eight projects. This is \$5.0 million more than the approved plan and includes two new projects. *The Governor recommended \$63.3 million including \$50.2 million in the five-year period for ten projects. This is \$1.5 million less than requested and excludes costs for body-worn cameras.* **The Assembly shifted \$0.9 million from FY 2022 to FY 2023 for the Training Academy Upgrades project and reduced asset protection expenses by \$0.3 million in FY 2022.**

State Police Vehicle Cameras. The Department requested \$2.7 million for purchasing, installation, and training of body-worn cameras and vehicle cameras. The request includes \$1.5 million from the general revenues appropriated in the FY 2022 enacted budget plus \$1.2 million from the State Fleet Replacement Loan Fund. This assumes that the vehicle cameras would be purchased. *The Governor recommended \$1.2 million from general revenues, \$240,000 annually, for five years beginning in FY 2022, for vehicle camera purchases only.* **The Assembly concurred.**

Information Technology. The Department requested \$0.9 million from the Information Technology Investment Fund for system upgrades in FY 2022. This includes \$0.8 million to replace the 30 terabyte data storage system with a new 150 terabyte system. It also includes the remaining \$0.1 million of the \$0.4 million approved in the FY 2021 budget, but not reappropriated, to replace the National Crime Information Center, management information system, forensic services, and detective services network switches. *The Governor recommended \$0.9 million from general revenues in FY 2022.* **The Assembly concurred.**

Vehicles. Following the capital budget submission, the Department identified the need for \$13.3 million from State Fleet Replacement Loan funds to purchase 256 vehicles from FY 2022 through FY 2027 for the State Police, Sheriffs, and Capital Police. While reported with the capital request, vehicles are not capital expenditures and are typically only included in operating budgets. *The Governor recommended funding as requested. His capital budget shows vehicle purchases for many agencies.* **The Assembly concurred.**

Asset Protection. The Department requested \$8.2 million from Rhode Island Capital Plan funds for asset protection projects, including \$5.6 million in the five-year period. The request is \$4.4 million more than the approved plan and includes \$1.1 million for FY 2022, \$1.0 million for FY 2023, \$2.1 million for FY 2024, \$1.3 million for FY 2025, \$0.6 million for FY 2026, and \$0.7 million for FY 2027. The increase reflects the inclusion of work in most years that the Assembly did not approve as standalone projects, such as the Administrative Support Building Renovations. *The Governor recommended funding as requested.* **The Assembly provided \$7.9 million from Rhode Island Capital Plan funds, including \$5.6 million in the five-year period. This includes \$0.3 million less for FY 2022 based on projected spending.**

Headquarters Roof Replacement. The Department requested \$2.1 million from Rhode Island Capital Plan funds, which is \$0.8 million more than approved for FY 2022 to reflect the rebid of the Kemper gutter portion of the roof replacement contract as recommended by the Department of Administration's legal team. *The Governor recommended funding essentially as requested.* **The Assembly concurred.**

Training Academy Upgrades. The Department requested \$5.6 million from Rhode Island Capital Plan funds for rehabilitation projects at the Training Academy. The request is \$3.5 million more than the approved plan and reflects an expanded scope that takes into account pursuing a joint municipal training academy and increased cost estimates. The expanded scope includes upgrading and renovating classroom facilities, replacing the storage facilities, and renovating the pump house. The original planned work during the five-year period includes classroom, grounds, firing range, and confidence course/fitness barn renovations and an emergency vehicle operator course feasibility study. Work is programmed through FY 2027. *The Governor recommended funding as requested.* **The Assembly shifted \$0.9 million from FY 2022 to FY 2023 based on an updated project schedule.**

Microwave System Replacement. The Department requested \$1.2 million from Rhode Island Capital Plan funds from FY 2022 through FY 2026, which is \$0.1 million more than the approved plan to reflect the actual cost of equipment. It is a five-year contract totaling \$1,154,654 payable at \$230,929 per year. The upgrade of the microwave system will allow the State Police Radio Bureau to eliminate a \$140,000 per year maintenance contract commencing in FY 2023. The new contract for the microwave replacement includes service. *The Governor recommended funding as requested. Neither the Department's request nor the Governor's recommendation appear to include the savings from eliminating the maintenance contract.* **The Assembly concurred and also did not assume any operating budget savings.**

Portsmouth Barracks. Consistent with the approved plan, the Department requested \$2.0 million from Rhode Island Capital funds to rehabilitate the Portsmouth Barracks. The work satisfies the Department's strategic goals and objectives related to traffic safety and gaming enforcement. Upgrades will focus on ensuring that the facility meets Americans with Disabilities Act requirements, including building, life safety, and energy codes. *The Governor recommended funding as requested.* **The Assembly concurred.**

New Southern Headquarters. Consistent with the approved plan, the Department requested \$28.1 million from Rhode Island Capital funds to construct a new Southern barracks. The project is intended to provide the Division of State Police with a modern facility located conveniently in the southern part of the state, improving public access to State Police facilities. This project will allow the Department to decommission the Wickford and Hope Valley barracks and relocate staff and administrative assignments from outdated facilities to a new, safe location. This ensures State Police facilities will be handicapped accessible, have public restrooms, be asbestos free, and have Americans with Disabilities Act compliant bathrooms/shower facilities. *The Governor recommended funding as requested.* **The Assembly concurred.**

State Police Facilities Master Plan. Consistent with the approved plan, the Department requested \$800,000 from Rhode Island Capital Plan funds for a master plan and feasibility study. The study included the short and long-term mission, programs, and facility needs of the Rhode Island State Police and was completed in FY 2021. *The Governor recommended funding as requested.* **The Assembly concurred.**

Office of the Public Defender

Projects	Status	5 Year Total	Project Total
Case Management System	New	\$ 303,920	\$ 607,840
Total		\$ 303,920	\$ 607,840
Sources of Funds			
Federal Funds		\$ 303,920	\$ 607,840
Total		\$ 303,920	\$ 607,840

Summary. The Office of the Public Defender requested \$0.8 million from restricted Information Technology Investment funds for a new case management system to be integrated with the Judiciary's system; this will replace the current system that is 20 years old and obsolete. This allows the Office to unify and manage its cases in the statewide court system. The five-year capital request includes \$0.7 million for FY 2023 for software, licensing, training, and first year maintenance costs and \$32,321 for FY 2024 through FY 2027 for ongoing costs.

*The Governor recommended \$0.3 million annually in FY 2022 and FY 2023 from federal Coronavirus Emergency Supplemental funds awarded to the Department of Public Safety to support a broad range of activities to prevent, prepare for, and respond to the coronavirus. He included \$32,321 from general revenues for ongoing costs in the FY 2023 recommended budget. The Office was later notified that the project with the Judiciary will not go forward and instead be used to purchase a separate case management system. **The Assembly concurred.***

Department of Environmental Management

Projects	Status	5 Year Total	Project Total
Marine Infrastructure/Pier Development	New	\$ 6,500,000	\$ 6,500,000
Forest Conservation and Habitats	New	3,000,000	3,000,000
Fish and Wildlife Facilities	New	2,000,000	2,400,000
Galilee Piers	Revised	55,340,278	68,956,017
Recreational Facility Improvements	Revised	64,485,578	105,330,836
State Land Acquisition - Open Space	Revised	3,506,879	19,500,000
Brownfields Remediation	Revised	7,836,391	18,000,000
Narragansett Bay and Watershed Restoration Fund	Revised	4,469,101	15,500,000
Local Recreation Development Grants	Revised	9,500,000	25,500,000
Local Land Acquisition Grants	Revised	5,527,367	20,500,000
Dam Repair	Revised	9,694,238	18,624,673
Newport Piers	Revised	4,000,000	4,598,965
Roger Williams Park and Zoo	Revised	12,000,000	30,000,000
Natural Resources Office and Visitors Center	Ongoing	5,000,000	5,686,349
Fort Adams Trust	Ongoing	1,500,000	4,590,146
State Bikeway Development	Ongoing	7,030,216	15,000,000
Flood Prevention	Ongoing	910,154	3,000,000
Stormwater Pollution Prevention	Ongoing	1,209,805	3,000,000
Farmland Development Rights Acquisition	Ongoing	1,000,000	9,500,000
Blackstone Valley Park Improvements	Ongoing	244,191	2,240,191
Recreational Facilities Asset Protection	Ongoing	2,500,000	4,000,000
Wastewater Treatment Facility Resilience Improvements	Ongoing	3,000,000	5,000,000
Coastal Resiliency and Public Access Projects	Ongoing	3,659,577	5,000,000
Natural and Working Lands	Ongoing	3,000,000	3,000,000
Woonasquatucket River Watershed	Ongoing	2,000,000	2,000,000
Total		\$ 218,913,775	\$ 400,427,177

Sources of Funds

Federal Funds	\$ 23,700,000	\$ 31,661,500
General Obligation Bonds - New	26,000,000	26,000,000
General Obligation Bonds	73,599,490	199,090,000
Other	-	-
Rhode Island Capital Funds	95,614,285	143,675,677
Total	\$ 218,913,775	\$ 400,427,177

Summary. The Department of Environmental Management requested a total \$622.9 million, of which \$373.0 million will be used in the five-year period for 27 capital projects, four of which are new. Funding in the five-year period includes \$22.6 million from federal funds, \$140.7 million from general obligation bonds already approved by the voters, and \$151.0 million from new general obligation bonds to go before the voters, \$2.6 million from Information Technology Investment funds, and \$56.1 million from Rhode Island Capital Plan funds.

The Governor recommended \$391.3 million from all sources, including \$209.7 million in the five-year period. He did not recommend two of the new projects, but did include funding for a new Marine Infrastructure/Pier Development project not in the request. The recommendation is \$242.5 million less than requested, including \$214.6 million in the five-year period.

The Assembly added \$46.0 million from Rhode Island Capital Plan funds in lieu of State Fiscal Recovery funds for the Galilee Piers project and \$3.0 million from Rhode Island Capital Plan funds for recreational facilities improvements. It also provided \$5.8 million from the Information Technology Investment Fund in lieu of State Fiscal Recovery funds for the Permit and Licensing IT project, authorized \$12.0 million for a new education center for Roger Williams Park and Zoo to go on the November 2022 ballot for voter approval, and concurred with the remainder of the recommendation.

Marine Infrastructure/Pier Development. The Department is responsible for commercial fishing pier improvements, boat ramps, fish hatcheries and other Department managed facilities. Its capital budget request includes work to piers at Galilee and Newport. *The Governor recommended \$6.5 million for a new project to create a new Port Sakonnet at the Chase Marina in Tiverton. This is intended to provide economic infrastructure for commercial harvesters and shellfish farmers in the East Bay in partnership with Roger Williams University's aquaculture program. Funding includes \$1.3 million from Rhode Island Capital Plan funds and \$5.2 million from federal Economic Development Administration funds programmed over FY 2024 and FY 2025.* **The Assembly concurred.**

Forest Conservation and Habitats. The Department requested \$5.0 million from new general obligation bonds to go before voters in 2022, for a new project to improve forest and wildlife habitats throughout the Department's properties. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service would be \$0.4 million; the total cost would be \$8.2 million. The Department manages 60,000 acres of land that requires more maintenance to be useable by the public. The project includes improvement of trails and infrastructure, removal of dead trees and the improvement of habitat for non-game wildlife. The request programs \$1.0 million annually from FY 2024 through FY 2026 and \$2.0 million in FY 2027. *The Governor recommended \$3.0 million to go before the voters in 2022, \$2.0 million less than requested. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$4.9 million.* **The Assembly concurred.**

Fish and Wildlife Facilities. The Department requested \$13.8 million to update and repair the coastal fisheries laboratory property in South Kingstown. Funding includes \$0.9 million from Rhode Island Capital Plan Funds, \$2.9 million from federal funds and \$10.0 million from a new general obligation bond to go before voters in 2022. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service on the \$10.0 million bond would be \$0.8 million; the total cost of borrowing would be \$16.3 million. Work includes external property updates such as a new dock and garage, as well as updates to interior office space. The dock has been hit by boats and is difficult to walk on. Encroaching sea levels mean that the storage garage is sometimes in the water depending on the tide. The Department's marine division of fish and wildlife protects, restores, and manages the fish and wildlife of the sea, studying marshland activity both in water and above. The location of the coastal fisheries laboratory property is between the Succotash Marsh Management Area and the Galilee Salt Pond Harbor.

The Governor recommended \$2.4 million, including \$0.6 million from Rhode Island Capital Plan funds and \$1.8 million from federal funds programmed from FY 2023 through the post-FY 2027 period. He did not recommend the requested new general obligation bonds. Recommended Rhode Island Capital Plan funds are \$350,000 less than requested. **The Assembly concurred.**

Galilee Piers. The Department requested \$67.5 million for facilities and infrastructure improvements at the Port of Galilee, including \$49.6 million for use in the five-year period. Funding includes \$30.9 million

from Rhode Island Capital Plan funds, \$35.0 from a new general obligation bond to go before voters in 2022 and \$1.7 million from federal funds. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond would be \$2.8 million; the total cost of the bond would be \$57.2 million. This is \$31.9 million more than the approved plan and \$1.4 million more than last year's request which was not recommended by the Governor or approved by the Assembly. According to the Department, increases have occurred as a result of the findings of an engineering study that indicated infrastructure needs to be replaced and to address failing infrastructure from sinkholes and dock collapses.

