



FY21 COVID-19 RESPONSE – Update



Senate Hearing

January 21st, 2021

RHODE
ISLAND

Agenda

- FY21 COVID-19 Response - Budget Update
 - Budget and Spending by Response Category
- Federal Aid Update

Budget and Spending by Response Category

COVID-19 Response Budget

No. Spending Category	Current Budget (as of 01/19/2021)			Delta (compared to 12/16/2020)		Expenditures and Encumbrances (as of 01/15/2021)			
	Through 12/30	Beyond 12/30	Total	Through 12/30	Beyond 12/30	Total Expenditures	Total Encumbrances	Total	Delta to Total Budget Over (Under)
1 Surge	\$63.2M	\$20.3M	\$83.5M	\$(0.3)M	\$(0.0)M	\$43.0M	\$15.6M	\$58.5M	\$(25.0)M
2 Hospital Assistance Partnership Program	\$220.5M	-	\$220.5M	-	-	\$220.4M	-	\$220.4M	\$(0.0)M
3 Human Services Relief: Congregate Care & Child Care	\$55.1M	\$0.3M	\$55.4M	-	-	\$52.9M	\$1.9M	\$54.8M	\$(0.6)M
4 Human Services Relief: Other	\$9.1M	\$10.3M	\$19.4M	-	\$10.0M	\$6.7M	\$1.4M	\$8.1M	\$(11.4)M
5 Child Care	\$5.7M	-	\$5.7M	\$0.0M	-	\$5.3M	\$0.0M	\$5.3M	\$(0.4)M
6 Supplies	\$94.7M	\$0.7M	\$95.4M	-	-	\$86.2M	\$8.4M	\$94.6M	\$(0.8)M
7 Testing	\$88.3M	\$188.1M	\$276.4M	-	-	\$54.2M	\$26.4M	\$80.6M	\$(195.8)M
8 Contact Tracing and Case Investigation	\$22.3M	\$41.5M	\$63.9M	-	-	\$12.2M	\$10.6M	\$22.8M	\$(41.1)M
9 Quarantine and Isolation	\$16.3M	\$8.3M	\$24.6M	\$(1.9)M	\$0.2M	\$10.5M	\$2.8M	\$13.2M	\$(11.4)M
10 Health Equity Zones, Community Mitigation & High Density Communities	\$4.1M	\$4.6M	\$8.7M	\$(0.5)M	\$3.5M	\$2.1M	\$1.9M	\$4.0M	\$(4.7)M
11 Technical Enablement/Deployment	\$7.2M	\$3.9M	\$11.1M	-	\$0.1M	\$5.3M	\$0.6M	\$5.9M	\$(5.3)M
12 Housing	\$17.6M	\$0.4M	\$18.0M	-	-	\$15.5M	-	\$15.5M	\$(2.5)M
13 Business & Workforce Readiness	\$142.7M	\$2.0M	\$144.7M	\$1.9M	\$0.3M	\$108.7M	\$33.5M	\$142.2M	\$(2.5)M
14 Education: Summer 2020	\$9.6M	-	\$9.6M	-	-	\$8.1M	\$0.0M	\$8.2M	\$(1.5)M
15 Education: K-12 & Higher Ed Reopening	\$108.3M	\$0.2M	\$108.5M	\$0.2M	\$0.1M	\$20.6M	\$83.9M	\$104.5M	\$(4.0)M
16 Government Readiness	\$38.7M	\$11.0M	\$49.7M	\$1.9M	\$7.1M	\$22.5M	\$9.7M	\$32.1M	\$(17.6)M
17 Other Expenses	\$10.7M	\$8.2M	\$18.9M	-	\$1.2M	\$7.6M	\$1.3M	\$8.8M	\$(10.1)M
18 Vaccine Campaign	\$3.2M	\$29.2M	\$32.4M	\$(5.1)M	-	\$0.3M	\$3.0M	\$3.2M	\$(29.2)M
19 Other Expenses including CRF Eligible Personnel Expenses	\$473.7M	-	\$473.7M	-	-	\$348.4M	\$125.3M	\$473.7M	-
20 "Pause" Supports	\$109.7M	-	\$109.7M	\$(26.5)M	-	\$106.7M	\$3.2M	\$109.9M	\$0.3M
Total	\$1500.8M	\$329.1M	\$1829.9M	\$(30.2)M	\$22.4M	\$1137.1M	\$329.2M	\$1466.3M	\$(363.6)M

Available Funding: CRF, Grants, and FEMA

The funding sources listed below represent funding available to COVID-19 response efforts, but not all funding can be fully directed to identified response activities.

Funding Source	Amount	Notes
Coronavirus Relief Fund (CRF)	\$1250.0M	Funding FY20 and FY21A initiatives
Grants (Stimulus Round 1)	\$189.2M	Includes CDC grants, OHA, ESSERF, CCDBG, ESG, and HEERF
Grants (Stimulus Round 2)*	\$71.4M	Includes New Testing, Vaccine, and OHA estimated grant awards
TOTAL GRANTS	\$260.5M	
Potential FEMA Reimbursement through 12/30	\$211.2M	This is an estimate based on latest approvals and potential eligibility guidelines
Potential FEMA Reimbursement post-12/30	\$91.3M	This is an estimate based on latest approvals and potential eligibility guidelines
TOTAL FEMA	\$302.5M	
General Revenue (As appropriated)	\$105.7M	General Revenue approved in adopted FY21 Budget
TOTAL	\$1918.7M	

