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# **Section IV**

## **Capital Budget**

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# Capital Budget Table of Contents

	<b>Page</b>
<b>Summary</b> .....	393
<b>Agency Analyses</b>	
<b>General Government Agencies</b>	
Department of Administration .....	403
Department of Business Regulation.....	417
Executive Office of Commerce .....	419
Department of Labor and Training .....	423
Department of Revenue .....	425
Office of the Secretary of State.....	427
Public Utilities Commission .....	429
<b>Human Services Agencies</b>	
Department of Children, Youth and Families .....	431
Department of Health.....	433
Department of Human Services .....	435
Department of Behavioral Healthcare, Developmental Disabilities and Hospitals .....	437
<b>Education Agencies</b>	
Department of Elementary and Secondary Education .....	443
Public Higher Education .....	447
Rhode Island Council on the Arts .....	465
Rhode Island Atomic Energy Commission.....	467
Historical Preservation and Heritage Commission .....	469
<b>Public Safety Agencies</b>	
Office of the Attorney General .....	471
Department of Corrections.....	473
Judicial Department .....	477
Military Staff.....	481
Rhode Island Emergency Management Agency .....	485
Department of Public Safety .....	487
<b>Natural Resources Agencies</b>	
Department of Environmental Management.....	491
Coastal Resources Management Council.....	499
Rhode Island Infrastructure Bank .....	501
Narragansett Bay Commission .....	505
Rhode Island Resource Recovery Corporation.....	507

**Transportation Agencies**

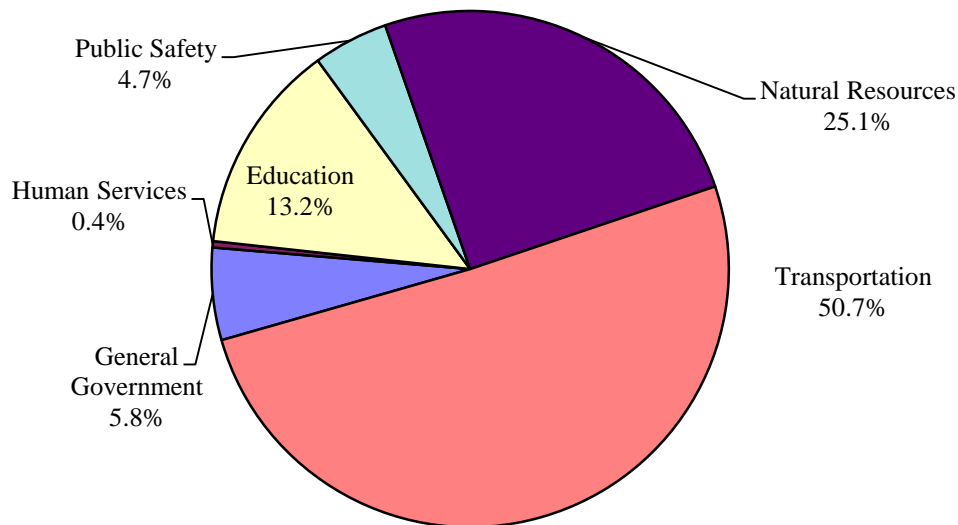
Department of Transportation.....	509
Rhode Island Public Transit Authority .....	517
Rhode Island Public Airport Corporation .....	521

# Capital Budget

## Summary

The Governor’s five-year capital recommendations for FY 2020 through FY 2024 call for total outlays of \$5,321.2 million for the period. Financing the plan requires \$1,297.0 million of debt issuances and \$4,024.2 million from current revenue streams. This analysis is based on unpublished draft documents provided on February 11 subject to further change pending formal release.

### FY 2020 - FY 2024 Capital Projects by Function

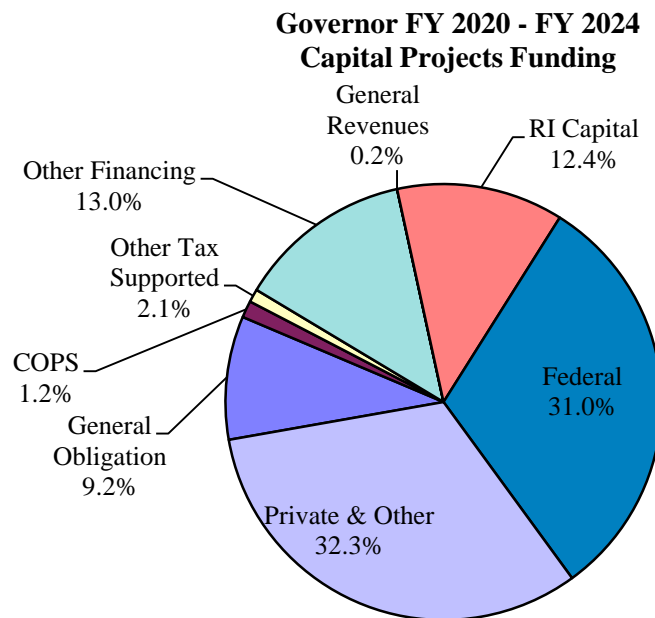


The Governor’s five-year capital recommendation for FY 2020 through FY 2024 calls for total outlays of \$5,321.2 million for the period. Financing the plan requires \$1,297.0 million of debt issuances and \$4,024.2 million from current revenue streams. Over half of the expenditures are for transportation projects including road and bridges, rail, and public transportation. The next largest shares are for natural resources and education project outlays. The three areas account for almost 90 percent of total outlays during the five-year period. Highlights of her capital budget include:

- **Outlays and Funding.** The FY 2020 through FY 2024 plan includes \$5,321.2 million of outlays on \$13,757.8 million of project estimates. Average outlays would be \$1,064.2 million per year for the five-year period with \$637.6 million required at the end of the period to complete the projects. Consistent with last year’s budget but in a change in presentation from prior capital budgets, the capital budget does not reflect debt service payments supported by Federal Highway Administration funds or gas tax. This corrects the prior practice of double-counting this expense.

- **General Obligation Bonds Referenda.** Financing the five-year plan is based on \$487.5 million of general obligation bond debt issuances. This includes \$250.0 million from new general obligation bonds for a second school construction bond to go before the voters in November 2022 with funding programmed to begin in FY 2024. The original \$250.0 million bond is programmed at \$50.0 million annually through FY 2023. The voters approved an initial \$250.0 million in November 2018 for school construction. The average bond referenda over the past five elections was \$244.8 million and the voters approved \$367.3 million on the November 2018 ballot.

- **Other Debt Approvals.** The Governor recommends \$125.5 million to be approved by the 2019 Assembly under the Public Debt Management Act for three projects at the University. This includes \$51.5 million for the Memorial Student Union, \$2.1 million for the fraternity circle, and \$26.9 million for the Combined Health and Counseling Center. It also includes \$45.0 million for renovations to the Department of Correction’s High Security Center.
- **Financing.** Paying for the five-year outlays includes \$1,297.0 million from debt financing and \$4,024.2 million from current or pay-go sources. Pay-go represents 75.6 percent with debt funding being 24.4 percent.
- **Debt Levels.** Total net tax supported debt decreases during the period through FY 2024 by \$231.6 million from \$1,917.8 million to \$1,686.2 million. Past practice indicates that debt levels will be significantly higher as more projects are added within the five-year period of this capital budget.
- **Debt Ratios.** Net tax supported debt would decrease from 3.1 percent of personal income reported for FY 2018 to 2.3 percent in FY 2024 assuming that the capital budget is not increased. However, as with debt levels, past practice indicates it is likely to be higher than projected.
- **Rhode Island Capital Plan Fund.** The plan relies heavily on the use of Rhode Island Capital Plan funds, an important source of pay-go funds designed to reduce the need for borrowing. Total outlays for the five-year period are \$658.5 million. The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor nearly a month earlier, primarily because the budget overstated the available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor’s recommendation to her priorities given available resources and includes \$6.5 million less for FY 2019 and \$20.5 million less for FY 2020.



**Outlays and Funding**

In order to support the maintenance, repair and construction of infrastructure throughout Rhode Island, the state has utilized both funding and financing for infrastructure. Funding can be considered as “pay-go”, which means that annual budgets include sufficient appropriations for projects to continue on schedule,

without incurring long term debt. For Rhode Island, this is accomplished primarily through the Rhode Island Capital Plan Fund, which is described in greater detail elsewhere in this report.

The advantage of this approach is that it does not limit future resources by incurring long term debt service payments; however, it does limit the extent of what can be accomplished to currently available sources. Because of this, short-term projects for relatively smaller amounts of money, such as asset protection projects, are better suited for pay-go funding, while long term and large scale infrastructure projects, such as the relocation of Interstate 195, are better suited for financing.

Financing capital projects includes borrowing, usually in the form of long term debt such as general obligation or revenue bonds. General obligation bonds are backed by the full faith and credit of the state, with annual debt service appropriated from general revenues. This type of borrowing is more closely related to state agencies, and the authorizations for this debt appear as referenda on election year ballots.

Revenue bonds are not backed by the full faith and credit of the state, and debt service is paid from a defined revenue stream, such as a user fee. This type of debt has historically been used by quasi-state agencies to fund long-term infrastructure projects. For example, the Turnpike and Bridge Authority uses toll revenue to fund the maintenance of the Newport Pell Bridge. Compared to pay-go funding, financing does not require large up-front investments in order to complete large projects; however, by the time the financing has been completed, issuance and interest costs can significantly add to a project's total cost, and may also require payments long after a facility has been constructed.

The total five-year outlays of \$5,321.2 million are supported by a mix of pay-as-you-go funding and financing. Over three-quarters, 75.6 percent of outlays, are supported by current revenues, or pay-go, with the remaining 24.4 percent from financing.

**Pay-Go.** The pay-go sources include \$1,651.9 million from federal sources, \$658.5 million from Rhode Island Capital Plan funds, and \$1,717.3 million from private and other sources. Private and other sources are the largest source of capital funding, providing 32.3 percent of all funding and 42.7 percent of pay-go funding. Prior to this budget, federal funds had been largest source; they are the second largest source and would provide 31.0 percent of all funding, and 41.0 percent of pay-go funding.

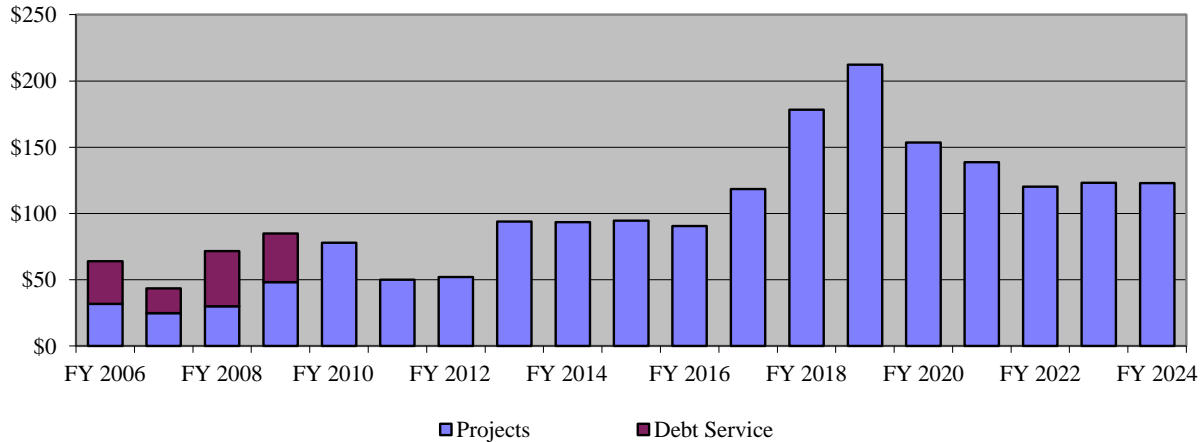
A primary source of state pay-go funding is the Rhode Island Capital Plan Fund. These revenues are derived from the amounts exceeding 2.0 to 5.0 percent of revenues in the state's Budget Stabilization and Cash Reserve Account, or "rainy day fund." The rainy day fund received 2.0 percent of all revenues and opening surpluses in FY 2008, increasing by 0.2 percent per year until reaching 3.0 percent in FY 2013. It was capped at 3.0 percent in FY 2008, increasing by 0.4 percent per year until reaching a 5.0 percent cap in FY 2013. Resources above the cap are transferred to the Rhode Island Capital Plan Fund.

The fund was used nearly exclusively for debt service in the early 1990s. Governor Almond began moving debt service back to general revenues as the economy improved in the latter half of that decade, reserving the capital fund for pay-go capital projects so as not to use any for debt service by FY 2002. However, the economic slowdown caused that to reverse beginning in FY 2002, with significant amounts again used for debt service. The 2006 Assembly noted that the Governor's budget was increasingly relying on these funds for debt service, while increasing the amounts being financed. It submitted a constitutional amendment to limit the use of the fund to capital projects beginning in FY 2008, which the voters approved.

With the transition to the 5.0 percent cap complete, resources in the Rhode Island Capital Plan Fund have grown and begun to fulfill the purpose of lowering borrowing needs. An example of this is the annual \$27.2 million that is being used in lieu of borrowing to match federal transportation funds. Approximately \$100 million is transferred to this fund annually and programmed for use through the five-year capital plan. In addition to the transportation uses noted above, another primary use of the fund is for asset protection and

other projects at the state’s public higher education institutions. These account for about one half of the use of the fund. The remaining half is used for the asset protection and improvements for state buildings, including courts, prisons, hospitals and other state facilities such as dams, piers, parks and office buildings. Many of these ongoing investments are designed to extend the life of the facilities and prevent the need for costly replacements.

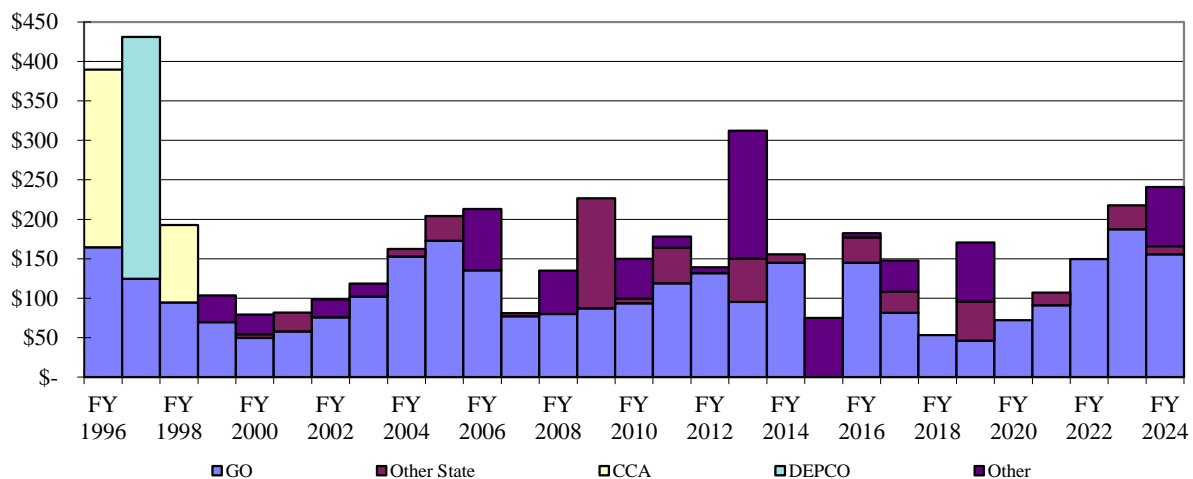
**Use of Rhode Island Capital Fund**



The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor nearly a month earlier, primarily because the budget overstated the available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor’s recommendation to her priorities given available resources and includes \$6.5 million less for FY 2019 and \$20.5 million less for FY 2020.

**Debt Financing.** Proceeds from financing \$1,297.0 million, constitute 24.4 percent of the funds available for outlays during the period. Tax supported financing comprises \$605.9 million, including \$487.5 million from general obligation bonds and \$66.5 million from Certificates of Participation. Outlays from tax supported financing are 46.7 percent of the financed outlays and 11.4 percent of all outlays. Other non-tax supported financing supports \$691.1 million of outlays, or 13.0 percent. It includes debt such as \$140.1 million issued by the Rhode Island Health and Educational Building Corporation for higher education projects supported by University and College funds.

**Debt Issues**





The term net tax supported debt refers to all debt for which an appropriation is or may be required. That includes not only general obligation bonds and direct state issuance, but also debt issued by other entities for which the state is pledged to an annual lease payment or to make an appropriation in the event of a default. Debt that is an obligation of the state for which a non-state entity makes the debt service payments is not generally considered as net tax supported debt. An example is the bonds issued for the Narragansett Bay Commission for which the Commission pays the debt service from user charges.

Debt issued by the Colleges and University through the Rhode Island Health and Educational Building Corporation is also not included. The five-year budget includes expenditures of \$140.1 million from these bonds. It should be noted that it is the total charges to students that are used in tuition and fee comparisons to other institutions. Any tuition and fees used for debt service are not available for general operating expenses. This forms the context for general revenue requests by the Board of Education. Further, in the event of insufficient University and College revenues, it is likely that the state would intervene with appropriations rather than allow bondholders to take over assets financed.

The table below shows debt service for Higher Education, funded from general revenues and tuition and fees from FY 2008 through the FY 2020 recommended budget. General obligation debt is funded solely from general revenues. For debt issued for projects funded through the Rhode Island Health and Educational Building Corporation, debt service is paid from both general revenues and tuition and fees. As the table shows, debt service costs more than doubled in the period from FY 2008 to FY 2014. It drops in FY 2015 and FY 2016 before increasing to \$27.0 million in FY 2017. It would increase almost 80 percent between FY 2017 and FY 2020 based on the Governor's FY 2020 recommended budget.

The FY 2016 budget included savings of \$14.6 million and the FY 2017 budget includes savings of \$7.6 million from the refinancing of general obligation bond debt.

Unrestricted Debt Service					
	URI	RIC	CCRI	Total	
FY 2008	\$ 10,437,786	\$ 3,192,316	\$ 1,381,264	\$ 15,011,366	
FY 2009	\$ 12,590,080	\$ 3,278,968	\$ 1,504,159	\$ 17,373,207	
FY 2010	\$ 16,969,110	\$ 2,024,109	\$ 1,414,364	\$ 20,407,583	
FY 2011	\$ 15,006,727	\$ 3,552,373	\$ 1,585,869	\$ 20,144,969	
FY 2012	\$ 19,334,834	\$ 4,656,198	\$ 2,233,761	\$ 26,224,793	
FY 2013	\$ 25,321,543	\$ 5,679,879	\$ 3,248,295	\$ 34,249,717	
FY 2014	\$ 25,800,709	\$ 6,024,206	\$ 2,645,586	\$ 34,470,501	
FY 2015	\$ 23,992,610	\$ 4,424,086	\$ 2,720,253	\$ 31,136,949	
FY 2016	\$ 13,251,194	\$ 2,408,090	\$ 1,341,228	\$ 17,000,512	
FY 2017	\$ 20,880,779	\$ 3,641,528	\$ 2,450,444	\$ 26,972,751	
FY 2018	\$ 27,655,388	\$ 7,661,625	\$ 2,887,674	\$ 38,204,687	
FY 2019 Revised	\$ 34,883,344	\$ 7,916,082	\$ 2,707,905	\$ 45,507,331	
FY 2020 Recommended	\$ 37,555,600	\$ 7,696,426	\$ 2,703,342	\$ 47,955,368	

**38 Studios.** In 2010, the Economic Development Corporation backed a \$75.0 million loan to 38 Studios through the Job Creation Guaranty Program. Of the loan amount, \$12.7 million was held in a capital reserve account and \$10.6 million was held on a capitalized interest account; 38 Studios defaulted on the loan after paying \$1.125 million of guaranty fees. The Corporation used the \$10.6 million to cover interest-only debt service from FY 2011 through FY 2013. The debt service payments are accounted for in the Capital Budget along with all other debt obligations. Outstanding debt service payments of \$13.8 million for 38 Studios are due through FY 2021, as summarized in the following table.

	<b>Total Owed</b>	<b>Reserve and Settlement Funds</b>	<b>General Revenues</b>
FY 2014	\$ 12,526,213	\$ (10,095,206)	\$ 2,431,006
FY 2015	12,511,463	(3,876,463)	8,635,000
FY 2016	12,499,113	-	12,499,113
FY 2017	12,449,288	(12,449,288)	-
FY 2018	12,378,881	(12,378,881)	-
FY 2019	12,352,638	(12,352,638)	-
FY 2020	12,322,300	(10,794,332)	1,527,968
FY 2021	12,288,413	-	12,288,413
<b>Total</b>	<b>\$ 99,328,309</b>	<b>\$ (61,946,808)</b>	<b>\$ 37,381,500</b>

### New Debt Authorizations

The Governor's budget includes \$125.5 million of new debt authority that requires approval by the General Assembly under the Public Debt Management Act. Of this amount, \$80.5 million would be derived from revenue bonds for the University of Rhode Island and \$45.0 million would be issued through Certificates of Participation for renovations to the Department of Corrections' High Security Center.

<b>Governor's Recommended New Debt Authority</b>	<b>Amount</b>	<b>Legislation</b>
<b>Revenue Bonds</b>		
University of Rhode Island		
<i>Memorial Union</i>	\$ 51,500,000	Article 6
<i>Fraternity Circle Master Plan Implementation</i>	2,100,000	Article 6
<i>Combined Health &amp; Counseling Center</i>	26,900,000	Article 6
<b>Subtotal</b>	<b>\$ 80,500,000</b>	
<b>Other Debt Instruments</b>		
<b>Certificates of Participation</b>		
High Security Center	\$ 45,000,000	Article 6
<b>Subtotal</b>	<b>\$ 45,000,000</b>	
<b>Total New Debt Authorization Recommended</b>	<b>\$ 125,500,000</b>	

The Public Debt Management Act requires that all new debt authorizations be approved by the Assembly unless the Governor certifies that federal funds will be available to make all of the payments which the state is or could be obligated to make under the financing lease or guarantee; or the General Assembly has adjourned for the year with the expectation that it will not meet again until the following year and the Governor certifies that action is necessary, because of events occurring after the General Assembly has adjourned, to protect the physical integrity of an essential public facility, to ensure the continued delivery of essential public services, or to maintain the credit worthiness of the state in the financial markets. Select quasi-public agencies are exempt. The 2009 Assembly rescinded the exception previously granted to the Rhode Island Resource Recovery Corporation. The previous table shows the \$125.5 million of recommended new debt authority requiring action by the 2019 General Assembly. It is followed by descriptions of the projects which would be funded by the new debt.

*URI Memorial Student Union.* The Governor's budget includes authorization for Assembly approval of \$51.5 million from revenue bonds for the renovation and expansion of the Memorial Student Union. Annual debt service would be \$3.8 million, assuming 6.0 percent interest and a 30-year term supported by student fees and retail lease payments from tenants occupying the Union. Total debt service would be \$112.3 million.

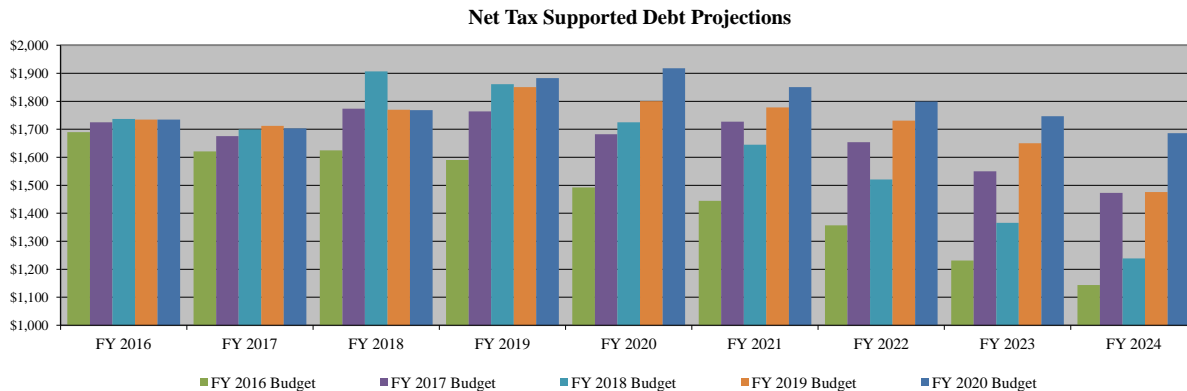
*URI Fraternity Circle Master Plan Implementation.* The Governor’s budget includes authorization for Assembly approval of \$2.1 million from revenue bonds for improvements to the fraternity and sorority houses in Fraternity Circle on the Kingston Campus. Improvements would include pedestrian walkways, site lighting and recreational amenities. Annual debt service would be \$0.2 million, assuming 6.0 percent interest and a 20-year term supported by general revenues, and tuition and fees. Total debt service would be \$3.7 million.

*URI Combined Health and Counseling Center.* The Governor’s budget includes authorization for Assembly approval of \$26.9 million from revenue bonds for the construction of a new Combined Health and Counseling Center. The new center would provide one centralized location for physical, mental and emotional health needs. Annual debt service would be \$2.0 million, assuming 6.0 percent interest and a 30-year term supported by student fees. Total debt service would be \$58.7 million.

*High Security Renovations.* The Governor’s budget includes authorization for Assembly approval of \$45.0 million through Certificates of Participation for renovations to the Department of Corrections’ High Security Center. The authorization describes a \$60.0 million project that identifies \$15.0 million as coming from Rhode Island Capital Plan funds, though there is no specific enumeration of that support in the budget. In fact, there is a single asset protection project for the whole Department. Annual debt service would be \$4.3 million assuming 5.0 percent interest and a 15-year term supported by general revenues. Total debt service would be \$66.2 million. The Governor’s FY 2020 budget includes savings of \$5.1 million by moving 50 inmates to out-of-state facilities and 36 inmates to buildings with lower security classifications within the Department of Corrections and transferring High Security staff among those other buildings which would reduce overtime costs.

### Debt Levels

Total net tax supported debt decreases during the period through FY 2024 by \$325.2 million from \$1,917.8 million to \$1,686.2 million. Net tax supported debt had dropped to \$1,280.9 million in FY 2003 after the state used \$247.6 million of special revenue bonds backed by the state’s tobacco master settlement agreement to defease general obligation bond debt in FY 2002.

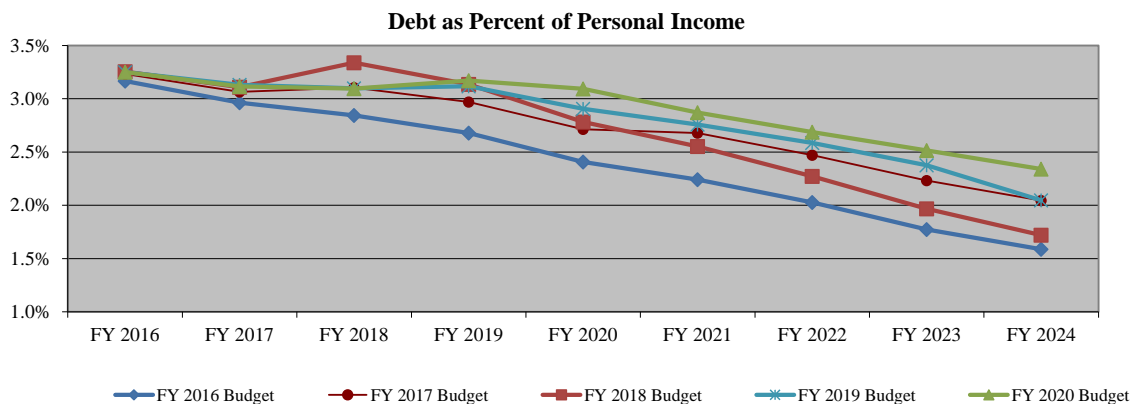


Past practice indicates that debt levels will be significantly higher as more projects are added within the five-year period of this capital budget. In a departure from the Governor’s past several recommendations, which contained no new out-year referenda, the budget assumes a \$250.0 million referendum will go before the voters in November 2022 for a second school construction bond. The average bond referenda over the past five elections was \$244.8 million and the voters approved \$367.3 million on the November 2018 ballot. The FY 2021 debt projection presented in the FY 2020 capital budget is \$123.5 million or 8.5 percent more than the FY 2021 projection in the FY 2017 capital budget.

Debt is often expressed as a percent of state personal income as a measure to compare across jurisdictions; state personal income is a rough measure of the state’s wealth, and therefore its potential ability to service its debt. Net tax supported debt would decrease from 3.1 percent in FY 2018 to 2.3 percent in FY 2024 assuming that the capital budget is not increased. However, as with debt levels, past practice indicates it is likely to be higher than projected.

Debt ratios began increasing significantly in FY 1988, reaching a peak in FY 1994 as the Depositors Economic Protection Corporation and Convention Center debt was added. In FY 1994, net tax supported debt reached 8.4 percent of personal income. The current and prior administrations have expressed the reduction of that ratio as a major capital policy goal. The FY 2017 level of 3.1 percent is the lowest amount since staff began keeping track in FY 1991 due to use of the tobacco master settlement agreement revenues securitization.

However, as with debt levels, past practices indicate it is likely to be higher than projected. The chart below shows projected debt as a percent of personal income for FY 2016 through FY 2024 in the past five budgets. The FY 2016 budget projected FY 2021 debt at 2.2 percent of personal income, which is 70 basis points lower than the 2.9 percent projected in the FY 2020 budget. The projections assume that the debt levels do not increase in subsequent budgets.

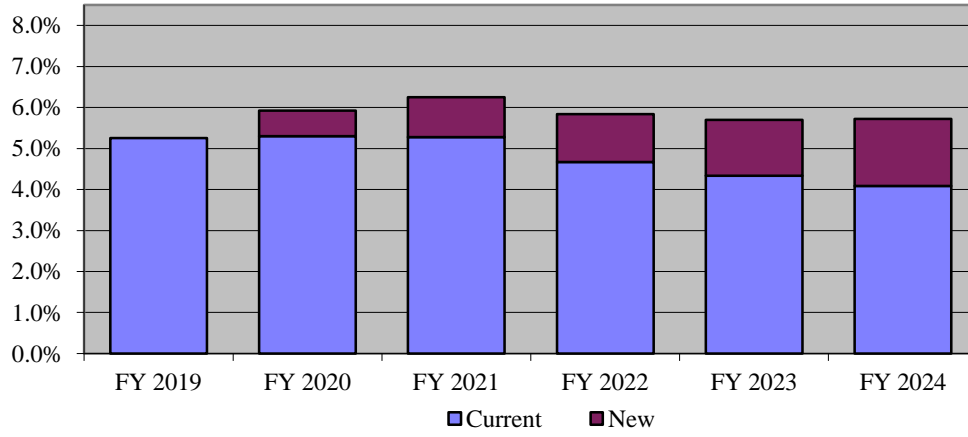


### Debt Service

Useable general revenues are estimated collections net of the transfers to the Budget Stabilization and Cash Reserve account. These transfers ultimately become Rhode Island Capital Plan funds and cannot be used for debt service. The term net tax supported debt refers to all debt for which an appropriation is or may be required. That includes not only general obligation bonds and direct state issuance, but also debt issued by other entities for which the state is pledged to an annual lease payment or to make an appropriation in the event of a default. The proportion of net tax supported debt to useable general revenues provides a snapshot of how the state is leveraging its debt compared to available resources eligible to pay off that debt.

For FY 2017 and FY 2018, debt service as a percent of general revenues was 5.3 percent and 5.5 percent, respectively. Debt service in the recommended capital budget would increase from 5.3 percent of useable general revenues in FY 2019 to 6.3 percent in FY 2021 before decreasing to 5.8 percent in FY 2022 and to 5.7 percent in FY 2023.

### Net Debt Service as Percent of Useable General Revenues





## Department of Administration

Projects	5 Year Total	Project Total
Pastore Center	\$ 36,787,888	\$ 118,528,382
Other State Facilities	129,823,348	267,938,135
Other Projects	36,488,176	125,636,089
<b>Total</b>	<b>\$ 203,099,412</b>	<b>\$ 512,102,606</b>
<b>Sources of Funds</b>		
Certificates of Participation	\$ 11,000,000	\$ 22,000,000
General Obligation Bonds	2,696,084	13,000,000
Restricted Receipts	27,172,092	99,980,735
Rhode Island Capital Funds	162,231,236	377,121,871
<b>Total</b>	<b>\$ 203,099,412</b>	<b>\$ 512,102,606</b>

**Summary.** The Department of Administration requests capital expenditures of \$503.3 million, including \$208.2 million during the five-year period for 35 projects, one of which is new. Funding in the 5 years includes \$139.3 million or 66.9 percent from Rhode Island Capital Plan funds. The request is \$80.8 million more than the approved plan, including \$10.3 million from revenue bonds for the new project.

*The Governor recommends total project costs of \$512.1 million, including use of \$203.1 million in the five-year period. The recommendation is \$8.8 million more than requested and includes the transfer of several projects previously under the purview of the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals and the Department of Labor and Training.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For the Department of Administration, this change is \$34.1 million less for FY 2019 through FY 2024. Several of these projects are also included as part of the multi-year appropriation section of Article 1.*

### Project Presentation

The FY 2016 enacted budget consolidated construction, property and asset management functions into the Department of Administration. Subsequently, positions from impacted agencies were transferred. In 2016, the Assembly adopted legislation creating the Division of Capital Asset Management and Maintenance to assume the responsibilities of the Divisions of Facilities Management and Capital Projects and Property Management. Both divisions were created by an executive order in 2004. The purpose of the Division is to manage and maintain state property and state owned facilities. Some of its duties are: oversee all new construction and rehabilitation projects; maintain, equip, and keep in repair the State House, state office buildings and other premises owned or rented by the state; provide periodic inspection, appraisal or inventory of all state buildings and property and to control and supervise the acquisition, operation, maintenance, repair and replacement of state owned motor vehicles by state agencies.

The Governor's FY 2020 budget reflects the consolidation of major projects funded with Rhode Island Capital Plan funds under the oversight of the division into several asset protection projects: Capitol Hill Campus, Pastore Center, South County, and the Zambarano Campus. It is envisioned that this consolidation

would provide the Division with flexibility to manage statewide projects. Under the proposed consolidation plan, costs for certain specific projects that were previously standalone projects are now aggregated.

Prior Appropriations Acts listed each of these projects by its own line and its cost, which makes it easier to track expenditures throughout the life of the project. Generally, once the Assembly enacts the budget, the projects are usually revised by the Governor to reflect delays and or updated costs. After the revised budget is submitted and before the final budget is adopted, amendments by the Governor are requested to reflect further changes. The Assembly has allowed for flexibility through use of appropriations for ongoing asset protection. The merged projects are not all considered asset protection.

<b>Projects</b>	<b>Status</b>	<b>5 Year Total</b>	<b>Project Total</b>
<i>Pastore Center</i>			
Pastore Center Campus Projects	New	\$ 26,637,888	\$ 39,933,000
Pastore Center Buildings Demolition	Revised	3,000,000	7,215,046
Pastore Center Central Power Plant	Revised	1,250,000	4,646,342
Pastore Center Strategic Plan	Revised	4,500,000	9,272,835
Pastore Center Utilities Upgrade	Revised	-	9,520,647
Pastore Center Virks Building Renovations	Revised	-	21,923,458
Pastore Center Parking	Ongoing	-	3,528,649
Pastore Center Rehabilitation	Ongoing	-	19,785,063
Pastore Center Water Tanks and Pipes	Ongoing	1,400,000	2,703,342
<b>Total</b>		<b>\$ 36,787,888</b>	<b>\$ 118,528,382</b>

**Pastore Center Campus Projects.** The Pastore Center Campus encompasses approximately 325 acres of land and includes 35 buildings. These buildings include 1.5 million square feet of floor space and house the operations of state agencies, including the Division of Motor Vehicles, Department of Labor and Training, Department of Business Regulation and several human services agencies. Work on these buildings generally addresses various code and structural deficiencies, window replacement and upgrading heating, ventilation and air conditioning systems. The Departments of Administration, Labor and Training, and the Behavioral Healthcare, Developmental Disabilities and Hospitals’ capital budget requests included a total of \$38.8 million from Rhode Island Capital Plan funds for capital projects on the Pastore Center.

*The Governor recommends a total of \$39.9 million for a new asset protection projects on the Pastore Center Campus, this assumes use of \$26.6 million in the five-year period and \$13.3 million in FY 2019.*

*The recommendation assumes funding for projects that were previously under the purview of the Department of Labor and Training and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals. The recommended amount for FY 2019 through FY 2024 is \$1.2 million more than had been requested by the aforementioned agencies and are shown in the following table below. A detailed analysis of each project is included in the respective agency in this section of the publication.*

<b>Pastore Center Campus Projects</b>	<b>FY 2019 - FY 2024</b>	<b>Governor</b>	
	<b>Agency Request</b>	<b>Recommendation</b>	<b>Difference</b>
BHDDH - Medical Center Rehabilitation	\$ 2,100,000	\$ 2,150,000	\$ 50,000
BHDDH - Administrative Buildings	7,560,000	7,950,000	390,000
DLT - Center General Asset Protection	12,453,000	10,453,000	(2,000,000)
DOA - Pastore Center Rehabilitation	16,670,000	19,380,000	2,710,000
<b>Total</b>	<b>\$ 38,783,000</b>	<b>\$ 39,933,000</b>	<b>\$ 1,150,000</b>



*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For this project, \$2.8 million less is included for FY 2020.*

**Pastore Center Buildings Demolition.** The Department requests \$9.0 million from Rhode Island Capital Plan funds to be used in FY 2020 through FY 2023 to demolish several buildings on the Pastore Center. The total project cost of \$13.4 million is \$6.0 million more than the approved plan, including \$4.8 million to demolish additional buildings: Adolf Meyer, the laundry building, old power plant and the Eastman House. The request also includes \$1.1 million more for revised architectural and engineering and demolition costs.