*The Governor recommended \$69.0 million. He recommended \$46.0 million from State Fiscal Recovery funds to increase services for commercial fishing and related businesses. This will fund the replacement of the northern section of the bulkhead, replace docks, update parking lot G, make infrastructure upgrades to the Coastal Resources Maintenance Building, update electrical infrastructure, and install security cameras. He also recommended \$18.3 million from Rhode Island Capital Plan funds for FY 2022 through FY 2027, which is \$4.9 million more than the approved plan for the same six years. **The Assembly provided \$46.0 million from Rhode Island Capital Plan funds in lieu of federal State Fiscal Recovery funds.***

Recreational Facility Improvements. The Department requested \$228.7 million to address a variety of major capital development projects at state parks, beaches and campgrounds. Funding includes \$52.2 million from Rhode Island Capital Plan funds, \$21.0 million from federal funds, \$42.0 million from general obligation bonds approved by the voters in four prior elections, and \$113.5 million in new general obligation bonds. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond is \$9.1 million; the total cost of new borrowing would be \$185.5 million. The request is \$127.3 million more than the approved plan and includes \$16.2 million more from Rhode Island Capital Plan funds. Projects include capital development at state parks, beaches and campgrounds at Goddard, Colt, and Burlingame State Parks, safety upgrades to Breton Point, and other facilities. The Department indicated that the request for new general obligation bonds is a placeholder for future, possible bond requests. *The Governor recommended \$102.3 million, which is \$126.4 million less than requested. This reflects the exclusion of the requested new general obligation bond and \$12.9 million less from Rhode Island Capital Plan funds, which is \$3.9 million more than the approved plan of which \$3.1 million is funding for FY 2027. **The Assembly concurred and added \$1.5 million from Rhode Island Capital Plan funds for both FY 2024 and FY 2025.***

State Land Acquisition - Open Space. The Department requested \$21.5 million from general obligation bonds approved by voters in three prior elections as well as a new \$5.0 million general obligation bond to go before voters in 2022, for open space land acquisitions. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond is \$0.4 million; the total cost would be \$8.2 million. The approved plan assumes all existing bonds would be exhausted by FY 2023. The new \$5.0 million would be programmed from FY 2024 through FY 2027. The land acquired under this program includes forested land, open fields, river access sites, shoreline access areas, farmlands, trail connections and water supply protection areas. *The Governor's capital budget includes \$3.0 million to be placed on the November 2022 ballot, \$2.0 million less than requested. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$4.9 million. **The Assembly concurred.***

Brownfields Remediation. The Department requested \$19.0 million from general obligation bonds approved by voters in three prior elections as well as \$5.0 million in a new general obligation bond to go before voters in 2022, to provide 80 percent matching grants to public, private, and non-profit entities for brownfields remediation projects at abandoned industrial sites throughout the state. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond is \$0.4 million; the total cost of new borrowing would be \$8.2 million. The approved plan assumes all existing bonds will be exhausted by FY 2023. The new \$5.0 million would be programmed over FY 2024 through FY 2027.

Properties classified as brownfields include any property that cannot be developed, expanded or reused due to the presence of an environmental hazard. Funding is used to both investigate what types of hazardous materials are present, as well as to assist in the remediation of new sites. *The Governor's capital budget includes \$4.0 million to be placed on the November 2022 ballot, \$1.0 million less than requested. Annual debt service would be \$0.3 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$6.5 million. The Assembly concurred.*

Narragansett Bay and Watershed Restoration Fund. The Department requested \$17.5 million from general obligation bonds to continue restoring and protecting water quality in Narragansett Bay. This includes \$12.5 million approved by voters in two prior elections as well as \$5.0 million from a new general obligation bond to go before voters in 2022. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond is \$0.4 million; the total cost would be \$8.2 million. The approved plan assumes all existing bonds are exhausted in FY 2023. The new \$5.0 million would be programmed from FY 2025 through FY 2027. The project provides matching grants to state and local agencies, non-profit organizations and for-profit businesses to improve water quality in Narragansett Bay and other watersheds. The Department completed over 30 projects across the state, which includes riparian buffer restoration, fish passage projects, and storm water abatement projects. *The Governor's capital budget includes \$3.0 million to be placed on the November 2022 ballot, \$2.0 million less than requested. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$4.9 million. The Assembly concurred.*

Local Recreation Development Grants. The Department requested \$27.5 million from general obligation bond proceeds for local recreation development grants. Funding includes \$23.5 million approved by voters in five prior elections and \$4.0 million from a new general obligation bond to go before voters in 2022. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond would be \$0.3 million; the total cost would be \$6.5 million. The approved plan assumes all existing bonds are exhausted by FY 2025. The new \$4.0 million is programmed from FY 2024 through FY 2026. Grants provide up to 50 percent of the eligible project costs for acquisition and up to 80 percent of project costs for development. *The Governor's capital budget includes \$2.0 million to be placed on the November 2022 ballot, \$2.0 million less than requested. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$3.3 million. The Assembly concurred.*

Local Land Acquisition Grants. The Department requested \$22.5 million from general obligation bonds for local land acquisition grants. Funding includes \$18.5 million approved by voters in four prior elections and \$4.0 million from a new general obligation bond to go before voters in 2022. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond would be \$0.3 million; the total cost would be \$6.5 million. The approved plan assumes all existing bonds are exhausted in FY 2024. The request programs the new \$4.0 million from FY 2024 through FY 2027. Since 1988, the program has awarded over 130 grants preserving over 6,200 acres of land. *The Governor's capital budget includes \$2.0 million to be placed on the November 2022 ballot, \$2.0 million less than requested. Annual debt service would be \$0.2 million assuming a 5.0 percent interest rate and 20-year term; total debt service would be \$3.3 million. The Assembly concurred.*

Dam Repair. The Department requested \$18.3 million with \$13.9 million from Rhode Island Capital Plan funds and \$4.4 million from general obligation bonds approved by voters in 2018, to repair "high hazard" state owned dams at various recreational and management areas operated by the Department. The request is \$1.2 million less than the approved plan from Rhode Island Capital Plan funds to reflect differences in pre-FY 2022 spending. Funding in the five-year period is \$5.0 million more from Rhode Island Capital Plan funds than the approved plan. The Assembly concurred with the Governor's recommendation to utilize remaining bond funds before using Rhode Island Capital Plan funds. The request shifts funding from FY 2023 to FY 2022.

The Wyoming Pond and Stillwater Dam are in the construction phase with Silver Spring Dam construction beginning in FY 2023. The Department's request will remediate seven high hazard, unsafe dams at various recreation and management areas. According to the Department, the classification "high hazard" refers to the likelihood of human loss should the dam fail. In the post-industrial era, the Department states that dams have no purpose although those labelled "high hazard" must be replaced. "Low hazard" dams are typically not repaired by the Department and are allowed to fail which helps local fish populations spawn. *The Governor recommended \$10.6 million from Rhode Island Capital Plan funds for FY 2022 through FY 2027. This is \$2.1 million more than the approved plan largely to reflect adding a year of funding for FY 2027.* **The Assembly concurred.**

Newport Piers. Consistent with the approved capital plan, the Department requested \$150,000 from Rhode Island Capital Plan funds for FY 2022 to complete infrastructure improvements to the Newport Piers. The project funded electrical work, replacing bulkheads, upgrading docks, repaving the parking lot, and constructing a restroom facility. *The Governor recommended \$2.0 million in each FY 2024 and FY 2025 from federal Economic Development Administration and matching Rhode Island Capital Plan funding to make improvements to support the commercial fishing economy at State Pier 9 in Newport. The work will include improved utility services, upgrading the electrical wiring to marine-grade and engineering work for a future wave attenuator project.* **The Assembly concurred.**

Roger Williams Park and Zoo. Consistent with the approved plan, the Department requested \$18.0 million from general obligation bonds for ongoing renovations and improvements to Roger Williams Park and Zoo. Voters approved a general obligation bond in November of 2014, authorizing \$15.0 million for the Zoo for a variety of exhibit and facility improvements and \$3.0 million for construction and reconstruction of roads, bridges, sidewalks and walkways. The Department noted that the project is near completion. In the 2020 session there was a stand-alone bill to seek voter approval for \$40.0 million for a full renovation of the Roger Williams Park Zoo visitor entrance featuring new ocean-themed exhibits. It was not approved and the Department did not request additional funding. *The Governor recommended funding as requested.*

A stand-alone bill introduced during the FY 2022 session would authorize \$28.5 million to go on the November 2022 ballot for modernization and improvements to the Zoo including a new education center, amphibian and reptile building, African species habitat, big cat habitat, and improvements to park infrastructure, roadways, public buildings, and public art displays. The Assembly added \$12.0 million to the November 2022 ballot for voter approval for a new education center only.

Natural Resources Office and Visitors Center. The Department requested \$20.4 million for the construction of a new office facility for the Natural Resources Office/Visitors Center. Funding includes \$10.4 million from Rhode Island Capital Plan funds, \$5.0 from federal funds and \$5.0 million from a new general obligation bond to go before voters in 2022. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service for the new general obligation bond is \$0.4 million; the total cost of the proposed borrowing would be \$8.2 million. The Department's request is \$14.7 million more than the approved plan based on a revision to the scope of the project. The approved plan contemplated a consolidated divisions of Fish and Wildlife and Forest Environment headquarters in Arcadia. The current request would include a replacement to the current Forestry Headquarters building in Arcadia and a separate Fish and Wildlife Headquarters in the Great Swamp area. *The Governor recommended funding consistent with the approved plan.* **The Assembly concurred.**

Fort Adams Trust. The Department requested \$5.1 million from Rhode Island Capital Plan funds to restore and repair historic structures at the Fort Adams State Park in Newport. The state operates the fort and is responsible for conducting programs, developing restoration plans and raising funds from public and private sources. The request is \$0.3 million more from Rhode Island Capital Plan funds than the approved

plan to reflect an additional year of funding for future restoration projects. *The Governor recommended funding as requested for FY 2023 through FY 2024. The Assembly concurred.*

State Bikeway Development. Consistent with the approved plan, the Department requested \$15.0 million from general obligation bonds approved by voters in 2016 and 2018, for new design and construction of bikeways, including continued work on the Blackstone and South County bikeways. The Department indicated that the COVID-19 pandemic caused construction delays and the project is expected to be complete in FY 2026, one year later than the approved plan assumes. *The Governor recommended funding as requested. The Assembly concurred.*

Flood Prevention. Consistent with the approved plan, the Department requested \$3.0 million from general obligation bonds approved by voters in 2014, for grants to public and non-profit entities for projects related to dam repairs and restoration of coastal habitats, rivers and stream floodplains. Funding is programmed through FY 2023. *The Governor recommended funding as requested. The Assembly concurred.*

Stormwater Pollution Prevention. Consistent with the approved plan, the Department requested \$3.0 million through FY 2024, from general obligation bonds approved by voters in 2016, for grants to reduce stormwater pollution in the state of Rhode Island. The funds will provide up to 75 percent matching grants for public, private and/or non-profit entities for projects that reduce stormwater pollution. The Department notes that the funds are being administered through the existing Narragansett Bay and Watershed Restoration fund. *The Governor recommended funding as requested. The Assembly concurred.*

Farmland Development Rights Acquisition. Consistent with the approved plan, the Department requested \$9.5 million from general obligation bonds approved by voters in three prior elections for farmland acquisition. The Agricultural Land Preservation Commission accepts and scores farmland applications for these funds. The goal is to eliminate economic pressures on farmers to sell land for residential or commercial development. Funding is programmed for use through FY 2023. It should be noted that there is a separate \$3.0 million Natural and Working Lands project. *The Governor recommended funding as requested. The Assembly concurred.*

Blackstone Valley Park Improvements. The Department requested \$2.0 million from Rhode Island Capital Plan funds for ongoing efforts to improve access to the Blackstone River Valley and related work in the Blackstone Valley Corridor. This includes \$0.2 million for FY 2023. The approved plan was consistent with the Department's FY 2022 request which was mistakenly overstated by \$0.2 million. This project includes improving river access, restoration of historic landscapes, and developing recreational and educational opportunities. Additional work includes assisting the Town of Cumberland's efforts to re-engage Diamond Hill Town Park and the I-295 Visitor Center with water quality, recreational, and economic enhancements. This project is a partnership between the Department, the Blackstone River Watershed Council, and Friends of the Blackstone Valley. *The Governor recommended funding as requested for FY 2023 to complete the project. The Assembly concurred.*