*See slide 36

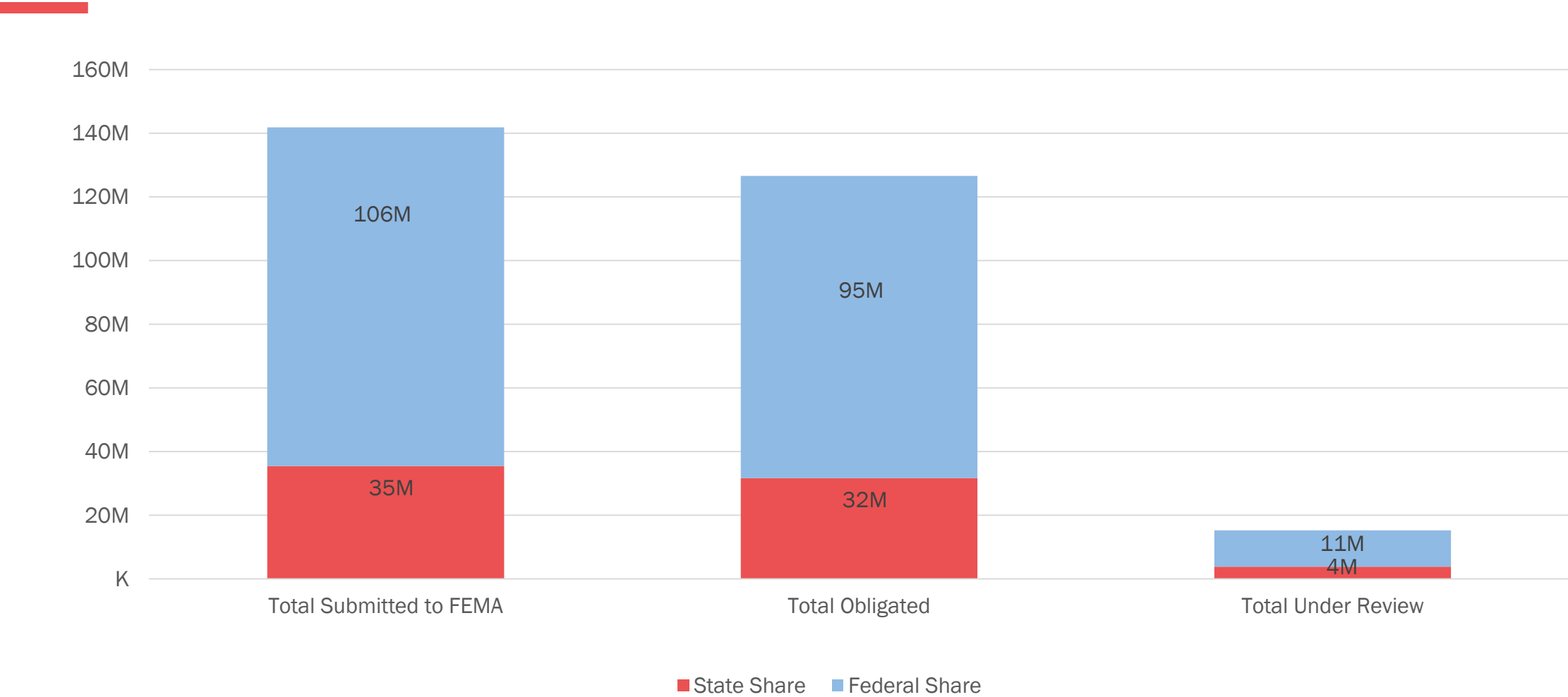
FEMA Reimbursement

In accordance with section 502 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, eligible emergency protective measures taken to respond to the COVID-19 emergency at the direction or guidance of public health officials may be reimbursed under Category B of FEMA's Public Assistance program. FEMA assistance will be provided at a 75% federal cost share as long as the emergency disaster declaration remains in effect.

Eligible Assistance

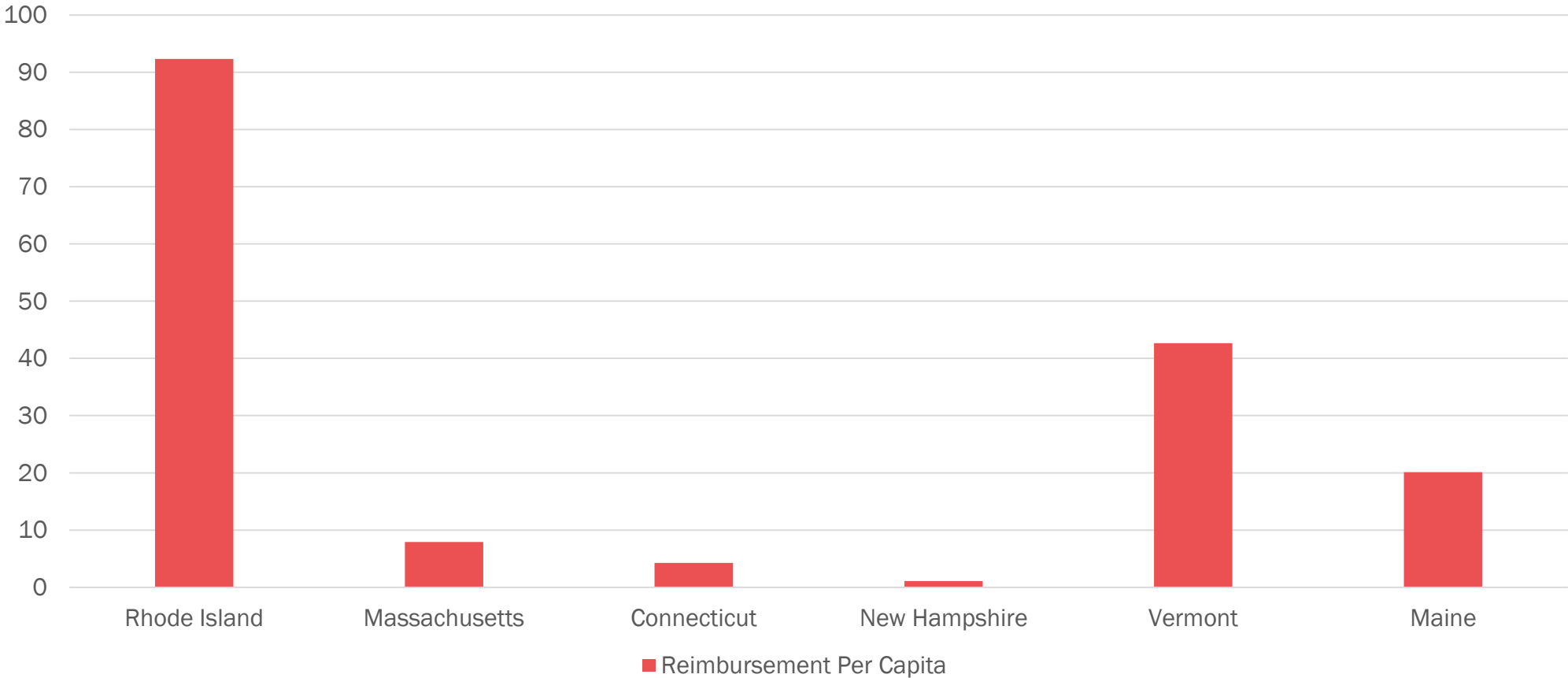
- Management, control and reduction of immediate threats to public health and safety
- Emergency Medical Care
- Medical Sheltering (e.g. when existing facilities are reasonably forecasted to become overloaded in the near future and cannot accommodate needs.)
- Security and law enforcement
- Communications of general health and safety information to the public
- Reimbursement for state overtime costs.