Consistent with the approved plan, the request includes \$175,000 in FY 2019 for architectural and engineering services. *The Governor recommends total project costs of \$7.2 million, or \$6.1 million less than requested. This assumes use of \$3.0 million in the five-year period.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For this project, \$1.0 million less is included for FY 2020.*

**Pastore Center Central Power Plant.** The Department requests \$1.5 million from Rhode Island Capital Plan funds to continue work on the Central Power Plant in the Pastore Center in FY 2019 and FY 2020 for total project costs of \$4.7 million. This includes approved expenditures of \$750,000 for the replacement of a tank and new expenditures of \$0.6 million to repair steam turbines, and \$0.2 million to replace steam condensate pumps that the Department indicates are failing. The power plant has the capability to co-generate steam and electricity and is more than 10 years old. The Department indicates that as the power plant ages, ongoing improvements and certain parts need to be replaced to ensure that it functions properly. *The Governor's recommendation shifts \$0.8 million of FY 2018 unspent funds to FY 2020 and FY 2021. The recommendation is \$42,904 less than requested.*

**Pastore Center Strategic Plan.** The Department requests \$3.7 million from Rhode Island Capital Plan funds including new expenditures of \$1.6 million for several master plans. This includes \$0.4 million for the State House, \$0.6 million for a statewide agency space plan, and \$0.5 million for the Pastore Center as the use of the buildings have changed over the years. The approved plan included a total of \$2.1 million for the Division of Capital Asset Management and Maintenance to conduct condition assessments of properties under its purview. The Department indicates those studies have been completed and they will be used as one tool for evaluating future requests.

*The Governor recommends total project costs of \$9.3 million of which \$4.5 million will be used in the five-year period. This is \$5.6 million more than requested and will incorporate statewide strategic plans for other agencies. According to the Administration, the additional funds will be used to hire a project manager and a consultant to review the real estate portfolio of the Executive Branch, excluding hospitals and higher education. It is expected that the consultant will provide some recommendations to downsizing the footprint; some of this funding will be used to implement the recommendations from the consultant. The FY 2020 recommended budget assumes statewide general revenue savings of \$10.0 million to be identified by an Efficiency Commission that was established by Executive Order on February 21.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources*

from Rhode Island Capital Plan funds. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For this project, \$2.5 million from FY 2019 was shifted to FY 2020 and FY 2021. Funding would now include \$3.0 million for FY 2019, \$2.5 million for FY 2020 and \$2.0 million for FY 2021. The FY 2019 revised recommendation of \$3.0 million is \$2.6 million above approved funding.

[Staff Note: Without a plan, it is unclear if the use of Rhode Island Capital Plan funds for this is appropriate.]

**Pastore Center Utilities Upgrade.** The Department requests \$2.6 million for FY 2019 to repair and make improvements to the electrical distribution system at the Pastore Center and to replace steam condensate pumps that the Department indicates are failing. The Department notes that some of the underground wiring is over 40 years old and has exceeded its useful life. An engineering survey was conducted in 2001 and concluded that the existing system is undersized and would possibly not support major future construction projects like the Reintegration Center, the new training school and others. The total project cost of \$10.3 million is \$1.3 million more than the approved plan and is consistent with past requests to rehabilitate the main generator at the Zambarano Campus. *The Governor concurs, with the exception of removing \$0.8 million of prior year expenditures.*

**Pastore Center Virks Building Renovation.** The Department requests new expenditures of \$150,000 from Rhode Island Capital Plan funds for FY 2019 to purchase and install a water infiltration system in the Virks Building. This expense was not part of the original \$21 million renovation project that was completed in December 2017. The Department indicates when there is substantial rain, water comes through the walls. *The Governor recommends \$0.3 million for FY 2019, \$150,000 more than requested based on updated estimates. The total project cost is \$1.0 million less than requested, reflective of actual prior year expenditures.*

**Pastore Center Parking.** Consistent with the approved plan, the Department requests \$3.5 million from Rhode Island Capital Plan funds to construct additional parking spaces and to address stormwater discharge requirements. A total of 242 parking spaces were constructed. *The Governor recommends total project costs as requested; however, includes \$0.2 million unspent in FY 2018 for FY 2019, adjusts for overstated prior year expenditures by \$0.2 million and includes that in FY 2019 to close out the project.*

**Pastore Center Rehabilitation.** The Department requests \$35.8 million from Rhode Island Capital Plan funds, of which \$12.5 million will be used from FY 2020 through FY 2023 to fund major maintenance and capital repairs for multiple buildings at the Pastore Center. Work will focus on the following buildings: Benjamin Rush, Louis Pasteur, Hazard, Harrington Hall, and will address various code, heating, ventilating, and air conditioning and structural deficiencies.

The request is \$0.9 million less than the approved plan and appears to have understated pre-FY 2019 expenses. *The Governor includes this project as part of the Pastore Center Campus Projects.*

**Pastore Center Water Tanks and Pipes.** Consistent with the approved plan, the Department requests \$2.8 million from Rhode Island Capital Plan funds to identify and address deficiencies at the Pastore Center with respect to water supply and distribution. This includes \$280,000 annually through FY 2023. According to the Department, a 1997 analysis indicated that 80 out of 99 fire hydrants on the Campus could not supply sufficient water for firefighting. *The Governor recommends \$0.1 million less than requested. This reflects a shift of FY 2018 unspent funds to FY 2019 and programming \$280,000 for FY 2024.*

<b>Projects</b>	<b>Status</b>	<b>5 Year Total</b>	<b>Project Total</b>
<i>Other State Facilities</i>			
DD & Community Facilities Fire Code Upgrades	New	\$ 1,650,000	\$ 4,100,000
DD & Community Facilities Asset Protection	New	1,000,000	1,255,868
DD Group Homes - Asset Protection	New	2,500,000	3,500,000
DD Regional Facilities Asset Protection	New	1,500,000	2,000,000
Expo Center (Springfield)	New	250,000	250,000
Capitol Hill Campus Projects	New	28,850,000	33,225,624
South County Capital Projects	New	1,800,000	2,367,344
Big River Management Area	Revised	880,000	1,902,968
Board of Elections/Medical Examiners	Revised	-	670,000
Cannon Building	Revised	-	3,074,423
Chapin Health Laboratory	Revised	-	1,056,801
Convention Center Facility Renovations	Revised	18,500,000	31,097,225
Dunkin' Donuts Center	Revised	7,150,000	12,921,803
IT Enterprise Operations Center	Revised	6,500,000	17,497,519
McCoy Stadium Repairs	Revised	200,000	2,769,249
Old Colony House	Revised	-	1,873,891
Old State House	Revised	-	1,632,522
Security Measures - State Buildings	Revised	2,500,000	3,645,197
Shepard Building	Revised	2,750,000	3,000,000
State House Renovations	Revised	4,406,853	15,450,211
State Office Building	Revised	-	5,447,315
Washington County Government Center	Revised	-	2,844,946
William Powers Building	Revised	-	7,827,943
Zambarano Buildings and Utilities	Revised	7,760,000	20,825,639
Accessibility - Facility Renovations	Ongoing	5,000,000	8,900,077
Cranston Street Armory	Ongoing	6,200,000	11,599,825
Eleanor Slater Hospital Reorganization	Ongoing	30,151,495	49,850,000
Veterans Memorial Auditorium Repairs	Ongoing	275,000	17,351,745
<b>Total</b>		<b>\$ 129,823,348</b>	<b>\$ 267,938,135</b>

**DD and Community Facilities Fire Code Upgrades.** The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals' capital budget included a total of \$13.2 million from Rhode Island Capital Plan funds for fire code upgrades. This includes \$5.7 million for projects at 11 state group homes that require fire alarms and/or sprinkler systems and a \$7.5 million project to install and upgrade fire alarm and sprinkler systems in the private developmental disabilities residences. *The Governor transfers oversight of these projects to the Department of Administration and recommends \$4.1 million from Rhode Island Capital Plan funds including use of \$1.7 million in the five-year period.*

**DD and Community Facilities Asset Protection.** The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals' capital budget includes \$5.9 million from Rhode Island Capital Plan funds including \$3.1 million in the five-year capital plan for repairs to mental health community facilities, which is \$2.5 million more than the approved capital plan. This reflects doubling the current year funding to \$400,000 and increasing incrementally thereafter to \$750,000 by FY 2023. The approved capital plan includes \$0.2 million annually which is consistent with prior year's spending.

The request includes \$0.5 million for FY 2020 and FY 2021, \$0.6 million for FY 2022 and \$0.8 million for FY 2023 and FY 2024. It also includes \$0.4 million for FY 2019. Funding provides a source of asset protection and emergency repairs to the 22 state owned community facilities and seven state owned centers housing indigent mental health clients, and \$5.7 million has already been spent for this purpose. *The Governor transfers oversight of this project to the Department of Administration and recommends \$200,000 annually in the five-year plan and \$255,868 for FY 2019.*

[Staff Note: This project from the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals was previously called the Mental Health Community Facilities - Asset Protection.]

**DD Group Homes - Asset Protection.** The Department of Behavioral Healthcare Developmental Disabilities and Hospitals' capital budget includes \$14.5 million from Rhode Island Capital Plan funds for asset protection projects at the state's group homes for the developmentally disabled, including \$6.6 million to be spent in the FY 2020 through FY 2024 period. Twenty private providers operate 104 of the 137 state-owned group homes; 26 group homes are state run and the remaining seven are vacant.

It should be noted that the FY 2019 enacted budget includes operating savings of \$2.5 million from all sources, including \$1.2 million from general revenues from transferring individuals from a 24-hour group home placement to a less restrictive setting. This is in conjunction with the implementation of new rules by the Centers for Medicare and Medicaid Services for home and community-based services to allow more person-centered planning and expanding the service options. One change to the residential system is the inclusion of separate tiers that will limit new entry into the 24-hour group home system to only those who need the highest level of services. This may reduce the number of group homes needed to support developmentally disabled individuals and have an impact on the number of asset protection projects funded in the five-year plan. *The Governor transfers this project to the Department of Administration and recommends a total project cost of \$3.5 million from Rhode Island Capital Plan funds, including \$2.5 million in the five-year period.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. Funding for this project was reduced from amounts assumed in the multi-year appropriation section of Article 1, including \$0.8 million for FY 2022, \$0.9 million for FY 2023 and \$1.0 million for FY 2024.*

**DD Regional Centers - Asset Protection.** The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals' capital budget includes \$4.6 million from Rhode Island Capital Plan funds for the FY 2020 through FY 2024 period for repairs and renovations to the ten state owned regional workshop centers and one storage facility and \$0.5 million is included for FY 2019. This is \$3.6 million more than the approved plan which includes \$0.3 million annually and reflects \$0.5 million for FY 2019 and increases amounts annually. There are no details accompanying the requested increase. The state is under a federal consent decree to transition individuals from regional centers and sheltered workshops to employment and other integrated community settings. The Department reports that the facilities still need to be maintained. *The Governor transfers this project to the Department of Administration and recommends a total of \$2.0 million, including \$1.5 million in the five-year plan.*

**Expo Center (Springfield).** The Expo Center in Springfield, Massachusetts houses the state's exhibit home at the Big E, which holds an agricultural fair as well as other events. The exhibit home is a replica of the Old State House in Newport and is used to promote Rhode Island as a tourism destination. *The Governor recommends \$250,000 from Rhode Island Capital Plan funds for FY 2020 for remodeling the facility.*

**Capitol Hill Campus Projects.** The Capitol Hill Campus includes operations for the executive and legislative branches. It includes the following buildings: Cannon and Chapin Health Laboratory, which

houses the operations for the Department of Health; State Office Building is occupied by the Department of Transportation; Old State House, occupied by the Historical Preservation and Heritage Commission; and William Powers Building, which houses operations of several agencies including the Departments of Administration and Revenue, Commission on the Deaf and Hard of Hearing and others. The Department's capital budget included a total of \$32.7 million from Rhode Island Capital Plan funds to be used from FY 2019 through FY 2024 for various projects, including bathroom renovations, carpet replacement and heating, ventilation, and air conditioning system upgrades.

*The Governor's recommendation consolidates funding for Cannon, Chapin, State Office Building, Old State House, State Office Building and the William Powers Administration buildings into a single asset protection project. The recommendation includes total project costs of \$33.2 million, of which \$28.9 million would be used in the five-year period.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, \$500,000 and \$250,000 less are included for FY 2019 and FY 2020, respectively.*

**South County Capital Projects.** The Division of Capital Asset Management and Maintenance currently oversees projects in the Old Colony House in Newport and the Stedman Government Center in Wakefield. The building contains 45,000 square feet and houses the operations of the Coastal Resources Management Council, the Division of Motor Vehicles, and the Department of Children, Youth and Families. The Department's request includes a total of \$11.2 million from Rhode Island Capital Plan funds to be used from FY 2019 through FY 2024 for various projects, including upgrading the heating, ventilation and air conditioning system, bathroom renovations and window replacement.

*The Governor's recommendation consolidates funding for these two projects into a single asset protection project. The recommendation assumes use of \$2.4 million, of which \$1.8 million will be used in the five-year period.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, \$0.3 million less is included for FY 2019 and FY 2020. FY 2021 funding for this project was also reduced from the amount assumed in the multi-year appropriation section of Article 1 by \$350,000.*

**Big River Management Area.** The Department requests \$2.1 million from Rhode Island Capital Plan funds, of which \$0.9 million will be used in the five-year period for infrastructure repairs and improvements at the Big River Management Area. This project includes funding for security, litter/dumping enforcement and demolition of vacant homes. The Big River Management Area must be protected for future development as a source of potable water. The request is \$0.6 million more than the approved plan and has been revised to include new expenditures of \$0.3 million for a land use plan and \$0.3 million for remediation costs of dump sites and demolition of vacant sites. This is consistent with past requests. *The Governor concurs with the exception of including \$0.2 million in the out-years.*

**Board of Elections/Medical Examiners.** The Department requests capital expenditures of \$17.0 million from Rhode Island Capital Plan funds to renovate the Board of Elections' office building in Providence. This assumes use of \$15.1 million from FY 2020 through FY 2022 for renovations, including masonry, replacement of carpet, roof and the heating, ventilation and air conditioning system. The project also includes the addition of 5,000 square feet of space for the Office of State Medical Examiners.

The request is \$1.3 million or 8.4 percent more than the approved plan and has been revised to include funding to upgrade the fire alarm. Funding was also reprogrammed to reflect the Department's updated project schedule. *The recommendation reflects the removal of funding for the approved project; \$0.7 million is recommended in FY 2019 for a feasibility study to determine if the building is suitable for the Office of State Medical Examiners.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, a total of \$6.0 million was originally recommended for FY 2020 and \$9.0 million for FY 2021 in the multi-year appropriation section of Article 1.*

**Cannon Building.** The Department requests \$15.4 million from Rhode Island Capital Plan funds, of which \$9.5 million will be used from FY 2020 through FY 2022 for repairs and renovations to the Cannon Building. The Department plans to renovate restrooms, install new windows and upgrade the heating, ventilation and air conditioning system. The request is \$3.8 million more than the approved plan, including \$2.1 million for the purchase and installation of a generator, and \$1.7 million for revised costs to upgrade the electrical system. This is consistent with past requests. *The recommendation only shows pre-FY 2019 spending. Funding in the current year and beyond is included as part of the Capitol Hill Campus Projects.*

**Chapin Health Laboratory.** The Department requests Rhode Island Capital Plan Fund expenditures of \$1.0 million to be used in FY 2019 and FY 2020 to abate asbestos and upgrade the mechanical and electric systems. The request of \$2.5 million is \$375,000 less than the approved plan to reflect the Department's updated project costs. The project scope has been scaled back over the years, as the approved plan includes funding to renovate the Board of Elections' building for the Office of State Medical Examiners. *The recommendation only shows pre-FY 2019 spending. Funding in the current year and beyond is included as part of the Capitol Hill Campus Projects.*

**Convention Center Facility Renovations.** The request includes \$4.0 million from Rhode Island Capital Plan funds, assuming use of \$1.0 million each from FY 2020 through FY 2023 for improvements to the Convention Center Facility. The Authority indicates that preventive maintenance is required in order to maintain the venue to attract and keep customers. The state must pay Convention Center debt service that is not covered by operating revenue. To the extent the Authority sets aside resources for upkeep, its operating deficit grows, increasing the state's debt service costs.

The request is \$5.2 million less than the approved plan; it inadvertently omitted the \$4.3 million that the Assembly had provided in FY 2019 for energy efficiency projects and it understated FY 2018 expenditures. The Authority indicates that the energy efficiency projects are underway and are expected to be done in January 2019.

Subsequent to the budget submission, the Authority indicates that the North Garage is in need of restoration, including expansion joints and concrete work. Additionally, it would like to renovate the fifth floor of the Convention Center Facility. The estimated costs for both projects are \$9.0 million and are not included in the request.

*The Governor recommends project costs of \$31.1 million, of which \$18.5 million will be used in the five-year period. This is \$16.5 million more than the approved plan for projects that the Authority had identified but were not in the Department's request as well as fire and electrical upgrades, replacing escalators and elevator repairs.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. Funding for this project was revised from the amount assumed in*

*the multi-year appropriation section of Article 1, a total of \$2.9 million was shifted from FY 2022 and FY 2023 to FY 2024.*

**Dunkin' Donuts Center.** The request includes \$11.3 million from Rhode Island Capital Plan funds for renewal and replacement expenses for the Dunkin' Donuts Center. The Center was purchased by the Convention Center Authority with revenue bonds and leased back to the state. Rental payments from the Center lease are applied to the debt service payments on the revenue bonds. Bond covenants from the 2006 purchase and renovation financing require that funds be set aside each year to maintain the facility.

The request is \$0.9 million more than the approved plan. The Assembly concurred with the Governor's requested amendment reducing FY 2018 funding by this amount. The Department indicates that it was not aware of the subsequent change. It should be noted that FY 2018 expenditures are \$0.6 million above the final appropriation.

Subsequent to the budget submission, the Authority indicates that one of its top priorities is to replace the roof, which is original to the building. The estimated cost is \$6.0 million and is not included in the request.

*The Governor recommends total project costs of \$12.9 million or \$1.6 million more than requested to upgrade the scoreboard equipment and technology, facility security and other upgrades.*

**IT Enterprise Operations Center.** The Department requests \$6.0 million to be used from FY 2020 through FY 2023 for various projects, including replacing the chiller and boiler, and improving the heating, ventilation and air conditioning system. The Department purchased the building off Jefferson Boulevard in 2009, completed a major renovation and moved in November 2011. The total project cost of \$17.4 million is \$2.4 million more than the approved plan to include \$2.0 million for asset protection projects that have not been identified and revised costs for a new generator. *The Governor recommends a total of \$17.5 million, essentially as requested.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, \$500,000 less is included for FY 2020.*

**McCoy Stadium Repairs.** The Department requests \$0.4 million from Rhode Island Capital Plan funds to be used in FY 2020 and FY 2021 for asset protection projects programmed through FY 2023. This covers preventative maintenance, boiler system replacement, concrete restoration and repaving. The current lease agreement with the Pawtucket Red Sox expires in 2021. The request includes \$375,000 in the current year, it appears that \$296,467 is available from FY 2018 unspent funds. This brings total project costs to \$2.7 million; however, the request does not include prior years' expenditures. *The Governor recommends total project cost of \$2.8 million, including use of \$0.6 million in FY 2019 and \$0.2 million in FY 2020.*

**Old Colony House.** The Department requests annual expenditures of \$10,000 from Rhode Island Capital Plan funds to be used in the five-year period for asset protection projects at the Old Colony House. The total project cost of \$2.1 million is \$0.1 million more than the approved plan. Funds have been used to mitigate structural issues, including the clock tower that the Department has recently uncovered, electrical rewiring as well as painting the second floor, repointing the exterior of the building and replacing the gutters. *The recommendation only shows pre-FY 2019 spending. Funding in the current year and beyond is included as part of the South County Projects.*

**Old State House.** The Department requests \$4.0 million from Rhode Island Capital Plan funds, of which \$2.0 million will be used in the five-year period for renovations at the Old State House, including exterior improvements, window replacement and sidewalk repairs. The request is \$250,000 less than the approved

plan, reflecting updated project costs. *The recommendation only shows pre-FY 2019 spending. Funding in the current year and beyond is included as part of the Capitol Hill Campus Projects.*

**Security Measures - State Buildings.** The approved plan includes \$250,000 annually from Rhode Island Capital Plan funds to be used to address security issues throughout the Cannon Building and other state facilities. This brings total funding to \$3.2 million. The project has been revised to include an additional \$1.5 million and is consistent with a prior request. The Department indicates that a pilot project was initiated in March 2016 to assess 12 state facilities and found several areas that are in need of improvements: access control systems, panic alarms, adequate video surveillance coverage, and intrusion detection alarms. *The Governor recommends a total of \$3.6 million, \$0.4 million more than requested. This reflects an adjustment to prior year spending and adding \$250,000 for FY 2019.*

**Shepard Building.** The approved plan includes \$3.8 million from Rhode Island Capital Plan funds to make repairs including roof and receiving door replacements, renovations to the Westminster Street entrance, and replacement of all restroom floors in the Shepard Building. The Department requests a total project cost of \$16.9 million, which is \$13.1 million more than the approved plan. The project has been revised to include \$6.1 million for exterior envelope repair and \$6.9 million for a new heating, ventilation and air conditioning system.

*The Governor recommends \$3.0 million for asset protection, \$0.8 million less than the approved plan. The recommendation assumes use of \$250,000 from FY 2019 through FY 2021 and \$750,000 annually from FY 2022 through FY 2024.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, \$0.9 million less is included for FY 2019 and FY 2020. FY 2021 funding for this project was also reduced by \$0.6 million from the amount assumed in the multi-year appropriation section of Article 1.*

**State House Renovations.** The Department requests \$5.4 million from Rhode Island Capital Plan funds, to be used in the five-year period for exterior and interior improvements, and grounds and walkway repairs at the State House. The request of \$16.7 million is \$3.3 million more than the approved plan, including new expenditures of \$2.1 million in FY 2020 to remediate leaks throughout the building and \$1.5 million in FY 2023 for roof replacement.

*The Governor recommends use of \$4.4 million in the five-year period, for a total project cost of \$15.5 million. This includes \$1.3 million for FY 2020, \$0.4 million for FY 2022 and \$0.9 million each for FY 2021, FY 2023 and FY 2024. The recommendation is \$1.2 million less than requested primarily to reflect an adjustment to prior year spending.*

**State Office Building.** The Department requests \$3.8 million from Rhode Island Capital Plan funds to be used from FY 2020 through FY 2022 for renovations at the State Office Building. The project includes upgrading the heating, ventilation and air conditioning system, refurbishing the parking lot, general repairs and elevator repairs in order to comply with Americans with Disabilities Act standards. The request of \$10.1 million is \$0.1 million more than the approved plan. *The recommendation only shows pre-FY 2019 spending. Funding in the current year and beyond are included as part of the Capitol Hill Campus Projects.*

**Washington County Government Center.** The Department requests \$14.3 million from Rhode Island Capital Plan funds, of which \$10.3 million will be used from FY 2020 through FY 2022 for ongoing renovations at the Washington County Government Center, including upgrading the heating, ventilation and air conditioning system. The building, which contains 45,000 square feet houses the operations of the Coastal Resources Management Council, the Division of Motor Vehicles, and the Department of Children,



Youth and Families. The request is \$4.4 million more than the approved plan, which includes new expenditures to replace windows throughout the building. This is consistent with past requests.

*The recommendation only shows pre-FY 2019 spending. Funding in the current year and beyond is included as part of the South County Projects.*

**William Powers Building.** The Department requests \$12.0 million from Rhode Island Capital Plan funds to be used from FY 2020 through FY 2023 for repairs and renovations, such as window replacement and cooling tower upgrades at the William Powers Building. General renovations include bathroom repairs, security upgrades, and painting.

The total project cost of \$22.3 million is \$3.9 million more than the approved plan and was revised to include new expenditures of \$2.0 million to upgrade equipment in the heating, ventilation and air conditioning system. Various smaller scale projects such as elevator repairs, painting and repairing the plaza were also revised to reflect an updated construction schedule. *The recommendation only shows pre-FY 2019 spending. Funding in the current year and beyond is included as part of the Capitol Hill Campus Projects.*

**Zambarano Buildings and Utilities.** The Department requests \$4.8 million from Rhode Island Capital Plan funds to be used from FY 2020 through FY 2022 for capital repairs to various buildings and equipment at the Zambarano Campus of Eleanor Slater Hospital in Burrillville. The Zambarano Campus includes buildings totaling 307,000 square feet on 460 acres of land. The project cost of \$19.5 million is \$264,000 or 1.3 percent less than the approved plan and previously planned projects are reprogrammed to reflect an updated construction schedule.

*The Governor recommends \$20.8 million, of which \$7.8 million will be used in the five-year period. The project has been revised to incorporate other projects previously under the purview of the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, \$1.4 million less is included for FY 2020.*

**Accessibility - Facility Renovations.** The Department requests \$5.0 million from Rhode Island Capital Plan funds to be used in the five-year period for renovations of state-owned long-term care and community-based facilities, health centers, group homes, fire safety improvements, accessibility renovations to state administrative and higher education institutions and other renovations to buildings throughout the state where open meetings are held, such as senior citizen centers. Projects are prioritized based on the results of a statewide accessibility survey that the Governor's Commission on Disabilities completed in 2012. The FY 2016 approved plan transferred the oversight of the accessibility project to the Department of Administration's budget. The Commission continues to be responsible for project prioritization.

The request is \$2.0 million more than the approved plan, including \$1.0 million programmed in FY 2024 as well as restoring \$500,000 annually in FY 2022 and FY 2023 to be consistent with prior levels. *The Governor concurs, with the exception of excluding \$1.6 million from prior year expenditures.*

**Cranston Street Armory.** Consistent with the approved plan, the Department requests \$6.0 million from Rhode Island Capital Plan funds from FY 2020 through FY 2023, including \$5.7 million to stabilize the exterior east side of the Cranston Street Armory and \$350,000 to repair the boiler.

All windows above the ground level were boarded up and in 2006, the Administration conducted a feasibility assessment study to determine a use plan for the facility. Some of the recommendations for use

included: state lab, museum, archive/storage, public school, apartments, athletic facility and others. Estimated project costs for the aforementioned uses ranged from \$41 million to \$103 million. Subsequently, the Administration established a re-use steering committee to explore other options, including funding mechanisms.

*The Governor recommends \$6.2 million in the five-year period; the total recommendation is \$0.5 million less than requested. The recommendation includes \$0.5 million each for FY 2020 and FY 2021, \$1.1 million for FY 2022, \$2.0 million for FY 2023 and \$2.1 million for FY 2024.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, \$517,247 less is included for FY 2019.*

**Eleanor Slater Hospital Reorganization.** The Department requests \$41.7 million, including \$11.6 million from Rhode Island Capital Plan funds and \$30.0 million from Certificates of Participation to reorganize hospital operations for the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals. The plan calls for renovations to Regan and Benton buildings; forensic psychiatric patients will move from Pinel and Adolph Meyer buildings into the Benton Building. General and geriatric psychiatric patients will be consolidated into the Regan building. The Beasley Building on the Zambarano Campus will be renovated to address safety and security, as well as improvements to the laboratory. Through the reorganization, two buildings will close: Adolph Meyer and Pinel. The Department is proposing to demolish these buildings as part of the Pastore Center Demolition project.

The request is \$8.2 million less than the approved plan, including \$8.0 million more from Certificates of Participation offset by \$16.2 million less from Rhode Island Capital Plan funds. The Department later indicated that this was in error. The intent is to request funding consistent with the approved plan. *The Governor recommends total funding consistent with the approved plan.*

**Veterans Memorial Auditorium Repairs.** Consistent with the approved plan, the Department requests \$275,000 from Rhode Island Capital Plan funds to be used over FY 2020 and FY 2021 for ongoing asset protection costs of the Veterans Memorial Auditorium, which went through a major renovation. Programmed expenditures include replacing a handicap lift, installing walls to reduce noise levels, and installing lighting fixtures.

Subsequent to the budget submission, the Convention Center Authority indicates that its top priority for the facility is to replace the seats, which were last replaced in the 1990s. The estimated cost is \$0.9 million and is not reflected in the request. *The Governor recommends total funding consistent with the approved plan.*

<b>Projects</b>	<b>Status</b>	<b>5 Year Total</b>	<b>Project Total</b>
<i>Other Projects</i>			
Energy Efficiency	Revised	\$ 8,500,000	\$ 11,000,000
Environmental Compliance	Ongoing	1,000,000	2,977,148
Information Technology Investment Fund	Ongoing	22,672,092	93,980,735
Replacement of Fueling Tanks	Ongoing	1,620,000	4,678,206
Statewide Emergency Water Interconnect Projects	Ongoing	890,899	5,000,000
South County Groundwater Protection/Acquisition	Ongoing	1,805,185	8,000,000
<b>Total</b>		<b>\$ 36,488,176</b>	<b>\$ 125,636,089</b>

**Energy Efficiency.** Consistent with the approved plan, the Department requests \$4.0 million from Rhode Island Capital Plan funds for energy efficiency projects, including indoor and outdoor lighting projects,

new heating, ventilation and air conditioning, renewable energy and building automation systems. In December 2015, Governor Raimondo issued Executive Order 15-17: “State Agencies to Lead by Example in Energy Efficiency and Clean Energy” establishing a Lead by Example program in the Office of Energy Resources. The executive order charged the Office with overseeing and coordinating efforts to reduce energy consumption. Some of the program’s goals are to improve state facility infrastructure, reduce state facility operating and on-going maintenance costs, and to reduce greenhouse gas emissions.

*The Governor recommends a total project cost \$11.0 million, \$7.0 million more than requested including \$1.0 million from Rhode Island Capital Plan funds programmed in FY 2024 and \$6.0 million from Regional Greenhouse Gas Initiative funds. This assumes use of \$1.5 million each in FY 2019 and FY 2020, and \$1.0 million each in FY 2021 through FY 2023. The Office of Energy Resources indicates that the approved allocation plan for the Regional Greenhouse Gas Initiative reflects these amounts.*

**Environmental Compliance.** The Department requests annual expenditures of \$0.2 million from Rhode Island Capital Plan funds to be used for projects that assist state agencies in maintaining compliance with all state and federal environmental rules and regulations. Funds will also be used to support the costs of mitigating asbestos, remediation of contaminated soils and other environmental issues for buildings under the Department’s jurisdiction.

The request of \$3.2 million is \$0.5 million more than the approved plan, including new expenditures of \$0.2 million programmed in FY 2024. The Department also inadvertently overstated prior years’ expenditures by \$0.2 million. *The Governor recommends \$0.2 million less than requested to correct for overstated expenditures.*

**Information Technology Investment Fund.** The request assumes total costs of \$110.7 million, of which \$23.7 million would be used in the five-year period for several information technology projects funded from the Information Technology Investment Fund. Some of the projects include: Vital Records System, expenditures for Unified Health Infrastructure Project, electronic permitting system and the Department of Corrections’ probation and parole casement management system.

The Information Technology Investment Fund was created by the 2011 Assembly for acquiring information technology improvements, including, but not limited to, hardware, software, and ongoing maintenance. The 2012 Assembly transferred \$9.0 million into the fund and the 2014 Assembly adopted legislation to transfer an amount of not less than \$10.0 million from tobacco bond refinancing proceeds into the fund; however, proceeds were \$26.3 million, and the Assembly also adopted legislation to transfer 10.0 percent of the \$1.00 monthly surcharge on wireless and land lines into the fund, effective July 1, 2015, which is estimated to generate \$1.5 million annually.

The Information Technology Investment Fund Review Committee consists of five members: chief information officer, chief digital officer, director of the Office of Management and Budget or designee, a designee from the Department of Administration and the chief financial officer of the Department of Administration which approves all the projects. According to the policies and procedures adopted for use of the fund, priority is given to projects that benefit multiple agencies.

At the end of FY 2018, the fund had a balance of \$8.5 million and the Department projects receipts of \$11.3 million for FY 2019. This includes \$7.2 million from the sale of the Department of Corrections’ Price building on the Pastore Center and the Ace Building on Howard Avenue. It is not clear that these sales are imminent. As of January 2019, several properties have been sold totaling \$569,140. Based on projected receipts and programmed expenditures, the fund would end FY 2019 with a projected deficit of \$1.5 million. It will be significantly higher if the buildings are not sold.

The FY 2020 request assumes receipts of \$4.2 million: \$2.2 million from the \$1.50 Division of Motor Vehicles surcharge, \$1.6 million from 10.0 percent of the \$1.00 surcharge on wireless and landlines, and \$0.5 million from the surcharge on vital records. The request does not include any receipts from land sales and it assumes that \$2.7 million of receipts would be available at the end of FY 2019. However, updated expenditures subsequent to the preliminary FY 2018 closing lowers previously assumed resources that would have been available in FY 2019. The projected deficit will be significantly higher if the buildings are not sold. At some point, it appears that a general revenue appropriation would be needed if the account incurs a deficit.

*The Governor recommends total project costs of \$94.0 million, of which \$22.7 million will be used in the five-year period. The recommendation assumes use of \$17.7 million for FY 2019 and \$6.6 million for FY 2020, consistent with the operating budget. Expenses from the fund are shown in the capital budget, but not all uses are for capital projects. Included in the total spending are staffing and operating expenses which are not strictly capital expenses.*

**Replacement of Fueling Tanks.** The Department requests \$4.6 million from Rhode Island Capital Plan funds, of which \$1.3 million will be used from FY 2020 through FY 2023 to replace failing tanks at six of the 15 state-owned and operated fueling stations for state vehicles. In FY 2019, tanks at the University of Rhode Island and in Portsmouth are scheduled to be replaced. The request is \$0.4 million more than the approved plan, including new expenditures of \$0.3 million to replace tanks at the Veterans Cemetery in Exeter and increases of \$30,000 each year from FY 2020 through FY 2022. *The Governor recommends \$55,000 more than requested, shifting \$330,000 of FY 2018 unspent funds to FY 2024 and adding \$55,610 in the current year.*

**Statewide Emergency Water Interconnect Projects.** The approved plan included \$5.0 million from approved general obligation bonds to be used through FY 2018 to continue a project to establish statewide emergency water interconnections between the state's 32 largest water supply systems. This assumes use of \$2.1 million in the current year for an interconnection from Woonsocket to Cumberland. A system of interconnections will enable the movement of water from one source or system to another during any emergency, such as pipe breaks or water source contamination. The interconnections are funded with \$15.0 million from bond proceeds, of which \$10.0 million was authorized by the voters in 1987 and \$5.0 million in 2004. The Department assumed that the project had been completed and did not include funding in its capital budget request. *The Governor recommends funding consistent with the approved plan.*

**South County Groundwater Protection/Acquisition.** The Department requests \$7.0 million from approved general obligation bond proceeds to be used through FY 2022 to purchase water development rights from private landowners for well sites identified as high capacity. The voters had approved this \$8.0 million bond project in 2004. *The Governor recommends \$8.0 million, consistent with the approved amount.*

**Water Rhode Island.** The Department requests \$10.3 million from revenue bonds to fund the Water Rhode Island project, which will provide funds to support projects that enhance flexibility in the state's water transmission and delivery system. The Department indicates that a portion of the revenues collected from the Drinking Water Protection Fund would be designated revenue to support the revenue bonds. These funds generate about \$4 million annually and are currently deposited as general revenues. Annual debt service, assuming 5.0 percent interest and 10 years, would be \$1.3 million with a total cost of \$15.0 million. *The Governor does not recommend funding this project.*

## Department of Business Regulation

Projects	Status	5 Year Total	Project Total
Fire Academy	Ongoing	\$ 310,000	\$ 4,635,000
<b>Total</b>		<b>\$ 310,000</b>	<b>\$ 4,635,000</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 310,000	\$ 4,635,000
<b>Total</b>		<b>\$ 310,000</b>	<b>\$ 4,635,000</b>

**Fire Academy.** The approved plan included \$0.5 million for FY 2018 from Rhode Island Capital Plan funds to complete construction of the state Fire Training Academy. Total project expenses included \$6.4 million from general obligation bond proceeds issued in 2002 and \$4.3 million from Rhode Island Capital Plan funds for the project which was done in multiple phases. The final funding is intended for a three-bay cold storage building which would complete the project. The facility will be used to house trailers, fire trucks and other diesel equipment that the Academy uses for training, which are currently stored outside or in trailers.

Phase I was funded and completed in December 2011. Phase II of the project funded construction of a new classroom and administration building, the construction of an additional garage bay, and the installation of an electrified fence and back-up generators.

*The Governor recommends \$4.6 million from Rhode Island Capital Plan funds, including a \$0.5 million reappropriation from FY 2018 to the current year, and \$0.3 million more than the approved plan for FY 2020 to reflect increased steel costs. The project total reflects the exclusion of exhausted general obligation bond funding.*



## Executive Office of Commerce

Projects	Status	5 Year Total	Project Total
Site Readiness	New	\$ 1,000,000	\$ 1,000,000
I-195 Redevelopment District	Revised	450,000	2,394,158
Quonset Piers	Ongoing	59,500,000	90,427,024
Quonset Point Infrastructure	Ongoing	10,000,000	14,000,000
Innovation Campus	Ongoing	7,500,000	20,000,000
Port of Providence	Ongoing	5,000,000	20,000,000
Affordable Housing	Ongoing	20,000,000	65,000,000
Urban Revitalization and Blight Remediation	Ongoing	-	10,000,000
<b>Total</b>		<b>\$ 103,450,000</b>	<b>\$ 222,821,182</b>
<b>Sources of Funds</b>			
General Obligation Bonds		\$ 58,000,000	\$ 165,000,000
Other Funds		3,000,000	4,000,000
Other Revenue Bonds		21,000,000	21,000,000
Rhode Island Capital Funds		21,450,000	32,821,182
<b>Total</b>		<b>\$ 103,450,000</b>	<b>\$ 222,821,182</b>

**Summary.** The Executive Office of Commerce requests total capital expenditures of \$199.6 million, of which \$109.4 million will be used in the five-year period for seven projects. Funding in the five-year period consists of \$62.2 million from general obligation bond proceeds approved by the voters on the November 2016 ballot, \$23.2 million from Rhode Island Capital Plan funds, and \$24.0 million from other fund sources.