Recreational Facilities Asset Protection. The Department requested \$4.0 million from Rhode Island Capital Plan funds for asset protection projects. This is \$0.5 million more than the approved plan to reflect funding for FY 2027. The 2021 Assembly authorized \$0.5 million annually from FY 2022 through FY 2026 for asset protection projects for the Department's Parks and Recreation facilities. *The Governor recommended funding as requested. The Assembly concurred.*

Wastewater Treatment Facility Resilience Improvements. Consistent with the approved plan, the Department requested total project costs of \$5.0 million from general obligation bonds approved by the voters in 2018 to provide up to 50 percent matching funds to improve sanitary treatment facilities prone to flooding, storm events, and environmental degradation. The request includes \$2.3 million for FY 2023 to

complete the project. *The Governor recommended total funding as requested but shifted \$1.0 million to both FY 2024 and FY 2025. The Assembly concurred.*

Coastal Resiliency and Public Access Projects. Consistent with the approved plan, the Department requested total project costs of \$5.0 million from general obligation bonds approved by the voters in 2018 to provide community grants that eliminate or reduce flooding risks. Grants will provide 75 percent matching funds for public or non-profit entities to design and construct projects which would reduce or eliminate flooding risk, promote green infrastructure, and increase public access. The request includes \$1.5 million for FY 2023 and FY 2024 and \$0.7 million for FY 2025. *The Governor recommended funding as requested but programmed it all in FY 2023 and FY 2024. The Assembly concurred.*

Natural and Working Lands. Consistent with the approved plan, the Department's request includes \$3.0 million from new general obligations bonds approved by the voters in a March 2, 2021 special election. The Department protects Rhode Island's farmland from development that would shift its use away from agriculture by purchasing the land and development rights and selling it back to farmers. The Agricultural Land Preservation Commission is a quasi-governmental commission that works with the Department to oversee applications for the purchase of farmland development rights through the State Farmland Access Program. Funding is programmed as \$0.5 million for FY 2023, \$1.5 million for FY 2024, and \$1.0 million for FY 2025. *The Governor recommended funding as requested. The Assembly concurred.*

Woonasquatucket River Watershed. Consistent with the approved plan, the Department's request includes the \$2.0 million from general obligation bonds approved by the voters in a March 2, 2021 special election to make improvements to the recreational areas and infrastructure of the Woonasquatucket River watershed. The Woonasquatucket River Watershed is located in Rhode Island communities including North Smithfield, Glocester, Smithfield, North Providence, Johnston, Cranston and Providence. There are seven miles of greenspace which are maintained by the Woonasquatucket Watershed Council, a non-profit organization that advocates for, and is dedicated to, restoring the Woonasquatucket River and providing recreational infrastructure along the greenway. Funding is programmed as \$0.5 million for FY 2023 and FY 2024 and \$1.0 million for FY 2025. *The Governor recommended funding as requested. The Assembly concurred.*

Information Technology Projects. The approved capital plan includes \$0.3 million from the Information Technology Investment Fund budgeted in the Department of Administration to upgrade information technology systems used by the agency. The Department requested total project costs of \$7.0 million from the Information Technology Investment Fund programmed through FY 2027, which is \$6.7 million more than the approved plan and \$3.5 million more than last year's request to reflect additional years of funding. These projects include modernizing and upgrading outdated software such as: the onsite wastewater treatment system, programs that track berthing and land leases at the Port of Galilee, the special use permit system, the boating licensing system, the commercial fish system, the pesticide licensing system, the parks and recreation special use permit system, and the Department's compliance and data storage program. *The Governor recommended \$5.8 million from State Fiscal Recovery funds to make improvements to the online permit and licensing systems for fish and wildlife, commercial fishing and boating registration. Funding is programmed as \$2.8 million for FY 2023, \$2.0 million for FY 2024, and \$0.5 million each for FY 2025 and FY 2026. The Assembly provided funding for this project through the Information Technology Investment Fund budgeted in the Department of Administration.*

Sewer, Wastewater and Green Infrastructure. The Department requested \$76.3 million from State Fiscal Recovery funds available through the American Rescue Plan Act, with \$53.6 million in the five-year period for a new project to improve wastewater infrastructure statewide. The Department would provide grants to municipalities and quasi-state agencies for sewer, wastewater treatment facility and infrastructure projects. Subprojects range from construction of sewers, construction at wastewater treatment facilities to improve solids removal, and infrastructure projects to separate stormwater from combined sewer systems

and remove pollutants. The Department requested that funding begin in FY 2022 but acknowledged that given the timing, spending is unlikely to occur this year. This is in addition to a separate approved project for the stormwater pollution grant program funded from general obligation bonds which has spending programmed through FY 2024. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Urban Green Spaces and Tree Equity. The Department requested \$2.0 million from new general obligation bonds to go before voters in 2022 for a new grant program to address the impacts of climate change by supporting urban communities, providing residents with increased access to local parks, and creating and improving green spaces and enhancing tree canopies in city parks. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service would be \$0.2 million; the total cost would be \$3.3 million. The purpose of the project is to plant more trees so that it is not as hot in cities during the summer months. The request includes \$1.0 million for both FY 2024 and FY 2025. *The Governor did not recommend funding for this project.* **The Assembly concurred.**

Coastal Resources Management Council

Projects	Status	5 Year Total	Project Total
Little Narragansett Bay Study	New	\$ 50,000	\$ 50,000
Pawcatuck Elevation Study	New	50,000	50,000
Confined Aquatic Dredged Material Disposal Cells	Revised	15,500,000	15,500,000
South Coast Restoration Project	Revised	1,900,000	2,888,073
Providence Rivers Dredging	Ongoing	4,000,000	13,000,000
Coastal and Estuary Habitat Restoration Trust Fund	Ongoing	1,250,000	1,500,000
Narragansett Bay Special Area Management Plan	Ongoing	-	250,000
Rhode Island Coastal Storm Risk Study	Ongoing	-	1,500,000
Total		\$ 22,750,000	\$ 34,738,073
Sources of Funds			
Certificates of Participation		\$ 10,500,000	\$ 10,500,000
General Obligation Bonds		4,000,000	13,000,000
Restricted Receipts		1,250,000	1,500,000
Rhode Island Capital Funds		7,000,000	9,738,073
Total		\$ 22,750,000	\$ 34,738,073

Summary. The Coastal Resources Management Council requested a total of \$39.4 million. Funding in the five-year period totals \$26.3 million and includes \$15.5 million from Certificates of Participation, \$7.0 million from general obligation bonds, \$1.8 million from restricted receipts, and \$2.0 million from Rhode Island Capital funds. The request is for eight projects, two of which are new. *The Governor recommended total project costs of \$34.7 million for the requested projects, including \$22.8 million in the five-year period and \$5.9 million for use in FY 2022. The Assembly concurred.*

Little Narragansett Bay Study. The Council requested \$50,000 from Rhode Island Capital Plan funds to study how dredging in Little Narragansett Bay can be accomplished. The study will be done in partnership with the Army Corps of Engineers, but funded solely by the Council. The Council noted that shifting sands and a strong current have caused the only entrance and exit in Rhode Island waters to become difficult to navigate. *The Governor recommended funding as requested. The Assembly concurred.*

Pawcatuck Elevation Study. The Council requested \$50,000 from Rhode Island Capital Plan funds for FY 2023 to conduct a study on how coastal barrier beaches are affected by severe storms. The study will be accomplished in partnership with the Army Corps of Engineers, but funded solely by the Council. The Council anticipated a need to enact coastal resiliency plans in response to potential hazardous storm and flood events, and the study will inform those plans. *The Governor recommended funding as requested. The Assembly concurred.*

Confined Aquatic Dredged Material Disposal Cells. The Council requested \$16.0 million from Certificates of Participation and restricted receipts for a new confined aquatic disposal cell at the Providence River shipping channel for the management of dredged materials associated with the Army Corps of Engineers' federal navigation project, Providence River and Harbor Shipping Channel, classified as unsuitable for open water disposal. The request is \$5.0 million more than the approved plan based on July 2021 information from the Corps, which the plan assumed would be covering 65 percent of the cost. The Council did not have details as to why the costs increased. Aside from space reserved for the dredging of

the Providence River, all existing confined aquatic disposal cells are full. The Council is therefore unable to charge disposal fees for marinas, residents and commercial properties. The project is expected to begin in fall 2023. *The Governor recommended \$15.5 million for FY 2024, including \$10.5 million from Certificates of Participation and \$5.0 million from Rhode Island Capital Plan funds, which is one year later than requested. The Council indicated ongoing communication with the Corps. In April, the Corps projected the state share to be \$32.0 million, over double the recommended amount, but it was not clear if this was the result of an increase to the project cost or to the state-share amount. Subsequently in June, the Corps estimated an updated project cost of \$119.5 million with the estimated state share of \$51.2 million because of updated information on cell size, material, disposal options, fuel prices and inflation. The Assembly concurred with the recommended \$15.5 million. The next capital budget process will evaluate project funding gap options.*

South Coast Restoration Project. The Council requested \$1.9 million from Rhode Island Capital Plan funds for FY 2023 as part of a state match requirement to continue with its federally-required obligations of maintaining the levels of sediment within the breachways of each South County coastal lagoon and to ensure the habitat restoration projects, which were previously completed with federal funds, are not compromised or lost to those sediments. This project was considered complete in FY 2018. The Council cited chronically accumulating sediment as the reason to revive work for FY 2023. The Council noted that Ninigret Pond is in serious need of maintenance dredging to protect wetlands and submerged aquatic vegetation. *The Governor recommended funding as requested. The Assembly concurred.*

Providence Rivers Dredging. The Council requested \$14.0 million from two Green Economy and Clean Water general obligation bonds, approved by voters in 2018 and 2021, totaling \$13.0 million, to dredge sections of the Providence River in two phases. Phase one of dredging at Waterplace Park to the Crawford Street Bridge is complete and design and construction has begun on the settlement basin west of Waterplace Park. The Council noted that the dredging component of phase two, from the Crawford Street Bridge to near Point Street cannot begin until fall 2022, at the earliest. The Council's request is \$972,000 more than the approved plan, in error; it intended to request the approved funding level. *The Governor recommended funding consistent with the approved plan. The Assembly concurred.*

Coastal and Estuary Habitat Restoration Trust Fund. Consistent with the approved plan, the Council requested \$5.7 million from the Oil Spill Prevention, Administration and Response fund for the Coastal and Estuary Habitat Restoration Program and Trust Fund through the post-FY 2027 period. The 2004 Assembly mandated the annual amount of \$250,000 from the Oil Spill Prevention, Administration and Response fund to the program and trust fund. *The Governor recommended \$250,000 from restricted receipts annually for FY 2022 through FY 2027. The Assembly concurred.*

Narragansett Bay Special Area Management Plan. Consistent with the approved plan, the Council requested \$250,000 from Rhode Island Capital Plan funds through FY 2022 for a new Special Area Management Plan along the Narragansett Bay. Special Area Management Plans are resource plans and implementation programs developed as part of the Coastal Resources Management Program to better manage specific geographic areas, such as urban waterfronts, watersheds of particular concern, or a specific resource of concern. *The Governor recommended funding as requested. The Assembly concurred.*

Rhode Island Coastal Storm Risk Study. The Council requested \$1.5 million from Rhode Island Capital Plan funds to be used as state match through FY 2022 to identify coastal risk management strategies, building on work done by the Army Corps of Engineers. Adjusted for the exclusion of \$8.9 million from federal funds, for work completed by the Army Corps of Engineers, the request is consistent with the approved plan. The study included preparing geographic information system base mapping, and collecting and assembling baseline sediment source environmental data. Construction to implement study findings will be in FY 2025, although the Council has yet to receive a cost estimate from the Army Corp of Engineers. *The Governor recommended funding as requested. The Assembly concurred.*

Rhode Island Infrastructure Bank

Projects	Status	5 Year Total	Project Total
Small Business Greene Energy Loan Program	New	\$ 5,000,000	\$ 5,000,000
Municipal Resiliency	Revised	16,000,000	23,000,000
Clean Water State Revolving Fund	Ongoing	377,918,827	1,276,449,806
Drinking Water State Revolving Fund	Ongoing	280,191,875	748,725,000
Municipal Road and Bridge State Revolving Fund	Ongoing	77,500,000	182,562,911
Efficient Buildings Fund	Ongoing	93,000,000	101,500,000
Water Pollution Control Revolving Fund	Ongoing	450,000	600,000
Water Quality Protection Charge Program	Ongoing	2,500,000	3,000,000
Brownfields Fund	Ongoing	-	984,000
Total		\$ 852,560,702	\$2,341,821,717