FEMA Submission Totals



State Comparison (Region 1)

As of January 2021, the Rhode Island has received almost \$95 million in FEMA obligations, more than any other state in FEMA Region 1.



Spending Category 1 - Surge

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
1.1. Surge – Construction	\$22.9M	-	\$22.9M	\$39.3M	\$15.0M	\$54.2M	(\$23.6M)
1.2. Surge – Operating	\$29.0M	\$17.2M	\$46.2M				
1.3. Surge - Demobilization	\$6.4M	\$2.3M	\$8.7M				
1.4. Medical Examiner's Building/Vehicles	\$3.4M	-	\$3.4M	\$2.8M	\$0.2M	\$3.0M	(\$0.3M)
1.5. Other Surge Expenses	\$1.5M	\$0.7M	\$2.3M	\$0.9M	\$0.4M	\$1.3M	(\$1.0M)
TOTAL	\$63.2M	\$20.3M	\$83.5M	\$43.0M	\$15.6M	\$58.5M	(\$25.0M)

Spending Category 2 - Hospital Assistance Partnership Program

Budgeted							
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
2.1. Hospital Partnership Grants - Phase 1	\$95.5M	-	\$95.5M	\$95.4M	-	\$95.4M	(\$0.1M)
2.2. Hospital Partnership Grants - Phase 2	\$125.0M	-	\$125.0M	\$125.0M	-	\$125.0M	\$0.0M
TOTAL	\$220.5M	-	\$220.5M	\$220.4M	-	\$220.4M	(\$0.0M)

Spending Category 3 - Human Services Relief: Congregate Care & Child Care

	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
3.1. COVID-19 Specialty Nursing Home Contracts - through FY 2020	\$1.4M	-	\$1.4M	\$1.4M	-	\$1.4M	(\$0.0M)
3.2. Provider Rate Increase - through FY 2020	\$0.9M	-	\$0.9M	\$0.9M	-	\$0.9M	-
3.3. Workforce Stabilization Program Phases 1-3	\$15.9M	-	\$15.9M	\$15.1M	\$0.0M	\$15.1M	(\$0.8M)
3.4. LTSS Resiliency	\$20.1M	-	\$20.1M	\$20.8M	\$0.1M	\$21.0M	\$0.8M
3.5. Pediatric Primary Care Relief & Immunizations Program	\$3.1M	-	\$3.1M	\$6.1M	-	\$6.1M	(\$0.0M)
3.6. Pediatric Rate Supplement	\$3.0M	-	\$3.0M				
3.7. Early Intervention and Children's Services Provider Relief	\$5.0M	-	\$5.0M	\$4.9M	-	\$4.9M	(\$0.1M)
3.8. Primary Care Provider-Focused Technical Assistance	\$1.1M	-	\$1.1M	\$0.1M	\$1.1M	\$1.1M	-
3.9. DCYF Stipend, Emergency Childcare, and VEC Extension	\$0.9M	-	\$0.9M	\$0.7M	-	\$0.7M	(\$0.2M)
3.10. Consulting Support for Reopening and Response	\$3.7M	\$0.3M	\$4.0M	\$3.0M	\$0.7M	\$3.7M	(\$0.3M)
TOTAL	\$55.1M	\$0.3M	\$55.4M	\$52.9M	\$1.9M	\$54.8M	(\$0.6M)

Spending Category 4 - Human Services Relief: Other

	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
4.1. Rhode Island Works Benefit Increase	\$1.7M	-	\$1.7M	\$1.6M	-	\$1.6M	(\$0.1M)
4.2. UHIP System Changes for COVID-19 Mitigation	\$1.2M	-	\$1.2M	\$0.0M	\$0.0M	\$0.1M	(\$1.1M)
4.3. HCBS Access Program for Individuals Living with I/DD	\$3.0M	\$10.0M	\$13.0M	\$3.2M	-	\$3.2M	(\$9.9M)
4.4. Substance Use Disorder Nonhospital Provider Relief Grant Program	\$1.0M	-	\$1.0M	\$0.8M	\$0.2M	\$1.0M	-
4.5. Mental Health and Domestic Violence Initiatives	\$2.2M	\$0.3M	\$2.5M	\$1.1M	\$1.1M	\$2.2M	(\$0.3M)
TOTAL	\$9.1M	\$10.3M	\$19.4M	\$6.7M	\$1.4M	\$8.1M	(\$11.4M)