*The Governor recommends a total of \$222.8 million, of which \$103.5 million is for the five-year period. The recommendation is \$23.2 million more than requested to reflect a correction to the request to include resources from previous bond issuances. The recommendation includes one new \$1.0 million project funded from Rhode Island Capital Plan funds.*

**Site Readiness.** The Governor's FY 2019 budget recommendation included \$0.2 million from general revenues for a new program to be administered by the Commerce Corporation to develop an inventory of pad ready industrial sites capable of supporting large scale facilities to demonstrate the state's capacity for growth. The Corporation would have been permitted to make grant awards to qualified consultants, engineers, or professionals to undertake due diligence activities at qualified sites. The Assembly did not concur.

*The Governor's budget includes \$1.0 million from Rhode Island Capital Plan funds for the Site Readiness program for FY 2020. It also includes an additional \$1.5 million from general revenues for an associated program to provide municipalities with training, investments, and authority to expedite development. The Governor's budget includes additional funding for the Streamline and Simplify initiative jointly administered by the Executive Office of Commerce and the Department of Business Regulation to work in conjunction with these programs. This includes establishing a new quasi-public corporation to oversee this work chaired by the Secretary of Commerce. The entity would be under the Commerce Corporation with the same rights and authority as the Quonset Development Corporation, including the issuance of public debt. Although described in supporting documents as a pilot program, there is no proposed sunset provision.*

**I-195 Redevelopment District.** The Office requests \$5.6 million. The approved plan includes \$0.3 million for FY 2019 which is the last year of funds. The request adds \$0.1 million for the current year from prior year cash fund, \$0.9 million for FY 2020, and \$0.8 million each for FY 2021 through FY 2023. The funding supports professional legal and engineering services related to the maintenance and marketability of the I-195 land under the I-195 Commission's purview. The Commission is the zoning entity for the I-195 Redevelopment District. It requires engineering and design work related to project feasibility, project diligence, and overall district planning; the Commission capitalizes these costs due to their transactional nature; however, these costs may not fit the definition of capital projects. The Commission subsequently noted that its request for FY 2019 should be \$0.9 million; it is not clear why the budget as submitted is less.

*The Governor recommends \$425,000 for the current year, essentially consistent with the request, and \$450,000 for FY 2020. It does not include new funds requested for the FY 2021 through FY 2023 period.*

**Quonset Piers.** Consistent with the approved plan, the Office requests \$90.0 million to refurbish and build an extension on Pier 2 at the Port of Davisville. Funding includes \$50.0 million from general obligation bonds, \$21.0 million from revenue bonds, \$15.0 million from Rhode Island Capital Plan funds, and \$4.0 million from the Quonset Development Corporation's resources. The bond funds were approved by the voters in November 2016. The request programs \$26.9 million for the current year, \$24.1 million for FY 2020, \$24.2 million for FY 2021, and \$12.9 million for FY 2022, the final year of which is solely from the Corporation's fund sources.

*The Governor recommends \$0.4 million more than enacted from Rhode Island Capital Plan funds, and shifts \$0.5 million from FY 2020 and FY 2021 to the current period to reflect actual spending. It programs \$28.9 million in the current year, \$26.0 million in FY 2020, \$20.6 million in FY 2021, and \$12.8 million in FY 2022. Compared to the request, the recommendation is \$2.0 million more in the current year, \$1.9 million more in FY 2020, and \$3.6 million less in FY 2021. The Governor also proposes that the Quonset Development Corporation transfer \$2.0 million to state general revenues by June 30, 2019.*

**Quonset Point Infrastructure.** Consistent with the approved plan, the request includes \$14.0 million from Rhode Island Capital Plan funds for infrastructure improvements at the Quonset Business Park, including increasing roadway crossing capacity and utility relocation for additional future development of heavier shipping weights, construction of a new pier and dredging a new approach to that pier. These funds are to support total estimated project costs of \$34.5 million, with the remaining expenses paid by tenants of the Quonset Business Park. The request programs \$4.0 million in each FY 2019 and FY 2020, and \$6.0 million in FY 2021. *The Governor recommends funding as requested.*

**Innovation Campus.** Consistent with the approved plan, the Executive Office requests \$20.0 million from general obligation bond funds approved by the voters in November 2016 for one or more innovation campuses to facilitate collaboration between the University of Rhode Island, other higher education institutions, and the business community to expedite the conversion of research into marketable production or producers. The request for proposals was released during the fall of 2017, with awards anticipated to be announced in spring of 2018. No announcements of awards have been made as of November 2018.

The request programs \$12.5 million for the current year and \$5.0 million for FY 2020. The Executive Office reports that the request erroneously includes spending prior to FY 2019 which has not occurred. Furthermore, it anticipates \$15.0 million of expenditures in FY 2019, but has not been able to provide any detail to support that level of spending, and acknowledges that figure is subject to change once awards are made.

*The Governor's recommendation programs \$12.5 million in FY 2019 and \$7.5 million in FY 2020. In December 2018, the Governor announced three project awards of \$5.5 million, \$2.5 million, and \$4.0*



million, respectively, totaling \$12.0 million. The recommended funding schedule would require all project awards to be disbursed by June 2019.

**Port of Providence.** Consistent with the approved plan, the Executive Office requests \$20.0 million from general obligation bond funds approved by the voters on the November 2016 ballot for land acquisition and infrastructure improvements to the Port of Providence. Expansion and improvements at the port are anticipated to attract three to four new tenants through a container port development or other market-driven operations.

The request programs \$2.5 million for FY 2019, though it should be noted that the project is anticipated to continue through FY 2022. The Executive Office has reported the request erroneously categorized \$17.5 million as spent but that has not occurred. As of September 2018, \$3,500 has been spent for an appraisal. The Office notes that the scope of the remaining expenditure details will remain unknown until the appraisal is complete.

*The Governor recommends total funding as requested, but programs \$15.0 million in the current year and \$5.0 million in FY 2020.*

**Affordable Housing.** Consistent with the approved plan, the Office requests \$40.0 million from general obligation bond funds approved by the voters in November 2016 to support the development or rehabilitation of affordable housing. The funds are anticipated to support the development or rehabilitation of 800 affordable homes. The request programs funding at \$10.0 million each year during the FY 2019 through FY 2022 period.

*The Governor recommends \$20.0 million in the current year, including \$40,665 from bond proceeds approved prior to FY 2016, and \$10.0 million each year through FY 2021.*

**Urban Revitalization and Blight Remediation.** The Office requests \$10.0 million from general obligation bond funds approved by the voters in November 2016 ballot for the Urban Revitalization and Blight Remediation program administered by Rhode Island Housing. The requested funds support a statewide initiative to incentivize razing and/or redeveloping residential or commercial properties, and public and community spaces; the program prioritizes urban areas through a \$7.5 million set aside. Rhode Island Housing disbursed \$1.0 million in FY 2018, and anticipates an additional \$2.3 million in FY 2019, and \$0.5 million in FY 2020, to the funded projects to date. It has also opened a second submission period, and has received 23 requests for funding, which it will decide upon in November 2018.

The voters approved a \$50.0 million general obligation bond, including \$40.0 million to support an ongoing affordable housing initiative and \$10.0 million to support this initiative. The request programs the remaining \$9.0 million for FY 2019; however, the Agency reports it anticipates disbursing funds past that date. *The Governor includes funding as requested.*



## Department of Labor and Training

Projects	Status	5 Year Total	Project Total
Center General Asset Protection*	Ongoing	\$ -	\$ 1,904,949
<b>Total</b>		<b>\$ -</b>	<b>\$ 1,904,949</b>
<b>Sources of Funds</b>			
Rhode Island Capital Plan Fund		\$ -	\$ 1,904,949
<b>Total</b>		<b>\$ -</b>	<b>\$ 1,904,949</b>

*\*Moved to the Department of Administration*

**Summary.** The Department requests a total of \$12.4 million from Rhode Island Capital Plan funds, of which \$8.8 million would be used in the five-year period. The request is for two projects; one is new. *The Governor’s capital recommendation shifts the Center General Asset Protection project to the Division of Capital Asset Management and Maintenance within Department of Administration and shows only previous expenses of \$1.9 million from Rhode Island Capital Plan funds, excluding funding in the five-year period.*

**Center General Asset Protection.** The Department requests total project costs of \$12.4 million from Rhode Island Capital Plan funds for the Center General Asset Protection project through FY 2023, for an average of \$2.5 million per year; the approved plan provides an average of \$1.0 million per year. The request is \$6.6 million more than the approved plan, with \$0.4 million of unspent funding carried forward from FY 2018. It includes 11 different asset protection projects for the Center General Complex in Cranston through FY 2023, such as replacing windows and modernizing elevators. The request also includes funding to finalize fire alarm upgrades and renovate the roof and exterior envelope at the Arrigan Rehabilitation Center in Providence through FY 2022; however, these projects do not fall under the scope of the current project, which is limited to the Center General Complex.

*The Governor recommends shifting this project to the Department of Administration along with current and future recommended spending. The recommendation shows only pre-FY 2019 spending and appears to exclude funding for previously completed subprojects. In the Department of Administration, the Governor recommends \$10.5 million for this project from FY 2019 through FY 2024, providing an average of \$1.7 million per year and extending the timeline by one year.*

**Real Jobs RI Data Management System.** The Department’s request identifies the need for a Real Jobs Rhode Island Data Management System. However, the request includes no funding. The Department indicates that it believes this project should appear under the Department of Administration’s budget, as the system would be funded from the Information Technology Investment Fund. Last year’s capital request, which was not funded, included \$200,000 from the fund for FY 2018 to build the system for the Department’s Real Jobs Rhode Island program.

The program provides grants to local companies struggling to fill open positions and is funded using a combination of state and federal funds. The grants are then used to train workers for the open positions. The Department currently uses a paper-based system to manage the grants and is seeking a system that will electronically manage participant enrollment, partnership engagement, and resource allocation. The Department believes this would make the program run more efficiently and provide time for staff to perform grant advising duties, eliminating a need for additional staff. *This project does not appear in the Governor’s capital recommendation; however, the project does appear to be recommended for funding from the Information Technology Investment Fund with \$0.2 million in FY 2019.*



## Department of Revenue

Projects	Status	5 Year Total	Project Total
Lottery Building Roof	Ongoing	\$ -	\$ 115,000
<b>Total</b>		<b>\$ -</b>	<b>\$ 115,000</b>
<b>Sources of Funds</b>			
Other Funds		\$ -	\$ 115,000
<b>Total</b>		<b>\$ -</b>	<b>\$ 115,000</b>

**Summary.** The Department of Revenue requests total project costs of \$3.2 million from all sources, of which \$2.7 million would be spent in the FY 2020 through FY 2024 period, including \$2.5 million from Rhode Island Capital Plan funds, and \$0.2 million from Information Technology Investment funds. The request is for six projects, five of which are new.

*The Governor's recommendation includes \$0.1 million from Lottery funds in FY 2019 to complete the Lottery Building roof repair.*

**Lottery Building Roof.** The Department requests total project costs of \$0.1 million from Lottery funds, \$9,000 more than enacted, to repair the Lottery building's roof in FY 2019. The roof leaks, has been patched five times in the last two years, and was damaged by a fire in March 2018. The requested increase includes expenses to contract an architect to ensure the quality of the replacement. *The Governor recommends funding as requested.*

**DMV Security Improvements.** The Department requests \$250,000 from Rhode Island Capital Plan funds to modernize the security system for its Registry locations in Cranston, Middletown, and Warren, consistent with the system being installed at other Pastore Campus buildings and Westerly and Woonsocket Registry locations. The new system would allow remote access to each building, including remote locking and unlocking of the building, and replace all of the existing cameras over each cash handling station with high resolution cameras. The request programs the funds for FY 2020. *The Governor does not recommend funding for this project.*

**DMV Storage Expansion.** The Department requests total funding of \$1.1 million for a feasibility study for the design and construction of a storage facility next to the Division of Motor Vehicles. The Department reports that the building has insufficient storage to support the Registry's operations. Portable storage containers were previously considered but were deemed insufficiently secure. Details concerning the actual build size, and requisite costs will not be precisely known until the Department completes a feasibility study. It is unclear how the amount requested was developed. *The Governor does not recommend funding for this project.*

**Taxation Phone System.** The Department requests total project costs of \$0.2 million from the Information Technology Investment Fund to modernize its telecommunications system in support of its effort to establish a call center as part of its one-stop customer service center consistent with the Division of Taxation's restructuring. The FY 2019 enacted budget added staff and assumed a significant revenue increase from this effort. The request includes \$0.2 million for FY 2020; the project is anticipated to be completed within one year. *The Governor does not recommend funding for this project.*

**Taxation Redesign Floorplan.** The Department requests total project costs of \$0.8 million from Rhode Island Capital Plan funds, to redesign the cubicle and staffing layout of its office space in a manner that supports the functional changes of its reorganization. This reorganization is intended to stimulate collaboration, enhance employee skillsets, and promote revenue enhancement, while maintaining the necessary privacy protocols. The Department made an identical request in its FY 2019 capital budget that was not funded. *The Governor does not recommend funding for this project.*

**Taxation Scanners.** The Department requests \$0.4 million from Rhode Island Capital Plan funds to replace the high-speed IBML scanners the Division of Taxation uses to process and reconcile tax returns and payments with the Division's integrated tax system. The Division notes the \$0.8 million requested in its submission was in error; the total request is \$0.4 million for FY 2024. The timeline is estimated based on the Division's interpretation of the manufacturer's recommendation with respect to the volume of use. *The Governor does not recommend funding for this project.*

## Office of the Secretary of State

Projects	Status	5 Year Total	Project Total
State Archives	Revised	\$ 100,000	\$ 246,065
<b>Total</b>		<b>\$ 100,000</b>	<b>\$ 246,065</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 100,000	\$ 246,065
<b>Total</b>		<b>\$ 100,000</b>	<b>\$ 246,065</b>

**Summary.** The Secretary of State requests total project costs of \$52.6 million from Rhode Island Capital Plan funds through FY 2024 in order to construct a permanent State Archives facility. The construction is intended to safeguard Rhode Island’s historical documents, images and records. The total cost includes \$150,000 from Rhode Island Capital Plan funds expended over FY 2017 and FY 2018 for a site selection study which was finalized in January 2018. The study recommended a 52,000 square foot building to be constructed on an empty portion of the Powers Building front lawn, across from the State House. The request is \$3.1 million more than last year’s request which assumed costs before the study’s completion. It should be noted that the study assumed that the facility would be completed in FY 2023 at a cost of \$52.4 million. The request does not contemplate increased costs as a result of a delayed start date. *The Governor recommends \$0.1 million from Rhode Island Capital Plan funds in FY 2022 to commence site development; no further funding for the building is recommended in the five-year plan.*





## Public Utilities Commission

Projects	Status	5 Year Total	Project Total
Asset Protection	Ongoing	\$ 660,000	\$ 1,098,051
<b>Total</b>		<b>\$ 660,000</b>	<b>\$ 1,098,051</b>
<b>Sources of Funds</b>			
Other		\$ -	\$ 99,700
Restricted Receipts		660,000	998,351
<b>Total</b>		<b>\$ 660,000</b>	<b>\$ 1,098,051</b>

**Summary.** The Public Utilities Commission requests a total of \$3.3 million, of which \$700,000 would be spent in the five-year period and \$2.6 million in FY 2019. The total request is \$2.6 million more than the approved plan and includes four projects, two of which are new. Funding in the five-year period includes \$125,000 from the Information Technology Investment Fund and \$575,000 from restricted receipts. *The Governor recommends total project costs of \$1.1 million for three projects, which is \$2.2 million less than requested. The recommendation includes \$0.7 million in the five-year period.*

**Asset Protection.** The Commission requests \$559,051 from restricted receipts for repairs and renovations to its headquarters, 89 Jefferson Boulevard in Warwick from FY 2019 through FY 2024. This is \$0.1 million more than the approved plan to represent one additional year of funding and revised cost estimates for projects in FY 2019. The Commission reduces its request for asset protection funding in FY 2020 to allocate funding for the requested information technology project occurring in the same year. Five asset protection projects are planned and include replacing railings and ramps for handicapped accessibility, replacing exterior windows, replacing the roof membrane, and pavement resurfacing in two areas. *The Governor recommends \$210,000 more than requested which appears to be inadvertent.*

**Solar Panel Canopy.** The Commission requests \$329,000 from restricted receipts, the Renewable Energy Fund, and from the Greenhouse Gas Initiative Fund to install a solar array on the carport rooftop at its headquarters at 89 Jefferson Boulevard, Warwick. The approved plan includes this project as part of the Commission’s asset protection projects, and this request is \$62,600 more than previous assumptions. Total funding includes \$44,700 from the Renewable Energy Fund, \$55,000 from the Greenhouse Gas Initiative Fund, and \$229,300 from restricted receipts. The changes include \$10,300 less from the Renewable Energy Fund and \$72,900 more from restricted receipts. *The Governor recommends funding as requested but maintains funding as part of the Commission’s asset protection project.*

**IT Upgrades.** The Commission requests \$250,000 for a new project to make information technology upgrades in FY 2020. The request includes \$125,000 from restricted receipts and \$125,000 from the Information Technology Investment Fund. The Commission reports that it needs a portal for docket management and e-filing capabilities for licensing. It also requests funding to acquire an in-house task scheduler for employees to track dockets and create time frames to complete their tasks. Additionally, the Commission wants to implement a “list serve” which is a service where the public can add themselves to follow specific dockets by adding their email to the service list of a docket. The Commission reports that these upgrades will relieve staff time by reducing document filing and streamlining docket management responsibilities. *The Governor’s capital budget does not include this funding but her operating budget includes \$250,000 for this project.*

**Land Acquisition.** The Commission requests \$2.2 million from an undetermined fund source for a new project to purchase the building adjacent to its headquarters in FY 2019. The total requested is based on a recent building valuation from the Division of Capital Asset Management and Maintenance. The Commission reports that it has exceeded its current office capacity and needs additional space to house employees, conduct meetings, and create an academic-style grounds for future growth and education within the energy sector. It should be noted that the current square footage available to each staff member contradicts the notion that the building's capacity has been exceeded.

The Commission indicates that it is considering several funding sources including Rhode Island Capital Plan funds and third-party financing in consultation with the Department of Administration. *The Governor does not recommend funding.*

## Department of Children, Youth and Families

Projects	Status	5 Year Total	Project Total
Rhode Island Training School Repairs	Revised	\$ 4,650,000	\$ 6,446,783
Rhode Island Training School Generator	Revised	425,000	680,802
<b>Total</b>		<b>\$ 5,075,000</b>	<b>\$ 7,127,585</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 5,075,000	\$ 7,127,585
<b>Total</b>		<b>\$ 5,075,000</b>	<b>\$ 7,127,585</b>

**Summary.** The Department of Children, Youth and Families requests capital projects totaling \$7.7 million, of which \$2.8 million would be used in the five year period. Funding for projects includes \$7.3 million from Rhode Island Capital Plan funds, \$0.3 million from the Information Technology Investment Fund, and \$0.2 million from federal Title IV-E funds. The request is for three projects. *The Governor recommends total project costs of \$7.1 million for two projects, including \$5.1 million to be spent in the five-year period and \$1.0 million to be spent in FY 2019.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For the Department of Children, Youth and Families, there were changes to the Rhode Island Training School repairs schedule to delay costs to later years and provide a \$1.0 million increase over that time.*

**Rhode Island Training School Repairs.** The Department requests \$6.6 million from Rhode Island Capital Plan funds for various repairs and improvements at the Youth Development Center at the Rhode Island Training School, including \$2.8 million over the five-year period. This is an increase of \$825,000 over the approved plan, including \$325,000 more for the current fiscal year and an additional \$500,000 in FY 2020 to expand the scope of the project. The project, as approved for FY 2019, includes continued roof repairs, installing a new security system repairing deteriorating bathrooms and replacing security cameras, sprinkler heads, radio systems, and water-damaged flooring. The additional funds requested for FY 2019 include \$150,000 for unexpected upgrades to additional security cameras, \$50,000 to enhance the security controlled stations located within the living quarters, and \$125,000 for a leak investigation study. The \$500,000 additional in FY 2020 is to repair leaks in the building envelope. *The Governor recommends \$6.4 million, \$175,000 less than requested in total project costs. This includes \$4.7 million in the five-year period and reflects an updated project cost based on the most recent information provided by the consultant conducting the leak investigation study and extends the project to FY 2024.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, changes include reducing FY 2019 and FY 2020 funds by \$750,000 each, and adding \$2.5 million more for later years.*

**Rhode Island Training School Generator.** Consistent with the approved plan, the Department requests \$650,000 from Rhode Island Capital Plan funds for FY 2019 to purchase one generator for the Rhode Island Training School's Youth Development Center. This generator is to ensure security operations and to maintain basic functionality during a power outage. *The Governor recommends funding as requested, but spreads the cost over two years; \$225,000 for FY 2019 and \$425,000 for FY 2020.*

**Information Technology Modernization.** The Department requests \$466,500 for FY 2019 from state and federal sources for a new project to invest in hardware that allows for mobile compatibility with the Rhode Island Children's Information System. This will allow staff to access the information system and to input information from out of the office. The Department claims the ability to input information in the field would help alleviate the pressure of working in a constrained space at its primary location at 101 Friendship Street. This project request is for the purchasing of mobile hardware including tablets, laptops, broadband, and Wi-Fi that would allow staff to input information from the field. The request is for \$303,225 from the Information Technology Investment Fund and \$163,275 from federal Title IV-E funds. *The Governor's capital budget does not include this project; however, there is \$0.1 million for FY 2019 included for this project from the Information Technology Investment Fund in the Department of Administration's budget.*

## Department of Health

Projects	Status	5 Year Total	Project Total
Laboratory Medical Equipment Fund	New	\$ 2,000,000	\$ 2,000,000
<b>Total</b>		<b>\$ 2,000,000</b>	<b>\$ 2,000,000</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 2,000,000	\$ 2,000,000
<b>Total</b>		<b>\$ 2,000,000</b>	<b>\$ 2,000,000</b>

**Summary.** The Department of Health requests \$2.4 million from Rhode Island Capital Plan funds of which \$2.0 million will be in the five-year period to create a new Laboratory Medical Equipment Fund, which would allow the Department to replace medical equipment in the following laboratories: Biological, Forensic and Environmental Sciences. The Department indicates that some of its current equipment is no longer being supported by the manufacturers and notes that without these instruments, it would not be able to process DNA samples or upload data into the national database, conduct analysis of metals in drinking water and food including arsenic, lead, mercury and copper, and measure certain substances, such as alcohol or drug levels. It appears that some of the instruments are more than 15 years old and, per the Department, the term of useful life is 10-12 years. This has caused down-time, thereby increasing the backlog of untested evidence as well as maintenance costs. The Department indicates that in previous years it applied for federal grants for similar projects; however, federal funds have not been secured for the replacement of the oldest equipment. The Department requested funding for this project as part of its last four capital budgets; however, neither the Governor nor the Assembly concurred.

*The Governor recommends \$0.4 million annually beginning in FY 2020.*



## Department of Human Services

Projects	Status	5 Year Total	Project Total
Veterans' Memorial Cemetery	Revised	\$ 3,452,000	\$ 3,972,450
New Veterans' Home	Revised	-	121,100,000
Blind Vending Facilities	Revised	825,000	2,340,776
<b>Total</b>		<b>\$ 4,277,000</b>	<b>\$ 127,413,226</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 3,000,000	\$ 63,600,000
General Obligation Bonds		-	60,500,000
Restricted Receipts		452,000	972,450
Rhode Island Capital Funds		825,000	2,340,776
<b>Total</b>		<b>\$ 4,277,000</b>	<b>\$ 127,413,226</b>

**Summary.** The Department requests \$4.6 million for the FY 2020 through FY 2024 period and \$1.0 million for FY 2019. The Department's request includes \$0.6 million from new Rhode Island Capital Plan funds to purchase a management information system for the Office of Rehabilitation Services. It also includes three ongoing projects, two of which are through the Office of Veterans' Affairs. *The Governor recommends total project costs of \$127.4 million, with \$4.3 million to be spent in the five-year period and \$4.6 million to be spent in FY 2019.*

**Veterans' Memorial Cemetery.** The Department requests \$4.0 million for six projects at the Rhode Island Veterans' Memorial Cemetery, including \$3.0 million from federal funds and \$1.0 million from restricted receipts. The projects include conducting a land survey, expanding in-ground water piping and installing new hydrants, replacing an outdoor committal shelter, constructing a staging area for funeral processions, paving, and crypt installation. The request is \$0.3 million more from restricted receipts than the approved plan and shifts funding among years. However, the request appears to be overstated as the Department reports that two projects included in the request are no longer being pursued. *The Governor recommends funding as requested. It should be noted the appropriations bills exclude funding from restricted receipts, apparently in error.*

**New Veterans' Home.** The Department requests expenditures totaling \$121.1 million, including \$5.8 million in FY 2019 to complete the new Veterans' Home in Bristol and demolish the old home. Consistent with the approved plan, the request includes \$60.6 million from federal funds and \$60.5 million from general obligation bonds for the construction of six single story residential buildings located around a two-story common area. The common areas also serve as administrative and operations space and provide amenities for residents. The new Veterans' Home opened to residents in November 2017; however, small projects remain to be completed, including constructing two additional parking lots and addressing storm water drainage. The project is expected to be completed by the end of FY 2019. *The Governor recommends total funding as requested.*

**Blind Vending Facilities.** The Department requests \$165,000 annually from Rhode Island Capital Plan funds in the five-year period for the ongoing construction and renovation of statewide blind vending facilities. The request is essentially consistent with the approved plan but adds two additional years of funding for FY 2024 and post-FY 2024. *The Governor excludes funding for post-FY 2024 and concurs with the remainder of the request. However, the recommendation appears to exclude \$2.0 million of pre-FY 2019 expenditures.*

**Management Information System Replacement.** The Department requests \$640,000 from Rhode Island Capital Plan funds for a new project to replace the management information system used by the Office of Rehabilitation Services, including \$320,000 in each FY 2019 and FY 2020. As a result of changes in federal vocational rehabilitation program requirements under the Workforce Innovation and Opportunity Act, the Office reports that it needs to purchase new software to meet the requirements and remain in compliance with federal law. Funding would be used to purchase and install off-the-shelf software. However, it appears the Department intended to request Information Technology Investment funds for the project. *The Governor does not recommend funding for the project.*



## Dept. of Behavioral Healthcare, Developmental Disabilities and Hospitals

Projects	Status	5 Year Total	Project Total
Long Term Care Information System	New	\$ 1,250,000	\$ 1,250,000
Mental Health Residences	Ongoing	3,200,000	9,900,000
DD Residential Support	Ongoing	2,500,000	8,003,076
Hospital Equipment	Ongoing	1,500,000	2,579,229
Substance Abuse - Asset Protection	Ongoing	1,250,000	2,251,029
Administrative Buildings	Revised	-	11,703,031
Medical Center Rehabilitation	Ongoing	-	4,152,367
Zambarano Campus - Asset Protection	Ongoing	-	1,062,217
DD Regional Centers - Asset Protection	Ongoing	-	2,719,310
Community Facilities Fire Code Upgrades	Ongoing	-	2,134,953
DD Residence Fire Code	Ongoing	-	4,668,874
DD Group Homes - Asset Protection	Ongoing	-	6,763,982
Mental Health Community Fac. - Asset Protection	Ongoing	-	2,415,654
<b>Total</b>		<b>\$ 9,700,000</b>	<b>\$ 59,603,722</b>
<b>Source of Funds</b>			
Federal Funds		\$ 1,250,000	\$ 4,226,026
Rhode Island Capital Funds		8,450,000	55,377,696
<b>Total</b>		<b>\$ 9,700,000</b>	<b>\$ 59,603,722</b>

**Summary.** The Department of Behavioral Healthcare, Developmental Disabilities and Hospitals requests \$99.8 million for 13 capital projects, which is \$15.1 million more than the approved capital plan. Of this amount, \$32.8 million will be spent in the FY 2020 through FY 2024 period.

*The Governor recommends \$9.7 million in the five-year plan for five projects. She transfers oversight responsibility and funding for eight projects to the Department of Administration's Division of Capital Asset Management and Maintenance.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For the Department of Behavioral Healthcare Developmental Disabilities and Hospitals, funding for the Substance Abuse Asset Protection project has been reduced to \$1.3 million in the five-year plan.*

**Long Term Care Information System.** The Department requests \$2.9 million for a new project to purchase a long-term care information system for Eleanor Slater Hospital. The request includes \$0.4 million for FY 2019 for a project manager and \$2.5 million for FY 2020 for the information system. Funding includes \$0.2 million from Information Technology Investment funds and \$0.3 million from general revenues for FY 2019 for a project manager and \$1.3 million from technology investment funds matched by Medicaid for FY 2020 to purchase the system.

The system would support administrative, financial, clinical and reporting activities at the state-run hospital. The Department also reports that it would maximize reimbursements and develop treatment and residential options for psychiatric patients, including geriatric, and developmentally disabled adults.

The Department of Administration's capital budget request for projects supported by the Information Technology Investment Fund includes the project manager expenses for FY 2019 but not the \$1.3 million for the cost of the system for FY 2020. *The Governor's capital budget shows the \$1.3 million from federal funds in the five-year capital plan but she does not include the funding in the operating budget recommendation.*

**Mental Health Residences.** The Department requests \$4.0 million from Rhode Island Capital Plan funds for the FY 2020 through FY 2024 period to provide housing to behavioral health clients through the thresholds program in cooperation with Rhode Island Housing which used Rhode Island Capital Plan funds to leverage additional resources to support the new construction of and/or acquisition and rehabilitation of existing housing units. Funding is \$0.8 million annually and the request adds \$0.8 million for FY 2024. Funding is not requested for FY 2019 consistent with the approved capital plan. The Department excludes \$8.0 million in prior spending from bond fund proceeds. *The Governor recommends \$0.8 million annually for FY 2021 through FY 2024; she does not include funding requested for FY 2020.*

**Developmental Disabilities Residential Support.** The Department requests \$0.5 million annually from Rhode Island Capital Plan funds for the five-year period consistent with the approved capital plan. This project funds the thresholds program for residential development with additional funding provided by Rhode Island Housing to support new construction of and/or acquisition and rehabilitation of existing housing units. The Department is in the process of transitioning individuals to less restrictive settings resulting in vacancies within the 24-hour residential system, so it is unclear why new homes would need to be purchased. If approved, additional operating costs would appear in the Department's FY 2019 revised and FY 2020 budgets. The funding also supports the access to independence program which allows adults with developmental disabilities to remain in their homes and provides furniture for the residences. *The Governor recommends funding as requested.*

**Hospital Equipment.** The Department requests \$1.5 million from Rhode Island Capital Plan funds for new medical and other equipment at both campuses of the Eleanor Slater hospital system. This includes lab and kitchen equipment, mattresses, and defibrillators. The request includes \$0.3 million for FY 2020 through FY 2024 and \$0.3 million for FY 2019. This is consistent with the approved plan and adds \$0.3 million for FY 2024. The Department spent \$0.2 million for FY 2015, \$0.3 million for FY 2016, FY 2017 and FY 2018. *The Governor recommends funding as requested.*

**Substance Abuse - Asset Protection.** The Department requests \$2.0 million from Rhode Island Capital Plan funds for substance abuse asset protection projects in the five-year capital plan which is \$1.1 million above the approved plan. This reflects a \$0.1 million increase to current year funding for a total of \$0.3 million and incremental increases to \$0.4 million by FY 2021. The specific plan for the increased funding has not been provided and does not reflect historical spending.

The Department spent an average of \$92,000 between FY 2011 and FY 2017 and spent \$125,624 for FY 2018 on asset protection and emergency repairs to 15 community facilities housing individuals with substance abuse issues. *The Governor recommends \$250,000 annually in the five-year plan; she includes \$233,413 as requested for FY 2019.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's*

*recommendation to her priorities given available resources. For this project, changes include reducing FY 2020 funds by \$100,000, and by \$150,000 for FY 2021 through FY 2024.*

**Administrative Buildings.** The Department includes \$6.3 million from Rhode Island Capital Plan funds for FY 2019, which is \$6.1 million more than the \$250,000 annually in the approved plan provided for asset protection at Barry and Simpson halls. Staff have been temporarily relocated and since the two buildings are empty a decision was made to expand the project, but there was no authorized funding. The work includes asbestos removal, re-pointing, new windows and floors, replacing carpet, repainting the interior, lighting upgrades and installing handicap accessible ramps and upgrading bathrooms. Renovations totaling \$9.5 million include a new heating, ventilation and air conditioning system and electrical upgrades.

The request also includes \$250,000 annually from FY 2020 through FY 2024 for other asset protection projects at the two buildings for a total project cost of \$19.4 million. *The Governor transfers this project to the Department of Administration's budget as part of a single project for asset protection of certain buildings on the Pastore Campus.*

**Medical Center Rehabilitation.** The Department requests \$1.8 million from Rhode Island Capital Plan funds for the ongoing maintenance of the Pastore Center's Regan and Mathias buildings in the FY 2020 through FY 2024 period. It also includes \$0.3 million for FY 2019. The request is \$0.3 million for FY 2020 and FY 2021 and \$0.4 million for FY 2022 through FY 2024. The request is consistent with the approved plan with the exception of reducing FY 2021 spending by \$50,000.

The plan includes upgrades to the heating, ventilation and cooling system, provides for lighting and ceiling improvements and window replacements and exterior envelope repairs at the Mathias building. The Department reports that any projects at the Regan medical building will be in coordination with the Department of Administration's Division of Capital Asset Management and Maintenance as part of the larger hospital reorganization plan. *The Governor transfers this project to the Department of Administration's budget as part of a single project for asset protection of certain buildings on the Pastore Campus.*

**Zambarano Campus - Asset Protection.** The Department requests \$1.7 million for FY 2019 and \$1.5 million for the FY 2020 through FY 2024 timeframe for total expenditures of \$4.5 million from Rhode Island Capital Plan funds for projects at the Zambarano campus of the Eleanor Slater Hospital. The request is \$1.5 million more than the approved plan for FY 2019 to upgrade the ambulance entry which will also house a security station and move the laboratory to another location within the hospital.

The request for FY 2020 through FY 2024 is consistent with the approved plan of \$250,000 for FY 2020 and \$300,000 for FY 2021 through FY 2023 and also adds \$300,000 for FY 2024. The Department spent \$75,630 for FY 2018, \$99,360 for FY 2017, \$45,784 for FY 2016 and \$68,210 for FY 2015.

It should be noted that the Department of Administration's FY 2020 through FY 2024 capital budget also includes projects at the Zambarano campus that total \$19.5 million, including \$3.2 million for FY 2019 and \$1.2 million for FY 2020. The Division of Capital Asset Management and Maintenance, in cooperation with the staff from Eleanor Slater Hospital, provides oversight for the project. The average patient census for FY 2018 was 95. *The Governor transfers this project to the Department of Administration's budget as part of a larger Zambarano Utilities and Infrastructure project.*

**Developmental Disabilities Regional Centers - Asset Protection.** The Department requests \$4.6 million from Rhode Island Capital Plan funds for the FY 2020 through FY 2024 period for repairs and renovations to the ten state owned regional workshop centers and one storage facility and \$0.5 million is included for FY 2019. This is \$3.6 million more than the approved plan which includes \$0.3 million annually and reflects \$0.5 million for FY 2019 and increases amounts annually. There are no details accompanying the

requested increase. The state is under a federal consent decree to transition individuals from regional centers and sheltered workshops to employment and other integrated community settings. The Department reports that the facilities still need to be maintained. *The Governor transfers this project to the Department of Administration and recommends a total of \$2.0 million, including \$1.5 million in the five-year plan.*

**Community Facilities Fire Code Upgrades.** The Department requests \$2.2 million for FY 2019 and \$0.2 million annually for FY 2020 through FY 2024 for fire code projects at the remaining 11 state group homes that require fire alarms and/or sprinkler systems. This brings total project costs to \$5.7 million from Rhode Island Capital Plan funds. This is \$2.8 million more than the approved capital plan which included \$0.2 million for FY 2019 and FY 2020 to complete the fire code upgrades.

The Department did not request funding past FY 2020 in its capital request last year. The Department now reports that it must complete the projects by the June 30, 2019 deadline set by the Rhode Island Fire Safety Board of Appeal and Review to come into compliance with the state's fire code regulations for these facilities. It should be noted that the Department can petition the Board for any variances on individual facilities that have yet to be brought into compliance with the fire safety code.

*The Governor combines this and the Developmental Disabilities Residence Fire Code project into one project in the Department of Administration's budget. For both projects, she includes \$325,000 annually in the five-year plan consistent with the request. She also includes \$2.5 million for FY 2019. This is \$1.5 million less than requested.*

**Developmental Disabilities Residence Fire Code.** The Department requests \$1.8 million for FY 2019 and \$125,000 annually for FY 2020 through FY 2024 from Rhode Island Capital Plan funds to complete the \$7.5 million project to install and upgrade fire alarm and sprinkler systems in the private developmental disabilities residences. The capital plan assumed use of \$200,000 from Rhode Island Capital Plan funds matched by Medicaid for FY 2018 and FY 2019 to complete the project. The request is \$2.3 million above the approved plan and does not include Medicaid funds.