Sources of Funds			
Agency Funds		\$ 2,500,000	\$ 6,664,000
Agency Revenue Bonds		344,620,453	1,121,094,043
Federal Funds		323,921,389	598,612,457
Federal Stimulus Funding		-	22,907,300
General Obligation Bonds - New		21,000,000	21,000,000
General Obligation Bonds		6,441,550	57,900,000
General Revenues		21,915,122	21,915,122
Interest Earnings		150,000	200,000
Revolved Capital		104,512,188	390,815,884
Other Funds		27,500,000	100,712,911
Total		\$ 852,560,702	\$2,341,821,717

Summary. The Rhode Island Infrastructure Bank requested a total of \$2,657.5 million, of which \$975.0 million would be used in the five-year period. Funding in the five-year period includes \$69.4 million from new general obligation bonds, \$2.5 million from the Bank's funds, \$344.6 million from the Bank's revenue bonds, \$339.3 million from federal funds, including \$222.5 million from State Fiscal Recovery funds, \$0.2 million from interest earnings, \$176.5 million from revolved capital, and \$32.5 million from other funds. The request is for ten projects, of which two are new. *The Governor recommended total project costs of \$2,341.8 million for nine projects, which is \$315.7 million less than requested and reflects updated project costs and recapitalization for an existing program not included in the request. The recommendation does not include State Fiscal Recovery funds.* **The Assembly concurred.**

Small Business Green Energy Loan Program. The 2015 Assembly renamed the Property Assessed Clean Energy Residential Program to the Commercial Property Assessed Clean Energy program, in order to expand participation beyond residential properties. The program is designed to mitigate upfront investment costs and performance risks for Rhode Island businesses making renewable energy or energy-efficiency improvements to their real property. The reported average loan size for 2020 totaled \$6.5 million. *The Governor recommended \$5.0 million from new general obligation bond funds to go before the voters in November 2022 and programed the funding in FY 2024 to support an underserved segment of the market with a target average loan size of \$150,000.* **The Assembly concurred.**

Municipal Resiliency. The Infrastructure Bank requested \$50.8 million to provide grants to municipalities for projects that mitigate the effects of climate change. Included in its request is \$15.0 million from State Fiscal Recovery funds made available through the American Rescue Plan Act, \$3.8 million from revolved capitalization grants, \$7.0 million from general obligation bonds approved by the voters in the special March 2021 election and \$25.0 million from a new general obligation bond to go before the voters in 2022. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service is \$2.0 million; the total cost would be \$40.9 million. The Bank requested \$38.0 million in the five-year period with new programmed spending beginning in FY 2023. The request is \$44.1 million more than the approved plan to reflect the request for state American Rescue Plan Act funds and new bonds. The Bank believes that increased funding will allow it to complete more projects and accelerate the use of the newly requested \$7.0 million general obligation bonds.

The Governor recommended \$23.0 million, which is \$27.8 million less than requested. The recommendation includes \$7.0 million from approved general obligation bonds for FY 2022, and proposes a new \$16.0 million general obligation bond to go before the voters in November 2022 and programs \$4.0 million annually from FY 2024 to FY 2027. The recommendation does not include State Fiscal Recovery funds. The Assembly concurred.

Clean Water State Revolving Fund. The Infrastructure Bank requested \$1,167.2 million from State Fiscal Recovery funds made available through the American Rescue Plan Act, general obligation and revenue bonds, revolved capital and federal Environment Protection Agency funds for local governmental units to finance wastewater infrastructure projects. The request includes \$30.1 million in new general obligation bonds to go before voters in 2022; subsequently, the Bank reported it intended to only request \$7.5 million. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service is \$0.6 million; the total cost would be \$12.3 million. The request includes \$271.6 million in the five-year period. Federal Capitalization Grants are awarded to the state, which contributes a 20.0 percent match. Loans are available to eligible water suppliers for planning, design and construction of safe water supply, treatment and transmission infrastructure projects. Loans are generally repaid over 20 years and have an interest rate reduction of at least one-third off the market rate. The Bank reported projected spending is lower than previously estimated because municipal fiscal recovery funds can be used for water and sewer projects reducing the need to borrow.

The Governor recommended total project costs of \$1,276.4 million, which is \$109.2 million more than requested. This includes \$303.6 million from federal funds and \$13.2 million from federal stimulus funds, \$35.4 million from general obligation bond funds, \$633.8 million from revenue bonds, \$279.5 million from revolved capital, and \$11.0 million from general revenues that the Governor proposed transferring to the Bank through the Department of Environmental Management. This is \$120.4 million more from federal funds for clean and drinking water programs than requested; the general revenues represent half of the 20.0 percent state match. It should be noted that once transferred to the Bank, these funds would be considered agency funds. The Assembly concurred.

Drinking Water State Revolving Fund. The Infrastructure Bank requested \$645.1 million from all funds, of which \$175.4 million is for the five-year period for the Drinking Water State Revolving Fund. The Bank's request includes \$26.1 million in new general obligation bonds to go before the voters in November 2022; subsequently, the Bank reported it intended to only request \$7.5 million. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service is \$0.6 million; the total cost would be \$12.3 million. Federal Capitalization Grants are awarded to the state, which contributes a 20.0 percent match. Loans are available to eligible water suppliers for planning, design and construction of safe water supply, treatment and transmission infrastructure projects. Adjusted for the exclusion of FY 2021 spending, the request is \$85.9 million less than the approved plan. The Bank reported projected spending is lower than previously estimated because municipal fiscal recovery funds can be used for water and sewer projects reducing the need to borrow.

The Governor recommended \$748.7 million, which is \$103.6 million more than requested. This includes \$294.2 million from federal funds and \$9.8 million from federal stimulus funds, \$15.5 million from general obligation bond funds, \$331.3 million from revenue bonds, \$87.1 million from revolved capital, and \$11.0 million from general revenues that the Governor proposed transferring to the Bank through the Department of Environmental Management. The recommendation includes \$118.7 million more from federal funds for clean and drinking water programs; the general revenues represent half of the 20.0 percent state match. It should be noted that once transferred to the Bank, these funds would be considered agency funds. The Assembly concurred.

Municipal Road and Bridge State Revolving Fund. The Infrastructure Bank requested \$302.3 million, including \$165.8 million for the five-year period for the municipal road and bridge revolving fund which subsidizes loans to mitigate underinvestment in local roads. The request includes \$60.0 million from State Fiscal Recovery funds made available through the American Rescue Plan Act, \$30.0 million from a new general obligation bond to go before the voters in 2022, \$97.0 million from revenue bonds, \$3.5 million from agency funds, \$31.3 million from revolved capital and \$80.5 million from other funds. Assuming a 5.0 percent interest rate and a 20-year term, annual debt service is \$2.4 million; the total cost would be \$49.0 million. The request is \$80.9 million more than the approved plan as the Bank would like to fund more projects. The Bank programed \$25.9 million for FY 2022 having most recently financed \$9.0 million for infrastructure improvements in Westerly and \$6.0 million for a pavement restoration project in West Warwick. It reported \$4.4 million of funding remained available as of October 2021. The Bank's request includes \$49.1 million for FY 2023 and \$49.2 million for FY 2024. Based on past spending, it is not clear if actual demand will warrant such high spending estimates.

The Governor recommended \$182.6 million, which is \$119.7 million less than requested. It includes \$3.5 million from agency funds, \$97.0 million from revenue bonds, \$70.7 million from other funds, and \$11.4 million from revolved capital. The recommendation does not include state fiscal recovery or new debt funding. The Assembly concurred.

Efficient Buildings Fund. The Infrastructure Bank requested \$101.5 million from Regional Greenhouse Gas Initiative receipts, qualified energy conservation bonds, agency revenue bonds and revolved capital for projects financed through the Efficient Buildings Fund. Adjusted for the exclusion of \$107.1 million in prior spending, the request is consistent with the approved plan. The request provides an average of \$18.6 million per year. The Bank financed \$60 million in efficient building loans since July 2016, which totals to an average of \$12.0 million per year. *The Governor recommended funding as requested. The Assembly concurred.*

Water Pollution Control Revolving Fund. The Infrastructure Bank requested \$0.6 million from revolved capital and interest earnings for the Water Pollution Control State Revolving Fund. Adjusted for the exclusion of \$5.0 million in prior spending, the request is consistent with the approved plan. This fund receives capital funding from general obligation bond proceeds and transfers the appropriate state match to the Clean Water and Drinking Water state revolving funds. *The Governor recommended funding as requested. The Assembly concurred.*

Water Quality Protection Charge Program. The Infrastructure Bank requested \$3.0 million from the water quality protection surcharge to provide funding for watershed protection and other projects requested by water suppliers. Adjusted for \$6.1 million in prior spending, the request is consistent with the approved plan. The request programs \$0.5 million annually, \$0.4 million less annually than the approved plan, reflecting lower demand from water suppliers. The Bank reported that projected spending is lower than previously estimated because municipal fiscal recovery funds can be used for water and sewer projects reducing the need to borrow. Rhode Island General Law, Section 46-15.3-5, sets the water quality protection surcharge at 2.92 cents per one hundred gallons. The surcharge is collected from the 29 major water suppliers in the state; 57.0 percent is transferred to state general revenues, 36.1 percent now goes to

the Infrastructure Bank, and the remaining 6.9 percent is retained by the individual suppliers for administrative costs. *The Governor recommended funding as requested. The Assembly concurred.*

Brownfields Fund. The Infrastructure Bank requested \$984,000 from federal Environmental Protection Agency funds and agency funds, to provide financing for brownfield remediation projects for municipalities, non-profits and private entities. The Brownfields Revolving Loan Fund was established by the 2015 Assembly. The request is consistent with the approved plan. Spending has been delayed as the Bank continued to experience difficulty generating interest in the program as grant funding is available for similar work through the Department of Environmental Management. The Bank reported no spending since the fund was established. *The Governor recommended funding as requested. The Assembly concurred.*

Clean Energy Investments. The Infrastructure Bank requested \$50.0 million from State Fiscal Recovery funds made available through the American Rescue Plan Act to fund energy efficiency projects from FY 2022 through FY 2024 with four distinct programs. These include: providing small businesses grants and zero or low interest loans for clean energy projects; providing forgivable loans for multi-family housing developments to incorporate energy efficiency measures, including unsecured, low interest predevelopment loans; zero and negative interest loans for wastewater treatment facilities for resilience projects to reduce overall energy costs; and establishing a program, initially for Aquidneck Island homes and businesses, to transition off of natural gas, including the scoping and installation of heat pumps, insulation, and on-site energy generation sources. The Bank intended to use the Aquidneck Island experience as a demonstration for communities statewide. At the time the request was submitted, the Bank had not yet determined how spending would be divided among each grant program. *The Governor's recommendation excludes this funding. The Assembly concurred.*

Water Infrastructure. The Infrastructure Bank requested \$336.0 million to finance updates to the Providence water system, the Warwick Sewer Authority, the Bristol County Water Authority and to subsidize other wastewater and drinking water utilities such as the Narragansett Bay Commission. The request includes \$238.5 million from State Fiscal Recovery funds made available through the American Rescue Plan Act and \$97.5 million from revolved capitalization grants. Capitalization grants are awarded to states to create and maintain Clean Water and Drinking Water State Revolving Funds. For the Providence water system, the Bank would have replaced 27,000 private-side lead service lines at \$121.0 million, 10,000 public-side lead service lines at \$48.0 million and provided upgrades to the water treatment plant at \$57.0 million. At the Warwick and Bristol county water authorities, the Bank would have funded improvements to residential septic systems and establish drinking water interconnection to improve distribution at \$65.0 million. In total, the Bank's combined water project cost estimates totaled \$291.0 million. The remainder of funds would be used to support Narragansett Bay Commission's combined sewer overflow project. *The Governor's recommendation excludes this funding. The Assembly concurred.*

Narragansett Bay Commission

Projects	Status	5 Year Total	Project Total
Combined Sewer Overflow Phase III Facilities	Revised	\$ 575,318,000	\$ 1,113,056,341
Field's Point Resiliency Improvements	Revised	47,286,000	53,963,000
Bucklin Point Resiliency Improvements	Revised	37,649,000	67,935,000
Wastewater Treatment Facility Improvements	Revised	16,997,000	29,117,000
Sewer System Improvements	Revised	22,522,000	23,871,000
Infrastructure Management	Ongoing	2,669,000	7,173,000
Interceptor Inspection, Cleaning, Restoration, and Construction	Ongoing	15,407,000	35,466,000
Total		\$ 717,848,000	\$ 1,330,581,341
Sources of Funds			
Other Funds		\$ 717,848,000	\$ 1,330,581,341
Total		\$ 717,848,000	\$ 1,330,581,341

Summary. The Narragansett Bay Commission requested a total of \$1,330.6 million, of which \$717.8 million would be used in the five-year period. Funding for all projects comes from the Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds. The request is for seven projects. *The Governor recommended funding as requested. The Assembly concurred.*