Spending Category 5 – Child Care

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
5.1. COVID CCAP Rate Increase	[\$7.6M]	-	[\$7.6M]	-	-	-	-
5.2. Childcare Recovery Fund	\$5.0M	-	\$5.0M	\$5.3M	\$0.0M	\$5.3M	\$0.3M
5.3. DCYF COVID Childcare Rate Increase	\$0.7M	-	\$0.7M	-	-	-	(\$0.7M)
TOTAL	\$5.7M	-	\$5.7M	\$5.3M	\$0.0M	\$5.3M	(\$0.4M)

Spending Category 6 - Supplies

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
6.1. PPE Procurement	\$84.1M	-	\$84.1M	\$86.2M	\$8.4M	\$94.6M	(\$0.8M)
6.2. Ventilators	\$6.7M	-	\$6.7M				
6.3. Other Supplies	\$1.4M	\$0.6M	\$2.0M				
6.4. Vaccine Campaign Supplies	\$1.3M	-	\$1.3M				
6.5. PPE Warehousing	\$1.2M	\$0.1M	\$1.3M				
TOTAL	\$94.7M	\$0.7M	\$95.4M	\$86.2M	\$8.4M	\$94.6M	(\$0.8M)

Spending Category 7 – Testing

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
7.1. Testing Site Equipment	\$5.1M	\$1.7M	\$6.8M	\$3.9M	\$0.2M	\$4.0M	(\$2.7M)
7.2. Lab Processing Contracts	\$58.8M	\$159.2M	\$218.0M	\$30.3M	\$17.0M	\$47.4M	(\$170.6M)
7.3. Supplies and Equipment	\$14.6M	\$7.6M	\$22.2M	\$10.6M	\$6.3M	\$16.9M	(\$5.4M)
7.4. Testing Site Staffing	-	\$10.8M	\$10.8M	-	-	-	(\$10.8M)
7.5. Mobile Testing/Collection Contracts	\$6.3M	\$6.1M	\$12.4M	\$7.3M	\$2.5M	\$9.8M	(\$2.6M)
7.6. Other Contracted Services	\$2.6M	\$2.2M	\$4.8M	\$2.0M	\$0.4M	\$2.5M	(\$2.3M)
7.7. Serology Surveillance/Research	\$0.2M	\$0.0M	\$0.2M	\$0.1M	\$0.0M	\$0.1M	(\$0.1M)
7.8. State Laboratory Staffing (RIDOH)	\$0.8M	\$0.4M	\$1.2M	-	-	-	(\$1.2M)
TOTAL	\$88.3M	\$188.1M	\$276.4M	\$54.2M	\$26.4M	\$80.6M	(\$195.8M)

Spending Category 8 – Contact Tracing and Case Investigation

	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
8.1. Contracted Staffing	\$20.3M	\$40.8M	\$61.1M	\$11.5M	\$9.7M	\$21.2M	(\$39.9M)
CI	\$13.3M	\$26.1M	\$39.4M	\$11.5M	\$9.7M	\$21.2M	(\$39.9M)
CT	\$1.3M	\$8.1M	\$9.5M				
Other Staffing	\$5.6M	\$6.6M	\$12.3M				
8.2. Other Contact Tracing and Case Investigation Expenses	\$2.1M	\$0.7M	\$2.8M	\$0.7M	\$0.9M	\$1.6M	(\$1.2M)
General Operating	\$1.6M	\$0.1M	\$1.7M	\$0.6M	\$0.7M	\$1.3M	(\$0.5M)
CMS Facilities Staffing	\$0.4M	\$0.6M	\$0.9M	\$0.1M	\$0.2M	\$0.3M	(\$0.6M)
Other Staffing	\$0.1M	-	\$0.1M	-	-	-	(\$0.1M)
TOTAL	\$22.3M	\$41.5M	\$63.9M	\$12.2M	\$10.6M	\$22.8M	(\$41.1M)

Spending Category 9 – Quarantine and Isolation (1 of 2)

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
9.1. Adult Q&I Facility	\$4.1M	\$6.0M	\$10.2M	\$3.1M	\$2.0M	\$5.1M	(\$5.1M)
Wyndham Hotel Facility (COVID+)	\$2.2M	\$2.6M	\$4.8M	\$3.1M	\$2.0M	\$5.1M	(\$5.1M)
Frontline Worker Housing	\$0.1M	-	\$0.1M				
Shelter Reduction Program (Housing Insecure)	\$0.9M	-	\$0.9M				
Temporary Hotel Shelter (Housing Insecure)	\$0.8M	-	\$0.8M				
HDC Hotel	\$0.2M	\$3.4M	\$3.5M				

Spending Category 9 – Quarantine and Isolation (2 of 2)