The state's Office of the Auditor General recently reviewed the Department's use of Medicaid as match for capital plan funds in prior fiscal years and determined that it may not have been appropriate. The Department is waiting for clarification from the Centers for Medicare and Medicaid Services as to whether or not the state can continue leveraging Medicaid but until it is approved, the Department did not include Medicaid funds to support the project. It should be noted that if Medicaid funding is not allowable, there is no difference between this project and the Community Facilities Fire Code Upgrade project and the two can be combined.

The Department reports that it must complete 28 sprinklers and four fire alarm system projects to meet the June 30, 2019 deadline for state compliance set by the Rhode Island Fire Safety Board of Appeal and Review at its December 2018 meeting. The projects are either in the process of being completed or in need of the upgrade and there are another nine that need both. It should be noted that the Department can petition the Board for any variances on individual facilities that have yet to be brought into compliance with the fire safety code.

The Department has completed 131 of the 172 projects in group homes that require the upgrades. There are also five vacant homes listed for upgrades and 31 with three or fewer people which are not subject to state fire safety code. The request includes \$125,000 annually from FY 2020 through FY 2024 for maintenance and upkeep of the new systems.

*As previously noted, the Governor combines this and the Community Facilities Fire Code project into one project in the Department of Administration's budget. For both projects, she includes \$325,000 annually in the five-year plan and \$2.5 million for FY 2019. This is consistent with the Department's request in the*

*five-year plan when combining both projects into one. For FY 2019, she recommends \$1.5 million less than requested.*

**Developmental Disabilities Group Homes - Asset Protection.** The Department requests \$14.5 million from Rhode Island Capital Plan funds for asset protection projects at the state's group homes for the developmentally disabled, including \$6.6 million to be spent in the FY 2020 through FY 2024 period. Twenty private providers operate 104 of the 137 state-owned group homes; 26 group homes are state run and the remaining seven are vacant.

The Department's request is \$5.0 million more than the approved capital plan, which included \$0.5 million annually for FY 2020 through FY 2024. The request includes \$1.1 million for FY 2020, \$1.3 million for FY 2021 and FY 2022, \$1.4 million for FY 2023 and \$1.5 million for FY 2024. This also includes \$1.0 million for FY 2019. The Department has provided a list of the properties and costs through FY 2027 that estimates ranging from \$3.3 million for FY 2019 to \$6.8 million for FY 2022. That list includes a total of \$23.7 million for FY 2020 through FY 2024 but includes \$1.4 million for the seven vacant properties.

It should be noted that the FY 2019 enacted budget includes operating savings of \$2.5 million from all sources, including \$1.2 million from general revenues from transferring individuals from a 24-hour group home placement to a less restrictive setting. This is in conjunction with the implementation of new rules by the Centers for Medicare and Medicaid Services for home and community-based services to allow more person-centered planning and expanding the service options. One change to the residential system is the inclusion of separate tiers that will limit new entry into the 24-hour group home system to only those who need the highest level of services. This may reduce the number of group homes needed to support developmentally disabled individuals and have an impact on the number of asset protection projects funded in the five-year plan. *The Governor transfers this project to the Department of Administration and recommends a total project cost of \$3.5 million from Rhode Island Capital Plan funds, including \$2.5 million in the five-year period.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. Funding for this project was reduced from amounts assumed in the multi-year appropriation section of Article 1, including \$0.8 million for FY 2022, \$0.9 million for FY 2023 and \$1.0 million for FY 2024.*

**Mental Health Community Facilities - Asset Protection.** The Department requests \$5.9 million from Rhode Island Capital Plan funds including \$3.1 million in the five-year capital plan for repairs to mental health community facilities, which is \$2.5 million more than the approved capital plan. This reflects doubling the current year funding to \$400,000 and increasing incrementally thereafter to \$750,000 by FY 2023. The approved capital plan includes \$0.2 million annually which is consistent with prior year's spending.

The request includes \$0.5 million for FY 2020 and FY 2021, \$0.6 million for FY 2022 and \$0.8 million for FY 2023 and FY 2024. It also includes \$0.4 million for FY 2019. Funding provides a source of asset protection and emergency repairs to the 22 state owned community facilities and seven state owned centers housing indigent mental health clients, and \$5.7 million has already been spent for this purpose. *The Governor includes \$200,000 annually in the five-year plan and \$255,868 for FY 2019 in the Department of Administration's budget.*



## Department of Elementary and Secondary Education

Projects	Status	5 Year Total	Project Total
School for the Deaf Asset Protection	Revised	\$ 250,000	\$ 300,000
Met School Asset Protection	Revised	1,250,000	2,296,089
Davies Asset Protection	Revised	750,000	2,846,829
Davies School HVAC	Revised	2,500,000	5,133,036
School Construction	Revised	250,000,000	500,000,000
Davies Advanced Manufacturing	Ongoing	-	3,650,000
Technology Infrastructure	Ongoing	-	19,800,000
<b>Total</b>		<b>\$ 254,750,000</b>	<b>\$ 534,025,954</b>
<b>Sources of Funds</b>			
Certificates of Participation		\$ -	\$ 19,800,000
General Obligation Bonds		250,000,000	500,000,000
Rhode Island Capital Funds		4,750,000	14,225,954
<b>Total</b>		<b>\$ 254,750,000</b>	<b>\$ 534,025,954</b>

**Summary.** The Council on Elementary and Secondary Education requests capital project expenditures totaling \$333.9 million, which is \$36.6 million more than the approved capital plan reflecting the addition of one new \$50.0 million project offset by the removal of a completed project. Of the total, \$304.8 million would be spent in the FY 2020 through FY 2024 period. *The Governor recommends total project costs of \$534.0 million, with \$254.8 million to be spent in the five-year period and \$57.3 million in FY 2019.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For the Department of Elementary and Secondary Education, there is \$1.1 million less in the capital budget for FY 2019, which corrects an error in the earlier recommendation.*

**Rhode Island School for the Deaf Asset Protection.** The Council requests total project costs of \$300,000 from Rhode Island Capital Plan funds, which is \$50,000 more than approved to reflect an additional year of funding. The request includes \$50,000 annually for FY 2019 through FY 2023, consistent with the approved plan, and an additional \$50,000 for FY 2024 for asset protection projects at the Rhode Island School for the Deaf. For FY 2019, funding would support a feasibility study to outline the School's current and future needs, as well as upgrades to its security doors and security alert system. *The Governor recommends funding as requested.*

**Met School Asset Protection.** The Council requests total project costs of \$2.3 million from Rhode Island Capital Plan funds, which is \$0.3 million more than approved to reflect an additional year of funding. The request includes \$250,000 annually for FY 2019 through FY 2023, consistent with the approved plan, and an additional \$250,000 for FY 2024 for asset protection projects at the Met School's Peace Street and Public Street campuses in Providence and the East Bay campus in Newport. Projects include building, safety, and parking lot repairs and addressing soil and draining issues at the Providence campuses and Gallagher building of the Newport campus. *The Governor recommends funding as requested.*

**Davies Asset Protection.** The Council requests \$150,000 annually from Rhode Island Capital Plan funds in the five-year period for asset protection projects at Davies Career and Technical School and \$275,548 for FY 2019, which includes \$125,548 of unspent funds from FY 2018. The request is essentially consistent with the approved plan but adds an additional year of funding for FY 2024. FY 2019 projects include upgrades to the hot water storage tank, security badge swiping system for teachers and students, and front access driveway repairs, among others. *The Governor recommends funding as requested.*

**Davies School HVAC.** The Council requests total project costs of \$5.1 million from Rhode Island Capital Plan funds programmed through FY 2021 to complete repairs to the heating, ventilation and air-conditioning system at Davies Career and Technical School. Total funding is consistent with the approved plan and includes \$0.2 million for FY 2019, \$0.7 million for FY 2020 and \$1.8 million for FY 2021. The Department completed the replacement of units within the third-floor freshman wing; remaining repairs are contingent upon approval of the new renovation and construction project request. *The Governor recommends funding as requested.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For the Department of Elementary and Secondary Education, there is \$1.1 million less in the capital budget for FY 2019, which corrects an error in the earlier recommendation.*

**School Construction.** Question 1 of Article 5 of 2018-H 7200, Substitute A, as amended, includes \$250.0 million from general obligation bonds to be put before the voters on the November 2018 ballot for local school construction and renovation projects. The Council's request includes the bond funds, pending approval by voters, and programs \$50.0 million annually from FY 2020 through FY 2024. Funding will first be used to support the state share of the traditional housing aid program and offered to districts on a pay-as-you-go basis. Funding cannot be used to reimburse debt service for previously approved projects. If excess bond funds are available, up to 5.0 percent of those excess funds may be transferred to the School Building Authority Capital Fund. *The general obligation bonds were approved by the voters and the Governor recommends total funding as requested; however, she programs \$50.0 million annually from FY 2019 through FY 2023.*

*The recommended capital budget also includes a second referendum of \$250.0 million of general obligation bonds to be submitted to the voters on the November 2022 ballot for public school construction and repairs. The capital budget assumes issuance of \$50.0 million for FY 2024 and \$200.0 million beyond the five-year period. Annual debt service would be \$20.7 million assuming a 5.0 percent interest rate and a 20-year term; total debt service would be \$401.4 million.*

**Davies Advanced Manufacturing.** Consistent with the approved plan, the Council requests total project costs of \$3.7 million from Rhode Island Capital Plan funds for renovations and improvements to the Advanced Manufacturing and Biomanufacturing programs at Davies Career and Technical School. In FY 2016, Davies received funding under the Department's Prepare RI grant program and developed a partnership with General Dynamics Electric Boat. Prepare RI funding was used to purchase new equipment to expand the manufacturing program. The physical Advanced Manufacturing shop was relocated, which required various upgrades to accommodate the equipment and an expected enrollment increase. Renovations will also expand the Biomanufacturing laboratory and new equipment will be purchased for both programs. The School estimates that the project will be substantially completed by November 2018. *The Governor recommends funding as requested.*

**Technology Infrastructure.** The Council requests \$19.8 million through Certificates of Participation through FY 2019 to complete technology infrastructure upgrades in classrooms throughout the state to



ensure that all local education agencies can access the comprehensive data systems by extending wireless access in classrooms. The Department reports that districts have submitted final invoices and it is currently verifying completion of all work. It anticipates all final payments will be made during FY 2019. *The Governor recommends funding as requested.*

**Davies New Building/Major Renovation.** The Council requests \$50.0 million through Certificates of Participation for a new construction and renovation project at Davies Career and Technical School. Based on completion of a facilities master plan, the School estimates that demolition of its south wing, construction of a new wing, and renovation of the remaining structure will cost approximately \$100 million. The Department's request is half of the estimated need and would allow for the design phase to commence. However, construction is unlikely to commence without commitment of all necessary funding. As requested, annual debt service would be \$4.0 million assuming a 20-year term and 5.0 percent interest. *The Governor does not recommend the funding.*



## Public Higher Education

Projects	Status	5 Year Total	Project Total
<b>Systemwide</b>			
Asset Protection	Ongoing	\$ 77,028,893	\$ 220,394,010
Office of Postsecondary Commissioner		2,341,000	11,298,000
Community College		36,935,100	57,146,388
College		93,860,327	197,620,504
University		235,231,224	603,222,083
<b>Total</b>		<b>\$ 445,396,544</b>	<b>\$1,089,680,985</b>
<b>Sources of Funds</b>			
General Obligation Bonds - New		\$ 65,000,000	\$ 70,000,000
General Obligation Bonds		7,500,000	200,500,000
Other Funds		68,079,386	129,062,173
Rhode Island Capital Funds		137,390,284	366,332,724
RIHEBC		140,051,061	253,074,431
University and College Funds		27,375,813	70,711,657
<b>Total</b>		<b>\$ 445,396,544</b>	<b>\$1,089,680,985</b>

**Summary.** The Council on Postsecondary Education approved five-year capital requests that include projects totaling \$1,606.5 million, of which \$827.0 million would be spent between FY 2020 and FY 2024; \$27.5 million would be spent in FY 2019. *The Governor recommends \$1,089.7 million with \$445.4 million of projects to be spent in the FY 2020 through FY 2024 period and \$254.1 million to be spent in FY 2019. Average outlays for the five-year period total \$89.1 million.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For Higher Education, several projects at the College and Community College were impacted resulting in an overall reduction of \$1.0 million. Changes have been notated where appropriate.*

**New Bond Initiatives.** The current request includes \$379.0 million from new general obligation bonds for four projects, including two at the University and two at the College. The University's Narragansett Bay Campus Renewal Phase II project would be placed on the November 2020 ballot with a total cost of \$86.0 million. The University's Historic Quadrangle Renovations project would be placed on the November 2022 ballot with a total cost of \$41.0 million. The new general obligation bonds for the phased renovations of academic buildings at the College are divided among several ballot years. The Academic Buildings Phase III project would be placed on the November 2020 ballot with a total cost of \$60.0 million. The Academic Buildings Phase IV project would be placed on the November 2022, November 2024 and November 2026 ballots with a total cost of \$192.0 million. Annual debt service for all the newly requested general obligation bonds, assuming 20 years and a 5.0 percent interest rate, is \$30.4 million with a total cost of \$619.3 million.

*The Governor’s recommendation does not assume any new higher education referenda go before the voters in November 2020 or beyond.*

Project		Current Board Request			
		2020 Ballot	2022 Ballot	2024 Ballot	2026 Ballot
Academic Buildings Phase III	RIC	\$ 60,000,000	\$ -	\$ -	\$ -
Academic Buildings Phase IV	RIC	-	60,000,000	88,000,000	44,000,000
Narragansett Bay Campus Renewal Phase II	URI	86,000,000	-	-	-
Historic Quadrangle Renovations	URI	-	41,000,000	-	-
<b>Total</b>		<b>\$ 146,000,000</b>	<b>\$ 101,000,000</b>	<b>\$ 88,000,000</b>	<b>\$ 44,000,000</b>

**Other Debt.** The Council’s current request also includes projects funded from revenue bonds. If a revenue stream is available, that revenue pays the debt service on the bonds. For example, housing fees would pay the debt service on a new dorm or renovation project. With projects for which there is no dedicated revenue stream, debt service is paid from either general revenues or tuition and fee revenues. The current request includes a total of \$251.0 million from revenue bonds, including \$138.0 million in the five-year period. Annual debt service on the \$138.0 million, assuming 20 years and a 5.0 percent interest rate, is \$11.1 million. Annual debt service on the entire \$251.0 million is \$20.1 million.

*The Governor recommends a total of \$253.1 million from revenue bonds, including \$140.1 million in the five-year period. Annual debt service on the entire \$253.1 million is \$20.3 million. The Governor’s recommendation includes a request for Assembly approval of debt issuance for three projects totaling \$80.5 million at the University. Projects include \$26.9 million for the combined health and counseling center, \$2.1 million for the fraternity circle master plan implementation, and \$51.5 million for the renovation and expansion of the Memorial Student Union. Annual debt service for the three projects would be \$5.9 million supported by general revenues, tuition, student fees and retail leases.*

### Systemwide Projects

**Asset Protection.** The Council requests \$112.8 million from Rhode Island Capital Plan funds for asset protection projects for the five years of the current capital request. The request assumes use of \$14.0 million for FY 2019 and \$20.2 million for FY 2020. The five-year request is \$40.3 million more than the approved capital plan for projects at the University to reflect its estimation of need. The University indicated that its need for maintaining current assets will average between \$13.6 million and \$16.0 million a year for the five years of the capital plan. The approved plan includes \$7.4 million for FY 2019 increasing to \$8.9 million in FY 2023. The five-year request for the College is \$5.5 million more than the approved capital plan. The College indicated that its need for maintaining current assets will average between \$3.5 million and \$6.4 million a year for the five years of the capital plan. The five-year request for the Community College is consistent with the approved capital plan. The Community College indicated that its needs for maintaining its current assets will average between \$2.4 million and \$2.8 million for the five years of the capital plan.

The 2006 Assembly began increasing current and future allocations from Rhode Island Capital Plan funds. The last incremental increase to annual funding was effective with the FY 2012 budget, adding \$2.0 million in each year for FY 2012 through FY 2016.

*The Governor recommends \$77.0 million from Rhode Island Capital Plan funds for the five-year period and \$15.7 million for FY 2019, including \$2.3 million in unspent balances from FY 2018. Funding is mostly consistent with the approved plan with funding added for FY 2024.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor’s recommendation to her priorities given available resources. In some cases, there were also unrelated*

changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For Higher Education, asset protection projects were reduced for FY 2024 by \$0.6 million at the College and \$0.4 million at the Community College.

**Office of Postsecondary Commissioner**

Projects	Status	5 Year Total	Project Total
<i>Office of Postsecondary Commissioner</i>			
Asset Protection	New	341,000	341,000
Northern RI Education Center	Ongoing	2,000,000	4,000,000
Westerly Campus	Ongoing	-	6,957,000
<b>Total</b>		<b>\$ 2,341,000</b>	<b>\$ 11,298,000</b>

**Asset Protection.** The Council requests \$300,000 from Rhode Island Capital Plan funds for new asset protection projects for the Office of Postsecondary Commissioner’s office building located at 560 Jefferson Boulevard in Warwick. Asset protection projects have been determined by a facilities assessment completed by the Department of Administration in March of 2017; projects include replacing leaking window seals, fire alarm and sprinkler system, and repaving the parking lot and sidewalks. The request programs \$50,000 in FY 2019 and \$50,000 annually from FY 2020 through FY 2024. The Office staff acknowledge that funding for FY 2019 is not likely to be approved in time to meet the proposed schedule, but the funding is requested to demonstrate necessity. *The Governor recommends \$341,000 from Rhode Island Capital Plan funds for FY 2020 only to install a generator and address deferred maintenance of the roof, which was requested as a standalone project.*

**Northern RI Education Center.** Consistent with the approved plan, the Council requests \$4.0 million from Rhode Island Capital Plan funds for the construction of a new job training and workforce development site in northern Rhode Island. Mirroring the public-private partnership established with the Westerly Education Center, the Northern RI Education Center will bridge gaps in education and workforce training within high-demand industries: advanced manufacturing, information technologies, cybersecurity, finance and healthcare. The center will be financially self-supporting with operating costs supported by program fees and revenues from leasing the space. The new center is planned to be constructed in Woonsocket, with the exact site currently under review. Funding is programmed for FY 2019 and FY 2020 with proviso language that state funding shall comprise no more than 50.0 percent of the total cost. Additional third-party funding sources has yet to be identified. *The Governor recommends funding as requested. As of the January 23 Council meeting, an exact site has yet to be determined but four sites are under consideration.*

**Westerly Campus.** Consistent with the approved plan, the Council requests \$7.0 million for the Westerly Higher Education and Job Skills Center project. Funding includes \$2.0 million from Rhode Island Capital Plan funds, \$3.0 million from private sources, and \$2.0 million from general revenues. The Westerly Campus opened in FY 2017. The current request extends \$0.1 million of unused Rhode Island Capital Plan funds through FY 2019 to complete final purchases. *The Governor recommends funding as requested.*

**Roof Repair.** The Council requests \$116,000 from Rhode Island Capital Plan funds for a new project to replace the roof of the Office of Postsecondary Commissioner’s office building located at 560 Jefferson Boulevard in Warwick. The Department of Administration completed a facilities assessment in March of 2017 which identified the roof to be in poor condition with one year of useful life remaining. Previous requests have not been approved by the Governor or the Assembly. The Office staff acknowledge that this request is not likely to be approved in time to meet the proposed schedule, but the funding is requested for FY 2019 to demonstrate project prioritization. *The Governor recommends funding for this as part of the asset protection project.*

**Information Technology Investment Fund.** The Council requests \$604,000 from the Information Technology Investment Fund for a new project to upgrade the technological infrastructure for the Office of Postsecondary Commissioner. Technology upgrades would include the computer network hardware, internet access services and power supply, as well as the implementation of a student support portal to be used across the three institutions. The Council is currently submitting the request before the Information Technology Investment Fund Review Committee for approval. Funding is programmed for FY 2020. *The Governor does not recommend funding for this project.*

**Community College of Rhode Island**

Projects	Status	5 Year Total	Project Total
<i>Community College of Rhode Island</i>			
Data, Cabling & Power Infrastructure	New	\$ 13,650,000	\$ 15,000,000
Infrastructure Improvement - Providence Campus	New	125,000	1,479,105
Master Planning Consultant	New	-	561,000
Accessibility Improvements	Revised	-	959,729
Infrastructure Improvement - Flanagan Campus	Revised	12,565,000	13,301,994
Knight Campus Physics & Engineering Lab Renovations	Revised	2,645,100	3,490,000
Knight Campus Renewal	Ongoing	7,950,000	22,354,560
<b>Total</b>		<b>\$ 36,935,100</b>	<b>\$ 57,146,388</b>

**Data, Cabling and Power Infrastructure.** The Community College requests \$15.0 million in new funding for the assessment, design and construction of a modernized data cabling and power infrastructure across its four campuses. Funding includes \$13.7 million from the Information Technology Investment Fund and \$1.3 million from College funds. Individual assessments need to be completed for each campus to determine adequacy for increasing cabling capacity. In FY 2019, the Community College will complete these assessments using \$1.3 million from College funds. Funding is requested for FY 2019 through FY 2023. *The Governor recommends a project total of \$15.0 million, including \$13.7 million from Rhode Island Capital Plan funds and \$1.3 million from College funds.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, funding was reduced from amounts identified in the multiyear appropriation section of Article 1 by \$2.2 million in FY 2021, \$1.9 million in FY 2022, \$0.6 million in FY 2023 and \$4.7 million was added for FY 2024. Programming the funding over the five-year period delays project completion by one year.*

**Infrastructure Improvement - Providence Campus.** The Community College requests \$19.0 million for a new project to renovate and modernize the interior of the Liston Campus main building, located in Providence. Renovations would include the modernization of the science and art labs to current code and Americans with Disabilities Act standards, while updating lighting and air duct systems. The Community College is requesting \$17.5 million from Rhode Island Capital Plan funds programmed for FY 2020 through FY 2024, with the College providing \$1.4 million for engineering and facility planning services through FY 2020. *The Governor recommends \$1.5 million from College funds to complete initial planning and design work.*

**Master Planning Consultant.** The Community College requests \$0.6 million from College funds for a new project to engage an architectural firm for drafting a master facility plan to shape future space and

facility decisions. Funding is requested for FY 2019. Completion of this plan is anticipated by the end of the fall 2018 semester. *The Governor recommends funding as requested.*

**Accessibility Improvements.** The Community College requests \$1.0 million for continued accessibility improvements on the Knight and Flanagan campuses. Funding includes \$0.8 million from College funds and \$0.2 million from Governor's Commission on Disabilities funds. Work will be completed to upgrade the railings for code and accessibility compliance, while improving classroom and elevator accessibility. The request is \$1.5 million less than the approved plan, including \$1.2 million less from College funds and \$0.3 million less from the Governor's Commission on Disabilities funds. Some projects have been removed from the request, as they have been addressed through the College's ongoing asset protection project, and the work to complete the entrance ramp for the Knight campus is now included in the Knight Campus Renewal project. *The Governor recommends funding as requested.*

**Infrastructure Improvement - Flanagan Campus Renewal.** The Community College requests \$13.3 million for renovations and upgrades to the Flanagan Campus, located in Lincoln. Funding includes \$12.5 million from Rhode Island Capital Plan funds with \$0.5 million from College funds and \$0.3 million from private funding. This request is \$3.3 million more than the approved plan to reflect a larger project scope with funding requested for FY 2020 through FY 2024, as opposed to the approved plan of FY 2021 through FY 2023. In FY 2019, the College is engaging a consulting firm to design a new master plan. Upon completion, the College will determine the priority of the projects based on the recommendations of the plan and community feedback. *The Governor recommends a project total of \$13.3 million with \$12.5 million from Rhode Island Capital Plan funds delayed from FY 2020 to FY 2021, consistent with the approved capital plan.*

**Knight Campus Physics and Engineering Lab Renovations.** The Community College requests \$3.5 million for the renovation of the physics and engineering labs at the Knight Campus in Warwick. Funding includes \$3.4 million from Rhode Island Capital Plan funds and \$0.1 million from College funds. The request is \$2.6 million more than the approved plan to reflect the revised scope of renovations, which includes lab equipment replacement, updating the heating, ventilation and air conditioning system, and closing off the labs that are currently open classrooms. The approved plan only funded the preliminary design work, which is currently ongoing and will be completed in FY 2019, with project bidding anticipated in FY 2020. Funding is requested through FY 2022. *The Governor recommends the project total of \$3.5 million, with Rhode Island Capital Plan funds programmed for FY 2021.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, \$1.3 million from Rhode Island Capital Plan funds scheduled for FY 2020 was delayed to FY 2021. Delaying the funding by one year shifts project completion to FY 2022.*

**Knight Campus Renewal.** The Community College requests \$22.4 million for the Knight Campus Renewal project, consistent with the approved plan. Funding includes \$20.1 million from Rhode Island Capital Plan funds, \$0.1 million from College funds, and \$2.2 million from 1977 auxiliary bond funds redeemed by the Community College during the spring of 2015. Ongoing projects include the construction of the main ramp enclosure and continuation of the commons lighting project. Funding is programmed through FY 2021. *The Governor recommends funding as requested.*

**Unified Communications.** The Community College requests \$1.7 million from the Information Technology Investment Fund for a new project to update existing telecommunication systems to a modern Cisco integrated voice/video/data system spanning across all four college campuses. This project will provide integrated communication and collaborative tools for any college user regardless of the campus

location or device. Funding for this project is requested for FY 2020 through FY 2023. *The Governor does not recommend funding for this project.*

**Rhode Island College**

Projects	Status	5 Year Total	Project Total
<i>Rhode Island College</i>			
Academic Buildings Phase IV	New	\$ 150,000	\$ 300,000
New Residence Hall	New	50,000,000	50,000,000
Auxiliary Enterprise Asset Protection	Revised	6,160,000	24,170,178
Infrastructure Modernization	Revised	15,050,327	36,050,326
Academic Buildings Phase I	Ongoing	-	62,100,000
Academic Buildings Phase II	Ongoing	22,500,000	25,000,000
<b>Total</b>		<b>\$ 93,860,327</b>	<b>\$ 197,620,504</b>

**Academic Buildings Phase IV.** The College requests \$192.3 million for the final phase of a four-phase project to modernize and rehabilitate essential academic buildings. The total request includes three general obligation bond authorizations: \$60.0 million for the November 2022 ballot year for Adams Library Phase I renovations; \$88.0 million for the November 2024 ballot year of which \$50.0 million is for Adams Library Phase II renovations and \$38.0 million is for the renovation of Clarke Science Hall; and \$44.0 million is for the November 2026 ballot year for renovations to Fogarty Life Sciences. The total cost, assuming 5.0 percent interest and a 20-year term, is \$314.2 million with an annual debt service of \$15.4 million supported by general revenues. This project is not in the approved plan, and the current configuration is different than prior requests.

The request also includes \$0.3 million from Rhode Island Capital Plan funds for the completion of feasibility studies for Henry Barnard and Fogarty Life Sciences, previously approved under Phase III of this project. *The Governor recommends \$0.3 million from Rhode Island Capital Plan funds to complete feasibility studies during FY 2019 and FY 2020. The Governor’s recommendation does not assume any new higher education referenda go before the voters in November 2020 or beyond.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor’s recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For this project, funding for the feasibility study was originally removed from FY 2019 and FY 2020, apparently unintentionally.*

**New Residence Hall.** The College requests \$55.1 million for the construction of a new 129,121 square foot residence hall. This includes \$50.0 million funded by public-private partnership or revenue bonds and \$5.0 million from Rhode Island Capital Plan funds for FY 2021 to complete preparatory site and utility work. The College spent \$0.1 million to complete a feasibility study for this project in May 2016, estimating project costs at \$46.7 million if construction began in FY 2018. The College is requesting an additional \$8.3 million to reflect cost escalations until construction commences in FY 2021. The request is consistent with last year’s request to update cost for timing, but there are a number of unresolved issues that will impact the final cost. The College’s intent is to expand, modernize, and revitalize current student accommodations; the request is a placeholder until viable funding and more thorough planning has been completed. In July 2017, the College advertised a Request for Information resulting in the interview of four vendors for long-term plans, with responses currently under review by an appointed committee. *The Governor recommends \$50.0 million from yet to be identified third-party sources for FY 2021 and FY 2022.*



**Auxiliary Enterprise Asset Protection.** The College requests \$24.2 million from auxiliary revenues programmed for FY 2019 through FY 2024. This request is \$8.6 million more than the approved plan to reflect the increased project scope. Projects completing in FY 2019 include the roof replacement for Willard Hall, solar panel installation on the roof of Donovan Dining Center, interior renovations and aesthetic improvements for Browne Hall. Additional planned projects scheduled through FY 2024 include Student Union renovations, interior revitalization for Sweet and Penfield Halls, roof replacements for Sweet and Thorp Halls, security audits of residence halls, and improvements of food line and prep area within Donovan Dining Center. *The Governor recommends funding as requested.*

**Infrastructure Modernization.** The College requests \$43.0 million from Rhode Island Capital Plan funds programmed through FY 2024 for infrastructure modernization. This is \$10.0 million more than the approved plan, but largely consistent with last year's funding request while reflecting a larger project scope. The project includes the modernization and replacement of steam and sewer system distribution lines, power-plant upgrades, improvements to drainage as well as electric, gas and water systems, signage improvements as well as supporting information technology network improvements. *The Governor recommends \$15.1 million from Rhode Island Capital Plan funds programmed through the five-year period, including \$5.4 million for FY 2019, based on the overall availability of Rhode Island Capital Plan funds and the Administration's priorities.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, funding was reduced in FY 2024 by \$0.3 million.*

**Academic Buildings Phase I.** Consistent with the approved plan, the College requests \$62.1 million for the modernization and renovation of Craig Lee Hall, Gaige Hall, the Fogarty Life Sciences Building, and the repurposing of Adams Library to accommodate several departments from Craig Lee Hall during renovations. Funding includes \$50.0 million from general obligation bonds approved by the voters in November 2012 and \$12.1 million from Rhode Island Capital Plan funds. The addition to Fogarty Life Sciences and the renovation and modernization of Gaige Hall have been completed, with the renovation of Craig Lee Hall completing in December 2018. Funding for the remaining swing space renovations in Adams Library is programmed through FY 2020.

The 2012 Assembly approved \$50.0 million of new general obligation bonds for academic buildings to include renovations, upgrades and expansion of the health and nursing facilities. The Council divided the funding into two projects, including the Fogarty Life Sciences Building renovation project and the first phase of the College's Academic Buildings renovation project, as reflected in the approved capital plan. However, the College opted to combine both approved general obligation bond funding under this project. *The Governor recommends funding as requested through FY 2019, reflecting the College's ability to complete the swing space renovations in the current year.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For this project, \$2.0 million from Rhode Island Capital Plan funds was accelerated from FY 2020 to FY 2019 to ensure project completion during FY 2019.*

**Academic Buildings Phase II.** Consistent with the approved plan, the College requests \$25.0 million from general obligation bonds approved by the voters on the November 2018 ballot to renovate Horace Mann

Hall. This is the second phase of a four-phase project to modernize and rehabilitate essential academic buildings. Architectural and engineering work would begin in FY 2020, with construction commencing in FY 2021 and completing in FY 2022. The total cost, assuming 5.0 percent interest and a 20-year term is \$40.9 million with annual debt service of \$2.0 million supported by general revenues. *The Governor recommends funding as requested but programs it to begin during FY 2019 to reflect an anticipated bond issuance during the spring of 2019; however, it is unlikely that funding would be approved in time to spend during the current fiscal year.*

**Energy Performance Contract.** Consistent with the approved plan, the College requests total project costs of \$7.5 million through Certificates of Participation for an energy performance contract with an energy services company to purchase energy saving improvements in buildings and infrastructure. An energy audit was completed in April 2016, and the College signed a contract with a third-party verification company to guarantee utility cost savings. The request anticipates project completion in FY 2019. *The Governor excludes funding for this project as the project has completed.*

**Academic Buildings Phase III.** The College requests \$60.0 million from new general obligation bonds to be submitted to the voters on the November 2020 ballot to complete the third phase of a four-phase project to modernize and rehabilitate essential academic buildings. The \$60.0 million would finance three projects: the renovation of Whipple Hall, construction of a dedicated student services building, and updating the parking/walkway infrastructure. The total cost, assuming 5.0 percent interest and a 20-year term, is \$98.0 million with annual debt service of \$4.8 million supported by general revenues. Project funding is requested for FY 2022 through FY 2024. The College has completed feasibility studies for each project, but the studies appear to have overlapping utilization of space. *The Governor does not recommend funding for this project. The Governor's recommendation does not assume any new higher education referenda go before the voters in November 2020 or beyond.*

**University of Rhode Island**

<b>Projects</b>	<b>Status</b>	<b>5 Year Total</b>	<b>Project Total</b>
<i>University of Rhode Island</i>			
3045 Kingstown Road Renovation	New	\$ 2,196,970	\$ 3,400,000
Meade Stadium Field Improvements	New	2,058,573	4,100,000
Memorial Union Renovation & Addition	New	62,500,000	62,500,000
Research Laboratory Advanced Planning	New	-	200,000
Utility Infrastructure Upgrades Phase III	New	6,884,593	9,191,250
Agricultural Innovation District	Revised	1,613,690	5,400,000
Combined Health and Counseling Center	Revised	30,748,419	32,900,000
Fine Arts Center Renovation & Addition	Revised	11,770,064	19,570,064
Fire Safety & Protection - Aux. Enterprises Phase I	Revised	2,747,711	18,205,000
Fraternity Circle Master Plan Implementation	Revised	2,100,000	2,100,000
Gateway to URI (Welcome Center)	Revised	-	8,176,922
Narragansett Bay Campus Renewal Phase I	Revised	47,500,000	50,000,000
Nursing Education Center	Revised	-	11,791,714
Utility Infrastructure Upgrades Phase II	Revised	9,191,250	9,191,250
White Horn Brook Apartments	Revised	11,746,948	89,709,577
Athletic and Rec. Fac. Advanced Planning	Ongoing	-	250,000
Biological Resources Lab	Ongoing	-	5,839,488
Electric Utility Substation Replacement	Ongoing	-	6,369,508
Energy Conservation and Perf. Contract Phase II	Ongoing	-	12,600,000
Energy Conservation and Perf. Contract Phase III	Ongoing	-	10,500,000
Engineering Building Renovations Phase I	Ongoing	8,907,123	131,054,270
Engineering Building Renovations Phase II	Ongoing	49,000	25,549,000
Facilities Services Sector Upgrade	Ongoing	11,800,000	11,800,000
Fire Safety & Protection - Acad. & Admin. Phase I	Ongoing	-	25,848,356
Fire Safety & Protection - Aux. Enterprises Phase II	Ongoing	1,980,506	2,300,000
Fraternity Circle Infrastructure Phase I	Ongoing	3,229,256	5,435,765
Hope Commons Expansion	Ongoing	1,955,320	2,200,000
Ranger Hall Phase II	Ongoing	3,288,122	3,500,000
Repaving and Road Construction	Ongoing	-	14,509,919
Repaving, Hardscape and Landscape	Ongoing	7,188,842	11,000,000
Upper College Road Multi-Use Development	Ongoing	992,882	1,530,000
Utility Infrastructure Upgrades Phase I	Ongoing	4,781,955	6,500,000
<b>Total</b>		<b>\$ 235,231,224</b>	<b>\$ 603,222,083</b>

**3045 Kingstown Road Renovation.** The University requests \$3.4 million for a new project to fully renovate and restore the 16,000 square foot two story building located at 3045 Kingstown Road, the former headquarters of the defunct Institute of International Sport. The University recently acquired ownership of the vacant building with the vision of expanding its private sector partnership-oriented programs. Funding requested includes \$1.7 million from unrestricted University funds and \$1.7 million from private funds, which have yet to be identified. The University will engage an architectural/engineering firm in FY 2019, with anticipated project completion in FY 2020. *The Governor recommends funding as requested.*

**Meade Stadium Field Improvements.** The University requests \$4.1 million in new funding for the major renovation and revitalization of Meade Stadium, home to the University's football team. The project

includes the installation of synthetic turf and stadium lighting to increase and diversify the existing stadium utilization. The University requests \$3.0 million from private funds and \$1.1 million from University auxiliary funds. In August of 2018, the University secured \$2.0 million from private funds for the planned improvements, advancing the schedule of this project. In FY 2019, the University will engage a qualified architectural/engineering firm to develop plans for the renovation. Project completion is anticipated for FY 2021. *The Governor recommends funding as requested.*

**Memorial Union Renovation and Addition.** The University requests \$62.5 million for a new project to completely renovate and expand Memorial Student Union, a 133,000 square foot, four-story building that houses over 180 student groups along with the organizations and offices for Student Affairs. The University proposes to renovate and expand the building with a 9,000 square foot addition, while improving adjacent parking and landscaping to better serve the campus community. The request includes \$51.5 million from revenue bonds and \$11.0 million from auxiliary funds. Annual debt service, anticipating a 5.0 percent interest rate and a 30-year term, would be \$4.1 million with total debt service of \$123.8 million, supported by leasing space to outside retail vendors and increasing the Memorial Student Union fee. Project funding is requested for FY 2020 through FY 2024. Once major funding is secured, the University will engage an architectural/engineering firm to develop plans for the renovations and will release a Request for Proposals to solicit new retail vendors.