Combined Sewer Overflow Phase III Facilities. The Commission requested total project costs of \$1,113.1 million from Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds to be used through the post-FY 2027 period for design and construction of the combined sewer overflow phase III facilities, the final phase of the federally mandated project. The Commission requested \$575.3 million in the five-year period. The request is \$235.8 million more than the approved plan which reflects cost increases of \$152.5 million for the completion of the Pawtucket tunnel and pump station and \$83.3 million for other updated construction estimates. The request includes funding in the out-years for additional phases but those costs are not updated from prior estimates in the FY 2023 request. *The Governor recommended funding as requested. The Assembly concurred.*

Field's Point Resiliency Improvements. The Commission requested total project costs of \$54.0 million from Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds, of which \$47.3 million will be used in the five-year period for various Field's Point improvement projects. Previously, some Field's Point improvements were categorized under general wastewater improvement and infrastructure management projects. The request is \$39.1 million more than the approved plan for this component. The Ernest Street pump station is new, costing \$11.1 million. Additionally, wastewater treatment improvements costs have increased by \$8.5 million for updated costs of the dechlorination and disinfection system. The maintenance and storage building costs have increased by \$7.2 million to reflect updated costs estimates and electrical improvement costs have increased by \$4.6 million for a new standby power system at Field's Point. *The Governor recommended funding as requested. The Assembly concurred.*

Bucklin Point Resiliency Improvements. The Commission requested total project costs of \$67.9 million from Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds, of which \$37.6 million will be used in the five-year period for three Bucklin Point improvement projects. Previously, these

Bucklin Point improvements were categorized under general wastewater improvement projects. The request is \$21.3 million more than the approved plan for this component resulting from increases of \$5.4 million for ultraviolet disinfection improvements, \$4.3 million in wastewater treatment facility improvements and \$11.6 million in maintenance building costs. Upgrades are designed to improve operational efficiency and reliability, address aging infrastructure and ensure continuous processing of wastewater. *The Governor recommended funding as requested. The Assembly concurred.*

Wastewater Treatment Facility Improvements. The Commission requested total project costs of \$29.1 million from Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds, of which \$17.0 million will be used in the five-year period for various wastewater treatment improvement projects. The request is \$45.4 million less than the approved plan, which reflects movement of some work related to Field's Point and Bucklin Point to the existing stand-alone projects for those facilities. Adjusted for that, the request is \$16.8 million more than the approved plan. The largest increases are \$10.1 million for implementation of a biosolids disposal subproject from study to design phase and \$5.1 million for sludge digestion improvements, a new component currently underway. *The Governor recommended funding as requested. The Assembly concurred.*

Sewer System Improvements. The Commission requested total project costs of \$23.9 million from Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds. The request is \$3.1 million more than the approved plan representing increased construction costs for the interceptor maintenance building. The request includes \$22.5 million to be used in the five-year period. The project was part of the infrastructure management project in the approved plan and provides maintenance and upgrades to sewers as well as easement restorations. The request also includes the design and construction of a new building should the Commission be legislatively required to own, operate and maintain sewer facilities currently held under local municipality control. *The Governor recommended funding as requested. The Assembly concurred.*

Infrastructure Management. The Commission requested total project costs of \$7.2 million from Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds to be used for ongoing infrastructure management. This is \$0.4 million more than the approved plan, adjusted for a project shifted to Field's Point, discussed separately. This includes \$2.7 million to be used in the five-year period, \$2.0 million more than the approved plan. The Commission's request focuses on renewable and sustainable energy production. Using feasibility and evaluation studies, the Commission is preparing for future regulatory actions relating to clean water standards and conservation. *The Governor recommended funding as requested. The Assembly concurred.*

Interceptor Inspection, Cleaning, Restoration, and Construction. The Commission requested total project costs of \$35.5 million from Rhode Island Infrastructure Bank's revolving funds and Commission revenue bonds, with \$15.4 million to be used in the five-year period. The request shifts the combined sewer overflow surcharge subproject to the Field's Point project discussed separately, and is \$2.1 million less than the approved plan. The Commission indicated that restoration, replacement, and providing interceptor relief during surcharging is the purpose of this project. The Commission allocated \$0.5 million annually to ensure resources for future projects relating to the inspection and cleaning of interceptors. The project also includes sewer line replacement and sewer line diameter increases. *The Governor recommended funding as requested. The Assembly concurred.*

Rhode Island Resource Recovery Corporation

Projects	Status	5 Year Total	Project Total
MRF Design and Construction	Revised	\$ 1,650,000	\$ 57,650,000
Underdrain Treatment System	Revised	-	1,720,416
Pond Ammonia Treatment	Ongoing	550,000	550,000
Landfill Phase VI Construction	Ongoing	20,297,735	154,218,385
Fiber Baler and Incline Conveyor Replacement	Ongoing	1,100,000	1,100,000
Leachate Storage Tanks	Ongoing	-	5,231,308
Total		\$ 23,597,735	\$ 220,470,109
Sources of Funds			
Resource Recovery Corporation General Revenue		\$ 23,597,735	\$ 215,238,801
Resource Recovery Corporation Revenue Bonds		-	5,231,308
Total		\$ 23,597,735	\$ 220,470,109

Summary. The Rhode Island Resource Recovery Corporation requested a total of \$220.5 million, of which \$23.6 million from the Corporation's general revenues would be used in the five-year period for six projects. *The Governor recommended funding as requested. The Assembly concurred.*

MRF Design and Construction. The Corporation requested total project costs of \$57.7 million from its general revenues, with \$1.7 million to be used in the five-year period, to plan, design, and construct upgrades to its materials recycling facility. The request is \$26.7 million more than the approved plan, resulting from the addition of a \$1.7 million roof replacement in FY 2024 and \$25.0 million in increased construction costs beginning in the post-FY 2027 period. The roof replacement will extend the life of the current materials recycling facility but the Corporation's phase VI landfill construction projects take precedence until FY 2024. Construction of the Corporation's new recycling building is expected to begin in FY 2028. *The Governor recommended funding as requested. The Assembly concurred.*

Underdrain Treatment System. The Corporation requested total project costs of \$1.7 million from its general revenues to decommission the underdrain treatment system and re-route the flow to the City of Cranston's sewer system. The request is \$629,584 less than the approved plan because the bid was lower than estimated; the project was anticipated to be complete in December 2021. This project stemmed from a consent decree with the Department of Environmental Management. *The Governor recommended funding as requested. The Assembly concurred.*

Pond Ammonia Treatment. The Corporation requested total project costs of \$550,000 from its general revenues to eliminate groundwater from storm water pond two, as directed by the Department of Environmental Management. A notice of violation was issued in February 2020, caused by elevated levels of ammonia. The request is \$450,000 less than the approved plan for expanding the lining of storm water pond two. There are currently six ponds, although increased rainfall would require the Corporation to add more. The project is scheduled to be completed in FY 2023. *The Governor recommended funding as requested. The Assembly concurred.*

Landfill Phase VI Construction. The Corporation requested total project costs of \$154.2 million from its general revenues, of which \$20.3 million will be used in the five-year period, to design, excavate, and construct several planned cells as part of the eastward Phase VI expansion of the Central Landfill. The

request is \$1.7 million more than the approved plan, with additional funding in the out-years. *The Governor recommended funding as requested.* **The Assembly concurred.**

Fiber Baler and Incline Conveyor Replacement. Consistent with the approved plan, the Corporation requested total project costs of \$1.1 million from its general revenues to replace a fiber baler and incline conveyor at the materials recycling facility in FY 2025. The Corporation indicated that the baler has logged approximately 27,000 hours, but can continue to be used up to 40,000 hours. According to the Corporation, the fiber baler currently operates 2,400 hours annually and should perform well through FY 2024 at which time the replacement will be installed. *The Governor recommended funding as requested.* **The Assembly concurred.**

Leachate Storage Tanks. The Corporation requested total project costs of \$5.2 million from its revenue bonds to install two additional 750,000 gallon tanks which will handle excess leachate and provide excess storage during heavy storm events. This is \$0.2 million more than the approved plan. *The Governor recommended funding as requested.* **The Assembly concurred.**

Department of Transportation

Projects	Status	5 Year Total	Project Total
Commuter Rail (Fixed Guideway)	Revised	\$ 40,533,000	\$ 82,458,629
Highway Improvement Program	Revised	3,003,091,352	8,048,221,885
Salt Storage Facilities	Revised	6,350,000	13,774,467
State Funded Improvement Projects	Revised	152,000,000	341,625,688
Train Station Maintenance & Repairs	Revised	2,050,000	3,482,938
Welcome Center Improvements	Revised	1,850,000	2,322,026
Bike Path Facilities Maintenance	Ongoing	2,000,000	2,799,640
Capital Equipment Replacement	Ongoing	39,406,392	77,055,670
Information Technology Investment Strategy	Ongoing	9,048,484	15,816,156
Maintenance Facility Improvements	Ongoing	2,500,000	6,308,557
Mass Transit Hub Infrastructure	Ongoing	12,967,059	35,000,000
Materials Quality Assurance Testing Lab.	Ongoing	-	3,861,000
Pawtucket/CF Train Station	Ongoing	5,099,191	44,966,798
Total		\$3,276,895,478	\$8,677,693,454
Sources of Funds			
Federal Funds		\$ 2,391,722,175	\$ 6,314,256,954
Federal GARVEE Bonds		135,000,000	470,000,000
Gasoline Tax		35,405,392	63,453,946
General Obligation Bonds		44,667,059	111,838,237
Highway Maintenance Account		266,786,474	819,773,515
Land Sales		27,320,000	142,894,590
Other		725,735	5,890,590
Restricted Receipts		5,133,000	7,250,000
Rhode Island Capital Funds		259,208,360	584,175,339
Toll Revenue		110,927,283	158,160,283
Total		\$3,276,895,478	\$8,677,693,454

Summary. The Department of Transportation requested \$3,247.9 million from all sources to be used in the five-year period for projects totaling \$5,331.8 million. The request is for 16 projects, three of which are new. It is \$1,716.6 million less than the approved plan, to primarily reflect the exclusion of prior year expenses and adds \$329.1 million for the new projects. It assumes the issuance of \$34.0 million from revenue bonds, which would be supported by excess funds from the current two cents from the gas tax for previously issued Motor Fuel bonds.

Federal law requires that a statewide transportation improvement plan cover a period of no less than four years. In June 2021, the Department proposed the federal FY 2022 through FY 2031 Transportation Improvement Program; it went through the planning process and was adopted in September 2021. Annually, the Department will propose changes to the plan to make sure that projects are coordinated, and that communities and constituents can have input. The plan contains \$8,244.7 million for transportation and transit projects, operating expenses, as well as debt service. Of this amount, \$3,575.9 million is included in the first four years; federal regulations require that the first four years of the plan must be fiscally constrained, whereby the projects in the plan may not exceed the anticipated funding that is reasonably

expected to be available over the four-year timeframe. The plan also includes \$4,668.9 million in the second half of the ten-year period, or the out-years. Projects in the out-years do not need to identify funding sources, but must reflect reasonably assumed funding levels.

On November 15, 2021, President Biden signed the \$1.2 trillion Infrastructure Investment and Jobs Act into law. It authorizes the Federal-Aid Highway Program for federal FY 2022 through FY 2026. It contains increased funding for surface transportation and establishes new grant programs. Rhode Island will receive an average of \$354 million annually. The increase from federal FY 2021 to FY 2022 is approximately \$103 million; the state match for that amount would be \$20.6 million.

*The Governor recommended \$8,694.9 million from all sources for 13 approved projects. This includes \$3,273.0 million programmed for the five-year plan, which averages \$654.6 million annually. Adjusting for the exclusion of funding for new projects requested but not funded, the recommendation in the five-year period is \$309.1 million more. This increase includes \$71.3 million from Rhode Island Capital Plan funds to match additional federal funds in the Infrastructure Investment and Jobs Act. The Governor did not recommend the issuance of new revenue bonds. Subsequently, the Governor requested two amendments to adjust expenditures, reflecting updated discretionary grant awards and federal earmarked funds received. This resulted in \$3.9 million more to the Department and \$3.9 million less to the Rhode Island Public Transit Authority. **The Assembly concurred with the amended recommendation and also adjusted overstated expenditures for one project.***

Commuter Rail (Fixed Guideway). The Department requested \$46.5 million from federal funds to be used in the five-year plan for the Commuter Rail Project. Phase I of the project involved the extension of commuter rail service south from Providence to T.F. Green Airport in Warwick and has been completed. Phase II of the project extended commuter rail service 25 miles to the Wickford Junction station. The cost of \$64.0 million in the request is \$10.8 million less than the approved plan. It excludes \$62.6 million of prior year expenses, of which \$30.0 million is from federal stimulus funds authorized under the American Recovery Reinvestment Act in 2009. Adjusting for this, the request is \$51.8 million more, including \$19.8 million from all sources, of which \$12.5 million is from the federal State of Good Repair Discretionary Grant Program funds for improvements to the Providence Train Station. It also includes a total of \$29.0 million, or \$4.8 million annually from the Federal Highway Administration's annual allocation for transit projects. *The Governor recommended \$82.5 million, which is \$18.4 million more than requested. This includes \$28.3 million to adjust prior year expenses. The recommendation also reduces requested expenditures by \$9.9 million to avoid double counting these expenses statewide. **The Assembly concurred.***

Highway Improvement Program. The Department requests \$2,675.9 million from all sources for Highway Improvement Program expenditures to be used in the five-year period for project costs of \$4,526.3 million. This assumes an average annual outlay of \$535.2 million. The request is \$1,908.4 million less than the approved plan; it excludes \$3,136.9 million of prior year expenses and adds \$1,228.5 million, including \$426.8 million programmed in FY 2027 and \$396.2 million in the out-years. It also includes \$512.7 million for federal GARVEE debt. While these costs are shown in the Transportation Improvement Program, they are not capital expenditures in nature. The actual use of the bond proceeds constitutes the project expense and by including it again as debt results in double counting the expense. A previously approved plan corrected the practice of double counting these expenses.