	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
9.2. Other Q&I Expenses	\$6.5M	\$1.8M	\$8.3M	\$4.0M	\$0.1M	\$4.0M	(\$4.3M)
Youth and Family Facilities (Zambarano and Jammatt)	\$1.0M	\$0.7M	\$1.7M	\$0.4M	\$0.0M	\$0.4M	(\$1.3M)
Homeless Response Effort	\$1.0M	\$0.5M	\$1.5M	\$0.9M	-	\$0.9M	(\$0.6M)
DD QI Facilities	\$0.5M	\$0.1M	\$0.6M	\$0.0M	-	\$0.0M	(\$0.6M)
DCYF Intake Facility (Tappan St and Greenville)	\$0.7M	\$0.3M	\$1.1M	\$0.5M	-	\$0.5M	(\$0.5M)
Food Support	\$1.7M	\$0.1M	\$1.9M	\$0.8M	-	\$0.8M	(\$1.1M)
Cash Assistance for Q&I	\$1.3M	-	\$1.3M	\$1.3M	0.0M	\$1.3M	(\$0.0M)
QIRC	\$0.1M	\$0.1M	\$0.1M	-	-	-	(\$0.1M)
CAP Agencies	\$0.2M	-	\$0.2M	-	-	-	(\$0.2M)
9.3. OHA	\$5.6M	\$0.5M	\$6.1M	\$3.4M	\$0.7M	\$4.1M	(\$2.0M)
TOTAL	\$16.3M	\$8.3M	\$24.6M	\$10.5M	\$2.8M	\$13.2M	(\$11.4M)

Spending Category 10 – HEZ, Community Mitigation, HDC

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
10.1. Health Equity Zones	\$3.0M	\$3.0M	\$6.0M	\$1.2M	\$1.8M	\$3.0M	(\$3.0M)
10.2. Other Community Mitigation Efforts	\$0.4M	\$0.4M	\$0.8M	\$0.2M	\$0.1M	\$0.3M	(\$0.5M)
10.3. High Density Communities	\$0.7M	\$1.2M	\$1.9M	\$0.7M	\$0.0M	\$0.7M	(\$1.2M)
TOTAL	\$4.1M	\$4.6M	\$8.7M	\$2.1M	\$1.9M	\$4.0M	(\$4.7M)

Spending Category 11 – Technical Enablement/Deployment

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
11.1. Dev./ Support: Salesforce	\$5.0M	\$2.7M	\$7.8M	\$4.1M	\$0.2M	\$4.3M	(\$3.4M)
11.2. CrushCovid RI App	\$0.6M	\$0.4M	\$1.0M	\$0.5M	\$0.1M	\$0.6M	(\$0.4M)
11.3. Other Expenses (includes existing IT system changes)	\$1.6M	\$0.8M	\$2.4M	\$0.7M	\$0.2M	\$0.9M	(\$1.4M)
TOTAL	\$7.2M	\$3.9M	\$11.1M	\$5.3M	\$0.6M	\$5.9M	(\$5.3M)

Spending Category 12 – Housing

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
12.1. Housing and Rental Support	\$8.2M	\$0.3M	\$8.5M	\$6.4M	-	\$6.4M	(\$2.0M)
12.2. Permanent Housing Investment	\$0.4M	\$0.1M	\$0.5M	\$0.2M	-	\$0.2M	(\$0.3M)
12.3. Eviction Diversion Program	\$7.3M	-	\$7.3M	\$7.2M	-	\$7.2M	(\$0.1M)
12.4. Supportive Housing Acquisition	\$1.6M	-	\$1.6M	\$1.6M	-	\$1.6M	\$0.0M
12.5. Navigation Assistance and Legal Services	\$0.2M	-	\$0.2M	-	-	-	(\$0.2M)
TOTAL	\$17.6M	\$0.4M	\$18.0M	\$15.5M	-	\$15.5M	(\$2.5M)

Spending Category 13 – Business & Workforce Readiness

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
13.1. Relief Grants and Other Business and Non-Profit Relief (Restore RI)	\$75.8M	\$0.3M	\$76.1M	\$76.4M	-	\$76.4M	\$0.3M
Restore RI Small Business Grants	\$52.6M		\$52.6M	\$52.6M	-	\$52.6M	-
Technical Assistance Grants	\$1.9M	\$0.3M	\$2.2M	\$2.2M	-	\$2.2M	-
Business Adaptation Grants	\$3.2M		\$3.2M	\$3.6M	-	\$3.6M	\$0.4M
Take it Outside	\$7.4M		\$7.4M	\$7.4M	-	\$7.4M	-
Non-Profit	\$4.0M		\$4.0M	\$4.0M	-	\$4.0M	-
Remote Work Support	\$4.0M		\$4.0M	\$4.0M	-	\$4.0M	-
Administration	\$2.7M		\$2.7M	\$2.5M	-	\$2.5M	(\$0.2M)

Spending Category 13 – Business & Workforce Readiness

	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
13.2. Back to Work RI	\$45.3	-	\$45.3	\$13.3M	\$32.0M	\$45.3M	-
Training Contracts	\$31.1	-	\$31.1	\$13.3	\$32.0M	\$45.3M	-
Wrap Around Services	\$14.2	-	\$14.2				
13.3. DBR and RIDOH Enforcement/Inspection	\$1.2M	\$1.7M	\$2.9M	\$0.4M	\$0.2M	\$0.5M	(\$2.3M)
13.4. Hospitality, Arts, and Tourism Supports	\$16.1M	-	\$16.1M	\$14.8M	\$1.3M	\$16.1M	-
HArT ESR (Events)	\$6.1M	-	\$6.1M	\$14.8M	\$1.3M	\$16.1M	-
HArT Direct - Arts & Culture*	\$5.3M	-	\$5.3M				
HArT Direct – Hotels	\$3.8M	-	\$3.8M				
HArT Direct - Tourism	\$0.9M	-	\$0.9M				
13.5. Business Interruption Supports	\$4.4M	-	\$4.4M	\$3.9M	-	\$3.9M	(\$0.5M)
TOTAL	\$142.7M	\$2.0M	\$144.7M	\$108.7M	\$33.5M	\$142.2M	(\$2.5M)

