Senate Fiscal Office

05.17.2021

FY2022 Budget

Department of Corrections

Overview- DOC

- Runs seven separate facilities collectively know as the Adult Corrections Unit (ACI)
- Current capacity is 3,991 beds, as of February 2021 the DOC averaged 2,162 inmates, (54.2 percent capacity) 2,077 men and 85 women.
- DOC is composed of seven programs
  - Central Management
  - Community Corrections
  - Custody and Security
  - Healthcare Services
  - Institutional Based Rehabilitation/Population Management
  - Institutional Support
  - Parole Board

Overview- DOC

Expenditures By Program	FY2020 Actual	FY2021 Enacted	FY2021 Governor	Change from Enacted		FY2022 Governor	Change from Enacted	
Central Management	$15.8	$16.7	$17.9	*$1.2*	*7.2%*	$15.8	*($0.9)*	*-5.4%*
Community Corrections	15.8	17.5	17.5	*0.1*	*0.3%*	18.8	*1.3*	*7.4%*
Custody and Security	147.4	153.9	141.8	*(12.1)*	*-7.8%*	139.8	*(14.1)*	*-9.2%*
Healthcare Services	27.8	21.4	28.3	*6.9*	*32.3%*	28.3	*6.9*	*32.5%*
Institutional Based Rehab/Population Management	10.4	13.4	14.6	*1.2*	*9.0%*	12.0	*(1.3)*	*-9.8%*
Institutional Support	39.1	30.5	33.4	*2.9*	*9.5%*	26.7	*(3.8)*	*-12.5%*
Parole Board	1.4	1.5	1.6	*0.1*	*6.9%*	1.5	*(0.0)*	*-2.0%*
Total	**$257.8**	**$254.7**	**$255.0**	***$0.3***	***0.1%***	**$242.8**	***($11.9)***	***-4.7%***
Expenditures By Source								
General Revenue	$185.1	$163.2	$118.5	*($44.8)*	*-27.4%*	$233.1	*$69.9*	*42.8%*
Federal Funds	53.0	80.6	125.7	*45.1*	*55.9%*	2.2	*(78.4)*	*-97.2%*
Restricted Receipts	3.5	1.0	1.0	*0.0*	1.6%	2.3	*1.4*	143.6%
Other Funds	16.3	9.9	9.9	-	-	5.1	*(4.8)*	*-48.2%*
Total	**$257.8**	**$254.7**	**$255.0**	***$0.3***	***0.1%***	**$242.8**	***($11.9)***	***-4.7%***
Authorized FTE Levels	1,416.0	1,411.0	1,411.0	-	-	1,424.0	*13.0*	*0.9%*

$ in millions. Totals may vary due to rounding.

- Increase of 13.0 FTE positions, 7.0 Community Correction Assessment Analysts to bring discharge planning services in house, 2.0 Health Program Administrators, 1.0 Co-Hospital Case Manager, and 1.0 Data Analyst within the Department's Healthcare Services Program, and 1.0 Apprenticeship Coordinator.
- Increase in General Revenue is mostly comprised of additional personnel costs being shifted back to general revenue within the Custody and Security program.
  - The FY2021 Budget as Enacted used COVID-19 Relief funds to support personnel within Custody and Security, these funds are no longer available in FY2022.

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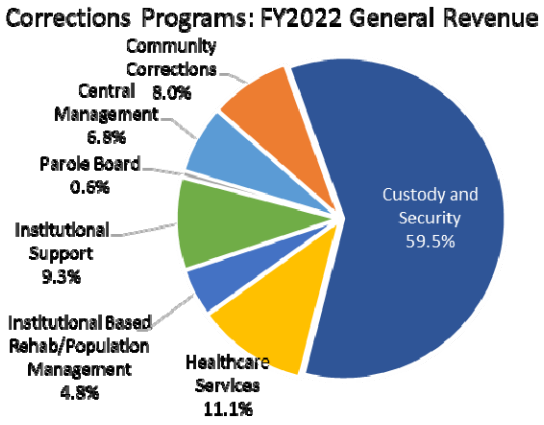
Major Issues and Trends

- Discharge planning services
- DLT Apprenticeship
- COVID-19 Relief Funds
- Modular Closures
- Transitional Care Unit



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Discharge Planning Services

- Budget includes net increase of $101,770 to move discharge planning services in-house.
- Discharge planning services were previously provided by an outside vendor, Community Solutions, contract was terminated in April 2020.
- Budget adds 7.0 FTE Community Correction Assessment Analysts.
- The Department believes that bringing discharge planning services fully in-house will allow for an uninterrupted continuum of services to inmates prior to their release

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DLT Apprenticeship

- Apprenticeship program will coordinate apprenticeships with DOC and DLT.
  - Will provide training programs to incarcerated individuals, allowing them to find employment post-incarceration.
- Budget includes 2.0 FTE positions, 1.0 FTE Coordinator of Employment and Training Programs and 1.0 FTE Apprenticeship Coordinator.
- The Department estimates that 75.0 percent of incarcerated inmates have a high school education or higher and would be able to find work if not for being incarcerated.

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COVID-19 Relief Funds

- The FY2021 Budget as Enacted included $76.3 million in federal COVID-19 Relief funds.
  - $71.4 million was used to support personnel costs within the Custody and Security program specifically for Correctional Officers
  - $4.9 million was used to support personnel within Healthcare Services.
- These funds are not available in FY2022, therefore, these costs are shifted back to general revenue.

Module Closure

- The Governor's FY2022 Budget includes $3.1 million in savings due to the closure of multiple modules.
- Governor anticipates the Department will be able to close 13 modules for 6 months.
  - The modules include seven in Intake, two in the Women's Facility, two double modules in Medium Security, and two modules at Maximum.
- As of March 2021, the Intake Center was at 67.1 percent capacity, the Women's Facility was at 38.4 percent, Medium Security was at 63.3 percent, and Maximum Security was at 77.1 percent.

Transitional Care Unit

- Budget includes $750,000 in general revenue to support planning and creation of a Transitional Care Unit (TCU).
- The TCU is intended to bridge the gap between severely and persistently mentally ill patients, treated in the Residential Treatment Unit (RTU), and treat individuals whose needs do not rise to the level or care required in the RTU but who would still benefit from mental health care.
  - The RTU is only able to treat about 8-10 inmates at a time due to security issues.
- Phase 1 of this project, strategic development, would take place in FY2022.

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