The Highway Improvement Program represents those highway and intermodal projects that utilize federal funds administered by the Federal Highway Administration. The program utilizes state funds for matching purposes in the form of proceeds from general obligation bonds. The Highway Improvement Program is directed towards implementing the Department's capital program as identified in the Transportation Improvement Program, which includes 382 projects. Of those, 364 are included in the Highway Improvement Program. Expenditures for ten projects account for about \$940 million of the total, including

\$215.5 million for the Providence Viaduct Northbound, \$147.5 million for the Route 146 Reconstruction and \$140.0 million for the Route 6/10 Bridge Reconstruction.

The Governor recommended \$2,999.2 million in the five-year period for project costs of \$8,044.3 million. Funding in the five-year plan is \$332.2 million more than requested, including \$71.3 million from Rhode Island Capital Plan funds for FY 2024 and FY 2025 to match additional federal funds authorized in the Infrastructure Investment and Jobs Act. The recommendation assumes some level of matching for discretionary grants that are yet to be awarded.

*The Governor requested an amendment to add \$10.0 million from Rhode Island Capital Plan funds to match \$40.0 million from federal funds received subsequent to the budget submission for bridge replacement projects and reduce previously recommended expenses by \$6.0 million, as federal support for that project was not approved. This resulted in a net increase of \$3.9 million. **The Assembly concurred with the amended recommendation.***

Salt Storage Facilities. The Department requested \$9.5 million from all sources, of which \$6.4 million from Rhode Island Capital Plan funds will be used in the five-year period for the construction of salt storage facilities at various locations statewide where salt is stored uncovered. There are 23 salt storage facilities throughout the state. Of these sites, four remain without an enclosed facility, resulting in a negative impact on both the environment as well as operational efficiency. This project was originally developed to address the uncovered salt pile issue, which was significant at the time due to the number of uncovered facilities. The plan has evolved to include building numerous covered structures to house road salt, with the eventual goal of eliminating all open area storage facilities; five sites have been closed.

Adjusted for the exclusion of \$5.7 million of prior year expenses, the request is \$3.9 million more. This includes new expenditures of \$1.2 million programmed in FY 2025 through FY 2027 and expenditures in FY 2023 and FY 2024 were revised to reflect updated project costs for the Newport and Pawtucket salt storage facilities. The Department indicated that some of the soil at these facilities is contaminated and remediation is costly. *The Governor recommended \$13.8 million, which is \$4.3 million more than requested to adjust for understated prior year expenses. **The Assembly concurred.***

State Funded Improvement Projects. The request includes project costs of \$254.0 million from highway maintenance funds, of which \$158.0 million will be used in the five-year period for project expenses such as road and bridge repairs, and highway drainage improvements pursuant to a consent decree with the U.S. Department of Justice. Historically, these capital expenses were shown as part of the Highway Improvement Program; however, the Governor's FY 2022 budget recommended this as a stand-alone project, which is intended to show improvements that do not qualify for federal match. The request is \$99.0 million more than the approved plan, it includes \$31.0 million of prior year expenses and programs new expenditures of \$33.0 million for FY 2027 and \$34.0 million in the out-year.

*The Governor recommended \$341.6 million, which is \$87.6 million more than requested, to primarily adjust prior year expenses. The recommendation includes \$152.0 million in the five-year period, \$6.0 million less than requested, assuming that a level of investment for state funded projects would start to decline. **The Assembly concurred.***

Train Station Maintenance and Repairs. The Department requested \$2.1 million from Rhode Island Capital Plan funds in the five-year period for repairs at four train stations: Woonsocket, Kingston, Wickford Junction and Westerly. The Kingston and Westerly stations are currently active, while the Woonsocket station serves as a bus depot. The Maintenance Division is responsible for these facilities. Funding would be used for asset protection projects, such as roof and window repairs, painting, and heating, ventilation and air conditioning upgrades. The project cost of \$2.7 million is \$105,006 less than the approved plan; it excludes \$0.7 million of prior year expenses and FY 2022 available funds. It also adds a total of \$550,000

in the five-year period, including annual funding of \$500,000 in FY 2027. Expenditures have averaged \$117,534 annually in the last five fiscal years. *The Governor adjusted for prior year expenses and included a total project cost of \$3.5 million. The Assembly concurred.*

Welcome Center Improvements. The Department requested \$2.4 million from Rhode Island Capital Plan funds and gasoline tax proceeds, of which \$1.9 million will be used in the five-year period to renovate the Welcome Center facility in Richmond. The facility reopened in May 2016 with portable restrooms and in October, it opened the indoor facilities, which are in need of restroom renovations, roof repair, upgrading the heating, ventilation and air conditioning system, and a new filtration system. The request revises the project to include \$1.2 million from gasoline tax proceeds, or \$0.2 million annually for operating expenses, such as waste disposal, monthly water testing, and pest control and security services. *The Governor concurred, with the exception of excluding \$54,182 of prior year expenses. The Assembly concurred.*

Bike Path Facilities Maintenance. The Department requested \$0.4 million annually from Rhode Island Capital Plan funds in the five-year period to repave bike paths throughout the state. The Department noted that no major replacement has occurred since some of the bike paths were constructed more than 20 years ago. Through an agreement, the Department of Environmental Management maintains the bike paths and performs minor repairs; larger scale improvements, such as repaving, are the responsibilities of the Department of Transportation. The Department noted that it has received complaints that certain sections of the paths are deteriorating, and certain areas have become almost impassable. The request of \$2.4 million is consistent with the approved plan; however, it excludes \$0.4 million of prior year expenses and adds a like amount of annual funding for FY 2027. *The Governor recommended \$2.8 million, which is \$0.4 million more than requested to adjust for the exclusion of prior year expenses. The Assembly concurred.*

Capital Equipment Replacement. The Department requested \$49.3 million from Rhode Island Capital Plan funds and gasoline tax proceeds to replace capital equipment. The request includes \$41.6 million in the five-year period, essentially consistent with the approved plan and adds \$8.4 million for FY 2027. This project involves the purchase of heavy trucks, sweepers, loaders, backhoes and tractors to replace the Maintenance Division's existing fleet. The total project cost is \$20.4 million less than the approved plan, primarily reflecting the exclusion of prior year expenses. *The Governor recommended \$77.1 million, which is \$27.7 million more than requested. This includes \$25.9 million to adjust for the exclusion of prior year expenses; expenditures in FY 2022 and FY 2023 were also revised to reflect an updated replacement schedule. The Assembly concurred.*

Information Technology Investment Strategy. The Department requested \$13.0 million from all sources, of which \$9.0 million will be used in the five-year period to fund information technology projects. Projects include ongoing replacement of personal computers, server blades and maintenance agreements for its asset management system. It also includes annual software maintenance expenses for several projects, including e-construction and upgrading the Performance and Registration Information Systems Management to allow the automation of prompt payment. *The Governor recommended \$15.8 million, which is \$2.8 million more than requested to adjust for the exclusion of prior year expenses. The Assembly concurred.*

Maintenance Facility Improvements. The Department requested annual expenses of \$0.5 million from Rhode Island Capital Plan funds in the five-year period, to make repairs at its seven maintenance facilities throughout the state and its headquarters in Warwick. Improvements include pavement repair, replacement and repairs of heating, ventilating and air conditioning systems, a roof, and improvements to windows and garage doors at several facilities. The project cost of \$4.0 million is \$2.2 million less than the approved plan; it excludes \$2.9 million of prior year expenses and adds \$0.2 million for FY 2022 and \$0.5 million of annual funding for FY 2027. Of the \$1.1 million requested for FY 2022, \$0.8 million will be used to replace the heating, ventilation and air conditioning system at the Smithfield Facility and \$0.3 million is for structural repairs to the headquarters building in Warwick. *The Governor concurred and included \$2.3 million of prior year expenses, for total project costs of \$6.3 million. The Assembly concurred.*

Mass Transit Hub Infrastructure. In 2014, the voters approved a \$35.0 million general obligation bond referendum to be used to expand and improve the state's transit hubs located at the Providence Amtrak station, Kennedy Plaza and the proposed Garrahy Courthouse parking complex. Since approval of the bond funds, several requests for proposals have been issued and through FY 2021, \$1.5 million for legal services has been spent. The request assumes use of \$5.0 million to construct a parking lot for the Pawtucket/Central Falls Train Station project, and \$5.3 million to match a federal discretionary grant to repair the Providence Train Station. The remaining \$23.2 million is for the Providence Multi-Hub Bus System, which will relocate some of the Rhode Island Public Transit Authority's bus hub activities to new facilities. The Department is working on the design, which it hopes to be finalized over the winter. Simultaneously, it plans to hold public outreach sessions in August and September, and to have a contract for construction in place in spring 2022 and construction starting by the summer. The request excludes \$9.4 million of expenses from a federal grant the Department received to increase transit capacity. *The Governor recommended \$35.0 million from approved general obligation bonds. The Assembly concurred.*

Materials Quality Assurance Testing Laboratory. The Department requested \$1.2 million from available land sale proceeds to make renovations to its materials testing laboratory in Providence to provide consistent temperature, environmental and air quality, and vibration and noise control. The FY 2017 Single Audit Report cited some deficiencies with the Department's Quality Assurance Testing program. The Department previously stated that if improvements to the testing laboratory are not made, the Federal Highway Administration may cite the state for violations, which could require the state to repay \$2.7 million of federal funds used in 2013 to acquire land on Colorado Avenue in Warwick as a future site for a new facility. The request excludes \$2.7 million of prior year expenses and includes \$10,000 for FY 2022. Though the project is complete, the Department indicated that additional work may be needed to address moisture issues, waterproofing an exterior wall and upgrading the heating, ventilation and air conditioning system. It should be noted that there is a separate project under the purview of the Division of Capital Asset Management and Maintenance that funds asset protection projects for this building. *The Governor recommended \$3.9 million, consistent with the approved plan. The Assembly concurred.*

Pawtucket/Central Falls Train Station. The Department requested \$29.7 million from all sources to be used in FY 2022 and FY 2023 for a new commuter rail station on the Pawtucket/Central Falls border. In July 2016, the Department received notification that a \$13.1 million grant from the Federal Highway Administration for the station had been secured; funding for the project also includes \$5.4 million from local matching funds and \$2.1 million from land sale receipts. The project's infrastructure will include three platforms, a pedestrian overpass, bus drop-off access, pedestrian access and parking; expenditures for parking are funded with general obligation bond proceeds as part of the Mass Transit Hub project. The station is intended to be served by the Massachusetts Bay Transportation Authority along its Providence line, connecting Pawtucket/Central Falls to Providence and Boston. Since 2001, the Pawtucket Foundation has been investigating the potential for a multi-modal transportation center to be located on the site of the existing 1926 Pawtucket/Central Falls Train Station, which has been out of service since the 1960s.

The total project cost of \$38.9 million, is \$6.1 million less than the approved plan. It excludes \$30.8 million of prior-year expenses and assumes \$29.7 million of remaining expenses. Subsequently, the Department indicated that through the end of FY 2021, \$30.2 million has been spent, leaving \$14.5 million to be spent. It indicated that it will work with the Budget Office to correct this.

The Governor recommended \$65.6 million, which is \$26.6 million more than requested to adjust for the exclusion of prior year expenses. However, he included funding in FY 2022 and FY 2023 as requested, and did not correct for these expenses that were overstated. The intent was to recommend funding consistent with the approved plan. The Assembly included \$45.0 million, consistent with the approved plan.

Discretionary Grant Match. The Department requested \$105.0 million from all sources for the match requirement of federal discretionary grants. The Department noted the state would be more competitive

when applying for discretionary grant funds if the 20.0 percent match was already contributed. The request includes \$71.0 million from a source not yet identified and \$34.0 million from revenue bonds, which would be supported by excess funds from the current two cents from the gas tax for previously issued Motor Fuel bonds; the debt service is lower due to refinancing over the years. The annual debt service would be \$2.8 million, assuming a 2.75 percent interest rate and a 15-year term; the projected excess amount is between \$3.2 million and \$4.0 million. The previously issued debt will be fully paid in FY 2027.