*Includes funding for RISCA administered grants

Spending Category 14 – Education: Summer 2020

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
14.1. In-Person Summer Programming	\$2.5M	-	\$2.5M	\$2.2M	-	\$2.2M	(\$0.3M)
14.2. SAIL with PrepareRI (Menu of Courses) - RIDE Summer Learning and Enrichment Activities	\$3.7M	-	\$3.7M	\$3.2M	-	\$3.2M	(\$0.4M)
14.3. Urban Summer Block Grant	\$1.0M	-	\$1.0M	\$0.5M	-	\$0.5M	(\$0.5M)
14.4. Youth Summer Job Project	\$2.4M	-	\$2.4M	\$2.1M	-	\$2.1M	(\$0.3M)
14.5. Administrative Costs	\$0.1M	-	\$0.1M	\$0.1M	\$0.0M	\$0.1M	(\$0.0M)
TOTAL	\$9.6M	-	\$9.6M	\$8.1M	\$0.0M	\$8.2M	(\$1.5M)

Spending Category 15 – Education: K-12 & Higher Ed Reopening (1 of 2)



	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
15.1. CRF Distribution	\$47.1M	-	\$47.1M	\$12.3M	\$34.8M	\$47.1M	-
15.2. Other K-12 Reopening Initiatives	\$37.9M	-	\$37.9M	\$8.3M	\$25.7M	\$34.0M	(\$3.9M)
High Density State-Run Districts	\$25.8M	-	\$25.8M	\$8.3M	\$25.7M	\$34.0M	(\$3.9M)
Equipment to Support Reopening	\$3.2M	-	\$3.2M				
Instructional	\$6.3M	-	\$6.3M				
Other	\$2.7M	-	\$2.7M				

Spending Category 15 – Education: K-12 & Higher Ed Reopening (2 of 2)

		Budgeted					
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
15.3. Public Higher Ed Reopening	\$23.4M	\$0.2M	\$23.5M	-	\$23.4M	\$23.4M	(\$0.2M)
CCRI	\$5.0M	-	\$5.0M	-	\$23.4M	\$23.4M	(\$0.2M)
RIC	\$4.2M	\$0.1M	\$4.3M				
URI	14.0M	\$0.0M	\$14.0M				
Other Public Higher Ed	\$0.1M	\$0.1M	\$0.2M				
TOTAL	\$108.3M	\$0.2M	\$108.5M	\$20.6M	\$83.9M	\$104.5M	(\$4.0M)

Spending Category 16 – Government Readiness (1 of 2)

Budgeted				Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
Item	Through 12/30	Beyond 12/30	Total				
16.1 Employee Screening	\$0.1M	\$0.0M	\$0.1M	\$22.5M	\$1.7M	\$24.2M	(\$11.2M)
16.2 Training and Technology	\$1.0M	\$0.0M	\$1.0M				
16.3 COVID-19 Janitorial Services	\$3.1M	\$2.2M	\$5.3M				
16.4 DCAMM Facilities Modification	\$1.2M	-	\$1.2M				
16.5 ReopeningRI.com	\$0.3M	\$0.0M	\$0.3M				
16.6 Parks and Recreation	\$2.4M	\$0.3M	\$2.7M				
16.7 Miscellaneous and Contingency Expenses*	\$22.8M	\$2.1M	\$24.9	-	\$7.9M	\$7.9M	(\$6.4M)
16.8 Rhode Island National Guard (RING)	\$7.9M	\$6.4M	\$14.3M				
TOTAL	\$38.7M	\$11.0M	\$49.7M	\$22.5M	\$9.7M	\$32.1M	(\$17.6M)

* 16.7: Government Readiness (2 of 2) shows a breakdown of Miscellaneous and Contingency budget

Spending Category 16 – Government Readiness (2 of 2)

Item	Budgeted		
	Through 12/30	Beyond 12/30	Total
16.7. Miscellaneous and Contingency Expenses	\$22.8M	\$2.1M	\$24.9M
DMV	\$0.8M	-	\$0.8M
DOC	\$1.8M	\$0.4M	\$2.1M
IT	\$11.0M	-	\$11.0M
Pandemic Recovery Office	\$0.6M	\$0.4M	\$1.0M
Personnel	\$0.3M	\$0.3M	\$0.7M
DOT	\$0.8M	\$0.2M	\$1.0M
Other	\$7.4M	\$0.8M	\$8.3M

Spending Category 17 – Other Expenses

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
17.1. Public Health Communications	\$3.4M	\$2.5M	\$5.9M	\$3.1M	\$0.3M	\$3.3M	(\$2.6M)
17.2. Data, Modeling, and Analytics	\$6.1M	\$5.4M	\$11.5M	\$3.7M	\$0.6M	\$4.3M	(\$7.2M)
17.3. Legal	\$1.3M	\$0.3M	\$1.6M	\$0.8M	\$0.4M	\$1.2M	(\$0.4M)
TOTAL	\$10.7M	\$8.2M	\$18.9M	\$7.6M	\$1.3M	\$8.8M	(\$10.1M)

Spending Category 18 – Vaccine Campaign

	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
18.1 Vaccine Campaign	\$3.2M	\$29.2M	\$32.4M	\$0.3M	\$3.0M	\$3.2M	(\$29.2M)
Contracted Services	\$2.5M	\$12.0M	\$14.4M	\$0.3M	\$2.2M	\$2.5M	(\$11.9M)
Mass Vaccination Personnel	\$0.6M	\$3.9M	\$4.5M	-	\$0.6M	\$0.6M	(\$3.9M)
Mass Vaccination Site Costs	\$0.2M	\$1.0M	\$1.2M	\$0.0M	\$0.2M	\$0.2M	(\$1.0M)
Additional Vaccination Costs	-	\$12.3M	\$12.3M	-	-	-	(\$12.3M)
TOTAL	\$3.2M	\$29.2M	\$32.4M	\$0.3M	\$3.0M	\$3.2M	(\$29.2M)