*The Governor recommends funding as requested and includes authorization for Assembly approval of \$51.5 million from revenue bonds. Annual debt service assuming 6.0 percent interest and a 30-year term, would be \$3.8 million supported by student fees and retail lease payments. Total debt service would be \$112.3 million.*

**Research Laboratory Advanced Planning.** The University requests \$0.2 million from new unrestricted University funds to study the current use and types of research space across all campuses to ensure continued support of the high level research that is ongoing while meeting the needs of the next generation of research activity. In FY 2019, the University will engage an architectural/engineering firm for this advanced planning. *The Governor recommends funding as requested.*

**Utility Infrastructure Upgrades Phase III.** The University requests \$9.2 million from revenue bonds supported by general revenues, tuition and fees for the final phase of the University's three-phase utility infrastructure upgrade project. The current request divides the second phase into two-phases to control the design and construction across campus better. The request for \$9.2 million for this new phase represents half of the prior Phase II. Funding is programmed for FY 2023 through post-FY2024.

The total request for all phases of the utility infrastructure upgrade plan is \$24.9 million, including the \$6.5 million from revenue bonds for the first phase, \$9.2 million from revenue bonds for the second phase and \$9.2 million from revenue bonds for the third phase. The project involves the replacement of the steam/condensate, water and electrical distribution systems, the sewer system, and the storm water management system. The total cost of the third phase, assuming 5.0 percent interest and a 20-year term, is \$15.0 million with annual debt service of \$0.7 million. *The Governor recommends funding as requested.*

**Agricultural Innovation District.** The University requests \$5.4 million from private funds for a new 52-acre development within the Peckham Farm area of campus to create an Agricultural Innovation District. This request is \$0.2 million more than the approved plan, as the project has been delayed one year resulting in cost escalation. This district will create a platform for collaborative innovation within the agricultural fields through a public-private partnership with the University and multiple private companies. The public-private partnership would direct funding to invest in agricultural technologies aimed to develop the production of agricultural products for later storage and distribution for the state's consumption and use. The University indicates that the project will not proceed unless there is third-party interest for it to be self-sustaining in the long-term. The University has solicited letters of interest from potential partners and will

proceed with selection based on the Request for Proposals. Funding is programmed from FY 2020 to FY 2021. *The Governor recommends funding from third-party sources with funding programmed for FY 2019 and FY 2020. According to information provided by the University at the January 23<sup>rd</sup> Council meeting, several partners have been identified, allowing for the project to move forward. It appears this project was selected as one of the projects to be funded through the Innovation Campus bond approved by the voters in 2016.*

**Combined Health and Counseling Center.** The University requests \$32.9 million from revenue bonds and University funds programmed to begin in FY 2019 for a project to combine its health services and the counseling center into a single facility. The University reported that the capacity of both facilities is insufficient to meet the growing demand for health and counseling services. Furthermore, the existing, physically separate facilities require duplications in support staff and medical recordkeeping.

This funding request has altered the funding composition from the approved plan, reducing the revenue bond request from \$28.9 million to \$26.9 million and increasing University funds from \$4.0 million to \$6.0 million. Annual debt service on the revenue bonds, assuming 5.0 percent and a 20-year term, would be \$2.2 million with a total cost of \$44.0 million backed by student fees to support the auxiliary portions of the project. The reduced bond request with increased University funds allows for a lower increase to student fees necessary to support the annual debt service.

*The Governor recommends funding as requested, and includes authorization for Assembly approval of \$26.9 million from revenue bonds. Annual debt service, assuming 6.0 percent interest and a 30-year term, would be \$2.0 million supported by student fees. Total debt service would be \$58.7 million.*

**Fine Arts Center Renovation and Addition.** The University requests \$71.5 million to complete comprehensive renovations and enhancements to the 120,720 square foot fine arts center on the Kingston Campus, which houses the University's musical, theatrical, visual and graphic arts programs. Funding includes \$66.8 million from Rhode Island Capital Plan funds and \$4.7 million from donor based private funding and is \$59.5 million more than the approved plan. Total requested funding is \$2.8 million more than last year's request to account for cost escalation and funding for the design work of a second phase that was omitted from the FY 2019 request.

For FY 2019 the Assembly amended the Governor's original recommended funding schedule to advance \$5.4 million to FY 2019 and \$2.6 million to FY 2020, providing a total of \$6.4 million for FY 2019 and \$4.6 million for FY 2020. The approved plan for \$12.0 million from Rhode Island Capital Plan funds addresses the first phase of the project involving the heating, ventilation and air conditioning system replacement and exterior envelope repairs.

*The Governor recommends \$2.9 million more than the approved plan from Rhode Island Capital Plan funds through FY 2020, consistent with the University's request to complete the first phase and start design work for Phase II. The remaining \$4.7 million in private funds is programmed as requested for FY 2020 through FY 2023. A funding source has yet to be determined for Phase II, which would provide limited upgrades for the interiors of the performance halls.*

**Fire Safety and Protection - Auxiliary Enterprises Phase I.** Consistent with the approved plan, the University's request includes \$18.2 million from revenue bonds to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all the University's auxiliary enterprise buildings that are not presently equipped with sprinkler and addressable alarm systems. Funding is requested through FY 2020, one year later than the approved plan due to design complications with the electrical emergency upgrades in the Roger Williams Complex residence halls. The 2008 Assembly authorized the University to issue \$19.4 million from revenue bonds backed by student fees for this project and the project cost reflects the

\$18.2 million that is available net of issuance costs. Annual debt service for this project is \$1.3 million supported through auxiliary revenue. *The Governor recommends funding as requested.*

**Fraternity Circle Master Plan Implementation.** The University requests \$2.1 million from private funds for the second phase of a project to make road, utilities and landscaping improvements to Fraternity Circle. Work for the second phase of the project includes the construction of pedestrian walkways, new site lighting, and recreational amenities. This request is \$1.1 million less than the approved plan as the University has reduced the scope of the project to omit improvements that are not immediately necessary, such as benches and enhancements to gathering areas. Construction for the first phase is currently underway with planning for phase two commencing once a qualified architectural/engineering firm develops plans for the project based on needs. Funding is requested for FY 2020 through FY 2021.

*The Governor recommends the project total of \$2.1 million from revenue bonds instead of private funding and includes authorization for Assembly approval of \$2.1 million from revenue bonds. Annual debt service assuming 6.0 percent interest and a 20-year term, would be \$0.2 million supported by general revenues and tuition and fees. Total debt service would be \$3.7 million.*

**Gateway to URI (Welcome Center).** The University requests \$8.2 million from unrestricted University funds for a project to design and construct a new welcome center called the “Gateway to URI.” This is \$0.6 million more than the approved plan to reflect increased expenditures associated with the redevelopment of the adjacent parking lot. Funding is programmed through FY 2019. The new 12,000 square foot building located on Upper College Road replaces and expands the existing Visitors Center. The building will contain a large presentation room for information sessions and smaller classroom-like spaces, small meetings rooms and staff offices to meet with visiting groups, students, families and faculty. *The Governor recommends funding as requested.*

**Narragansett Bay Campus Renewal Phase I.** The University requests \$50.0 million for the first phase of a three-phase project to complete a comprehensive renewal of the Narragansett Bay Campus. The first phase includes improvements to the existing pier and marine operations facilities, which are necessary to adequately support the new 198-foot research vessel awarded to the University by the National Science Foundation. Funding includes \$45.0 million from general obligation bonds approved by the voters on the November 2018 ballot and \$5.0 million from private funding not reflected in the approved plan. Funding is programmed to begin in FY 2020 and continue into FY 2023. Annual debt service on the general obligation bonds, assuming a 20-year term and 5.0 percent interest, would be \$3.6 million for a total debt service of \$73.5 million supported by general revenues. *The Governor recommends funding as requested but programs it to begin during FY 2019 to reflect an anticipated bond issuance during the spring of 2019; however, it is unlikely that funding would be approved in time to spend during the current fiscal year.*

**Nursing Education Center.** The University’s request includes \$12.4 million for the new Rhode Island Nursing Education Center. Funding includes \$10.5 million from Certificates of Participation to purchase equipment, fixtures and furnishings approved by the 2014 Assembly and \$1.9 million from Rhode Island Capital Plan funds for project management, legal services and design work that occurred in FY 2014. The University requests \$0.6 million from Rhode Island Capital Plan funds to support additional legal services incurred when the developer requested amendments to the original lease. The University has requested the additional funds for three years but it has not been approved. *The Governor recommends funding consistent with the approved plan and carries forward \$0.9 million from remaining Certificates of Participation for furnishings, fixtures and additional equipment purchases.*

**Utility Infrastructure Upgrades Phase II.** The University requests \$9.2 million from revenue bonds supported by general revenues, tuition and fees for the second phase of the University’s three-phase utility infrastructure upgrade project. The current request divides the second phase into two-phases to control the

design and construction across campus better. The request for \$9.2 million for this new phase represents half of the prior Phase II. Funding is programmed for FY 2023 through post-FY 2024.

The total request for all phases of the utility infrastructure upgrade plan is \$24.9 million, including the \$6.5 million from revenue bonds for the first phase, \$9.2 million from revenue bonds for the second phase and \$9.2 million from revenue bonds for the third phase. The project involves the replacement of the steam/condensate, water and electrical distribution systems, the sewer system, and the storm water management system. The total cost of the second phase, assuming 5.0 percent interest and a 20-year term, is \$15.0 million with annual debt service of \$0.7 million. *The Governor recommends funding as requested.*

**White Horn Brook Apartments.** The University requests \$89.7 million for the construction of a six-story residence hall providing approximately 500 new, apartment style beds for third and fourth-year students. Requested funding includes \$85.2 million from revenue bonds and \$4.5 million from University funds, which is \$4.6 million less than the approved plan. The reduction is attributed to a favorable construction bid affecting the overall requirements for this project. The University began the architectural and engineering process with auxiliary fund balances, and construction is underway with completion in FY 2020. *The Governor recommends funding as requested.*

**Athletic and Recreation Facilities Advanced Planning.** Consistent with the approved plan, the University requests \$0.3 million from University funds to hire an architectural and engineering firm to perform a new advanced planning study for the renovation of the University's Athletic Complex. Possible renovation projects include the rehabilitation of Slade Outdoor Track and Field, the reconstruction of the Meade Stadium Grandstands, and the replacement of the University's Natatorium. The University intends to hire a specialized architectural and engineering firm in FY 2019 to perform an advanced planning study. Upon completion of the study, recommendations will be presented for future Capital Improvement Plan projects to support the development of the University's athletic and recreational programs. *The Governor recommends funding as requested.*

**Biological Resources Lab.** Consistent with the approved plan, the University requests \$5.9 million for the renovation of Avedisian Hall's lower floors to establish a new Biological Resources Laboratory. Funding includes \$5.7 million from Rhode Island Capital Plan funds and \$0.2 million from University funds. The facility will contain Bio Safety Level 2 laboratories, lab animal holding rooms, redundant emergency heating, ventilation and air conditioning system, and electrical systems for secure care of the animals and research work. *The Governor recommends funding as requested.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For this project, \$4.5 million in FY 2019 expenditures was increased to \$5.2 million to include remaining FY 2018 balances available to carry forward for FY 2019.*

**Electric Utility Substation Replacement.** Consistent with the approved plan, the University's request includes total project costs of \$6.4 million from Rhode Island Capital Plan funds to replace the electric utility substations on the Kingston Campus. The new substations will receive and distribute power underground versus the current overhead configuration, making the substation more reliable in the event of environmental influences. The University indicates that the project has now reached substantial completion and proper close out procedures are underway. Funding is requested through FY 2019 to reflect \$157,968 in final payments. *The Governor recommends funding as requested.*

**Energy Conservation and Performance Contract Phase II.** The University requests \$12.6 million from Certificates of Participation for the second phase of a project for the University to enter into an energy performance contract to purchase energy-saving improvements in buildings and infrastructure. The request is consistent with the approved plan, extending project completion into early FY 2019.

The 2010 Assembly approved a resolution for a lease not to exceed \$12.6 million at the University; this was the second phase of a project that began in 2006 when \$18.1 million was authorized. Completed projects include exterior lighting upgrades, replacement of steam and condensate lines, replacement of air handlers and air-conditioning units, replacement of steam valves, replacement of stairwell lighting, and the insulation of steam fittings. *The Governor recommends funding as requested.*

**Energy Conservation and Performance Contract Phase III.** The University requests \$11.6 million through Certificates of Participation programmed from FY 2019 through FY 2020 for the third phase of its Energy Performance Contract project. This is consistent with the approved plan, except it delays completion into early FY 2020 instead of FY 2019. The scope of work to be completed in Phase III of the program includes comprehensive multi-campus interior LED dimmable lighting, fume hood upgrades at the Center for Biology and Environmental Studies, replacement of heating, ventilation and air conditioning components, installation of plug load controllers, electric sub-metering through the Kingston Campus, heating system upgrades, a program of continuous commissioning, and a continuation of the building weatherization upgrades. Annual debt service, assuming 5.0 percent interest and a 15-year term, would be \$1.1 million supported by utility costs savings. *The Governor recommends \$10.5 million through Certificates of Participation which is \$1.1 million less than authorized. This reduction reflects actual project costs.*

**Engineering Building Renovations Phase I.** Consistent with the approved plan, the University requests \$131.1 million for the first phase of a project encompassing 186,000 square feet to renovate buildings in the engineering quadrangle. Funding includes \$125.0 million from general obligation bonds approved by the voters on the November 2014 ballot, \$5.1 million from private funding, \$0.2 million from third-party financing and \$0.7 million from University funds. The first phase involves the razing of Crawford, Gilbreth, Kelly, and Wales Halls and Kelly Annex, renovations to Kirk Hall, site work modification to the Engineering Quadrangle, and construction of a new building. The University indicated it identified additional funding sources, which includes private funds from the College of Engineering's "Future Fund" to allow the project to be constructed with an increased level of flexibility to ensure it can keep pace with new technology. The project will focus on the improvement of classrooms and offices, modernization of teaching laboratories, upgrading elevators, and restrooms. The heating, ventilation, and air conditioning systems will also be replaced along with the roofs. Funding is programmed through FY 2020. *The Governor recommends funding as requested.*

**Engineering Building Renovations Phase II.** Consistent with the approved plan, the University requests \$25.5 million from general obligation bonds for the second phase of the engineering building renovation project which were approved by voters on the November 2016 ballot. Funding is programmed from FY 2018 through FY 2020, advancing completion date one year ahead of the approved plan. The second phase of the engineering building renovation includes the renovation of Bliss Hall and the construction of an addition to that building. The approved plan also includes \$125.0 million from general obligation bonds for the first phase of renovations to buildings in the engineering quadrangle, which were approved by voters on the November 2014 ballot. *The Governor recommends funding as requested.*

**Facilities Services Sector Upgrade.** Consistent with the approved plan, the University requests \$11.8 million from revenue bonds to complete improvements to the service sector area that houses the operations and maintenance functions and stores equipment, furniture and supplies. Funding is programmed from FY 2021 through FY 2024. The request calls for the demolition of the automotive garage and the grounds building. New buildings will accommodate equipment and furniture storage, automotive garages and any



workshops necessary in the facilities compound while largely hiding the operations from public view. Annual debt service, assuming 5.0 percent interest and a 20-year term, would be \$0.9 million with a total project cost of \$19.3 million supported by general revenues, tuition and fees. A resolution of debt would need to be approved with the FY 2021 budget in order to adhere to the project timeline. *The Governor recommends funding as requested.*

**Fire Safety and Protection - Academic and Administrative Phase I.** Consistent with the approved plan, the University requests \$25.8 million for a project to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all of the University's academic and administrative buildings that are not presently equipped with sprinkler and addressable alarm systems. Funding includes \$13.4 million from Rhode Island Capital Plan funds and \$12.5 million from federal fiscal stabilization funds. This is the first phase of a comprehensive two-phase project to upgrade all fire protection equipment throughout campus. Funding is extended through FY 2019 for final project payments. *The Governor recommends funding as requested.*

**Fire Safety and Protection - Auxiliary Enterprises Phase II.** Consistent with the approved plan, the University requests \$2.3 million from revenue bonds programmed from FY 2019 through FY 2020 for the second phase of a two-phase comprehensive project to upgrade existing fire alarm systems and install fire-suppressing sprinkler systems in all the University's auxiliary enterprise buildings that are not presently equipped with sprinkler and addressable alarm systems. This phase of the project secures backup emergency generators for the Roger Williams Complex, and Fayerweather and Gorham residence halls. Annual debt service assuming 5.0 percent interest and 20 years would be \$0.2 million with a total cost of \$3.8 million. Debt service would be supported by auxiliary revenues. Utilizing remaining funds from the first phase, the University has begun the project design and engineering process, allowing for accelerated project completion. *The Governor recommends funding as requested.*

**Fraternity Circle Infrastructure Phase I.** Consistent with the approved plan, the University requests \$5.4 million from revenue bonds for the first phase of a project to make road, utilities and landscaping improvements to Fraternity Circle. Key elements of the first phase of the project include utility improvements, storm water management and street and pedestrian networks such as new roadways, parking lots, pedestrian walkways, and new site lighting. Construction commenced at the start of FY 2019 with funding programmed through FY 2020. *The Governor recommends funding as requested.*

**Hope Commons Expansion.** Consistent with the approved plan, the University requests \$2.2 million from University funds for the renovation and expansion of the dining room in Hope Commons. Renovations include the update of cashier/greeting stations and the expansion of the University's ability to offer late night, off-hours, on-campus dining opportunities for its growing student population. Funding is programmed to begin in FY 2019 for architectural and engineering work with construction programmed for FY 2020. *The Governor recommends funding as requested.*

**Ranger Hall Phase II.** Consistent with the approved plan, the University requests \$3.5 million for the second phase of the rehabilitation and restoration of Ranger Hall. The second floor currently houses the Harrington School of Communication and Media and will be renovated to provide additional academic space while enhancing existing spaces for new media production facilities and active learning spaces. The first phase of the project concentrated in the rehabilitation of the exterior of the building, Americans with Disabilities Act accessible entrances, and the installation of new plumbing core, heating system and fire protection system. Funding includes \$1.8 million from private funds and \$1.8 million from University funds.

In FY 2018, the University secured \$3.0 million from private funding to support renovations of Ranger Hall for the ongoing development of the school's digital media, communication studies, film/media and journalism oriented programs. In FY 2019, the University will issue a Request for Proposals from

architectural and engineering firms. Funding is programmed for FY 2019 through FY 2021. *The Governor recommends funding as requested.*

**Repaving and Road Construction.** The University requests \$14.5 million for the third phase of its efforts to repave and reconstruct major parking facilities, internal roadways, walkways across three of its four campuses, and to construct two new roadway extensions on the Kingston Campus. Funding includes \$13.7 million from revenue bonds supported by auxiliary parking revenues and \$0.8 million from University funds, which is \$0.1 million more than the approved plan, with funding programmed through FY 2019. Remaining projects include new roadway connections along Upper College Road, reconstruction of Lower College Road, and improved pedestrian pathways across campus. *The Governor recommends funding as requested.*

**Repaving, Hardscape and Landscape.** Consistent with the approved plan, the University requests \$11.0 million from revenue bonds for the fourth phase of the University's efforts to repave and reconstruct major parking facilities, internal roadways, and walkways. Annual debt service, assuming 5.0 percent interest and 20 years, would be \$0.9 million with a total cost of \$18.0 million. Debt service would be supported by parking fees for the parking lot portions of the project and general revenues, tuition and fees for the roads and walkways portion. Funding is programmed from FY 2019 through FY 2021. *The Governor recommends funding as requested.*

**Upper College Road Multi-Use Development.** Consistent with the approved plan, the University requests \$1.5 million from University funds programmed through FY 2020 to enter into a public-private partnership to turn 4.2 acres on Upper College Road into a multi-use development. Preparatory work includes relocating faculty and staff from Adams and Tucker Houses to Fogarty and Washburn Halls to allow for demolition of the two buildings to take place.

During FY 2018, the University issued a Request for Qualifications for real estate development on the Kingston Campus laying the premise of the ground lease. The University is currently evaluating the submitted proposals to determine if it will proceed with the issuance of a Request for Proposals for this project. *The Governor recommends funding as requested.*

**Utility Infrastructure Upgrades Phase I.** Consistent with the approved plan, the University requests \$6.5 million from revenue bonds for the first phase of the University's three-phase utility infrastructure upgrade project. Funding is programmed from FY 2019 to FY 2020. The current request divides the project scope from a two-phase project into three-phases to control the design and construction across campus.

The total request for all phases of the utility infrastructure upgrade plan is \$24.9 million, including the \$6.5 million from revenue bonds for the first phase, \$9.2 million from revenue bonds for the second phase and \$9.2 million from revenue bonds for the third phase. The project involves the replacement of the steam/condensate, water and electrical distribution systems, the sewer system, and the storm water management system. The total cost of the second phase, assuming 5.0 percent interest and a 20-year term, is \$15.0 million with annual debt service of \$0.7 million. *The Governor recommends funding as requested.*

**Academic Mechanical, Electrical and Plumbing Improvements.** The University requests \$13.8 million from new Rhode Island Capital Plan funds for renovations of the mechanical, electrical and plumbing infrastructure of White Hall, the current home of the University's College of Nursing, and Fogarty Hall, the former home of the College of Pharmacy. White Hall renovations include updating antiquated building systems, improving the building envelope, replacement of the heating, ventilation and air conditioning system and renovation of the large lecture hall. Fogarty Hall requires electrical and plumbing systems replacement, replacement of the heating, ventilation and air conditioning system, and numerous modifications to adhere to current code and American with Disabilities Act standards. Funding is requested for FY 2020 through FY 2023.

In previous years, the University submitted this request as two separate projects. The Governor and Assembly approved minor White Hall renovations, which were completed in FY 2018, while the Governor and Assembly did not recommend funding for the Fogarty Hall renovations. This request expands the scope of renovations for White Hall and includes the renovations for Fogarty Hall. *The Governor does not recommend funding for this project.*

**Edwards Hall Renovation.** The University requests \$4.4 million from Rhode Island Capital Plan funds for new exterior and interior renovations of Edwards Hall, the University's original assembly hall constructed in 1928. This is \$0.2 million more than last year's request, which was not approved, and delays the start of the project by one year to FY 2021. The increased cost estimate reflects cost escalation associated with delay. Planned renovations include new doors and windows, installation of new heating and air conditioning equipment, historic restoration of the hall, restroom improvements, landscaping work, and accessibility improvements to meet Americans with Disabilities Act standards. Funding is programmed for FY 2021 and FY 2022. *The Governor does not recommend funding for this project.*

**Fire Safety and Protection - Academic and Administrative Phase II.** The University requests \$8.2 million from Rhode Island Capital Plan funds for the second phase of a comprehensive two-phase project to upgrade fire and life safety systems in sixteen academic and administrative buildings that do not meet current code standards. The request is \$0.5 million more than last year's request, to reflect one-year in project delay with subsequent cost escalations as the request was not approved. Funding is programmed from FY 2020 through FY 2022. The first phase of this project will be completed once the construction at the Ryan Center and White Hall concludes in FY 2019. *The Governor does not recommend funding for this project.*

**Historic Quadrangle Building Renovations Phase I.** The University requests \$41.0 million from new general obligation bonds to be submitted to the voters on the November 2022 ballot to renovate the buildings in the historic quadrangle. This is a revision of the request from previous years, as the University has narrowed the scope of project and divided the renovation into two phases. The first phase encompasses the renovation and restoration of East Hall, Washburn Hall and the completion of Ranger Hall, while delaying the renovations of Davis Hall, Quinn Hall and Roosevelt Hall into Phase II. The total cost of this phase, assuming 5.0 percent interest and a 20-year term, is \$67.0 million with annual debt service of \$3.3 million supported by general revenues. Funding is programmed beginning in FY 2024.

The renovations focus on the improvement of classrooms and offices, modernization of teaching laboratories, upgrading elevators and restrooms, replacing or installing heating, ventilation, and air conditioning systems, while also preserving and restoring the historical exterior building envelopes. This project had been requested in previous budget cycles through FY 2017 with no further requests submitted for FY 2018 or FY 2019 due to a shift in the University's priorities. *The Governor does not recommend funding for this project. The Governor's recommendation does not assume any new higher education referenda go before the voters in November 2020 or beyond.*

**Morrill Hall Renovation.** The University requests \$28.9 million from Rhode Island Capital Plan funds for a new project to renovate Morrill Hall to house general assignment classrooms and improve adjacent parking and landscaping. This 37,000 square foot, four-story masonry building was constructed in 1965 and is currently utilized for short term swing-space for the College of Engineering while also providing additional research space for the Physics Department. Upon completion of the renovations, the building will be used for undergraduate teaching space, faculty offices, with expanded research facilities for the Physics Department. This current request is \$0.4 million more than the FY 2019 request to reflect cost escalation, but maintains the same schedule with funding programmed from FY 2022 into FY 2024. Previous years' requests have not been approved. *The Governor does not recommend funding for this project.*

**Narragansett Bay Campus Renewal Phase II.** The University requests \$107.0 million for the second phase of a three-phase project to complete a comprehensive renewal of the Narragansett Bay Campus. The second phase projects are focused on the replacement of Horn Laboratories and the construction of the Ocean Technology Research and Education Center. Funding includes \$86.0 million from new general obligation bonds to be submitted to the voters on the November 2020 ballot and \$21.0 million from private funding. Funding is requested for FY 2022 through FY 2023. Annual debt service on the general obligation bonds supported from general revenues, assuming a 20-year term and 5.0 percent interest, would be \$6.9 million for a total cost of \$140.5 million.

The University completed an advanced planning study for the renewal of the Narragansett Bay Campus in FY 2016, determining that extensive renovations or total replacement of existing buildings on campus is necessary to meet the University's future programmatic plans. The FY 2019 request deferred construction and renovations of several buildings into this second phase to allow for a lower new general obligation bond request for Phase I, allowing the University to complete the projects in Phase I to accommodate the new research vessel starting January 2021. The Governor recommended that \$45.0 million in new general obligation bonds go before the voters on the November 2018 ballot for Phase I without making recommendations on future phases, and the Assembly concurred. *The Governor does not recommend funding for this project. The Governor's recommendation does not assume any new higher education referenda go before the voters in November 2020 or beyond.*

**Rhode Island State Crime Lab.** The University requests \$5.3 million from Rhode Island Capital Plan funds for a new project to move the State Crime Lab to a different facility and combine several parts of its operations into a single location, including administration, firearms, latent prints and trace. This request is \$0.3 million more than last year's request which was not approved. The increase covers anticipated cost escalation from delaying the project start to FY 2021.

The Crime Lab, currently located in the basement of Fogarty Hall, would be moved to a larger and more secure facility at 530 Liberty Lane in West Kingston. The facility would accommodate nine full-time equivalent positions and the various instruments used by the staff, as well as space to house the firing tank, which is located a half mile away from the Crime Lab's existing facility. *The Governor does not recommend funding for this project.*

**Storm Water Management.** The University requests \$4.6 million from Rhode Island Capital Plan funds to complete storm water improvements to existing drainage systems on campus. The drainage improvements would provide additional storage volume, increase channel and pipe sizes, and improve alignment to reduce the frequency of overtopping and flooding. The requested funding is programmed to begin in FY 2022 through FY 2024. The current request is \$3.2 million less than last year's request as the project scope has been reduced to only address the improvements along Whitehorn Brook, Ellery Pond and the adjacent areas that feed into these waterways. In FY 2019, the Assembly concurred with the Governor's recommendation not to fund this project. Previous plans that were approved were funded solely by University funds. *The Governor does not recommend funding for this project.*

## Rhode Island State Council on the Arts

<b>Projects</b>	<b>Status</b>	<b>5 Year Total</b>	<b>Project Total</b>
Creative and Cultural Economy Bonds	Ongoing	\$ 3,222,607	\$ 30,000,000
<b>Total</b>		<b>\$ 3,222,607</b>	<b>\$ 30,000,000</b>
<b>Sources of Funds</b>			
General Obligation Bonds		\$ 3,222,607	\$ 30,000,000
<b>Total</b>		<b>\$ 3,222,607</b>	<b>\$ 30,000,000</b>

**Summary.** Consistent with the approved plan, the Council requests \$30.0 million from general obligation bond proceeds for the Creative and Cultural Economy Grants program. The request allocates \$23.1 million to specific arts organizations as identified in the ballot question approved by the voters on the November 2014 ballot, \$6.5 million to arts and culture organizations on a competitive basis, and \$0.4 million budgeted for issuance and other administrative costs. The grants will fund capital improvements, preservation and renovation projects for public and nonprofit artistic and performance centers, historical sites, museums, and other cultural arts centers located throughout the state. The request also suggests adding a \$15.0 million bond to a future ballot, but offers no specifics.

The request programs \$3.2 million in the current year, \$2.3 million in FY 2020 and \$0.9 million in FY 2021.

*The Governor recommends total funding as requested and programs \$4.3 million for the current year, \$2.2 million for FY 2020, and \$1.0 million for FY 2021.*



## Rhode Island Atomic Energy Commission

Projects	Status	5 Year Total	Project Total
Asset Protection	Ongoing	\$ 250,000	\$ 866,784
<b>Total</b>		<b>\$ 250,000</b>	<b>\$ 866,784</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 250,000	\$ 866,784
<b>Total</b>		<b>\$ 250,000</b>	<b>\$ 866,784</b>

**Summary.** Consistent with the approved plan, the Commission requests \$0.3 million from Rhode Island Capital Plan funds for asset protection projects at the Rhode Island Nuclear Science Center in Narragansett during the five-year period of the capital plan. Projects include office renovations, exterior renovations, grounds maintenance, and improvements to the confinement building. Planned work for FY 2020 includes the establishment of radioactive waste processing and storage areas, refurbishment of existing spaces for an electronics shop with coordinating supply storage, upgrading the Emergency Power System, while continuing ongoing office renovations and landscaping efforts consistent with the approved plan. *The Governor recommends funding as requested.*





## Historical Preservation and Heritage Commission

Projects	Status	5 Year Total	Project Total
Historic Preservation Grants	Revised	\$ 1,000,000	\$ 5,000,000
<b>Total</b>		<b>\$ 1,000,000</b>	<b>\$ 5,000,000</b>
<b>Sources of Funds</b>			
General Obligation Bonds		\$ 1,000,000	\$ 5,000,000
<b>Total</b>		<b>\$ 1,000,000</b>	<b>\$ 5,000,000</b>

**Summary.** Consistent with the approved plan, the Historical Preservation and Heritage Commission requests \$5.0 million from general obligation bond proceeds that were approved by the voters in 2014 for the State Preservation Grants program. State preservation grants provide matching funds for capital preservation projects for museums, cultural art centers, and public historic sites located in historic structures. This request includes \$2.7 million for FY 2019, \$0.9 million for FY 2020, and \$0.1 million for FY 2021. The request extends the funding through FY 2021 to reflect revised project schedules.

The Commission awarded its final allotment of the general obligation bond proceeds in 2017. Payment of the grants continues as the projects move toward completion. Of the total grant monies awarded, \$1.2 million has already been disbursed to grant recipients and a remaining balance of \$3.8 million is still available for disbursement for FY 2019, FY 2020, and FY 2021.

*The Governor recommends \$2.8 million in FY 2019 and the remaining \$1.0 million all in FY 2020.*



## Office of the Attorney General

Projects	Status	5 Year Total	Project Total
New Building and Other Renovations	Revised	\$ 4,939,800	\$ 52,241,065
Building Repairs and Renovations	Revised	1,450,000	3,870,871
<b>Total</b>		<b>\$ 6,389,800</b>	<b>\$ 56,111,936</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 4,939,800	\$ 52,241,065
Rhode Island Capital Funds		1,450,000	3,870,871
<b>Total</b>		<b>\$ 6,389,800</b>	<b>\$ 56,111,936</b>

**Summary.** The Office of the Attorney General requests \$58.9 million for three projects, including \$6.4 million to be spent in FY 2020 through FY 2024. The request includes the use of \$52.2 million from Google Settlement funds, with \$4.9 million in the five-year plan, and the remainder from Rhode Island Capital Plan funds and general obligation bond proceeds. *The Governor recommends \$6.4 million in the five-year plan, consistent with the request.*

**New Building and Other Renovations.** The Office requests \$52.2 million from its \$60.0 million share of Google Settlement funds for ongoing projects related to its facilities. The current request is \$0.6 million less than the approved plan, as operating expenses have been removed from the project total and some projects have been completed at lower costs. Funding includes \$16.9 million programmed from FY 2019 through FY 2024 for renovations to its offices at 150 and 180 South Main Street, and the completion of the 24,000 square foot facility at the John O. Pastore Government Center. *The Governor recommends funding as requested.*

**Building Repairs and Renovations.** The Office requests \$4.3 million from Rhode Island Capital Plan funds for renovations and repairs to the 150 South Main Street building in Providence for the FY 2020 through post-FY 2024 period. This request is \$0.9 million more than the approved plan, adding \$0.7 million for FY 2021 through FY 2022 for the roof replacement. Other projects include upgrading the controls and mechanics of the freight elevator, and other interior renovations. It should be noted that each project included in this request qualifies for Google Settlement funding. The Office indicates it will continue to request Rhode Island Capital Plan funds for these asset protection projects, but will utilize Google Settlement funds for the roof replacement if funding is available in FY 2021. *The Governor recommends funding as requested for the five-year plan.*

**Automated Fingerprint Identification System.** The Office requests \$2.4 million to complete the upgrade of the software and hardware components of the Automated Fingerprint Identification System that includes new servers and web-based applications capable of connecting directly to the Federal Bureau of Investigation. Funding includes \$1.4 million from federal funds and \$1.0 million from general obligation bonds authorized in November 2002 for the State Police Headquarters Facility and State Municipal Fire Academy. This project was completed in FY 2018. *The Governor's capital budget excludes this project, as it is completed.*



## Department of Corrections

Projects	Status	5 Year Total	Project Total
Asset Protection	Revised	\$ 112,554,000	\$ 137,937,625
Medium Infrastructure	Revised	-	3,161,138
Intake Service Center Renovations	Revised	-	848,274
General Renovations Maximum	Revised	-	4,168,413
Dix Building Renovations	Revised	-	1,300,869
<b>Total</b>		<b>\$ 112,554,000</b>	<b>\$ 147,416,319</b>
<b>Sources of Funds</b>			
Certificates of Participation		\$ 45,000,000	\$ 45,000,000
Rhode Island Capital Funds		67,554,000	102,416,319
<b>Total</b>		<b>\$ 112,554,000</b>	<b>\$ 147,416,319</b>

**Summary.** The Department of Corrections requests seven capital projects totaling \$95.0 million, of which \$49.4 million would be used in the five-year period. Funding for all projects comes from Rhode Island Capital Plan funds. The request includes one new project, as well as six approved projects, five of which have been revised from the approved plan.

*The Governor recommends total project costs of \$147.4 million including \$112.6 million to be spent in the five-year period and \$13.6 million for use in FY 2019. In the five year period, the Governor includes \$67.6 million from Rhode Island Capital Plan Funds and authorization for Assembly approval of \$45.0 million through Certificates of Participation. The recommendation collapses all projects into a single asset protection project to give the Department more flexibility.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this Department, the change is \$1.5 million less for FY 2019 and FY 2020 each and the change to the multiyear appropriation in Article 1, Section 12, includes \$5.0 million less between FY 2021 and FY 2023.*

**Asset Protection.** The Department of Corrections requests \$41.3 million from Rhode Island Capital Plan funds for asset protection projects at various facilities, including \$17.9 million over the next five years. This is \$4.0 million more than approved to reflect an additional year of funding for FY 2024. It is worth noting the Department has rearranged asset protection project funding to include a feasibility study regarding the populations within all facilities and the designs of these facilities. As a separate project, the Governor recommended \$1.1 million as part of her FY 2018 revised budget for the feasibility study, \$0.6 million more than the Department's request, based on the final cost of the contract. The Assembly provided \$250,000 consistent with the FY 2018 appropriation, as the Department of Administration authorized the project to move forward without the funding to support it. The Department spent \$348,586 in FY 2018 for the feasibility study despite only being approved for \$250,000 and charged the difference of \$98,586 to its asset protection project. The Department seeks to include the remaining contractual amount of \$684,259 in its FY 2019 asset protection request. A feasibility study is an atypical component of asset protection.

*The Governor recommends \$67.6 million from Rhode Island Capital Plan funds to be spent in the five-year period and \$13.6 million for use in FY 2019 and consolidates all projects into a single asset protection*

project to give the Department more flexibility in spending. This is \$10.0 million more than the total of all capital projects enacted over the five year period and includes a major new project for the High Security facility.

Between FY 2014 and FY 2018, the Department spent an average of \$5.0 million of its total authorized Rhode Island Capital Plan funds across all projects annually. This represents an average of \$6.4 million less per year than the approved plan. Excluding the \$15.0 million included for High Security renovations, the Governor recommends \$52.6 million from Rhode Island Capital Plan funds in the five year period which is \$5.0 million less than the approved plan. Annual outlays of \$10.5 million in the Governor's five-year plan are \$5.5 million more than the average annual expenditures for the past five years.

Budget Year	Five Year Plan Total	Plan Avg Per Year	Actuals	Difference
2014	\$ 49,910,392	\$ 9,982,078	\$ 5,765,039	\$ (4,217,039)
2015	\$ 59,934,551	\$ 11,986,910	\$ 7,869,432	\$ (4,117,478)
2016	\$ 66,721,000	\$ 13,344,200	\$ 2,712,801	\$ (10,631,399)
2017	\$ 54,175,000	\$ 10,835,000	\$ 4,151,840	\$ (6,683,160)
2018	\$ 53,023,185	\$ 10,604,637	\$ 4,313,321	\$ (6,291,316)
2019	\$ 57,600,000	\$ 11,520,000	\$ -	\$ -
Avg. (FY 2014 - FY 2018)	\$ 56,752,826	\$ 11,350,565	\$ 4,962,487	\$ (6,388,079)
2020 Gov. Rec.	52,554,000	10,510,800	-	-
Gov. Change to FY 2019 Plan	\$ (5,046,000)	\$ (1,009,200)	\$ -	\$ -
Gov. Change to 5-Year Avg.	\$ (4,198,826)	\$ (839,765)	\$ 5,548,313	\$ -

The Governor recommends authorization for Assembly approval of \$45.0 million through Certificates of Participation for FY 2020 for renovations to High Security. Annual debt service, assuming 5.0 percent interest and a 15 year term, would be \$4.3 million with a total cost of \$66.2 million supported by general revenues. Language in the debt authorization indicates a \$15.0 million Rhode Island Capital Plan funds commitment, but there is not specific enumeration of that in the budget as all work is grouped as asset protection. The recommendation includes operating savings of \$5.1 million for FY 2020 associated with the proposal to renovate this facility. It is unclear when renovations would be finished and when inmates would return to the facility.