The 2021 Assembly adopted legislation requiring the Department to report, on a quarterly basis, a list of all federal, discretionary, and any other grants that it has applied for and to identify any changes from its prior report. For grants that require a state match, the Department must identify if the source for the state's match are available under currently authorized funding. As of the last report of FY 2021, the Department received \$467.6 million in discretionary grants. The required match on that amount is \$116.2 million. The report identified four projects for which partial match is available under current law and the shortfall for these projects would be approximately \$28 million. Subsequently, the Department indicated that two other projects were inadvertently misclassified and the match needed for them would be \$12.0 million, and \$5.0 million would be needed for a recent discretionary grant application it submitted, bringing the total match to \$45 million.

*The Governor did not recommend funding this project. However, as previously noted, the recommendation includes additional resources from Rhode Island Capital Plan funds of \$71.3 million for FY 2024 and for FY 2025 for the state match. The recommendation assumes some level of matching for discretionary grants that are yet to be awarded. A subsequent amendment request increased the additional resources from Rhode Island Capital Plan funds for the Department to \$75.3 million. **The Assembly concurred.***

Maintenance Building - 360 Lincoln Avenue. The Department requested \$25.1 million from Rhode Island Capital Plan funds to build a new maintenance facility behind its current maintenance headquarters in Warwick. The facility will include office space for additional in-house maintenance staff and garage space to house vehicles and equipment that the Department has and will acquire. On a temporary basis, the Department leased a 33,000 square foot building in Providence; however, it indicated that it is not cost effective to keep the lease, which is due to expire on September 30, 2024. The annual cost of the lease is \$0.6 million. The Department indicated that the goal is to have this new facility built and ready to occupy before the lease ends. The Department previously requested this project; however, neither the Governor, nor the Assembly concurred. In addition to its headquarters, the Department has seven maintenance facilities throughout the state. *The Governor did not recommend funding this project; however, the recommendation includes \$0.5 million in the Department of Administration's budget in FY 2023 as part of the Statewide Facility Master Plan project to conduct a consolidated garage study for both the Department of Transportation and the Division of Motor Vehicles' safety and emissions unit. **The Assembly concurred.***

Statewide Pavement. The Department requested new expenditures of \$199.0 million from all sources, including \$139.0 million from the State Fiscal Recovery Fund authorized under the American Rescue Plan Act and \$60.0 million from Rhode Island Capital Plan funds, which assumes use of \$20.0 million annually from FY 2025 through FY 2027 for a statewide pavement program. Work would include pavement resurfacing, crack sealing, and micro surfacing of state-owned roads. The request assumes use of \$45.0 million for FY 2022. Provided that the Governor recommends funding and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year. The Department previously requested this project; however, neither the Governor, nor the Assembly concurred. It should be noted that the requested amount is in addition to \$649.5 million of pavement projects included in the proposed 10-year plan that was adopted in September 2021. *The Governor did not recommend funding this project. **The Assembly concurred.***

Rhode Island Public Transit Authority

Projects	Status	5 Year Total	Project Total
Pawtucket/Central Falls Bus Hub Passenger Facility	New	\$ 5,000,000	\$ 5,000,000
Providence High-Capacity Transit Corridor Study	New	1,125,000	1,225,000
Bus Purchases	Revised	128,379,439	218,507,229
Land and Buildings	Revised	298,832,217	349,500,755
College Hill Bus Tunnel	Ongoing	8,000,000	9,592,045
Enterprise Software	Ongoing	2,000,000	3,583,070
Farebox Upgrade	Ongoing	-	6,184,995
Information Technology Redundancy	Ongoing	1,000,000	1,891,328
Paratransit Vehicles	Ongoing	14,446,390	28,560,703
Pawtucket Bus Hub and Transit Corridor	Ongoing	-	8,579,720
Providence Transit Connector	Ongoing	-	20,054,219
URI Mobility Hub	Ongoing	3,750,000	8,750,000
Warwick Bus Hub	Ongoing	-	1,300,000
Total		\$ 462,533,046	\$ 662,729,064

Sources of Funds

Federal Funds	\$ 398,776,242	\$ 555,874,109
Highway Maintenance Account	21,412,888	26,941,377
Other	253,800	10,666,630
Rhode Island Capital Funds	32,491,640	43,587,009
RIPTA Operating Funds	6,109,199	12,941,141
RIPTA Revolving Loan Funds	3,489,277	8,518,798
State Fleet Replacement Revolving Loan Fund	-	4,200,000
Total	\$ 462,533,046	\$ 662,729,064

Summary. The Rhode Island Public Transit Authority requested \$279.0 million for the five-year period for total project costs of \$483.8 million. Funding in the five-year plan includes \$229.0 million or 82.1 percent from federal funds. The request is for 15 projects; four of which are new. It is \$152.2 million more than the approved plan, including \$64.1 million for new projects, \$15.7 million more for Bus Purchases, \$71.1 million more for the Land and Buildings Project, and \$1.4 million for the remaining nine projects.

Federal FY	Federal Acts	RIPTA's Formula Funds	Change to FFY 2021	Additional Match
2021	Fixing America's Surface Transportation (FAST) Act	\$ 35,028,369		
2022		\$ 47,062,570	\$ 12,034,201	\$ 3,008,550
2023		\$ 48,800,051	\$ 13,771,682	\$ 3,442,921
2024	Infrastructure Investment & Jobs Act	\$ 49,141,412	\$ 14,113,043	\$ 3,528,261
2025		\$ 50,087,531	\$ 15,059,162	\$ 3,764,790
2026		\$ 51,275,908	\$ 16,247,539	\$ 4,061,885

On November 15, 2021, President Biden signed the \$1.2 trillion Infrastructure Investment and Jobs Act into law. It authorized funding for transportation for federal FY 2022 through FY 2026. The Rhode Island Public Transit Authority will receive an average of \$49.3 million annually, or \$14.2 million more than the

amount it received in federal FY 2021. The total match for these formula funds would require an additional \$17.8 million for federal FY 2022 through FY 2026.

The Governor recommended capital expenditures of \$466.5 million in the five-year period for projects totaling \$666.6 million. This is \$182.8 million more than requested, reflecting additional federal funds authorized in the new federal act for existing and new programs and the state match. The Governor recommended \$100.0 million from one-time general revenues be transferred to the Rhode Island Capital Plan Fund to be used as the state match for additional transportation projects authorized under the federal Infrastructure Investment and Jobs Act. This includes \$71.3 million for the Department of Transportation and \$28.7 million for the Rhode Island Public Transit Authority; these funds would be used as a match for FY 2023 and FY 2024. The recommendation also includes \$5.0 million from the State Fiscal Recovery Fund to enhance a previously approved project.

The Governor requested an amendment to adjust expenditures from Rhode Island Capital Plan funds, reflecting updated federal awards notification. This includes \$4.9 million less for the Melrose Avenue garage; the Federal Transit Administration did not approve funding for the project. This is partially offset by \$1.0 million to match \$4.0 million in federal earmarked funds for electrification of the Newport Bus Facility that the Authority received. This resulted in a net reduction of \$3.9 million to the Authority, or \$24.7 million from the \$100.0 million state match for additional transportation projects.

The Assembly concurred with the amended recommendation and provided \$5.0 million from Rhode Island Capital Plan funds in lieu of State Fiscal Recovery funds for the Pawtucket/Central Falls Bus Hub Passenger Facility project.

Pawtucket/Central Falls Bus Hub Passenger Facility. The approved plan includes \$8.6 million for the Authority to build a transit hub adjacent to the new commuter rail station on the Pawtucket/Central Falls border to include real-time bus information and ticket vending machines. The request includes new expenditures of \$5.0 million from the federal Capital Projects Fund authorized under the American Rescue Plan Act to enhance the project to include passenger restrooms, waiting areas, a customer service area, a break room for its employees, and a Pawtucket police substation. Further federal guidance on use of the Capital Projects fund is expected later in the summer; however, as of August, it had not been issued. It should be noted that the Pawtucket Transit Hub project had previously included passenger restrooms; however, the scope was scaled back, to keep costs within available funds. The request assumes use of \$1.0 million in FY 2022. Provided that the Governor recommends funding and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year. *The Governor recommended \$5.0 million from the State Fiscal Recovery Fund in lieu of the Capital Projects Fund, as federal guidance prohibits the use of these funds for transit projects. The Assembly funded this project with Rhode Island Capital Plan funds, in lieu of State Fiscal Recovery funds.*

Providence High-Capacity Transit Corridor Study. The Authority requested \$1.5 million from all sources, including \$1.2 million from federal funds yet to be awarded and \$0.3 million from Rhode Island Capital Plan funds to conduct a study for the construction of a Providence High-Capacity Transit Corridor. This project is part of the Authority's Transit Forward RI 2040 Plan, which is designed to enhance mobility and help reduce greenhouse gas emissions. The plan was adopted by the State Planning Council in December 2020. The project is envisioned to connect Downtown Providence with low-income and high-minority neighborhoods. The Authority indicated that the study will also assess the costs and benefits, and design concepts. In November 2021, the Authority was notified of the grant award. *The Governor recommended \$1.2 million, \$0.3 million less than requested, reflecting the actual grant amount and the required state match. The Assembly concurred.*

Bus Purchases. The Authority requested \$124.3 million in the five-year period to replace 120 buses, or approximately half of its fleet. The Authority indicated these buses being replaced have or will have

exceeded their useful lives, which is defined by Federal Transit Administration regulations and varies depending on the type of vehicle. In FY 2021, the Authority replaced 24 fixed route buses and 10 flex vehicles. In FY 2022, it plans to purchase an additional 26 buses, with 14 of them being electric buses.

The request is \$11.9 million less than the approved plan; however, it excludes \$45.4 million of prior year expenses. It includes matching funds of \$24.6 million from the Department of Transportation's share of highway maintenance funds, \$11.0 million more than the approved plan had assumed. Subsequent to the enactment of the budget, the Authority was awarded a \$5.2 million federal discretionary grant and the request assumes the 20.0 percent match of \$1.3 million is from the Department of Transportation. It further assumes that the discretionary grant amount will be awarded annually. It should be noted that the newly proposed Transportation Improvement Program for federal FY 2022 through FY 2031 contains conflicting information. The Department programmed its share of the funds for projects and maintenance expenses, while the Authority assumed receiving \$3.6 million each from FY 2023 through FY 2025 from the Department. The Department noted that the plan can be adopted as proposed and later be amended to correct the over allocation of highway maintenance funds.

*The Governor included \$128.4 million in the five-year period, \$4.1 million more in FY 2027 than requested, reflecting an updated project replacement schedule. The recommendation assumes the Authority will use its sources of \$4.5 million in FY 2023 for the match; for FY 2024 and beyond, it assumes that the Department will finance it. **The Assembly concurred.***

Land and Buildings. The Authority requested \$67.5 million in the five-year period to improve security at its Elmwood facilities, provide upgrades to various transit hubs and park and ride facilities, while also making multi-year improvements to bus stops, sidewalks and transit hubs. These upgrades would include increased security measures, crack repair, and repaving work.

The project cost of \$118.2 million is \$71.1 million more than the approved plan. The Authority revised the project to assume that it will annually receive \$8.8 million in federal discretionary grants and included the 20.0 percent match of \$2.2 million from Rhode Island Capital Plan funds, for annual expenses of \$11.0 million. This component of the request accounts for \$66.0 million of the increase; however, specific projects have not been identified. In 2018, the Authority received a \$3.3 million discretionary grant to make improvements to the Chafee Maintenance Facility, including lifts and roof replacement, heating, ventilation and air conditioning upgrades and installing a new garage door. The project is expected to be completed in the second half of FY 2022. The request also includes new expenditures of \$2.7 million each programmed in FY 2027 and the out-years.

The Governor recommended \$302.8 million from all sources in the five-year period, for project costs of \$353.4 million. This is \$235.3 million more than requested, including \$217.8 million from federal funds authorized in the Infrastructure Investment and Jobs Act for existing and new projects, such as electric vehicles. The Governor recommended \$100.0 million from one-time general revenues be transferred to Rhode Island Capital Plan Fund to be used as the state match for additional transportation projects authorized under the federal Infrastructure Investment and Jobs Act. This includes \$71.3 million for the Department of Transportation and \$28.7 million for the Rhode Island Public Transit Authority. Of that, \$28.4 million is for this project for FY 2023 and FY 2024. It should be noted that the recommended amount from Rhode Island Capital Plan funds includes \$4.9 million for the state match to renovate the Melrose Facility, which was requested as a separate project; however, the federal grant amount of \$24.6 million has not been secured.