Spending Category 19 – Other Expenses including CRF Eligible Personnel Expenses

	Budgeted						
Item	Through 12/30	Beyond 12/30	Total	Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
19.1. ESSERF and HERF	\$61.2M	-	\$61.2M	\$51.2M	\$10.0M	\$61.2M	-
19.2. FY 20 CRF Eligible Payroll, Including Public Health and Public Safety	\$124.6M	-	\$124.6M	\$124.6M	-	\$124.6M	-
19.3. FY21 CRF Eligible Payroll, Including Public Health and Public Safety	\$151.4M	-	\$151.4M	\$114.7M	\$36.8M	\$151.4M	-
19.4. Other CRF Eligible Expenses	-	-	-	-	-	-	-
19.5. Additional CRF Eligible Municipal Support	\$136.5M	-	\$136.5M	\$58.0M	\$78.6M	\$136.5M	-
TOTAL	\$473.7M	-	\$473.7M	\$348.4M	\$125.3M	\$473.7M	-

Spending Category 20 – “Pause” Supports

Item	Budgeted			Expenditures	Encumbrances	Total Expend + Encumb	Delta to Total Budget Over (Under)
	Through 12/30	Beyond 12/30	Total				
20.1. Restaurant, Bars, etc. Business Supports Phase II	\$42.0M	-	\$42.0M	\$42.2M	-	\$42.2M	\$0.2M
20.2. Additional Industries Business Supports	-	-	-	-	-	-	-
20.3. Enhanced UI Benefits	\$46.5M	-	\$46.5M	\$46.6M	-	\$46.6M	\$0.1M
20.4. Enhanced SNAP Benefits	\$14.1M	-	\$14.1M	\$13.8M	\$0.4M	\$14.1M	-
20.5. Enhanced RI Works Benefits	\$1.3M	-	\$1.3M	-	\$1.3M	\$1.3M	-
20.6. Workforce Stabilization Phase 4	\$5.7M	-	\$5.7M	\$4.2M	\$1.6M	\$5.7M	-
TOTAL	\$109.7M	-	\$109.7M	\$106.7M	\$3.2M	\$109.9M	\$0.3M

Federal Aid Update

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New Federal COVID Relief Bill

- Major contents
 - Hundreds of millions in new COVID Direct Awards for Rhode Island
 - CRF extension to December 31, 2021 but no additional flexibility or new broad based CRF funds.
 - Extension of CARES Act unemployment programs through March 14
 - Expansion and revisions to Paycheck Protection Program and other business relief programs
- Enacted on December 27, 2020 as part of the Consolidated Appropriations Act, 2021, Public Law No. 116-260
 - Division M is Coronavirus Response & Relief Supplemental Appropriations Act (“CRRSAA”); contains most new direct awards
 - Division N of bill also addresses COVID relief, containing some awards and new and extended programs
- Fifth major COVID Act from Congress

Major New Public Health Grants

Subject	Uses	RI Amount[1]	Receiving Agency
Testing & Contact Tracing	Testing, contact tracing, surveillance, containment, and mitigation to monitor and suppress COVID-19	\$60,975,106	DOH
Vaccine	Administering, promoting, distributing, monitoring, and tracking COVID vaccines	\$9,572,230[2]	DOH

[1] Source: <https://www.cdc.gov/coronavirus/2019-ncov/downloads/php/funding-update.pdf>

[2] Congress appropriated approximately \$4.3 billion for vaccine grants to states. See Consolidated Appropriations Act, 2021, Div. M, tit. III. This amount reflects Rhode Island's share of the CDC's distribution of the approximately \$3 billion. See <https://www.cdc.gov/media/releases/2021/p0107-covid-19-funding.html>.

Major New Education Grants: K-12

Subject	Uses	RI Amt.	Receiving Agency
Elementary and Secondary School Emergency Relief Fund (ESSER II)	90% distributed to LEAs by formula for broad uses; 10% reserved for state educational agency	\$184,791,567[1]	RIDE
Governor's Emergency Education Relief Fund (GEER II)	Discretionary funds to address COVID-19 impacts of LEAs, IHEs, or education-related entities	\$3,804,335[2]	Gov.'s Office
Emergency Assistance for Non-Public Schools (EANS) Program	Requires RIDE to administer an application based program to provide services or assistance to private schools for COVID-related impacts. Unused funds rollover to GEER II Fund	\$7,148,776[2]	Gov.'s Office/RIDE

Maintenance of Effort Requirement

A state “will maintain support for elementary and secondary education, and for higher . . . in fiscal year 2022 at least at the proportional levels of such State’s support for elementary and secondary education and for higher education relative to such State’s overall spending, averaged over fiscal years 2017, 2018, and 2019.” Consolidated Appropriations Act, 2021, Div. M, tit. III, Section 317.

[1] Source: https://oese.ed.gov/files/2021/01/Final_ESSERII_Methodology_Table_1.5.21.pdf

[2] Source: https://oese.ed.gov/files/2021/01/FINAL_GEERII_EANS-Methodology_Table_1.8.211.pdf

Major New Education Grants: Higher Ed

The Higher Education Emergency Relief Fund (HEERF II) provides funding directly from the U.S. Department of Education to institutions of higher education (IHEs) for COVID impacts, including lost revenue, and for grants to students.

Institution	Min. Student Portion	Max. Institutional Portion	Total
URI	\$5,619,342	\$11,821,063	\$17,440,405
RIC	\$3,721,958	\$8,388,329	\$12,110,287
CCRI	\$5,000,285	\$16,957,780	\$21,958,065
Public IHE Total	\$14,341,585	\$37,167,172	\$51,508,757

Private IHEs in Rhode Island will receive approximately \$44 million from HEERF II allocations as well.