The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, the change is \$1.5 million less for FY 2019 and FY 2020 and the change to the multiyear appropriation in Article 1, Section 12, includes \$5.0 million less between FY 2021 and FY 2023.

**High Security Repairs and Renovations.** Consistent with the approved plan, the Department requests \$1.0 million from Rhode Island Capital Plan funds for FY 2019 to conduct repairs and renovations at the high security facility. The Department states these funds will be used to reduce overtime and improve operating efficiencies through module combination and other renovations which will be determined by a feasibility study that is also scheduled for completion in FY 2019.

The Governor recommends consolidating all projects into a single asset protection project. For this facility, the budget assumes \$60.0 million between FY 2020 and FY 2023 including \$45.0 million through Certificates of Participation in FY 2020 and \$15.0 million from the Rhode Island Capital Plan Fund to be spent in the five-year period. While not specifically identified in the Governor's capital budget, the Budget Office has indicated \$5.0 million would be programmed annually between FY 2021 through FY 2023 for

*these renovations. It is unclear when renovations would be finished and when inmates would return to the facility. The recommendation includes operating savings of \$5.1 million for FY 2020 associated with the proposal to renovate this facility.*

**Medium Infrastructure.** The Department requests \$29.2 million from Rhode Island Capital Plan funds, including \$5.0 million for the current year for infrastructure improvements at the John J. Moran medium security facility. This is \$2.4 million less than approved to reflect costs being lower than anticipated based on the final bid. The project includes expanding the kitchen, dining, laundry and programming areas; purchasing more energy efficient equipment for kitchen and laundry; expanding and renovating the industries corridor; renovating administration and shift command areas and the parking lot; replacing the stone ballast roof; improving the gas lines for domestic hot water distribution; renovating heat, ventilation and air conditioning in housing units, and in the education area. The actual cost for the domestic hot water lines project was lower than anticipated. The Department began renovations in FY 2018, and those are scheduled to be finished in FY 2023. *The Governor recommends consolidating all projects into a single asset protection project. Excluding the amount identified for High Security, the asset projection project includes \$52.6 million from the Rhode Island Capital Plan Fund to be spent in the five-year period.*

**Intake Service Center Renovations.** The Department of Corrections requests a total of \$11.2 million from Rhode Island Capital Plan funds, including \$1.8 million for FY 2019, to restore the exterior of the Intake Service Center. This is \$0.6 million less than approved to reflect costs being lower than anticipated based on the final bid. This project includes DryVit patching/joint sealing and repainting/resealing brick veneers. The project also includes replacing windows and casements, renovating the heating, ventilation and air conditioning system, upgrading the cooler towers and chillers, replacing the lock and door system, and expanding and repairing the parking lot, walkways and perimeter road. The reduction in cost is primarily because the actual costs for replacement of the exterior and the door and lock system are lower than anticipated. *The Governor recommends consolidating all projects into a single asset protection project. Excluding the amount identified for High Security, the asset projection project includes \$52.6 million from the Rhode Island Capital Plan Fund to be spent in the five-year period.*

**General Renovations Maximum.** The Department requests total project costs of \$6.4 million from Rhode Island Capital Plan funds, including \$1.0 million for FY 2019, for renovations at the maximum security facility. The request is \$1.4 million less than approved to reflect lower than anticipated costs and a change in project scope. The project includes improvements to the shower exhaust system, domestic water lines, and steam pipes, rehabilitating the yard area, replacing the kitchen floor, upgrading the exterior and interior lighting, and replacement of the roof. The actual cost for the water lines project and the steam project were lower than anticipated and the Department decided to eliminate the hospital renovation project until completion of the feasibility study. *The Governor recommends consolidating all projects into a single asset protection project. Excluding the amount identified for High Security, the asset projection project includes \$52.6 million from the Rhode Island Capital Plan Fund to be spent in the five-year period.*

**Dix Building Renovations.** The Department requests \$4.4 million from Rhode Island Capital Plan funds for renovations to the Dix building, including \$750,000 for FY 2019 and \$1.1 million for FY 2020. This is \$0.3 million less than approved based on actual costs and a change to project scope. This project includes completing the renovations to the heating, ventilation, and air conditioning systems, and expanding the parking lot. The Department eliminated the interior lights project from the request; it already obtained the internal light fixtures and is doing the work as part of its maintenance operating budget. The Department grouped the external lighting cost into the parking lot renovation project request. The project is budgeted to be completed in FY 2020. *The Governor recommends consolidating all projects into a single asset protection project. Excluding the amount identified for High Security, the asset projection project includes \$52.6 million from the Rhode Island Capital Plan Fund to be spent in the five-year period.*

**Information Technology.** The Department of Corrections requests \$1.4 million from Rhode Island Capital Plan funds for a new project that includes various technological upgrades and replacements. The request includes \$1.2 million for FY 2019 and \$250,000 for FY 2020. This project will include upgrades and replacements to the Substance Abuse Tracking System, Wi-Fi, the Inmate Facility Tracking System, and the employee time tracking system. The Department's request also includes \$0.1 million to conduct a feasibility study within its older buildings regarding the status of wiring, fiber optics, and potential bandwidth improvements. It is unlikely that the Department would receive approval in time to spend the FY 2019 requested funds. *The Governor does not recommend funding.*



## Judicial Department

Projects	Status	5 Year Total	Project Total
Fan Coil Unit Replacements	New	\$ 2,000,000	\$ 2,000,000
Garrahy Interior Restoration - Excluding	New	4,500,000	4,500,000
McGrath - Replacement of Rooftop Units	New	225,000	225,000
Judicial Complexes Asset Protection	Revised	5,000,000	14,749,069
Judicial Complexes - HVAC	Revised	5,000,000	13,262,000
Garrahy Courtroom Restoration	Revised	1,750,000	1,750,000
Licht Chillers Replacement	Revised	1,200,000	1,200,000
Noel Shelled Courtroom Build Out	Ongoing	-	10,000,000
Licht Judicial Complex Restoration	Ongoing	3,750,000	7,250,359
Murray Courtroom Restoration	Ongoing	700,000	700,000
Licht Window/Exterior Restoration	Ongoing	-	1,300,000
<b>Total</b>		<b>\$ 24,125,000</b>	<b>\$ 56,936,428</b>
<b>Sources of Funds</b>			
Rhode Island Capital Funds		\$ 24,125,000	\$ 56,936,428
<b>Total</b>		<b>\$ 24,125,000</b>	<b>\$ 56,936,428</b>

**Summary.** The Judiciary requests capital project expenditures totaling \$66.4 million, which is \$19.7 million more than the approved capital plan. The request programs \$32.0 million to be spent in the FY 2020 through FY 2024 period, and includes 12 projects, four of which are new. *The Governor recommends total project costs of \$56.9 million for 11 projects. The recommendation includes \$24.1 million in the five-year period.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bill. For the Judiciary, the change is a one year delay in the start of the Fan Coil Unit Replacement project from FY 2020 to FY 2021 and an overall reduction of \$0.5 million for the project.*

**Fan Coil Unit Replacements.** The Judiciary requests \$4.1 million from Rhode Island Capital Plan funds for a new project to replace the fan coil units at the Licht and Murray Judicial Complexes and the Fogarty Judicial Annex. In previous years, this work has been included as part of the HVAC project, but the Judiciary has separated it based on cost and scheduling priorities. The Judiciary requested this as a separate project in the last three budget cycles, but the project was not included in the Governor's recommendation or the approved plan. The current request is \$0.5 million more than last year to reflect updated estimates of labor and material costs. The current fan coil units are approximately 30 years old, five years beyond their useful life. The Judiciary further notes that the new fan coil units will be more efficient, resulting in energy savings. *The Governor recommends \$2.0 million. The recommendation excludes the \$1.6 million requested for the post-FY 2024 period and concurs with the remainder of the request.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources*

from Rhode Island Capital Plan funds. For this project, the change is a one year delay in the start from FY 2020 to FY 2021 and an overall reduction of \$0.5 million for the project.

**Garrahy Interior Restoration - Excluding Courtrooms.** The Judiciary requests \$4.5 million from Rhode Island Capital Plan funds for a new project to restore the interior of the Garrahy Judicial Complex. It should be noted this project is in addition to the work to restore courtrooms in the Garrahy Judicial Complex, for which the approved plan includes \$1.0 million in FY 2022 and FY 2023; that work is described separately with the Garrahy Courtroom Restoration project. Funding for interior restoration is programmed in FY 2021 and FY 2022, with \$2.3 million for each year. The Judiciary's asset protection project also includes funding for some courtroom restorations on an annual basis.

The Judiciary indicates that it requests the interior restoration work separately from the asset protection project based on the cost and scope of this project. The interior restoration projects include removing and replacing floors and carpets, sealing and repainting the walls, woodwork refinishing, and refurbishing employee bathrooms. *The Governor recommends total funding as requested but shifts the start of the project out three years to begin in FY 2024.*

**McGrath - Replacement of Rooftop Units.** The Judiciary requests \$0.2 million from Rhode Island Capital Plan funds for a new project to replace all 18 units at the McGrath Judicial Complex in FY 2023. The Judiciary notes these rooftop units are nearing the end of their useful life. *The Governor recommends funding as requested.*

**Judicial Complexes Asset Protection.** The Judiciary requests \$5.9 million from Rhode Island Capital Plan funds for the FY 2020 through FY 2024 period and \$1.0 million for FY 2019 for asset protection projects. The total request of \$15.7 million is \$1.9 million more than the approved plan. This includes an additional \$0.2 million each year in FY 2020 through FY 2023 consistent with amounts requested by the Judiciary last year and funding for FY 2024. The Judiciary's asset protection projects planned through FY 2024 include security upgrades, courtroom restoration, fire suppression and alarm system upgrades, interior refurbishments to public areas and office spaces, cellblock upgrades, and elevator upgrades. Approved five-year funding is designed to be flexible for changing priorities without increases in any given year. *The Governor recommends \$14.7 million, \$0.9 million less than requested and \$1.0 million more than the approved plan to reflect an additional year of funding.*

**Judicial Complexes - HVAC.** The Judiciary requests \$12.0 million from Rhode Island Capital Plan funds for the FY 2020 through FY 2024 period and \$1.0 million for FY 2019 for the replacement or restoration and cleaning of the heating, ventilation and air conditioning systems for all judicial complexes including Licht, Garrahy, Murray, McGrath, Kent, Traffic Tribunal and Fogarty Judicial Annex. Work includes water pump and boiler installations and the replacement of water lines, exhaust fans, air handlers, baseboard control valves, the variable air volume box and the domestic water system.

The total request of \$20.3 million is \$8.0 million more than the approved plan, of which \$2.5 million reflects the addition of funding for FY 2024. The remaining change includes \$6.5 million more to expand planned work in FY 2020 through FY 2023. It should also be noted that this request excludes \$4.1 million for fan coil work that traditionally has been included as part of this project; the Judiciary has separated it into a stand-alone project based on cost and scheduling priorities. *The Governor recommends funding consistent with the approved plan and adds \$1.0 million to reflect an additional year of funding.*

**Garrahy Courtroom Restoration.** The Judiciary requests \$1.8 million from Rhode Island Capital Plan funds for a project to restore the courtrooms in the Garrahy Judicial Complex. Restoration projects include replacing courtroom seating and benches, sealing and repainting the walls, carpet replacement, and woodwork refinishing. Last year the Judiciary requested \$1.7 million for this project; the Governor recommended \$1.0 million and the Assembly concurred. The current request is \$0.8 million more than

approved and \$0.1 million more than the prior request, and includes funding to complete a total of 16 courtrooms in FY 2022 and FY 2023. Funding is programmed in FY 2020 through FY 2022, and the Judiciary estimates that it can complete a total of 28 courtrooms at an average cost of \$62,500 per courtroom. The Judiciary requests to accelerate the project timeline by two years noting the accelerated timeline will extend the life expectancy of the building. *The Governor recommends total funding as requested but shifts the start of the project out two years to begin in FY 2022.*

**Licht Chillers Replacement.** The Judiciary requests \$1.2 million from Rhode Island Capital Plan funds to replace the chillers at the Licht Judicial Complex in FY 2020. The requested amount is consistent with the approved plan, but shifts \$0.6 million from FY 2021 to FY 2020. This acceleration is intended to avoid a failure that would necessitate closing a courtroom. The Judiciary further indicates that it will replace two chillers at the same time to achieve installation efficiencies. The current chiller systems are approximately 30 years old, five years beyond their useful life. It further notes that the condition of the chillers continues to worsen and have cost approximately \$169,000 from general revenues to repair over the last three fiscal years. *The Governor recommends funding as requested.*

**Noel Shelled Courtroom Build Out.** The Judiciary requests \$10.0 million from Rhode Island Capital Plan funds to build out the shelled courtrooms and administrative space at the Noel Judicial Complex to relieve overcrowding at the Garrahy Judicial Complex. The request also includes funding for the construction of a new parking lot to accommodate the need for additional parking for the added courtrooms. The requested amount is consistent with the approved plan, but does not reflect the 2018 Assembly's \$1.6 million shift from FY 2018 to FY 2019 to reflect that the project is behind schedule. The Judiciary attributes this to a system error and notes it still expects to complete the project in FY 2019. *The Governor recommends funding as requested.*

**Licht Judicial Complex Restoration.** Consistent with the approved plan, the Judiciary requests \$6.5 million from Rhode Island Capital Plan funds for the restoration of the Licht Judicial Complex. Restoration projects include plaster repair, courtroom benches, carpet replacement, and repainting of the courthouse interior. Other projects include woodwork and ornamental plaster restoration, repairs to the courthouse's outside doors at the Benefit Street entrance, and fixing the outdoor floor at the North Main Street entrance. *The Governor recommends \$7.3 million, which includes \$0.8 million for an additional year of funding not reflected in the request but consistent with the potential for ongoing need.*

**Murray Courtroom Restoration.** Consistent with the approved plan, the Judiciary requests \$0.7 million from Rhode Island Capital Plan funds to restore the Murray Judicial Complex in FY 2021 and FY 2022. Restoration projects include replacing courtroom seating and benches, sealing and repainting the walls, carpet replacement, and woodwork and ornamental plaster restoration. *The Governor recommends funding as requested.*

**Licht Window/Exterior Restoration.** Consistent with the approved plan, the Judiciary requests \$1.3 million from Rhode Island Capital Plan funds to restore the exterior of the Licht Judicial Complex through FY 2019. This project includes exterior pointing, painting and window replacements. Exterior pointing is being requested to prevent water from getting behind the brick facades and into the metal and wood frames. The Judiciary notes that delaying the project may result in the need for additional pointing and other masonry work throughout the building. *The Governor recommends funding as requested.*

**Fogarty Building Restoration.** The Judiciary requests \$0.2 million from Rhode Island Capital Plan funds for a new project to restore the John E. Fogarty Building in FY 2020. The work includes \$0.1 million for restoration and preservation of the hemispherical dome and lantern and \$0.1 million for window repairs. Last year the Judiciary requested the \$0.2 million in FY 2019, but the project was not included in the Governor's recommendation or the approved plan. The building is 160 years old, was listed in the National

Register of Historic Places in 1972, and was purchased by the state in 1990 to expand the operations of the Superior Court. *The Governor's recommendation does not include this project.*

## Military Staff

Projects	Status	5 Year Total	Project Total
Counterdrug Training Facility	New	\$ 4,425,000	\$ 4,700,000
Middletown Armory Addition	Revised	845,000	4,366,380
Joint Force Headquarters Building	Revised	4,846,596	30,130,768
Military Staff Asset Protection	Revised	13,620,456	30,800,796
Quonset Air National Guard Facilities	Revised	72,986,000	409,135,790
Armory of Mounted Commands Rehabilitation	Revised	-	9,463,223
Bristol Readiness Center	Ongoing	-	125,000
<b>Total</b>		<b>\$ 96,723,052</b>	<b>\$ 488,721,957</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 90,576,456	\$ 463,526,106
Rhode Island Capital Funds		6,146,596	25,195,851
<b>Total</b>		<b>\$ 96,723,052</b>	<b>\$ 488,721,957</b>

**Summary.** The Military Staff submitted a capital budget totaling \$237.3 million for eight projects, one of which is new. The request includes \$72.0 million in the five-year period, including \$65.3 million from federal funds and \$6.8 million from Rhode Island Capital Plan funds. *The Governor recommends \$488.7 million, including \$96.7 million in the five-year period. The recommendation is \$251.5 million more than requested, which primarily reflects prior year expenses for the Quonset Air National Guard Facilities project.*

**Counterdrug Training Facility.** The Military Staff requests \$4.7 million from federal funds to construct a new counterdrug facility at Camp Fogarty in East Greenwich. The funds are available from asset forfeitures generated from the Guard's counterdrug activities and are part of the state's overall Google settlement. Funding in FY 2019 will be utilized for design work, with construction to follow in FY 2020 and FY 2021. The Military Staff did not include this project in its capital request last year and notes the project was still being vetted at that time. The agency included the project in its FY 2019 operating budget request, and the enacted budget includes capital expenditures of \$0.2 million for FY 2018 and \$1.0 million for FY 2019. Total Google settlement funds allocated to the Military Staff are \$5.0 million; as of the end of FY 2018, the Staff has spent \$589,228. Given the remaining available funds, it appears the capital request is inadvertently overstated by \$0.3 million. The Military Staff notes that it will make adjustments as necessary to not exceed available funds in completing this project. *The Governor recommends funding as requested.*

**Middletown Armory Addition.** The Military Staff requests \$4.4 million, including \$3.2 million from federal funds and \$1.2 million from Rhode Island Capital Plan funds, to construct 13,540 square feet of additional armory and storage space at the Middletown Armory. The Military Staff indicates that the current facility lacks the necessary training, administrative, and storage space to adequately conduct its operations. This project is contingent upon the Department of Transportation transferring control of over six acres of land located at the Newport State Airport adjacent to the Middletown Armory. The 2018 Assembly concurred with the Governor's requested amendment to include \$700,000 from Rhode Island Capital Plan funds in FY 2018 to compensate the Airport Corporation for the value of the long-term lease consistent with Federal Aviation Administration guidance, but the land transfer has not yet occurred.

Additionally, the Military Staff indicates it was informed by the Airport Corporation that the deal under which the 5.3 acres where the Middletown Armory is located was transferred to the National Guard for 30 years expired in 2009, and provided documentation to this effect. The request is \$724,380 more than the approved plan, including \$489,380 from Rhode Island Capital Plan funds to compensate the Corporation for fair market value of those 5.3 acres, based on a recent appraisal. The Airport Corporation has approved both of the proposed transfers, but these proposals must still be approved by the Federal Aviation Administration. The remainder of the increase is \$235,000 from federal funds inadvertently included for the pre-FY 2019 period, apparently because of a budget system error. Adjusted for that, the requested federal funds are \$2.9 million, consistent with the approved plan. The National Guard Bureau recently notified the Military Staff that the \$2.9 million will expire on September 30, 2019 if a construction contract has not been awarded by then. *The Governor recommends funding as requested.*

**Joint Force Headquarters Building.** The approved capital plan includes \$32.4 million from all sources to build a new joint force headquarters at Camp Fogarty in East Greenwich, including \$21.7 million from federal National Guard Bureau funds and \$10.7 million from Rhode Island Capital Plan funds. The Military Staff requests \$36.2 million, which appears to overstate prior year spending by \$6.5 million from all sources, including \$3.7 million more from Rhode Island Capital Plan funds, primarily from an inadvertent overstatement of prior year spending. The request also shifts \$2.5 million from federal funds from FY 2019 to FY 2020. The project schedule was also altered in the last two budget cycles. The Military Staff still expects to complete the project in FY 2020. The federally owned land is already occupied by the Military Staff and additional land does not need to be purchased. The Military Staff indicates that the scattered locations of the Rhode Island National Guard posts adversely impact the command's ability to mobilize during emergencies. A portion of the operation of the current headquarters would be transferred to the Rhode Island Emergency Management Agency. *The Governor corrects for overstated expenses and adds \$0.5 million from Rhode Island Capital Plan funds for FY 2021.*

**Military Staff Asset Protection.** The Military Staff requests \$34.7 million from all sources for asset protection projects, including \$10.8 million from Rhode Island Capital Plan funds and \$23.9 million from federal funds. The agency has identified ten distinct asset protection projects, including repairs and upgrades to roofs, windows, and heating, ventilation and air conditioning systems. The request is \$11.4 million more than the approved plan, including \$7.8 million more from federal funds and \$3.6 million more from Rhode Island Capital Plan funds. The total increases include \$6.7 million to reflect updated costs for current and planned work and the addition of \$4.8 million for FY 2024 and beyond. Annual asset protection funding is designed to be flexible for changing priorities without increases in any given year.

*The Governor recommends total project costs of \$30.8 million, including \$22.9 million from federal funds and \$7.9 million from Rhode Island Capital Plan funds. This is \$3.9 million less than requested and reflects updated state matching funds calculations ranging from 10.0 percent to 75.0 percent and the exclusion of funding for the post-FY 2024 period.*

**Quonset Air National Guard Facilities.** The Military Staff requests \$145.9 million for various projects at the Quonset Air National Guard base, which are funded solely from federal National Guard Bureau funds. Some of the larger projects include construction of a new Wing Headquarters and a 282<sup>nd</sup> Combat Communications Squadron facility, renovations and repairs to base supply and vehicle maintenance buildings, additional construction work and repairs to the base fire and crash rescue station, replacement of the security fence, and upgrades to the Quonset airfield instrument landing system. The funding request is consistent with the approved plan, but shifts \$12.0 million from FY 2021 and FY 2022 to FY 2024 and beyond to reflect updated project schedules. *The Governor recommends \$409.1 million from federal National Guard Bureau funds. This is \$263.2 million more than requested, of which \$248.1 million is for prior year expenditures and \$15.1 million is added from FY 2019 through the out-years based on the agency's updated project costs.*

**Armory of Mounted Commands Rehabilitation.** The approved capital plan includes a total of \$9.0 million for the rehabilitation of the Armory of Mounted Commands building, including \$4.3 million from federal sources and \$4.7 million from Rhode Island Capital Plan funds. Individual components include heating, ventilation and air conditioning systems upgrades, elevator installation, roof replacement and parking lot paving. The Military Staff requests \$10.4 million, including increases of \$0.7 million each from federal funds and Rhode Island Capital Plan funds for additional work, primarily for roof replacement and exterior masonry repointing. The request also shifts \$260,000 from Rhode Island Capital Plan funds from FY 2020 to FY 2019 and inadvertently overstates prior spending by \$1.0 million. *The Governor recommends \$9.5 million, which includes the additional funding requested, corrects prior spending and adjusts current year expenditures by \$18,375.*

**Bristol Readiness Center.** The approved capital plan includes \$125,000 from Rhode Island Capital Plan funds for a feasibility study and environmental assessment. The Military Staff's request inadvertently includes \$250,000, but was intended to reflect the approved plan. The project includes renovation of an existing site and construction of a new armory facility in Bristol that will meet all updated federal requirements and is capable of supporting two Rhode Island National Guard units and a field maintenance shop. The existing West Bay armories and field shop do not meet the standards or needs of the National Guard. The construction of the facility will be 100 percent federally funded. *The Governor recommends funding consistent with the approved plan.*

**Benefit Street Arsenal Rehabilitation.** Consistent with the approved plan, the Military Staff requests a total of \$0.7 million from Rhode Island Capital Plan funds to complete the rehabilitation of the Benefit Street Armory. Funds were used for exterior masonry work, painting, window and shutter repair, interior electrical, water damage repair, fire alarm and sprinkler installation and emergency egress. It should be noted this project was completed in September 2017 when a final invoice of \$12,613 was paid. As such, it appears that including the project in this year's capital request may be unnecessary. *The Governor's budget does not include funding as the project has been completed.*





## Rhode Island Emergency Management Agency

Projects	Status	5 Year Total	Project Total
RI Statewide Communications System Network	Ongoing	\$ 3,988,828	\$ 9,972,071
<b>Total</b>		<b>\$ 3,988,828</b>	<b>\$ 9,972,071</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 1,000,000	\$ 2,500,000
Rhode Island Capital Funds		2,998,828	7,472,071
<b>Total</b>		<b>\$ 3,998,828</b>	<b>\$ 9,972,071</b>

**Summary.** The Emergency Management Agency’s capital request includes three projects, one of which is new. The total request is for \$29.2 million, of which \$21.0 million would be spent in the FY 2020 through FY 2024 period. *The Governor recommends a total of \$10.0 million with \$4.0 million to be spent in the five-year period.*

**Rhode Island Statewide Communications Network.** Consistent with the approved plan, the Agency requests \$10.0 million, including \$2.5 million from federal funds and \$7.5 million from Rhode Island Capital Plan funds for maintenance and improvements to the Rhode Island Statewide Communications Network through FY 2021. The request reflects proposed upgrades to the Network and excludes the general revenues for the operations contract. *The Governor recommends funding as requested.*

**Administrative Transfer of 55 Colorado Avenue.** The Agency requests \$1.8 million from Rhode Island Capital Plan funds to facilitate the transfer of 55 Colorado Avenue in Warwick from the Department of Transportation to the Agency. If the transfer is approved, the Agency intends to refurbish this state-owned building for its use, which is described separately in the Emergency Management Building project request. Based on information from a Department of Transportation memorandum included with the Agency’s capital request, the fair market value of the land is \$1.8 million and while there have been some administrative approvals that would allow this transfer to go forward, the proposed transfer still has to be evaluated by the State Properties Committee. *The Governor does not recommend funding for this project.*

**Emergency Management Building.** The Agency requests \$17.4 million, including \$15.2 million from Rhode Island Capital Plan funds and \$2.2 million from federal funds to refurbish a state-owned building on Colorado Avenue in Warwick and construct a steel-framed building to store vehicles and equipment. The Agency’s request includes \$0.2 million from Rhode Island Capital Plan funds in FY 2019 for a feasibility study, reflecting unspent funds carried forward from FY 2018. The requested Rhode Island Capital Plan funds also include \$0.8 million for architectural and engineering work in FY 2020, and \$7.0 million each in FY 2021 and FY 2022. The request also includes \$1.1 million each year from federal Emergency Management Performance Grant funds in FY 2021 and FY 2022 to be utilized for expenditures such as furniture, fencing, and generators; the Agency notes that these grant funds cannot be utilized for construction expenses.

The approved plan included only \$0.2 million for the feasibility study. The Agency requests \$14.0 million for construction costs, \$6.0 million more than was requested last year; it notes that it will provide an updated estimate once the feasibility study and architectural drawings are completed. Acquisition costs of \$1.8 million are requested separately, as noted above.

The approved plan still assumes a portion of the operation of the current headquarters would be transferred to the Agency once the Rhode Island National Guard moves its personnel to a new Joint Force Headquarters building, funding for which is included in the Military Staff's capital request. *The Governor does not recommend funding for this project.*

## Department of Public Safety

Projects	Status	5 Year Total	Project Total
Headquarters Roof Replacement	New	\$ 2,000,000	\$ 2,125,000
Information Technology Projects	New	-	115,000
Vehicles	New	-	4,050,000
State Police Facilities Master Plan	Revised	350,000	800,000
State Police Training Academy Upgrades	Revised	825,000	1,652,268
Asset Protection	Revised	3,200,000	4,400,001
<b>Total</b>		<b>\$ 6,375,000</b>	<b>\$ 13,142,269</b>
<b>Sources of Funds</b>			
Google Forfeiture Funds		\$ -	\$ 4,165,000
Rhode Island Capital Funds		6,375,000	8,977,269
<b>Total</b>		<b>\$ 6,375,000</b>	<b>\$ 13,142,269</b>

**Summary.** The Department of Public Safety requests a total of \$21.8 million, of which \$13.7 million would be spent in the five year period, and \$8.0 million to be spent in FY 2019 for six projects. The total request is \$8.9 million more than the approved plan and includes three new projects. Funding in the five-year period includes \$5.7 million from Rhode Island Capital Plan funds and \$3.8 million from the Information Technology Investment Fund. *The Governor recommends total project costs of \$13.1 million including \$6.4 million in the five-year period for six projects including \$4.0 million for vehicle purchases, which are typically not included in the capital plan. Adjusting for this, the recommendation is \$9.1 million for five projects. The recommendation also excludes the \$4.0 million requested for information technology projects.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For the Department of Public Safety, the recommendation shifts \$500,000 for the asset protection project from FY 2023 to FY 2024 from the amounts identified in Article 1, Section 12 of 2019-H 5151.*

**Headquarters Roof Replacement.** The Department requests \$2.0 million from Rhode Island Capital Plan funds for a new project to replace the roof and gutter system on the Public Safety Headquarters' building in FY 2020. Over the years, the Department made multiple attempts to fix portions of the roof, but was unsuccessful. In anticipation of this project getting approved, the Department dedicated \$125,000 from its asset protection funds for architectural and engineering services. *The Governor recommends funding as requested.*

**Information Technology Projects.** The Department requests \$4.2 million from Information Technology Investment funds and Google Forfeiture funds for a new project to purchase information technology hardware and software for FY 2019 through FY 2024. The request includes \$0.4 million in FY 2019, \$0.9 million in FY 2020 and \$0.7 million in FY 2021 through FY 2024. The request includes replacing switches and a networking core for the State Police Headquarters, replacing vehicle mounted State Police cruiser radios and portable trooper radios, developing an inventory and fleet maintenance software application, and replacing unsupported routers for the Rhode Island Law Enforcement Telecommunications Systems.

In its capital request last year, the Department requested \$2.4 million for information technology upgrades and maintenance, including purchasing new mobile radios, cruiser laptops, and fingerprint machines. The Governor recommended \$258,850 from general revenues for FY 2018 to cover switches and routers replacement, and \$1.1 million for FY 2019 from Google settlement funds for information technology upgrades; the Assembly concurred. The Department reports that it did not purchase the equipment funded in FY 2018. It appears the Department spent the resources on unbudgeted expenditures in order to close a budget gap. *The Governor recommends \$115,000 from Google Forfeiture funds in FY 2019 for the inventory and fleet maintenance software. She also includes general revenues for other components of this project as part of Department's operating budget, including radios and networking equipment.*

**Vehicles.** Consistent with the capital budget instructions, the Department identified the need for \$8.7 million to purchase 170 vehicles from FY 2019 through FY 2021. While reported with the capital request, vehicles are not capital expenditures and are typically only included in operating budgets. *The Governor's capital budget includes \$4.0 million from Google Forfeiture funds in FY 2019. This was included in the capital budget.*

**State Police Facilities Master Plan.** The Department requests \$0.8 million from Rhode Island Capital Plan funds for a master plan and feasibility study for FY 2019 and FY 2020. This project includes a study on the short and long-term mission, programs, and facility needs of the Rhode Island State Police. The project is \$0.5 million more than the approved plan and extends the completion of the project by one year. The request includes \$0.7 million in FY 2019 and \$0.1 million in FY 2020.

The Department did not include this project in its FY 2019 capital request but the Assembly concurred with the Governor's recommendation for a total of \$0.3 million from Rhode Island Capital Plan funds including \$0.2 million in FY 2018 and \$0.1 million in FY 2019 to determine the Department's building and personnel needs. Based on the initial quotes given, the Department estimates that the total cost of completing the studies is \$0.8 million. Despite lack of adequate appropriations, the Department is moving forward with the project. *The Governor recommends \$450,000 in FY 2019 and \$350,000 in FY 2020. Funding for the project is consistent with the Department's request but shifts \$250,000 to be spent in FY 2020. This is based on an updated projected spending schedule provided by the Department. Work on this project started in early December 2018 and the Department has spent \$47,500 as of January 2019.*

**Training Academy Upgrades.** The Department requests \$1.3 million from Rhode Island Capital Plan funds for repairs and renovations to the State Police Training Academy from FY 2019 through FY 2023. This is \$0.2 million more than the approved plan and extends the project to FY 2023. The approved plan includes \$1.0 million for FY 2018 and FY 2019 to renovate the facility before the start of the next training class which begins in January 2019. The Department's request includes \$0.2 million in FY 2019, \$0.1 million in FY 2020, \$0.3 million in FY 2021, and \$0.1 million in FY 2023. The project includes repairs and renovations to the training facility, the gym, and the confidence course, two heating, ventilation, and air conditioning systems, installing new windows, adding insulation to the attic in the main building, resurfacing the parking lot, and repairing the walkways around the academy.

The Department subsequently indicated that the request should only be \$271,000 for FY 2019 to finish the entire project, which would be \$0.6 million less than the approved plan. *The Governor recommends total project costs of \$1.7 million, including \$0.8 million in the five-year period, \$0.6 million more than the approved plan, funding will be used to upgrade the firing range and replace obstacle course equipment.*

**Asset Protection.** The Department requests \$4.0 million from Rhode Island Capital Plan funds and restricted receipts for asset protection projects in FY 2019 through FY 2020. The request is \$1.7 million more than the approved plan including an additional \$463,000 in FY 2019, \$485,000 in FY 2020 and \$150,000 annually for FY 2020 through FY 2023. It also adds \$400,000 for FY 2024. The Department requests an increase in asset protection funding because of unforeseen building repair and maintenance

requirements including asbestos abatement and heating, ventilation, and air conditioning failure. The request includes repairs and renovations to the Wickford Barracks, Hope Valley Barracks, the Three Bay Garage building, the roof of the E-911 satellite facility, and architectural and engineering services for the Headquarters' roof project. *The Governor recommends total project costs of \$4.4 million including \$3.2 million in the five-year period. This is \$0.4 million more than requested and \$2.2 million more than the approved plan to reflect consolidating the Administrative Support Building Restoration in the Department's asset protection project.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. The recommendation shifts \$500,000 from FY 2023 to FY 2024 from the amounts identified in Article 1, Section 12 of 2019-H 5151.*

**Administrative Support Building Renovation.** The Department requests \$0.8 million from Rhode Island Capital Plan funds for repairs and renovations to the Administrative Support Building in FY 2020 through FY 2022. The proposed renovation includes installing a new heating, ventilation, and air conditioning system, exterior siding and trim, and new windows; upgrading information technology and electrical systems; and repairing the plumbing, the brick work and chimneys, and the trim work. However, the needs of the building will be assessed in the State Police Facilities Master Plan before any work begins.

The request is \$0.3 million more than the approved plan and advances the start of the project by one year. The increase reflects additional work that was not included in the past requests such as window replacement, repointing of the brick work and chimneys, and repairing the trim. *The Governor consolidates this project with the Department's asset protection project to allow the Department greater flexibility of spending on these types of projects.*



## Department of Environmental Management

Projects	Status	5 Year Total	Project Total
Blackstone Valley Park Improvements	Revised	-	1,700,000
Brownfields Remediation	Revised	7,000,000	14,000,000
Dam Repair	Revised	6,260,000	9,935,010
Famland Development Rights Acquisition	Revised	3,280,949	9,500,000
Galilee Piers	Revised	6,600,000	19,434,191
Local Land Acquisition Grants	Revised	4,000,000	18,500,000
Local Recreation Development Grants	Revised	12,905,929	19,500,000
Marine Infrastructure/Pier Development	Revised	5,500,000	7,050,000
Natural Resources Office and Visitor's Center	Revised	5,000,000	5,686,349
Recreational Facility Improvements	Revised	13,200,000	54,553,951
State Bikeway Development	Revised	10,000,000	15,000,000
State Land Acquisition - Open Space	Revised	3,059,770	16,500,000
Fish and Wildlife Maintenance Facility	Ongoing	-	738,396
Flood Prevention	Ongoing	1,000,000	3,000,000
Fort Adams Trust / Rehabilitation	Ongoing	1,500,000	4,711,322
Fort Adams Sailing Improvements	Ongoing	-	13,168,756
Historic State Park Development Program	Ongoing	2,000,000	4,000,000
Narragansett Bay and Watershed Restoration	Ongoing	3,080,064	12,500,000
Newport Piers	Ongoing	-	1,674,259
Roger Williams Park and Zoo	Ongoing	6,200,000	18,000,000
State Building Demolition	Ongoing	-	200,000
Stormwater Pollution Prevention	Ongoing	1,000,000	3,000,000
Coastal Resiliency and Public Access Projects	Approved	5,000,000	5,000,000
Wastewater Treatment Facility Resilience Improvements	Approved	5,000,000	5,000,000
<b>Total</b>		<b>\$ 101,586,712</b>	<b>\$ 262,352,234</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 1,600,000	\$ 21,715,608
General Obligation Bonds - New		32,400,000	32,400,000
General Obligation Bonds		35,526,712	120,500,000
Other		-	3,913,495
Rhode Island Capital Funds		32,060,000	83,823,131
<b>Total</b>		<b>\$ 101,586,712</b>	<b>\$ 262,352,234</b>

**Summary.** The Department requests a total of \$302.9 million, of which \$109.5 million would be used in the five-year period. Funding in the five-year period includes \$1.6 million from federal funds, \$40.8 million from new general obligation bonds to go before voters in November 2018, \$30.6 million from general obligation bonds previously approved by voters, \$1.1 million from the Information Technology Investment Fund, \$0.1 million from other funds, and \$35.4 million from Rhode Island Capital Plan funds. The request is for 25 projects; one is new.