The Governor requested an amendment to adjust expenditures from Rhode Island Capital Plan funds, reflecting updated federal awards notification. This includes \$4.9 million less for the Melrose Avenue garage; the Federal Transit Administration did not approve funding for the project. This is partially offset by \$1.0 million to match \$4.0 million in federal earmarked funds for electrification of the Newport Bus

*Facility that the Authority received. This resulted in a net reduction of \$3.9 million to the Authority, or \$24.7 million from the \$100.0 million state match for additional transportation projects. **The Assembly concurred with the amended recommendation.***

College Hill Bus Tunnel. Consistent with the approved plan, the Authority requested \$9.6 million, including \$1.9 million from the Authority's sources to match federal funds to make structural and drainage repairs, and safety improvements to the College Hill Bus Tunnel. According to the Authority, more than 300 trips are made daily through the tunnel, which was built in 1914 and was last renovated in 1992. Construction is expected to be completed in FY 2024. *The Governor recommended funding as requested. **The Assembly concurred.***

Enterprise Software. Consistent with the approved plan, the Authority requested \$3.6 million to replace its current financial tracking system in order to address shortfalls in financial data quality, processing, and monitoring. Total funding includes 80.0 percent from Federal Transit Administration funds matched with 20.0 percent from Authority sources. The Authority is required to acquire an asset management system to implement requirements set by the Federal Transit Administration, including detailed records on revenue vehicle maintenance to ensure that acquired assets are properly maintained. The Authority must perform adequate maintenance to ensure that vehicles will reach their full useful lives. Additionally, the Authority must annually on its assets to the National Transit Database and determine what percentage of assets are not in a state of good repair, and how it plans to address that. *The Governor concurred. **The Assembly concurred.***

Farebox Upgrade. The Authority requested \$6.2 million, including \$4.9 million or 80.0 percent from federal funds and the remaining funds from the Authority's operating sources to be used through FY 2022 to upgrade its farebox system. The goal of the project is threefold: speed up boarding time, reduce maintenance expenses on current fareboxes, and provide easier and more forms of fare payment. The Authority noted that these technologies would reduce the amount of cash collected and decrease the use of mechanical components in the farebox, which requires unscheduled maintenance. The Authority will transition to paperless fare products beginning November 2021. The project is essentially complete and the request includes \$0.3 million for FY 2022, which is \$0.1 million more than the approved plan based on the Authority's projected expenditures. *The Governor recommended funding as requested. **The Assembly concurred.***

Information Technology Redundancy. The Authority requested \$1.9 million to be used through FY 2023 to provide backup and disaster recovery for its computerized systems. Consistent with the approved plan, the request includes \$1.5 million or 80.0 percent from federal funds and the remaining funds from the Authority's operating sources. The Authority is investigating solutions to protect its data by providing offsite redundancy in the case of electrical failure or other conditions that could lead to the loss of stored data and system functionality. *The Governor recommended funding as requested. **The Assembly concurred.***

Paratransit Vehicles. The Authority requested \$14.4 million from all funds to be used in the five-year period to replace 105 paratransit vehicles. The Authority's fleet currently includes 104 vehicles, as well as spare vehicles which are used to allow for unscheduled repairs and preventive maintenance. The Authority noted that the current fleet plan calls for purchase of smaller vehicles with gasoline engines. In 2019 and 2020, it purchased five Dodge Caravans and 25 Ford Transit vans. The Authority noted that it is tracking the performance of these vehicles and based on that, it may purchase smaller vans in the future to lower fleet replacement costs. The request is essentially consist with the approved plan; however, it assumes that starting in FY 2027, matching funds will be from the Department of Transportation's share of highway maintenance funds, in lieu of the Authority's sources. *The Governor concurred, with the exception of including highway maintenance funds for match, consistent with the approved plan. **The Assembly concurred.***

Pawtucket Bus Hub and Transit Corridor. The Authority requested total project costs of \$7.4 million from all sources, including \$5.9 million from federal funds to build a transit hub adjacent to the new commuter rail station on the Pawtucket/Central Falls border. The project will include bus berths, shelters, real-time bus information, ticket vending machines, and waiting space. Consistent with the approved plan, the City of Pawtucket contributed \$236,000 and the remaining match of \$1.3 million is from Rhode Island Capital Plan funds. Construction is underway and is expected to be completed by fall 2021. The Authority requested a separate \$5.0 million project from the federal Capital Projects Fund authorized under the American Rescue Plan Act, to enhance this project to include passenger restrooms, waiting areas, a customer service area, a break room for its employees, and a Pawtucket police substation. *Consistent with the approved plan, the Governor recommended \$8.6 million. This is \$1.2 million more than requested primarily to reflect prior expenses.* **The Assembly concurred.**

Providence Transit Connector. The request includes \$3.3 million from all sources in FY 2022, including \$2.3 million from federal funds for a total project cost of \$19.9 million to improve the transit corridor between Kennedy Plaza, the Providence Train Station, and other hubs. The City of Providence was the original recipient of the Transportation Investment Generating Economic Recovery grant, and the Authority agreed to administer the project, with the state providing the match. The project is complete; funds budgeted in FY 2022 are for outstanding invoices. The request is \$2.5 million more than the approved plan, including \$1.9 million from federal funds to reflect expenses for bus purchases that were previously assumed as part of the Bus Purchases project. *The Governor concurred and included \$0.2 million of prior year expenses for a total project cost of \$20.1 million.* **The Assembly concurred.**

URI Mobility Hub. Consistent with the approved plan, the Authority requested \$8.8 million from all funds, including \$7.0 million from federal sources to construct a new bus hub at the University of Rhode Island Kingston Campus. The project will create a mobility hub for the campus that will allow for improved access within and around the campus by bus, bike, or foot. The project includes six to eight bus berths with shelters, interior waiting space, restrooms, electric charging stations, and bicycle racks. Matching funds include \$0.9 million from Rhode Island Capital Plan funds, \$0.5 million from highway maintenance funds, and \$0.4 million from the University of Rhode Island. *The Governor recommended funding as requested.* **The Assembly concurred.**

Warwick Bus Hub. Consistent with the approved plan, the Authority requested \$1.3 million from all funds, including \$0.3 million from Rhode Island Capital Plan funds to match federal funds to construct a new bus hub to serve the Community College's Knight Campus in Warwick. The new bus hub will include benches, shelters, real-time arrival signs, lighting, roadway and landscaping improvements. Construction is underway and is expected to be completed by December 2021. *The Governor recommended funding as requested.* **The Assembly concurred.**

Bus Shelters. The request includes new expenditures of \$30.0 million from the federal Capital Projects Fund authorized under the American Rescue Plan Act to add and replace a total of 600 bus shelters statewide. This equates to \$50,000 per bus shelter. The Authority has 300 existing shelters, which it indicated are more than 20 years old; the Federal Transit Administration's standard is 15 years. It further noted that replacing these shelters would provide enhanced amenities for passengers to make transit more attractive such as real-time information. It should be noted that the Authority plans to replace its existing shelters over time, with a combination of federal formula funds and discretionary grants that might become available; however, use of the funds from the Capital Projects Fund would expedite the replacement plan.

Federal guidance on use of the Capital Projects Fund was issued in September. Projects must meet all following criteria: designed to directly enable work, education and health monitoring, address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency, and address a critical need of the community to be served. It appears that infrastructure projects, such as

highways, bridges, and transit systems are not eligible. The guidance further states that “general construction and improvement of hospitals and traditional schools are not presumed to be eligible.”

*The Governor did not recommend funding this project. **The Assembly concurred.***

Melrose Facility Upgrade. The Authority requested \$27.6 million from all sources to rehabilitate the 72,000 square foot facility on Melrose Street, which houses a vehicle maintenance garage and office space. The building was constructed in 1922 and the Authority purchased it in 1966. The project will include the construction of a new employee training facility, exterior finishes, electrical upgrades, and replacing elevators. The Authority indicated that the facility has remained largely unoccupied since it relocated most of its administrative functions to the Elmwood Avenue building in 2010. The request includes \$18.4 million from a federal discretionary grant, which has not been secured, \$3.7 million from a federal formula grant and \$4.9 million from Rhode Island Capital Plan funds for the required 20.0 percent match.

*The Governor’s capital budget includes funding for this project, including the required match as part of the Land and Buildings project; the federal discretionary grant had not been secured at the time of this. Subsequent to the budget submission, the Federal Transit Administration notified the Authority that it did not approve funding for the project. The Governor requested an amendment to remove recommended expenditures from Rhode Island Capital Plan funds that were included in the Land and Buildings project. **The Assembly concurred.***

Rhode Island Airport Corporation

Projects	Status	5 Year Total	Project Total
Outlying Airports General Improvements	Ongoing	\$ 9,581,000	\$ 18,534,333
T.F. Green Airport General Improvements	Ongoing	72,035,000	119,762,120
Total		\$ 81,616,000	\$ 138,296,453

Sources of Funds			
Agency Funds		\$ 3,140,000	\$ 6,590,000
Federal Funds		58,473,550	109,942,636
Other Funds		-	250,000
Passenger Facility Charges		20,002,450	21,513,817
Total		\$ 81,616,000	\$ 138,296,453

Summary. The Airport Corporation requested capital expenditures of \$231.6 million, including \$151.2 million during the five-year period for three projects, one of which is new. The request is \$102.3 million more than the approved plan, including \$38.0 million for the new project and \$57.0 million for a joint project with the Rhode Island Air National Guard. *The Governor recommended \$81.6 million in the five-year period, for project costs of \$138.3 million. He did not include the new project.* **The Assembly concurred.**

Outlying Airports General Improvements. The Corporation requested project costs of \$73.8 million, of which \$73.2 million will be used from FY 2022 through FY 2024 to make improvements at the Corporation's five general aviation airports, including rehabilitation to runways, taxiways and aircraft parking aprons. The request is \$67.6 million more than the approved plan, to primarily include a \$57.0 million joint project with the Rhode Island Air National Guard for the reconstruction of runway 16/34 at Quonset. The National Guard's portion of the project cost is \$25.8 million. The remaining \$16.8 million is for 11 projects at Newport, Westerly, Block Island, and North Central airports.

The request does not include any expenditures beyond FY 2024. The Corporation indicated that the capital budget is based on a "tentative plan," studies are being conducted, and it is meeting with town and stakeholders regarding future projects. *The Governor recommended project costs of \$18.5 million, which is \$55.3 million less than requested, to primarily exclude costs for the joint project to avoid double counting them statewide. These expenses are included in the Rhode Island Air National Guard's capital budget.* **The Assembly concurred.**

T.F. Green Airport General Improvements. The Corporation requested \$72.0 million to be used from FY 2023 through FY 2026 for general improvement components at T.F. Green Airport, totaling \$119.8 million. This assumes use of \$49.8 million from federal funds, \$3.1 million from the Corporation's sources, and \$19.1 million from passenger facility charges. Passenger facility charges are generated from a \$4.50 charge on all enplaned passengers, and can only be used for certain projects deemed eligible by the Federal Aviation Administration.

The request is \$3.4 million less than the approved plan to primarily reflect the removal of expenses that were programmed in the out-years. The request is for 51 projects, which are grouped into three categories. This includes \$68.2 million for airside projects such as the reconstruction of runways 16/34 and 5/23; \$47.8 million for terminal/landside projects, including terminal and restroom renovations, roof replacement and security projects; and \$3.8 million is for all other projects. The Corporation is in the process of updating

its master plan and conducting a strategic business plan and indicated that based on these plans, the capital improvement plan will be updated. It noted that the requested projects are necessary to maintain operations of the airport and to meet Federal Aviation Administration standards and regulations. *The Governor recommended funding as requested.* **The Assembly concurred.**

Southside Site Work and Grading. The Corporation's request includes new expenditures of \$19.0 million each for FY 2022 and FY 2023 from the state's allocation of the federal Capital Projects Fund authorized under the American Rescue Plan Act to include new utility connections and grading improvements to ready a parcel at T.F. Green Airport for future development. The approved capital improvement plan includes \$6.0 million from federal aviation funds for this project as part of the T.F. Green Airport Improvements project. The Corporation noted that the current site is used as a parking lot and houses some of its operations. The Corporation issued a Request for Proposals, which was due in October 2021. Once the site is ready, the preliminary plan is to construct a cargo building, hangar, and surface parking. That project cost is estimated at \$100 million and is not included in the current capital improvement plan.

Federal guidance on use of the Capital Projects Fund was issued in September. Projects must meet all of the following criteria: designed to directly enable work, education and health monitoring, address a critical need that resulted from or was made apparent or exacerbated by the COVID-19 public health emergency, and address a critical need of the community to be served. It appears that infrastructure projects, such as highways, bridges and transit systems are not eligible. The guidance further states that "general construction and improvement of hospitals and traditional schools are not presumed to be eligible." The request assumes use of \$19.0 million in FY 2022. Provided that the Governor recommends funding, and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year. *The Governor did not recommend funding this project.* **The Assembly concurred.**