Maintenance of Effort Requirement

A state “will maintain support for elementary and secondary education, and for higher . . . in fiscal year 2022 at least at the proportional levels of such State’s support for elementary and secondary education and for higher education relative to such State’s overall spending, averaged over fiscal years 2017, 2018, and 2019.” Consolidated Appropriations Act, 2021, Div. M, tit. III, Section 317.

Source: <https://www2.ed.gov/about/offices/list/ope/314a1allocationtableheerfii.pdf>

Other Major Grants

Subject	Uses	RI Amt.	Receiving Agency
Child Care	Broad uses for supporting child care centers with COVID impacts, as well as for providing child care to emergency responders	Est. \$22.5M	DHS
Addiction & Mental Health	Grants under Community Mental Health Services Block Grant and Substance Abuse Prevention and Treatment Block Grant	Est. \$13.9M	BHDDH
Transportation	Grants for broad range of FHWA-funded activities, including with 100% federal cost coverage option at state's election	\$54,256,198 ^[1]	DOT
Rental Assistance	Coronavirus Relief Fund allocation specifically for rent assistance and utility assistance, of up to 15 months. Money must be spent for 12/31/2021	\$200,000,000 ^[2]	DOA

** Bill contains additional formula grants & discretionary grant opportunities*

[1] Source: Federal Highway Administration, Notice of Apportionment, Jan. 15, 2021

[2] Source: <https://home.treasury.gov/system/files/136/Emergency-Rental-Assistance-Data-and-Methodology-1-11-21.pdf>

APPENDIX: Other Grants from Prior Stimulus Legislation

Direct Grants to Agencies not in Workstreams

Agency	Amount	Grants
RIDE	\$33.9M	Child Nutrition Programs \$23.0M; Education Stabilization Fund \$10.9M
RIPTA	\$29.8M	FTA Urbanized Area Formula Grant
RIAC	\$24.0M	Airport CARES Act Grant
DHS	\$11.6M	LIHEAP \$5.9M; Community Services Block Grant \$5.5M; Family Violence Prevention \$0.1M; TEFAP \$0.1M
EOC	\$8.1M	Community Development Block Grant \$7.9M; Housing Opportunities for Persons With AIDS \$0.2M
URI	\$5.6M	Higher Education Emergency Relief Fund - Student Aid Portion
DOT	\$5.2M	FTA State of Good Repair
CCRI	\$5.0M	Higher Education Emergency Relief Fund - Student Aid Portion
DOH	\$4.6M	Hospital Preparedness \$3.5M; Influenza supplement \$0.5M; Facility Certification \$0.4M; 3 others \$0.2M
RIC	\$3.7M	Higher Education Emergency Relief Fund - Student Aid Portion
DPS	\$3.2M	Coronavirus Emergency Supplemental Funding Program
Others	\$7.1M	DLT \$3.6M; BHDDH \$2.2M; RISCA \$0.4M; RI Housing \$0.4M; EOHHS \$0.2M; DCYF \$0.1M; DOA \$0.1M; CHR \$0.0M
Total	\$141.8M	

Direct Grants to Municipalities

Non-comprehensive sample of grants to municipalities based on information compiled by Compliance team

Municipality	Amount	Grants
Providence	\$5.5M	Community Development Block Grant \$3.1M; Emergency Solutions Grant \$1.5M; Byrne JAG \$0.7M; Housing \$0.2M
Pawtucket	\$1.8M	Community Development Block Grant \$1.1M; Emergency Solutions Grant \$0.5M; Byrne JAG \$0.2M
Woonsocket	\$1.3M	Community Development Block Grant \$0.8M; Emergency Solutions Grant \$0.4M; Byrne JAG \$0.1M
Cranston	\$0.7M	Community Development Block Grant \$0.6M; Byrne JAG \$0.1M
Warwick	\$0.6M	Community Development Block Grant \$0.6M; Byrne JAG \$0.0M
East Providence	\$0.5M	Community Development Block Grant \$0.4M; Byrne JAG \$0.0M
Central Falls	\$0.1M	Byrne JAG
Newport	\$0.0M	Byrne JAG
West Warwick	\$0.0M	Byrne JAG
Total	\$10.6M	

Direct Grants/Aid to Other Entities in Rhode Island

Non-comprehensive sample of grants to private entities based on information compiled by Compliance team

Grant	Recipients	Amount
Provider Relief Fund General Allocations	Medicare Facilities and Providers	\$260.0M
Provider Relief Fund High Impact Program	RI Hospital \$45.2M; Miriam \$24.0M; Fatima \$8.4M; RWMC \$8.0M; Landmark \$5.6M	\$91.1M
Community Health Centers CARES Act	PCHC \$1.5M; Thundermist \$1.4M; BVCHC \$0.9M; CCA \$0.8M; four other health centers	\$7.3M
Certified Community Behavioral Health Clinics	Thrive Behavioral Health	\$4.0M
Expanding Capacity for Coronavirus Testing	PCHC \$1.0M; Thundermist \$0.9M; BVCHC \$0.4M; NCHC \$0.3M; four other health centers	\$3.6M
Head Start: Summer Programs and Activities	Meeting Street, East Bay Community Action, Woonsocket Head Start, Comprehensive Community Action, Tri-town Community Action	\$2.3M
Emergency Food Program	RI Community Food Bank	\$1.4M
ACL Centers for Independent Living	OSCIL	\$0.9M
Hospital Preparedness Program	RI Health Center Association	\$0.5M
Ryan White HIV/AIDS	Miriam Hospital, Thundermist Health Associates, AIDS Care Ocean State	\$0.2M