*The Governor recommends \$101.6 million for 24 projects in the five-year period with total costs of \$262.4 million. This includes \$32.1 million from Rhode Island Capital Plan funds in the five-year period and total expenditures from that source of \$83.8 million.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For the Department of Environmental Management, the Governor's revisions impact four projects funded through Rhode Island Capital Plan funds; two have revised schedules and two have revised funding. These changes are discussed below where appropriate.*

**Blackstone Valley Park Improvements.** Adjusting to exclude double counted unspent funding from FY 2018, the Department requests project costs of \$1.4 million from Rhode Island Capital Plan funds through FY 2019 for ongoing efforts at improving access to the Blackstone River Valley and related work in the Blackstone Valley Corridor. The approved plan provides \$1.2 million for the project; the request reflects carrying forward \$0.6 million of unspent funding to finish the project. The Department notes that funds for FY 2019 will be used primarily to renovate the Blackstone River State Park Visitor Center in Lincoln. *The Governor recommends \$0.3 million more than requested for FY 2019 to reflect additional work to be done at Diamond Hill Park in Cumberland.*

**Brownfields Remediation.** Adjusting to exclude \$2.7 million of double counted unspent funding from FY 2018, the Department requests total project costs of \$14.0 million through FY 2022, including \$9.0 million in the five-year period, to provide 80.0 percent matching grants to public, private, and non-profit entities for brownfields remediation projects at abandoned industrial sites throughout the state. The adjusted request is consistent with the approved plan. Funding for this project includes \$10.0 million of general obligation bond proceeds approved by voters on the November 2014 and 2016 ballots and \$4.0 million of general obligation bond proceeds to go before the voters in November 2018. Properties classified as brownfields include any property that cannot be developed, expanded or reused due to the presence of an environmental hazard. Funding is used to both investigate what types of hazardous materials are present, as well as to assist in the remediation of these sites. *The Governor recommends \$7.0 million in the five-year period; the total is unchanged. The recommendation reflects \$2.0 million more in spending prior to the five-year period.*

**Dam Repair.** The Department requests total project costs of \$12.6 million from Rhode Island Capital Plan funds and general obligation bonds for repair of "high hazard" state owned dams at various recreational and management areas operated by the Department. The request includes \$4.4 million, programmed at \$2.0 million in FY 2021 and FY 2022 and \$0.4 million in FY 2023, from a new general obligation bond authorized to go before voters in November 2018. Additionally, the Department requests to alter the Rhode Island Capital Plan funds of the approved plan, which programmed \$1.9 million in FY 2019 and \$0.2 million in FY 2020, to \$0.2 million in FY 2019, \$1.2 million in FY 2020, and \$0.5 million in both FY 2024 and the out year period. The request is \$0.2 million more than the approved plan as a result. The Department notes that future capital budget requests may be adjusted as costs are finalized or new projects are added. The Department further notes that these projects face construction restrictions, which lead to delays; dams may only be repaired during mid-summer months of low precipitation and low flow. *The Governor recommends a total of \$2.1 million from Rhode Island Capital Plan funds from FY 2019 through FY 2024, consistent with the approved plan. She recommends funding as requested for FY 2019 and moves \$1.9 million from FY 2020 split evenly over FY 2023 and FY 2024. Funding from the general obligation bond approved in November 2018 is programmed at \$2.0 million in both FY 2020 and FY 2022 and \$0.4 million in FY 2023.*



*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, the original recommendation provides funding as requested for FY 2019 and \$1.9 million for FY 2020. The revisions program general obligation bonds be used prior to Rhode Island Capital Plan funds.*

**Farmland Development Rights Acquisition.** Adjusting to exclude \$2.0 million of double counted unspent funding from FY 2018, the Department requests total project costs of \$6.5 million from general obligation bonds, including \$2.0 million to go before voters in November 2018, for farmland acquisition. It should be noted that the request inadvertently excludes \$3.0 million from general obligation bonds approved by voters in 2014; including that, the adjusted request is consistent with the approved plan. The Agricultural Land Preservation Commission accepts and scores farmland applications for these funds. The goal is to eliminate economic pressures on farmers to sell land for residential or commercial development. *The Governor recommends funding as requested from the new general obligation bond approved by the voters in November 2018. Additionally, she recommends \$6.2 million in current and prior spending and \$1.3 million in the five-year period from previously approved bonds.*

**Galilee Piers.** The Department requests total project costs of \$21.1 million from Rhode Island Capital Plan funds and federal sources for facilities and infrastructure improvements at the Port of Galilee. Funding will be used for repairing and/or replacing the 1,500-foot long northern bulkhead section at the Port of Galilee, including all structural elements, dolphin clusters, electrical services, parking lot improvements, and a new maintenance building. The Department estimates this will cost between \$4.0 million and \$6.0 million. The southern bulkhead cost \$5.8 million to repair. The request is \$2.7 million more from Rhode Island Capital Plan funds than the approved plan, including \$0.4 million more in FY 2019, \$0.3 million more in FY 2020, \$0.8 million more in FY 2021, \$0.1 million more in FY 2022 and FY 2023, and a new \$0.5 million requested for both FY 2024 and the out year period. *The Governor recommends \$0.5 million less than requested from Rhode Island Capital Plan funds, which is \$2.2 million more than the approved plan. She does not recommend funding post-FY 2024. The recommendation provides \$1.0 million in FY 2019, \$2.0 million in FY 2020, \$0.4 million in both FY 2021 and FY 2022, and \$1.1 million in both FY 2023 and FY 2024.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, total Rhode Island Capital Plan funds are unchanged but programmed differently, shifting more costs to later years. The original recommendation provided \$2.1 million in the current year, \$1.2 million in both FY 2020 and FY 2021, and \$0.5 million annually from FY 2022 through FY 2024.*

**Local Land Acquisition Grants.** Adjusting to exclude \$3.2 million of double counted unspent funding from FY 2018, the Department requests \$19.0 million from general obligation bonds for local land acquisition grants through FY 2023. The request includes \$2.0 million in new bonds to go before voters in November 2018. The adjusted request is consistent with the approved plan. The grants provide up to 50.0 percent of the purchase price of the land and survey, appraisal and title costs. The Governor's Natural Heritage Preservation Commission reviews and scores the applications. Since 1988, the program has awarded over 130 grants preserving over 6,200 acres of land. *The Governor recommends funding essentially as requested. The recommendation excludes \$0.5 million in prior spending from general obligation bonds approved in 2004.*

**Local Recreation Development Grants.** Adjusting to exclude \$2.6 million of double counted unspent funding from FY 2018, the Department requests \$19.5 million from general obligation bonds, including \$14.5 million approved between 2006 and 2016 and \$5.0 million authorized to go before voters in

November 2018, for local recreation development grants into the post-FY 2024 period. The adjusted request is consistent with the approved plan. The Department is responsible for the administration of these grants and has awarded over \$41.0 million in grants to municipalities for recreation development and acquisition projects since 1988. *The Governor programs funding from the general obligation bond approved in November 2018 at \$2.0 million for FY 2021 and \$1.0 million annually from FY 2022 through FY 2024. The recommendation also reflects \$1.9 million in FY 2020 and \$2.0 million annually from FY 2021 through FY 2023 from previously approved general obligation bonds. The total is consistent with the request.*

**Marine Infrastructure/Pier Development.** The Department requests \$8.6 million from Rhode Island Capital Plan funds and \$0.2 million from federal funds, with \$5.8 million to be used in the five year period, for marine infrastructure and pier development. The requested funds would be used to develop piers at sites including Rocky Point in Warwick and the former Navy Pier on Green Lane in Middletown. The Department is also seeking funds for a Marine Facilities Master Plan at the Jerusalem facility which will plan for an aquaculture research and development facility. The Department's request is \$2.8 million more than the approved plan, including \$2.5 million split evenly over FY 2024 and post-FY 2024. The remaining \$0.3 million increase in FY 2020 is for anticipated work to be done at marine infrastructure sites. *The Governor recommends funding consistent with the approved plan and adds \$1.3 million in FY 2024.*

**Natural Resources Offices/Visitor's Center.** Adjusting to exclude \$1.2 million in unspent funding from FY 2018, the Department requests \$5.5 million from Rhode Island Capital Plan funds through FY 2022 for the construction of a new office facility for the Natural Resources Offices/Visitor's Center in the Arcadia Management Area at Browning Mill Pond in the Town of Richmond. This facility will serve as the gateway center for public access to Arcadia Management Area and consolidate administrative offices of the Department's Fish and Wildlife and Forestry Divisions. Currently, these offices are located throughout the state. The adjusted request is \$0.1 million less than the approved plan to reflect the cost of the finalized design. The Department notes there is ongoing litigation relative to state authority to construct this project; the contract will be rebid following its resolution. *The Governor recommends \$0.2 million in the current year, \$2.0 million in FY 2023, and \$3.0 million in FY 2024. Additionally, the recommendation shows \$0.5 million in prior spending.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. For this project, the total of Rhode Island Capital Plan funds is unchanged but is programmed differently beyond FY 2019. The revised recommendation delays spending by one year from the original recommendation.*

**Recreational Facility Improvements.** The Department requests total project costs of \$68.5 million, including \$47.3 million from Rhode Island Capital Plan funds and \$13.1 million from federal funds, for a variety of major capital development projects at state parks, beaches and campgrounds. The request includes projects also part of the separate Historic State Park program: the restoration of the pavilion at Goddard State Park including parking lot and drainage improvements, Colt State Park stone barn, and Fort Adams State Park.

Regarding Rhode Island Capital Plan funds, the approved plan provides \$2.5 million in FY 2019 and \$9.0 million from FY 2020 through FY 2023. The average annual amount provided for this project since FY 2014 is \$2.8 million; excluding the outlier year of FY 2017, in which \$4.4 million was provided, the average is \$2.4 million. The Department's request is \$11.2 million more than the approved plan in the five-year period, which includes \$4.1 million more from FY 2020 through FY 2023. The remaining \$7.1 million increase reflects the addition of funding over FY 2024 and the out years. The Department also requests \$0.5 million more for FY 2019 that includes \$0.4 million for projects to be determined. The request would

provide an average annual funding of \$3.4 million, \$1.0 million more than recently provided. The Department provided details on the work for the FY 2019 through FY 2021 period but notes that subprojects are still being developed for the later years; the Department's request includes \$13.8 million for its unplanned projects.

*The Governor recommends \$4.9 million more than the approved plan. This includes \$0.6 million more for FY 2019 and \$1.3 million more for FY 2020. Funding is consistent with the approved plan from FY 2021 through FY 2023. Additionally, the recommendation includes \$3.0 million for FY 2024 and excludes \$3.0 million of fully expended general obligation bonds approved in 2000. The recommendation reflects an average of \$2.7 million from Rhode Island Capital Plan funds per year from FY 2019 through FY 2024.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For this project, the revisions remove \$0.5 million in both FY 2022 and FY 2023.*

**State Bikeway Development.** Adjusting to exclude \$1.8 million of double counted unspent funding from FY 2018, the Department requests total project costs of \$15.0 million from general obligation bonds, including \$5.0 million to go before voters in November 2018. The adjusted request is consistent with the approved plan. This funding will be used for new design and construction of bikeways, including continued work on the East Bay Bikepath. The Department notes that it will continue to work with the Department of Transportation, partner organizations and municipalities to develop the best approach to prioritizing projects for funding. The Department also considered recommendations of the Transportation Improvement Plan in its prioritization. *The Governor recommends the requested amount but shifts \$1.8 million to FY 2019, \$1.0 million to FY 2020, and \$2.0 million to FY 2021.*

**State Land Acquisition - Open Space.** Adjusting to exclude \$1.7 million of double counted unspent funding from FY 2018, the Department requests \$16.5 million from general obligation bond proceeds approved on the 2004, 2012, and 2016 ballots for open space land acquisitions through FY 2021. The land acquired under this program includes forested land, open fields, river access sites, shoreline access areas, farmlands, trail connections, and water supply protection areas. *The Governor recommends \$2.7 million for FY 2019, \$1.7 million for FY 2020, and \$1.4 million for FY 2021 from general obligation bonds. The total is consistent with the request.*

**Fish and Wildlife Maintenance Facility.** The Department requests total project costs of \$0.6 million for FY 2019, from federal funds and insurance proceeds, for the construction of a maintenance facility for the Division of Fish and Wildlife at the Great Swamp Management Area. The request is \$0.1 million less than the approved plan and excludes the approved \$150,000 from Rhode Island Capital Plan funds. The request includes additional insurance funding totaling approximately \$29,000; the Department indicated that it is confident it can cover the costs of the project without using state funds. The current facility is over 30 years old and is too small to store equipment. *The Governor recommends \$0.1 million more than requested to reflect additional available insurance proceeds.*

**Flood Prevention.** Consistent with the approved plan, the Department requests total project costs of \$3.0 million from general obligation bonds approved on the November 2014 ballot. The funding is used for grants to public and non-profit entities for projects related to dam repairs and restoration of coastal habitats, rivers and stream floodplains. Funding is programmed to be fully expended by the end of FY 2019. *The Governor recommends \$1.0 million for FY 2020. The total is unchanged.*

**Fort Adams Sailing Improvements.** While not a part of the current capital request, the final FY 2018 Budget included total project costs of \$13.1 million, including \$9.5 million from Rhode Island Capital Plan funds, for improvements to Fort Adams State Park, which allow the state to host large-scale sailing events. No funding was provided for FY 2019 as part of the approved plan. *The Governor recommends \$0.1 million for FY 2019 to pay final invoices for work related to the project.*

**Fort Adams Trust/Rehabilitation.** The Department requests total project costs of \$5.0 million from Rhode Island Capital Plan funds to restore and repair historic structures at the Fort Adams State Park in Newport. The state operates the fort and is responsible for conducting programs, developing restoration plans and raising funds from public and private sources. The request is \$0.9 million more from Rhode Island Capital Plan funds than the approved plan to reflect funding for FY 2023, FY 2024, and beyond for continued improvements to the fort. *The Governor removes \$0.3 million in the post-FY 2024 period and recommends the remaining funding essentially as requested.*

**Historic State Park Development Program.** Consistent with the approved plan, the Department requests total project costs of \$5.0 million from general obligation bonds approved by voters on the November 2016 ballot for a Historic State Park Development Program through FY 2020. This funding was part of the Green Economy bond and will be used for capital improvements to the state's recreational facilities including Colt State Park, Goddard Park, and Brenton Point. A small portion of the funds will be allocated for critical infrastructure improvements to working farms under the Department's ownership and managed and operated under agreements to agriculturally focused entities. The Department notes that it will supplement bond funds with other available sources including Rhode Island Capital Plan funds provided for state recreational facilities and National Park Service's Land and Water Conservation funds. *The Governor recommends \$1.0 million in both FY 2020 and FY 2021. Her recommendation excludes \$1.0 million from fully expended 2012 general obligation bond funds.*

**Narragansett Bay and Watershed Restoration.** The Department requests total project costs of \$12.5 million from general obligation bonds approved by voters in 2004 and 2012 to continue restoring and protecting water quality in Narragansett Bay. The project provides matching grants to state and local agencies, non-profit organizations and for-profit businesses to improve water quality in Narragansett Bay and other watersheds. The Department has completed over 30 projects across the state, which includes riparian buffer restoration, fish passage projects, and storm water abatement projects. *The Governor recommends the requested amount with \$3.1 million to be spent in the five-year period.*

**Newport Piers/Building Project.** Adjusting to exclude \$0.2 million of double counted unspent funding from FY 2018, the Department requests total project costs of \$2.5 million from Rhode Island Capital Plan funds through post-FY 2024 for ongoing infrastructure improvements to the Newport Piers, including electrical and utility upgrades at Pier 9. This year's request focuses completely on utility and electrical upgrades as well as asset protection. The request is \$0.8 million more than the approved plan, including \$0.2 million carried forward from FY 2018 for FY 2019 to construct the electrical repairs and \$0.1 million in each year after for asset protection. *The Governor recommends \$0.2 million for FY 2019 and no funding in the five-year period.*

**Roger Williams Park and Zoo.** Adjusting to exclude \$1.3 million of double counted unspent funding from FY 2018, the Department requests \$18.0 million from general obligation bonds for ongoing renovations and improvements to Roger Williams Park and Zoo. Voters approved this amount from general obligation bonds in November 2014, including \$15.0 million for the Zoo to construct a new education center, demolish existing facilities, and construct a new tropical rainforest as well as \$3.0 million for construction and reconstruction of roads, bridges, sidewalks, and walkways. The adjusted request is consistent with the approved plan. *The Governor recommends funding as requested.*

**State Building Demolition.** The Department requests \$0.1 million annually from Rhode Island Capital Plan funds through the post-FY 2024 period for ongoing efforts at demolishing unused and obsolete buildings under the control of the Department that have become hazards. The approved plan provides \$0.1 million in FY 2019 and FY 2018, which went unspent. The request annualizes the funding into the out-year period. Some of the buildings that would be demolished under this project include the abandoned Forestry headquarters at Chopmist, Wright Farm in Richmond, and small buildings in the Department's management areas. *The Governor recommends \$0.1 million for FY 2019 and no funding in the five-year period.*

**Stormwater Pollution Prevention.** Consistent with the approved plan, the Department requests total project costs of \$3.0 million from general obligation bonds approved on the November 2016 ballot. This funding will be used for projects that reduce stormwater pollution in the state. The funds will provide up to 75.0 percent matching grants for public, private and/or non-profit entities for projects that reduce stormwater pollution. The Department notes that the funds will be administered through the existing Narragansett Bay and Watershed Restoration Fund. Funding is programmed through FY 2020. *The Governor recommends funding as requested.*

**Coastal Resiliency and Public Access Projects.** The Department requests total project costs of \$5.0 million from new general obligation bonds for grants to public or non-profit entities to design and construct projects which would reduce or eliminate flooding risk, promote green infrastructure, and increase public access. Funding would be disbursed \$1.0 million annually from FY 2020 through FY 2023 with the remaining \$1.0 million disbursed in the post-FY 2024 period. The 2018 Assembly approved a \$47.3 million Green Economy and Clean Water Bond to be placed on the November 2018 ballot for voter approval. *The Governor recommends \$2.0 million in FY 2021 and \$1.0 million annually from FY 2022 through FY 2024.*

**Wastewater Treatment Facility Resiliency Improvements.** The Department requests total project costs of \$5.0 million from new general obligation bonds for up to 50.0 percent matching funds for wastewater treatment facility resiliency improvements for facilities prone to flooding, storm events, and environmental degradation. Funding would be disbursed \$1.0 million annually from FY 2020 through FY 2023 with the remaining \$1.0 million disbursed in the post-FY 2024 period. The 2018 Assembly approved a \$47.3 million Green Economy and Clean Water Bond to be placed on the November 2018 ballot for voter approval. *The Governor recommends \$1.0 million annually from FY 2020 through FY 2024.*

**25 India Street.** The Department requests \$12.4 million of new general obligation bonds to go before the voters in November 2020, to construct improvements at the 25 India Street property acquired by the Department in 2012. The land is locally referred to as the "Shooters" property and would be developed for recreation and open space. The Department used bond proceeds to purchase the property, spending \$3.0 million of the \$3.2 million authorization from a voter approved 2010 referenda question. The new funding reflects the Department's new phase of the project. Funding is programmed at \$0.4 million for FY 2021, \$3.0 million for FY 2022, \$5.0 million for FY 2023, and \$4.0 million for FY 2024. *The Governor's recommendation contains no funding for this project.*

**Information Technology.** The Department requests total project costs of \$1.3 million from the Information Technology Investment Fund from FY 2019 through FY 2023 to upgrade parts of its information technology infrastructure, including its onsite wastewater treatment system, coastal resources berthing and land leases system, and boat licensing and the commercial marine fishing system. The Department notes that the upgrades will improve its ability to interact with the public and ensure accurate fee collections and that these pieces of infrastructure are currently outdated and are no longer supported. *The Governor's recommendation contains no funding for this project; however, \$0.9 million appears recommended from the Information Technology Investment Fund.*



## Coastal Resources Management Council

Projects	Status	5 Year Total	Project Total
Narragansett Bay Special Area Management Plan	Revised	\$ -	\$ 250,000
Coastal and Estuary Habitat Restoration Trust Fund	Ongoing	1,250,000	5,691,415
Confined Aquatic Dredged Material Disposal Cells	Ongoing	11,000,000	11,000,000
Green Hill Pond Study	Revised	-	50,000
Rhode Island Coastal Storm Risk Study	Ongoing	8,475,000	10,350,000
Providence Rivers Dredging	Approved	7,000,000	7,000,000
Shoreline Change Special Area Management Plan		-	-
<b>Total</b>		<b>\$ 27,725,000</b>	<b>\$ 34,341,415</b>
<b>Sources of Funds</b>			
Certificates of Participation		\$ 10,500,000	\$ 10,500,000
Federal Funds		7,500,000	8,850,000
General Obligation Bonds - New		7,000,000	7,000,000
Restricted Receipt Funds		1,750,000	6,191,415
Rhode Island Capital Funds		975,000	1,800,000
<b>Total</b>		<b>\$ 27,725,000</b>	<b>\$ 34,341,415</b>

**Summary.** The Council requests a total of \$31.4 million, of which \$20.8 million would be used in the five-year period. Funding in the five-year period includes \$7.5 million from federal sources, \$1.0 million from Rhode Island Capital Plan funds and \$1.8 million from restricted receipts. The request is for eight projects.

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. In some cases, there were also unrelated changes that cause a discrepancy between the capital budget and the amounts identified in the appropriations bills. For the Coastal Resources Management Council, the Governor recommends total project costs of \$34.3 million for six projects, including \$27.7 million in the five-year period. The original recommendation was \$0.5 million higher as a result of unintentionally including a completed project and overstating prior spending for another one.*

**Narragansett Bay SAMP.** Adjusting to exclude double counted unspent funding from FY 2018, the Council requests total project costs of \$0.3 million from Rhode Island Capital Plan funds for FY 2019 for a new Special Area Management Plan along the Narragansett Bay. The adjusted request is consistent with the approved plan. Special Area Management Plans are resource management plans and implementation programs developed as part of the Rhode Island Coastal Resources Management Program to better manage specific geographic areas, such as urban waterfronts, watersheds of particular concern, or a specific resource of concern. *The Governor recommends \$0.3 million for FY 2019, consistent with the intended request.*

**Coastal and Estuary Habitat Restoration Program and Trust Fund.** The Council requests total project costs of \$5.7 million from the Oil Spill Prevention, Administration and Response Fund for the Coastal and Estuary Habitat Restoration Program and Trust Fund through post-FY 2024. The 2004 Assembly mandated the annual amount of \$250,000 from the Oil Spill Prevention, Administration and Response Fund to the program and trust fund. The request is \$0.5 million more than the approved plan to reflect funding for both

FY 2024 and the post-FY 2024 period. The project is on schedule with its annual call for proposals. *The Governor recommends funding as requested.*

**Confined Aquatic Dredged Material Disposal Cells.** The Council requests total project costs of \$11.0 million for FY 2020 to construct a new confined aquatic disposal cell at the Providence River Shipping Channel. The requested amount is in line with the approved plan and delays the project one year. The funds will provide the cost-share responsibilities of the Council with the Army Corps of Engineers; the Corps indicates a fall 2020 start date for construction with funding provided before June 30, 2020. The 2017 Assembly authorized \$10.5 million in debt through Certificates of Participation and \$0.5 million from the Coastal Resources Management Council Dredge Fund to provide the state match for the new cell. *The Governor recommends funding as requested.*

**Green Hill Pond Study.** Adjusting to exclude double counted unspent funding from FY 2018, the Council requests total project costs of \$50,000 from Rhode Island Capital Plan funds to study how dredging in Green Hill Pond can be accomplished with the purpose of lifting the shellfish ban in Green Hill Pond and eastern Ninigret Pond. The adjusted cost is consistent with the approved plan. The project was expected to be completed during FY 2018 but started later than expected. The Council spent \$21,125 in FY 2018 and seeks to carry forward the remainder to finish the project in FY 2019. State funds will be matched by South Kingstown and Charlestown. *The Governor recommends \$28,875 for FY 2019, as requested.*

**Rhode Island Coastal Storm Risk Study.** Adjusting to exclude double counted unspent funding from FY 2018, the Council requests total project costs of \$10.4 million, including \$8.9 million from federal funds and \$1.5 million from Rhode Island Capital Plan funds to be used as state match through FY 2021, for a project to identify coastal risk management strategies, building on work done by the Army Corps of Engineers. The adjusted request is consistent with the approved plan. The work would include preparing geographic information system base mapping, collecting and assembling baseline sediment source environmental data, and conducting a value engineering evaluation. *The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, the Governor's recommendation originally overstated Rhode Island Capital Plan Fund spending prior to FY 2019 by \$298,374. That is rectified in the revisions.*

**Providence Rivers Dredging.** While not included in the Council's capital request, the 2018 Assembly included a \$7.0 million project on the Green Economy and Clean Water general obligation bond for the dredging of downtown Providence's rivers which was approved by voters in November 2018. The scope of the project will include the Woonasquatucket River from I-95 to its confluence with the Providence River; the Moshassuck River from Smith Street to its confluence with the Providence River and the Providence River from Steeple Street to Point Street. Additionally, a sediment basin will be dredged upstream of the Providence Place Mall and I-95 for approximately six hundred feet. *The Governor programs \$0.7 million in FY 2020 and \$6.3 million in FY 2021.*

**Shoreline Change SAMP.** The Council indicates this project was requested in error as it has been completed. This project's purpose was to address erosion issues along the Rhode Island shoreline. Funding was used for a high resolution modeling system that would predict surge and sea level rise scenarios, building on work done by the Army Corps of Engineers. The Council will use the information to provide maps to cities and towns and a basis for flood vulnerability assessments in coastal areas to state agencies.

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. For this project, the Governor originally included \$0.3 million for the Beach Special Area Management Plan likely done in error based on the Council's inadvertent inclusion of this project which is complete. The revisions remove that funding.*



## Rhode Island Infrastructure Bank

Projects	Status	5 Year Total	Project Total
Drinking Water State Revolving Fund	Revised	\$ 280,676,800	\$ 797,922,930
Efficient Buildings Fund	Revised	85,000,000	151,100,000
Municipal Road and Bridge State Revolving Fund	Revised	107,500,000	166,952,701
Clean Water State Revolving Fund	Ongoing	374,000,000	1,958,022,300
Water Pollution Control Revolving Fund	Ongoing	650,000	5,324,220
Water Quality Protection Charge Program	Ongoing	3,600,000	7,541,896
<b>Total</b>		<b>\$ 851,426,800</b>	<b>\$3,086,864,047</b>
<b>Sources of Funds</b>			
Agency Funds		\$ 530,000,000	\$1,946,623,678
Agency Revenue Bonds		95,576,800	170,055,597
Federal Funds		115,000,000	547,651,541
Federal Stimulus		-	22,907,300
General Obligation Bonds - New		5,000,000	40,000,000
General Obligation Bonds		4,700,000	7,900,000
Interest Earnings		200,000	2,835,258
Revolved Capital		100,950,000	348,890,673
<b>Total</b>		<b>\$ 851,426,800</b>	<b>\$3,086,864,047</b>

**Summary.** The Rhode Island Infrastructure Bank requests a total of \$3,070.0 million, of which \$840.3 million would be used in the five-year period. Funding in the five-year period includes \$71.0 million from the Bank’s revolving funds, \$530.0 million from the Bank’s revenue bonds, \$115.0 million from federal funds, \$23.0 million from new general obligation bonds to appear on the November 2018 and November 2020 ballots, \$0.2 million from interest earnings, and \$101.0 million from revolved capital. The request is for seven projects.

*The Governor recommends total project costs of \$3,086.9 million, with \$851.4 million to be used in the five-year period. The Governor also proposes that the Infrastructure Bank transfer \$4.0 million to state general revenues by June 30, 2020.*

**Drinking Water State Revolving Fund.** The Infrastructure Bank requests a total project cost of \$801.5 million from all sources, of which \$282.0 million would be used in the five-year period for the Drinking Water State Revolving Fund. Federal Capitalization Grants are awarded to the state, which contributes a 20.0 percent match. The amount of federal funding requested increases by \$3.5 million annually from last year’s request, as the federal government has greater resources available. Loans are available to eligible water suppliers for planning, design and construction of safe water supply, treatment and transmission infrastructure projects. The request is \$94.3 million more than the approved plan, including \$58.0 million for FY 2024 and \$14.0 million more from federal funds as a result of the increased federal resources. The 2018 ballot will contain a referenda to authorize \$7.9 million for the Drinking Water and Clean Water projects, split at approximately \$4.5 million for the former and \$3.4 million for the latter. The Bank notes it will request additional bonds totaling \$9.6 million for this project on upcoming ballots. *The Governor does not recommend the new bond, programs the entire \$7.9 million for the Clean Water State Revolving Fund project in FY 2019 and FY 2020, and provides funding as requested from the other sources.*

**Efficient Buildings Fund.** The Infrastructure Bank requests \$146.1 million from Regional Greenhouse Gas Initiative receipts, qualified energy conservation bonds, and agency revenue bonds and reserves for projects financed through the Efficient Buildings Fund. The Bank indicated the total cost should be \$151.1 million, increasing the requested total for FY 2024 to be in line with the other years. Adjusting for the error, the request is \$25.0 million less than the approved plan. The plan was based on last year's request which assumed the passage of legislation to make school projects eligible for financing from this fund. The Bank notes that as this proposal was not approved by the Assembly, it adjusts its projections accordingly. The Bank financed the first round of efficient building loans in July 2016, providing \$17.2 million to six cities and towns. The second round of financing closed in December 2017 and provided \$11.0 million of financing. The third round of financing is expected to begin in September 2018. *The Governor recommends funding consistent with the adjusted request.*

**Municipal Road and Bridge State Revolving Fund.** The Infrastructure Bank requests \$167.0 million for the Municipal Road and Bridge Revolving Fund. The 2013 Assembly established this revolving fund to be administered by the agency for municipalities to borrow from to complete road and bridge projects at a lower borrowing cost than could be achieved by the municipalities on the open market. The Municipal Road and Bridge Revolving Fund was initially capitalized using \$7.0 million of bond premium proceeds transferred from the Rhode Island Capital Plan Fund with the intent of dedicating future premiums and other resources that may be appropriated to the fund; it operates similarly to the Clean Water and Drinking Water State Revolving funds. The fund was further capitalized in successive years with a combination of bond premium proceeds, tobacco settlement funds, and Infrastructure Bank reserves. At the end of FY 2018, the Bank has made 25 loans totaling \$50.3 million through this fund.

No more than 50 percent of available funding in any calendar year shall be dedicated to any one city or town, unless there are no remaining eligible projects. The approved plan includes \$79.5 million through FY 2023. The request is \$87.5 million more than the approved plan, \$7.5 million of which reflects annualized funding for FY 2024. The remaining \$80.0 million increase is due to a transition from making direct loans to leveraging funds by issuing revenue bonds. This increases the amount of funds available to make loans in response to the increased demand. *The Governor recommends funding as requested.*

**Clean Water State Revolving Fund.** The Infrastructure Bank requests \$366.5 million to be used in the five-year period for a total project cost of \$1,942.5 million for the Clean Water State Revolving Fund, a subsidized loan program for local governmental units to finance wastewater infrastructure projects. The Bank indicates it inadvertently excluded \$12.0 million in previously approved bonds for this project, bringing the total cost to \$1,954.5 million; the five-year period total is unaffected. The loans are generally repaid over 20 years and have an interest rate reduction of at least one-third off the market rate. Funding in the five-year period includes \$3.4 million from new general obligation bond proceeds to go before the voters on the November 2018 ballot, \$8.8 million from proposed general obligation bond proceeds on future ballots, \$55.0 million from federal funds, \$70.5 million from revolved capital and \$230.0 million from agency revenue bonds. Adjusting for the exclusion, the request is \$96.6 million more than the approved plan, which reflects \$75.5 million requested for FY 2024, the aforementioned new bonds, and an adjustment to federal funds as a result of increased federal resources.

*The Governor provides \$7.9 million from general obligation bonds approved by the voters in November 2018 in FY 2019 and FY 2020, recommends the Bank use its own funds in place of a new bond for funding from FY 2021 through FY 2024, and provides funding as requested from the other sources.*

**Water Pollution Control Revolving Fund.** Consistent with the approved plan, the Infrastructure Bank requests a total of \$5.3 million, of which \$0.5 million from the Agency's revolved capital account would be spent in the five-year period, for the Water Pollution Control State Revolving Fund. This fund receives capital funding from general obligation bond proceeds and transfers the appropriate state match to the Clean Water and Drinking Water State Revolving funds. *The Governor recommends funding as requested.*

**Water Quality Protection Charge Program.** Consistent with the approved plan, the Infrastructure Bank requests a total of \$7.5 million from the water quality protection surcharge to provide funding for watershed protection and other projects requested by water suppliers. Rhode Island General Law, Section 46-15.3-5 sets the water quality protection surcharge at 2.92 cents per one hundred gallons. The surcharge is collected from the 29 major water suppliers in the state and 57.0 percent is transferred to state general revenues, 36.1 percent now goes to the Infrastructure Bank, and the remaining 6.9 percent is retained by the individual suppliers for administrative costs. The request assumes \$0.9 million per fiscal year through FY 2023, which represents the Infrastructure Bank's share of estimated annual collections. *The Governor recommends funding as requested.*

**Brownfields Fund.** The 2015 Assembly established a Brownfields Revolving Loan Fund to be created by the Rhode Island Infrastructure Bank. The Infrastructure Bank is authorized to capitalize the fund with state and federal grants, loan repayments, bond proceeds, administrative fees charged to borrowers, and other funding the agency may receive for this purpose. The agency is also authorized to provide financial assistance to eligible borrowers, which are municipalities, individuals, or corporations that would remediate or develop a brownfields site, as defined by federal law. The Department of Environmental Management, in consultation with the Commerce Corporation, is required to promulgate rules and regulations establishing the project evaluation criteria and develop a project priority list to be used by the Infrastructure Bank to determine which projects will receive funding.

The Infrastructure Bank requests \$0.2 million in agency funds to capitalize the fund. Past requests for this project included a \$0.8 million federal brownfields grant received from the Environmental Protection Agency in June 2016; the Bank indicates its exclusion was an error. Adjusting for that, the request is consistent with the approved plan. *The Governor's capital budget does not include any funding for this program. As of the recommendation, the Bank has not made any loans from the fund; however, the Bank indicates it intends to start making loans during FY 2019.*



## Narragansett Bay Commission

Projects	Status	5 Year Total	Project Total
Infrastructure Management	Revised	\$ 6,054,040	\$ 9,543,130
Wastewater Treatment Facility Improvements	Revised	20,581,622	32,789,755
Combined Sewer Overflow Phase III	Ongoing	314,971,942	779,050,802
Interceptor Inspection, Cleaning, Restoration, and Construction	Ongoing	15,291,000	43,696,412
<b>Total</b>		<b>\$ 356,898,604</b>	<b>\$ 865,080,099</b>
<b>Sources of Funds</b>			
Other Funds		\$ 356,898,604	\$ 865,080,099
<b>Total</b>		<b>\$ 356,898,604</b>	<b>\$ 865,080,099</b>

**Summary.** The Commission requests a total of \$865.1 million, of which \$356.9 million would be used in the five-year period for four projects. Funding for all projects comes from the Rhode Island Infrastructure Bank’s revolving funds and Commission revenue bonds. *The Governor recommends funding consistent with the request.*

**Infrastructure Management.** The Commission requests a total of \$9.5 million from the Infrastructure Bank’s revolving funds and Commission revenue bonds to be used through FY 2024 for thirteen different infrastructure subprojects. These include an energy sustainability project to identify and implement conservation and energy efficiencies, the restoration of various interceptor easements, the conduction of a site specific study, and the creation of a variety of plans. The request is \$2.6 million more than the approved plan which reflects the addition of two new projects, an upgrade of an existing Commission pump station and replacement of a septage receiving station. *The Governor recommends funding as requested.*

**Wastewater Treatment Facility Improvements.** The Commission requests total project costs of \$32.8 million from the Infrastructure Bank’s revolving funds and Commission revenue bonds, of which \$20.6 million will be used from FY 2020 through FY 2024, for various wastewater treatment improvement subprojects to comply with discharge limits mandated by the Department of Environmental Management. The request includes nine subprojects and is \$30.1 million less than the approved plan. This change reflects the exclusion of \$14.6 million of completed subprojects and the movement of the \$15.2 million Bucklin Point Operations and Maintenance Facilities subproject to the Combined Sewer Overflow Phase III project. Those decreases are offset by the inclusion of a new \$0.6 million subproject for electrical improvements. *The Governor recommends funding as requested.*

**Combined Sewer Overflow Phase III.** The Commission requests total project costs of \$779.1 million from the Rhode Island Infrastructure Bank’s revolving funds and Commission revenue bonds to be used through the post-FY 2024 period for design and construction of Phase III, the final phase for the federally mandated Combined Sewer Overflow project. Phase III initially involves the design and construction of a 13,000 foot tunnel in Pawtucket, a pump station, drop shafts, and consolidation conduits. This will be followed by design and construction of interceptors and a stub tunnel to convey flow to the Pawtucket tunnel. Additionally, green stormwater facilities will be constructed to mitigate the infiltration of stormwater into the ground. The request is \$16.1 million less than the approved plan, with each sub-phase now estimated to cost less: sub-phase A, \$6.9 million less; sub-phase B, \$5.0 million less; sub-phase C, \$2.2 million less; and sub-phase D, \$1.9 million less. The Commission notes the lower costs resulted from optimizations in the design process.

The Commission convened a Combined Sewer Overflow Phase III Stakeholders Group, which met six times in 2014 to provide input and feedback on the recommended alternative for Phase III. *The Governor recommends funding as requested.*

**Interceptor Inspection, Cleaning, Restoration, and Construction.** The Commission requests \$43.7 million from the Infrastructure Bank's revolving funds and Commission revenue bonds to be used into the post-FY 2024 period for nine ongoing subprojects. The Commission indicates that most of these subprojects involve improving, replacing, and providing interceptor relief. This project also includes interceptor inspection, televising and grit removal to increase sewer capacity. This request is \$2.1 million more than the approved plan, which reflects minor adjustments among subprojects and the addition of funding in FY 2024 and the out-years. *The Governor recommends funding as requested.*

## Rhode Island Resource Recovery Corporation

Projects	Status	5 Year Total	Project Total
Landfill Phase VI Construction	Revised	\$ 54,856,013	\$ 103,325,377
MRF Design and Construction	Revised	17,000,000	17,000,000
Pump Station Modifications	Revised	850,000	850,000
Pump Station Three Retrofit	Revised	782,000	1,207,000
Fiber Baler and Incline Conveyor Replacement	Ongoing	1,500,000	1,500,000
Leachate Storage Tanks	Ongoing	2,000,000	2,000,000
Underdrain Treatment System	Ongoing	1,125,000	1,275,000
<b>Total</b>		<b>\$ 78,113,013</b>	<b>\$ 127,157,377</b>
<b>Sources of Funds</b>			
Resource Recovery Corporation General Revenue		\$ 76,113,013	\$ 125,157,377
Resource Recovery Corporation Revenue Bonds		2,000,000	2,000,000
<b>Total</b>		<b>\$ 78,113,013</b>	<b>\$ 127,157,377</b>

**Summary.** The Rhode Island Resource Recovery Corporation requests a total of \$127.2 million, of which \$78.1 million would be used in the five-year period for seven projects. Funding in the five-year period includes \$76.1 million from the Corporation's general revenue and \$2.0 million from revenue bonds. *The Governor recommends funding consistent with the request. The Governor also proposes that the Corporation transfer \$5.0 million to state general revenues by June 30, 2019.*

**Landfill Phase VI Construction.** The Corporation requests total project costs of \$103.3 million from its general revenues, of which \$54.9 million would be used in the five-year period, to design, excavate, and construct the baseliner for several planned cells as part of the eastward Phase VI expansion of the Central Landfill. Also included in this project is the design and estimated costs of new buildings which will need to be relocated as the expansion of the landfill continues. The Corporation notes that pre-FY 2019 expenditures in the request are understated by \$46.8 million; the actual cost of this project is \$150.1 million.

The intended request is \$46.1 million or 44.6 percent more than the approved plan of the 2018 Assembly. The majority of this funding, \$39.4 million, is requested in FY 2024 and the out-year period, for which the approved plan provides no funding. The remaining increase, \$6.7 million, reflects new costs associated with blasting and crushing work for the new compost area that must be relocated for cell expansion as well as more accurate costs associated with construction of the new buildings to be relocated. Additional cells are currently being constructed to meet future needs and maintain continuous operation through 2034. The Corporation notes that the construction of Phase VI is estimated to be completed in 2032, and each year it revisits its estimate for the project. *The Governor recommends funding as requested.*

**MRF Design and Construction.** The Corporation requests total project costs of \$17.0 million from its general revenues from FY 2021 through FY 2024 to plan, design, and construct upgrades to its Material Recycling Facility. The request is \$16.2 million more than the approved plan, which provided \$0.8 million to fund a study over FY 2019 and FY 2020. The two-year study has now been pushed back to begin in FY 2021 at a cost of \$1.0 million, with construction taking place from FY 2023 through FY 2024 at an estimated cost of \$16.0 million. Last year's request assumed the construction of a new building; this year's request reflects a reconstruction of the existing facility as a result of operational losses due to current commodity pricing. *The Governor recommends funding as requested.*

**Pump Station Modifications.** The Corporation requests total project costs of \$0.9 million from its general revenues for FY 2020 for new modifications to the existing pump station, which will be necessary when the new one million gallon glass-lined steel leachate storage tank is installed. The request is \$0.1 million more than the approved plan, to reflect the Corporation's new estimate for the project. When the new tank is installed, the existing pump station will need to be modified and expanded to account for the re-routing of wastewater and to integrate into the controls at the treatment facility. The modifications include design, earthwork piping and pump station configuration. The Corporation notes that this project is tied to the Underdrain Treatment System, which is set to begin construction in FY 2020; thus, the requested start date has also changed from FY 2018 to FY 2020. *The Governor recommends funding as requested.*

**Pump Station Three Retrofit.** The Corporation requests total project costs of \$1.2 million from its general revenues from FY 2019 through FY 2020 for a new modification to the existing pump station in order to better manage leachate flows, reduce operational expenses, better record flows and update the configuration to extend its useful life. The Corporation notes the request should be for \$1.0 million, which is \$0.7 million more than the approved plan. The request also delays the project by a year. The Corporation notes that it went out to bid on this project during FY 2018 and received bids in April 2018. There was only one bidder at a cost of \$1.3 million. The Corporation plans to rebid next year for more bidders and a better price. *The Governor recommends funding as requested.*

**Fiber Baler and Incline Conveyor Replacement.** The Corporation requests total project costs of \$1.5 million for FY 2022 from its general revenues to replace the aging fiber baler and incline conveyor. The request delays the project by one year but maintains the approved cost. The Corporation has indicated that the baler is nearly 12 years old and has logged approximately 30,000 hours. It further notes that there is accelerated wear on the equipment because some glass particles make their way through the entire single stream process. *The Governor recommends funding as requested.*

**Leachate Storage Tanks.** The Corporation requests total project costs of \$2.0 million from its revenue bonds for FY 2020 to install a new additional one million gallon tank, which will handle excess leachate and provide excess storage during heavy storm events. The Corporation has \$2.4 million in bond funds remaining from the 2013 issuance and those proceeds must be used specifically for leachate related projects. The Corporation notes that the new leachate storage tank will accommodate varying flows associated with overall wastewater collection. Funding is consistent with the approved plan but as this project is tied to the Underdrain Treatment System, which will begin construction in FY 2020, the request delays the start date to FY 2020. *The Governor recommends funding as requested.*

**Underdrain Treatment System.** Consistent with the approved plan, the Corporation requests total project costs of \$1.3 million from its general revenues for FY 2019 and FY 2020 to upgrade the existing underdrain treatment system, which was completed in FY 2014, to add a new additional treatment step or process to manage phosphorous based on a final permit issued on April 24, 2015, by the Department of Environmental Management. This final permit established effluent monitoring and discharge limits for phosphorous, orthophosphorous, total ammonia and total iron.

This project resulted from a consent decree with the Department of Environmental Management, the Corporation notes that further progress is tied to a local limits study to be conducted by the Narragansett Bay Commission, expected by September 2018 but not received as of October 2018. Following the completion of the study, the Corporation has seven months to submit a plan to the Department for approval, allowing construction to begin in FY 2020. *The Governor recommends funding as requested.*



## Department of Transportation

Projects	Status	5 Year Total	Project Total
Bike Facilities Maintenance	New	\$ 4,000,000	\$ 4,000,000
Information Technology Investment Strategy	New	2,102,425	4,269,794
Materials Quality Assurance Testing Lab.	New	400,000	3,225,000
Maintenance Facility - 360 Lincoln Avenue	New	352,500	2,286,500
Welcome Center Improvements	New	150,000	150,000
Capital Equipment Replacement	Revised	35,285,317	61,740,265
Commuter Rail (Fixed Guideway)	Ongoing	12,630,000	91,782,457
Highway Improvement Program	Ongoing	2,459,980,248	5,546,172,235
Pawtucket/CF Train Station	Ongoing	26,014,670	38,325,600
Maintenance Facility Improvements	Ongoing	2,500,000	5,766,401
Mass Transit Hub Infrastructure	Ongoing	15,500,000	35,000,000
Train Station Maintenance & Repairs	Ongoing	1,750,000	2,817,453
Salt Storage Facilities	Ongoing	5,900,000	11,540,853
<b>Total</b>		<b>\$ 2,566,565,160</b>	<b>\$ 5,807,076,558</b>
<b>Sources of Funds</b>			
Federal Funds		\$ 1,333,202,426	\$ 3,080,926,550
Federal GARVEE Bonds		150,000,000	839,651,706
Federal Stimulus Funds		-	29,950,000
Gasoline Tax		252,622,251	316,842,077
General Obligation Bonds		15,500,000	127,870,000
Highway Maintenance Account		437,934,380	778,534,734
Land Sales		14,300,000	47,479,331
MBTA		-	7,560,000
Other		2,602,065	14,305,908
Rhode Island Capital Funds		171,404,038	367,456,252
Toll Revenue		189,000,000	196,500,000
<b>Total</b>		<b>\$ 2,566,565,160</b>	<b>\$ 5,807,076,558</b>

**Summary.** The Department of Transportation requests \$2,845.7 million to be used in the five-year period totaling \$6,125.2 million for 17 projects, 8 of which are new. Federal law requires that a statewide transportation improvement plan cover a period of no less than four years. In 2015, Rhode Island became the third state, after New Jersey and North Carolina, to implement a ten-year plan. Annually, the Department will propose changes to the plan to make sure that projects are coordinated, and that communities and constituents can have input. The request is \$752.3 million more than the approved plan, of which \$202.1 million is for the new projects. This includes \$367.7 million more from Rhode Island Capital Plan funds for a statewide pavement program, the Interstate 95 Viaduct project, and additional matching funds in the event federal funds become available.

*The Governor recommends total project costs of \$5,807.1 million for 13 projects. This assumes use of \$2,566.6 million programmed for the five-year plan, which averages \$513.3 million annually. The recommendation is \$318.1 million less than requested, primarily from not funding new projects.*

*The Governor proposes legislation in Article 8 of 2019-H 5151 to provide the Rhode Island Public Transit Authority with an additional \$5.0 million from the Department of Transportation's current share of the Highway Maintenance Account for FY 2020. It also requires that the Department reimburse the Division of Motor Vehicles for the salary and benefit costs of certain employees involved in the collection of motor vehicles fees that are currently going into the Highway Maintenance Account. She also proposes changes to the disposition of the gasoline tax to provide the Authority with an additional half cent.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. The multi-year appropriation section of Article 1 had assumed \$1.5 million each for FY 2021 and FY 2022 for Salt Storage Facilities, these amounts are reduced to \$1.0 million annually. The multi-year appropriation section of Article 1 had also assumed \$32.5 million each for FY 2023 and FY 2024 for the Highway Improvement Program, these amounts are reduced to \$27.2 million annually.*

**Bike Facilities Maintenance.** The Department requests \$4.4 million from Rhode Island Capital Plan funds, of which \$3.3 million will be used in the five-year period to repave bike paths throughout the state. The Department notes that no major replacement has occurred since some of the bike paths were constructed more than 20 years ago. Through an agreement, the Department of Environmental Management maintains the bike paths and performs minor repairs; larger scale improvements, such as repaving, are the responsibilities of the Department of Transportation. The Department indicates that it has received complaints of certain section of the paths deteriorating, and certain areas have become almost unpassable.

The request includes \$80,000 for FY 2021; it appears that the Department intended to request \$800,000. This would bring the total project cost to \$5.1 million, consistent with last year's request. It also assumes use of \$1.1 million in the current year. Provided that that the Governor recommends funding and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year.

The Department requested funding for this project as part of its FY 2019 capital budget; however, neither the Governor nor the Assembly concurred. It should be noted that the 2018 Assembly concurred with the Governor's proposal authorizing \$5.0 million of general obligation bonds to go before the voters on the November 2018 ballot for new design and construction of bikeways. This project will be administered by the Department of Environmental Management. *The Governor recommends a total project cost of \$4.0 million, \$2.0 million each from Rhode Island Capital Plan funds and highway maintenance funds.*

**Information Technology Investment Strategy.** The Department of Transportation requests total project costs of \$4.3 million from all sources of which \$2.1 million will be used in the five-year period to fund several information technology projects. The Department is currently in the middle of a department-wide reorganization and funds will be used to purchase a Project Tracking and Management System solution. Other projects include ongoing replacement of personal computers, server blades and maintenance agreements for its asset management system. *The Governor recommends funding as requested.*

**Materials Quality Assurance Testing Laboratory.** The Department requests \$3.2 million from available land sale proceeds to make renovations to its materials testing laboratory in Providence to provide consistent temperature, environmental and air quality, vibration and noise control. The current laboratory is 12,000 square feet, which the Department indicates is undersized and antiquated. The FY 2017 Single Audit Report had cited some deficiencies with the Department's Quality Assurance Testing program. The Department states that if improvements to the testing laboratory are not made, the Federal Highway Administration may

cite the state for violations, which could require the state to repay \$2.7 million of federal funds used in 2013 to acquire land on Colorado Avenue in Warwick as a future site for a new facility.

The Department had previously requested \$15.0 million to build a new 30,000 square foot laboratory; however, funding was neither recommended by the Governor nor approved by the Assembly. *The Governor recommends funding as requested.*

**Maintenance Facility - 360 Lincoln Avenue.** The Department requests \$3.6 million, including \$2.3 million from gasoline tax proceeds and \$1.3 million from residual bond funds, to be used in FY 2019 and FY 2020 to design and construct a new pre-engineered metal building behind its current maintenance headquarters on Colorado Avenue in Warwick. The building will include garage space to house vehicles and equipment that the Department has and plans to acquire. The Department indicates that limited office space will be available for maintenance personnel.

The Department has leased space at a facility on Allens Avenue in Providence to temporarily meet these needs. Expenditures were \$231,034 in FY 2017 and \$529,128 in FY 2018. In addition to its headquarters, the Department has seven maintenance facilities throughout the state. *The Governor recommends \$2.3 million from gasoline tax; it appears that residual bond funds were inadvertently omitted in the recommendation. These funds are available to be used.*

**Welcome Center Improvements.** The Department requests \$2.1 million from Rhode Island Capital Plan funds, of which \$1.8 million will be used in the five-year period, to renovate the Welcome Center Facility in Richmond. The Department reopened the facility in May 2016 with portable restrooms, and in October, it opened the indoor facilities, which need restroom renovations, roof repair and a new filtration system. The request assumes use of \$250,000 in the current year. Provided that that the Governor recommends funding and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year. *The Governor recommends use of \$150,000 from the Highway Maintenance Account in FY 2020 for immediate needs.*

**Capital Equipment Replacement.** The Department requests \$32.5 million from Rhode Island Capital Plan funds and gasoline tax revenues in the five-year period to replace capital equipment for total project costs of \$59.4 million. This project involves the purchase of heavy trucks, sweepers, loaders, backhoes and tractors to replace the Maintenance Division's existing fleet. The request is \$22.1 million more than the approved plan, including \$7.5 million more from Rhode Island Capital Plan funds. Through FY 2018, the Department has replaced approximately 38 percent of its fleet. The Department indicates that an analysis of the Division of Maintenance's rolling stock has been made and that the current replacement program is needed to meet future fleet needs.

*The Governor recommends a total project cost of \$61.7 million, which is \$2.3 million more than requested and appears to have been included in error for non-capital equipment purchases. The recommendation assumes use of \$6.0 million more from gasoline tax proceeds in lieu of requested Rhode Island Capital Plan funds.*

**Commuter Rail (Fixed Guideway).** The Department requests \$12.6 million from federal funds to be used in the five-year plan for the Commuter Rail Project. Phase I of the project involved the extension of commuter rail service south from Providence to T.F. Green Airport in Warwick and has been completed. Phase II of the project extended commuter rail service 25 miles to Wickford Junction station. The total project cost of \$91.8 million is \$8.2 million more than the approved plan, including new expenditures of \$3.0 million programmed for FY 2024 and increased expenditures of \$5.2 million for site remediation at T.F. Green and additional improvements at the Pawtucket Layover Facility. *The Governor concurs.*

**Highway Improvement Program.** The Department requests \$2,449.6 million from all sources of funds for highway improvement program expenditures to be used in the five-year period, for project costs of \$5,528.8 million. These exclude any new bonding authority for state matching funds reflecting an initiative by the 2011 Assembly to replace borrowing with pay-go sources, which is being accomplished by replacing those with a combination of transportation related surcharges and Rhode Island Capital Plan funds.

The Department refers to its ten-year capital and operating plans as RhodeWorks. It is a comprehensive initiative with one component aimed at accelerating the replacement and reconstruction of state bridges with the goal of reducing the percentage of deficient bridges. The Assembly adopted RhodeWorks legislation in 2016-H 7409, Substitute A, as amended that the Governor signed into law on February 11, 2016. The legislation allows the Department to borrow \$300.0 million against future federal funds through the GARVEE Program and refinance existing GARVEE debt. The Department completed the refinancing in June 2016 and the new issuance in October 2016. The request assumes use of \$104.0 million in FY 2019, \$95.0 million in FY 2020, \$50.0 million in FY 2021, and \$5.0 million in FY 2022 from the new issuance.

The request is \$499.9 million or 9.9 percent more than the approved plan, primarily the addition of expenditures of \$406.1 million programmed in FY 2024. Annual toll revenues are estimated at \$41.0 million and are \$29.5 million less than the approved plan to reflect actual receipts for FY 2018 and a revised projection of \$15.0 million for FY 2019, or \$26.0 million less. It also includes \$45.2 million less from transportation related fees collected by the Division of Motor Vehicles. This does not appear to be based on current law. It assumes \$5.0 million annually to the Rhode Island Public Transit Authority. The 2017 Assembly had provided the additional funding to the Authority for FY 2018 and FY 2019 only.

These adjustments are offset by expenditures of \$268.6 million from gasoline tax for debt service costs for previously approved general obligation bonds. While these costs are shown in the Department's proposed Transportation Improvement Program, they are not capital expenditures in nature. The actual use of the bond proceeds constitutes the project expense and by including it again as debt results in double counting the expense. The approved plan corrected the prior practice of double counting these expenses.

The FY 2016 final budget included \$43.4 million in expenditures from Rhode Island Capital Plan funds; \$11.9 million was spent, resulting in a surplus balance of \$31.5 million. The FY 2017 approved plan assumed use of the funds over the next six years, in increments of \$5.3 million through FY 2022. The Department's request inadvertently included the increment for FY 2023 and FY 2024.

*The Governor recommends total project costs of \$2,460.0 million in the five-year period. This is \$17.4 million more than requested, including \$10.5 million less from Rhode Island Capital funds to correct the overstated state match and \$3.0 million less from general obligation bond funds, as all the funds have been spent. The recommendation assumes use of \$18.0 million in FY 2019 from FY 2018 unspent highway maintenance funds. A reduction for a like amount in prior year expenditures should have occurred; this thereby overstates total costs. The recommendation assumes \$12.9 million more from toll revenues; expenditures in both FY 2019 and FY 2020 were reduced by \$24.0 million, based on delays and \$36.9 million was added for FY 2024.*

*The Governor proposes legislation in Article 8 of 2019-H 5151 to provide the Rhode Island Public Transit Authority with an additional \$5.0 million from the Department of Transportation's current share of the Highway Maintenance Account for FY 2020. The legislation also requires that the Department reimburse the Division of Motor Vehicles for the salary and benefit costs of certain employees involved in the collection of motor vehicles fees that are currently going into the account.*

*Based on programmed expenditures, it appears that the additional \$5.0 million to the Authority would not be limited to just FY 2020 and the recommendation does not adjust for the impact to overall resources for*

*expenses of the Division of Motor Vehicles' employees. The Governor also proposes changes to the disposition of the gasoline tax to provide the Authority with an additional half cent.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. This project had funding reduced from amounts assumed in the multi-year appropriation section of Article 1, including \$5.3 million each from FY 2023 and FY 2024 to correct for overstated state match.*

**Pawtucket/Central Falls Train Station.** The Department requests \$26.0 million from all sources to be used in the five-year period for a new commuter rail station on the Pawtucket/Central Falls border for total project cost of \$38.4 million. In July 2016, the Department received notification that a \$13.1 million grant from the Federal Highway Administration for the station had been secured; funding for the project also includes \$3.0 million for local matching funds. The project's infrastructure would include three platforms, a pedestrian overpass, bus drop-off access, pedestrian access and parking. The station is intended to be served by the Massachusetts Bay Transportation Authority along its Providence line, connecting Pawtucket/Central Falls to Providence and Boston. The request is \$2.8 million or 7.6 percent more from federal funds than the approved plan. The Request for Proposals was issued in June 2018 and responses were due by August 17, 2018. The Department is in the process of reviewing the three proposals it received.

The Pawtucket Foundation, since 2001, has been investigating the potential for a multi-modal transportation center to be located on the site of the existing 1926 Pawtucket/Central Falls train station, out of service since the 1960s. The 2005 Assembly provided \$0.1 million from Rhode Island Capital Plan funds for a feasibility study, which was completed in 2007.

The 2018 Assembly adopted legislation to increase the liability insurance policy for all commuter rail operations to comply with federal requirements and it sets the deductible at no more than \$7.5 million. It also amended the 2010 resolution to include the Pawtucket/Central Falls Train Station for indemnification. *The Governor concurs.*

**Maintenance Facility Improvements.** The Department requests \$5.7 million, of which \$2.5 million from Rhode Island Capital Plan funds will be used in the five-year period, to make repairs at its seven maintenance facilities throughout the state and its headquarters in Warwick. Improvements would include pavement repair, replacement and repairs of heating, ventilating and air conditioning systems, roof and improvements to windows and garage doors at several facilities.

The request is \$0.8 million more than the approved plan, including new expenditures of \$0.5 million programmed in FY 2024 and increased expenditures of \$100,000 each from FY 2019 through FY 2022. It should be noted that expenditures averaged \$330,009 in the last five fiscal years, or \$69,991 below approved annual amounts. *The Governor concurs.*

**Mass Transit Hub Infrastructure.** The approved plan included \$35.0 million from general obligation bonds approved by the November 2014 voters to expand and improve the state's transit hubs located at the Providence AMTRAK station, Kennedy Plaza and the proposed Garrahy Courthouse parking complex. The Department's request for \$17.0 million more than the approved and was later indicated to be in error. The intent is to request funding consistent with the approved plan.

In the summer of 2016, the Department of Administration advertised a Request for Qualifications, as part of a multi-stage process to identify a public-private partnership for the development of the Providence Station Transit Center. Four proposals were submitted in October 2016. A second request was issued for a design-built project and responses were due on August 16, 2017; the Department received one response.

A third request was issued in March 2018 with responses due by May 29. One proposal was received, and the Department is in the process of reviewing it. The new project timeline is as follows: pre-development agreement by the end of 2018; design work begins in January 2019 with construction starting in the fall of 2019. *The Governor recommends funding consistent with the approved plan.*

**Train Station Maintenance and Repairs.** The Department requests \$1.8 million from Rhode Island Capital Plan funds in the five-year period for repairs at three train stations: Woonsocket, Kingston and Westerly. The Kingston and Westerly stations are currently active, while the Woonsocket station serves as a bus depot. The maintenance division is currently responsible for these facilities. Funding would be used for larger scale asset protection projects, such as roof and window repairs, painting, and heating, ventilation and air conditioning upgrades. The request is \$350,000 more than the approved plan to include new expenditures programmed in FY 2024. *The Governor concurs.*

**Salt Storage Facilities.** The Department requests \$14.2 million from Rhode Island Capital Plan funds, of which \$7.0 million will be used in the five-year period for the construction of salt storage facilities at various locations statewide where salt is currently stored uncovered. There are 23 salt storage facilities throughout the state. Of these sites, four remain without an enclosed facility, resulting in a negative impact on both the environment as well as operational efficiency. This project was originally developed to address the uncovered salt pile issue, which was significant at the time due to the number of uncovered facilities. The plan has involved building numerous covered structures to house road salt, with the eventual goal of eliminating all open area storage facilities. To date, five sites have been closed.

The request is \$3.0 million more than the approved plan, which assumes additional expenditures of \$0.5 million annually to reflect revised construction costs for heating, ventilation and air conditioning upgrades, roof repairs and other improvements to salt storage facilities and new expenditures of \$1.0 million programmed in FY 2024. The request assumes that all of the \$1.8 million appropriated in FY 2018 was spent; however, it appears that \$0.1 million was spent, resulting in a balance of \$1.6 million. *The Governor recommends \$11.5 million, \$0.4 million more than the approved plan, shifting \$1.0 million of FY 2018 unspent funds to FY 2019 and FY 2020, reflective of delays and adding \$1.0 million for FY 2024.*

*The funding included in the capital budget differs from the amounts included in the appropriations bills submitted by the Governor a month earlier, primarily because that budget overstated available resources from Rhode Island Capital Plan funds. The revisions to the initial recommendations align the Governor's recommendation to her priorities given available resources. Funding for this project was reduced from amounts assumed in the multi-year appropriation section of Article 1, including \$0.5 million each from FY 2021 and FY 2022.*

**Discretionary Grant Match.** The Department requests \$10.0 million annually for a total of \$60.0 million from Rhode Island Capital Plan funds for the matching requirement in the event federal discretionary grants become available. The Department indicates that the state would be more competitive when applying for discretionary grant funds if the 20.0 percent matching requirement was already contributed. The Department further notes that it has submitted an application requesting \$25.0 million for the Newport Pell Ramp project, additionally, it recently received \$20.0 million for the Route 37 Corridor project.

The request assumes use of \$10.0 million in the current year. Provided that the Governor recommends funding and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year. *The Governor does not recommend funding this project.*

**Interstate 95 Viaduct.** The approved Transportation Improvement Plan includes \$120.0 million in federal funding for the Interstate 95 Viaduct project. The Department requests an additional \$130.0 million from Rhode Island Capital Plan funds, for a project cost of \$250.0 million. The project has been revised to include Rhode Island Capital Plan funds for the additional funding. The Department notes that all known

resources have been allocated in the ten-year plan. The FY 2019 approved plan assumed that the Department would receive additional federal funds to make up the difference; however, the federal grant that the Department applied for was not approved. The viaduct carries more than 200,000 vehicles on a daily basis and the Department noted that it is the third most traveled interstate in New England. Phase I of the project began in June 2013, focusing on the construction of a new bridge to the west of the Viaduct, carrying traffic southbound. *The Governor's recommendation does not assume additional funding from any source to complete the project.*

**Statewide Pavement.** The Department requests new expenditures of \$120.0 million from Rhode Island Capital Plan funds, which assumes use of \$20.0 million annually for a statewide pavement program, including \$20.0 million in the current year. Work would include pavement resurfacing, crack sealing, and micro surfacing of state-owned roads.

This funding is in addition to paving projects that the Department has included in its ten-year plan, for which the RhodeWorks legislation adopted by the 2016 Assembly provided sufficient funds. The Department notes that during the Transportation Improvement Plan public process, local cities and towns requested an additional \$145.0 million worth of pavement projects.

The Department included funding for this project in its last three capital requests. The 2018 Assembly repurposed \$10.0 million from federal funds personnel savings for statewide repaving projects. *The Governor does not recommend funding this project.*

**Winter Maintenance Facility Upgrades.** The Department requests \$4.5 million from Rhode Island Capital Plan funds to be used from FY 2019 through FY 2021 to build two salt brine facilities and two truck washing facilities, one each in Smithfield and in Warwick to improve winter maintenance operations. The truck washing facilities will enable the Department to preserve acquired capital equipment by removing salt to prevent corrosion. The two salt brine facilities will be built in Smithfield and in East Providence. Salt brine treatment of roads prior to storm events allows for easier removal of ice and snow. The Department included funding for this project in its last three capital requests; however, neither the Governor nor the Assembly provided funding.

The request assumes use of \$250,000 in the current year. Provided that that the Governor recommends funding and the Assembly approves this project, the timing of such approval makes it unlikely that this expense would occur in the current fiscal year. *The Governor does not recommend funding for this project.*





## Rhode Island Public Transit Authority

Projects	Status	5 Year Total	Project Total
Bus Purchases	Revised	\$ 80,047,016	\$ 186,394,559
Enterprise Software	Revised	2,500,000	4,783,070
Farebox Upgrade	Revised	2,800,000	7,125,190
Land and Buildings	Revised	20,351,130	50,905,636
Pawtucket Bus Hub and Transit Corridor	Revised	-	8,852,805
Providence Transit Connector	Revised	6,556,369	19,018,637
Warwick Bus Hub	Revised	1,300,000	1,300,000
College Hill Bus Tunnel	Ongoing	8,620,000	9,633,634
Fixed Route and Paratransit Cameras	Ongoing	-	2,269,804
Information Technology Redundancy	Ongoing	1,000,000	1,891,328
Paratransit Vehicles	Ongoing	10,374,015	37,963,009
<b>Total</b>		<b>\$ 133,548,530</b>	<b>\$ 330,137,672</b>
<b>Sources of Funds</b>			
Agency Funds		\$ 17,600,000	\$ 22,000,000
Federal Funds		93,797,368	258,609,912
Highway Maintenance Account		8,038,228	8,736,925
Other		6,570,000	9,809,630
Rhode Island Capital Funds		2,610,000	13,731,389
RIPTA Operating Funds		64,000	446,955
RIPTA Revolving Loan Funds		3,539,029	11,485,485
State Fleet Replacement Revolving Loan Fund		1,329,905	5,317,376
<b>Total</b>		<b>\$ 133,548,530</b>	<b>\$ 330,137,672</b>

**Summary.** The Rhode Island Public Transit Authority requests \$136.9 million for the five-year period for total project costs of \$315.0 million. The request is for 11 projects, all have been approved; however, funding was revised to reflect updated project costs. This includes new funding of \$6.6 million from Volkswagen settlement funds to purchase electric zero emission buses. The request also assumes use of \$29.1 million from the Department of Transportation's current share of the Highway Maintenance Account for federal match. The Authority notes that to the extent that the funds are not provided, it would have to cover these expenses out of its operating funds, which will cause a deficit. The Department of Transportation's capital budget request does not assume this expense.

*The Governor recommends total project costs of \$330.1 million, which assumes use of \$133.5 million in the five-year period. This is \$15.1 million more than requested, including \$6.1 million for prior year expenditures and \$9.1 million for bus purchases. The recommendation assumes use of \$8.7 million from the Highway Maintenance Account, it appears that \$3.6 million of this is from the Authority's current share. It also assumes use of \$22.0 million from agency funds in lieu of requested highway maintenance funds to match federal funds for bus purchases.*

*The Governor proposes legislation in Article 8 of 2019-H 5151 to provide the Authority with an additional \$5.0 million from the Department of Transportation's current share of the Highway Maintenance Account for FY 2020. She also proposes changes to the disposition of the gasoline tax to provide the Authority with an additional half cent, increasing the Authority's share of the tax to 10.25 cents.*

**Bus Purchases.** The Authority requests \$83.4 million in the five-year period to replace buses and flex vehicles, which are vans that supply service to low density areas. The Authority indicates that these vehicles have or will have exceeded their useful lives. The useful life varies depending on the type of vehicle and is defined by Federal Transit Administration regulations. Funds will be used for the purchase of 84 vehicles, which represents 33.6 percent of the Authority's current fleet of 250 vehicles.

The request is \$4.5 million more than the approved plan; however, it assumes use of \$6.6 million from Volkswagen settlement funds and \$23.4 million from the Highway Maintenance Account that the Authority indicates will be used for matching funds. It should be noted that these funds are currently allocated to the Department of Transportation. This would be in addition to the Authority's current 5.0 percent share of transportation related surcharges and fees collected by the Division of Motor Vehicles. The FY 2019 approved plan assumed that the Authority would use its resources. These increases are offset by \$9.0 million less from federal funds and \$13.4 million less from the Authority's sources. The Volkswagen settlement funds will be used to launch a pilot program whereby the Authority will lease three electric zero emission buses for a period of three years. During that time, the Authority will evaluate the efficiency of adding zero emission buses. In phase II, the Authority will purchase and install electric charging equipment and 20 electric zero emission buses.

*The Governor recommends total project costs of \$186.4 million, \$9.1 million more than requested to include expenditures of \$12.5 million in the out-years and reducing expenditures in the five-year period by \$3.4 million based on the Authority's updated plan. The recommendation assumes use of \$1.4 million from the State Fleet Replacement Revolving Loan Fund and \$22.0 million from agency funds in lieu of requested highway maintenance funds to match federal funds for bus purchases.*

**Enterprise Software.** The Authority requests \$4.8 million to replace its current financial tracking system in order to address shortfalls in financial data quality, processing and monitoring. Total funding includes 80.0 percent from Federal Transit Administration funds matched with 20.0 percent from Authority sources.

The request is \$1.2 million more than the approved plan. It should be noted that the project cost has increased by \$3.2 million over two years. The Authority indicates that there have been significant issues with the vendor in delivering a product that would meet its needs as well as Federal Transit Administration's requirements for a new asset management system to include detailed records on revenue vehicle maintenance to ensure that acquired assets are properly maintained. The Federal Transit Administration expects that the Authority will perform adequate maintenance to ensure that acquired vehicles will reach their full useful lives. Additionally, the Authority is required to report annually on its assets to the National Transit Database and determine what percentage of assets are not in a state of good repair, and how it plans to address that. The Authority terminated that contract and is in the process of seeking a new vendor. *The Governor recommends funding as requested.*

**Farebox Upgrade.** The Authority requests expenditures of \$7.1 million, including \$5.7 million or 80.0 percent from federal funds and the remaining funds from the Authority's operating sources to be used through FY 2020 to upgrade its farebox system. The goal of the project is threefold: speed up boarding time, reduce maintenance expenses on current fareboxes, and provide easier and more forms of fare payment. The request is \$1.5 million more than the approved plan primarily to reflect a revised estimate for the software to support smart cards and mobile payments.

In 2015, the Authority conducted a fare study, which provided recommendations for technology upgrades such as the use of smart cards and mobile technology. The Authority notes that these technologies would reduce the amount of cash being collected and would decrease the use of mechanical components in the farebox, which requires unscheduled maintenance. *The Governor recommends funding as requested.*

**Land and Buildings.** The Authority requests \$44.1 million from all funds, including \$1.4 million from Rhode Island Capital Plan funds to improve security at its Elmwood facilities, provide upgrades to various transit hubs and park and ride facilities while also making multi-year improvements to bus stops, sidewalks and transit hubs. These upgrades would include increased security measures, crack repair, shelter improvement and repaving work. The Authority has transit hubs in Providence, Newport, Pawtucket, Woonsocket, and Warwick.

Planned expenditures also include a backup generator for the Elmwood Facility and replacement of lifts in several facilities. The request is \$20.2 million more than the approved plan and has been revised to include \$5.0 million to renovate the Chafee Maintenance Facility on Melrose Avenue. This includes a new roof as well as heating, ventilation and air conditioning upgrades and garage door replacement. Remaining funds would be used for facility upgrades at all of the Authority's other buildings, including Elmwood, Newport, and Kennedy Plaza. Other projects include underground tank replacement, pavement, and curb replacement. The Authority indicates that these projects were identified as part of the Federal Transit Administration's required focus on asset management.

The request assumes use of \$3.6 million in funding from the Department of Transportation's share of the Highway Maintenance Account for the federal match. The Authority notes that to the extent that the funds are not provided, it would have to cover these expenses out of its operating funds, which will cause a deficit. The Department of Transportation's budget does not assume this expense.

*The Governor recommends total project costs of \$50.9 million, \$6.8 million more than requested to adjust prior year expenditures and remove \$90,000 programmed in the out-years. The recommendation assumes use of \$3.6 million from the Highway Maintenance Account; however, this appears to be from the Authority's share of the funds.*

**Pawtucket Bus Hub and Transit Corridor.** The Authority requests \$9.0 million from all sources, including \$7.4 million from federal funds to build a transit hub adjacent to the new commuter rail station on the Pawtucket/Central Falls border. The project will include six to eight bus berths, shelters, real-time bus information, ticket vending machines, waiting space and restrooms. The request is \$1.8 million more than the approved plan reflective of \$1.7 million from federal funds. Construction is currently underway and is expected to be completed this fiscal year. *The Governor recommends \$0.1 million less than requested to adjust prior year expenditures.*

**Providence Transit Connector.** The Authority requests \$18.6 million, including \$1.7 million from Rhode Island Capital Plan funds and \$13.6 million from federal funds, which has already been awarded to improve the transit corridor between Kennedy Plaza, the Providence Train Station and other hubs. The City of Providence was the original recipient of the Transportation Investment Generating Economic Recovery (TIGER) grant, and the Authority has agreed to administer the project, with the state providing the match. Final design was done in 2017 and construction is currently underway. The Authority anticipates new service along the corridor will start in January 2020. The request is \$1.6 million more than the approved plan, based on the Authority's revised construction costs. *The Governor recommends \$0.4 million more than requested to include prior year expenditures from Rhode Island Capital Plan funds.*

**Warwick Bus Hub.** The Authority requests \$1.3 million from all funds, including \$0.3 million from Rhode Island Capital Plan funds to match federal funds to construct a new bus hub to serve the Community College's Knight Campus in Warwick. The Authority indicates that the current stop at the Warwick Mall is inadequate for the level of activity at that location. The new bus hub will include benches, shelters, real-time arrival signs, lighting, roadway and landscaping improvements. The project has been revised to include Rhode Island Capital Plan funds for match in lieu of the Authority's sources. *The Governor recommends funding as requested.*

**College Hill Bus Tunnel.** Consistent with the approved plan, the Authority requests \$9.6 million, including \$1.9 million from Rhode Island Capital Plan funds to match federal funds to make structural and drainage repairs, and safety improvements to the College Hill Bus Tunnel. According to the Authority, more than 300 trips are made daily through the tunnel, which was built in 1914 and was last renovated in 1992. The Authority anticipates construction starting in FY 2019 and completing in FY 2021. *The Governor recommends funding as requested.*

**Fixed Route and Paratransit Cameras.** The Authority requests \$2.4 million to install security cameras on its buses and paratransit vehicles. The project consists of placing six cameras on each of the Authority's 250 vehicles and five cameras on each of its 111 paratransit vehicles. Funding consists of \$1.9 million from federal funds and \$0.5 million from Authority sources. The request is \$0.1 million more than the approved plan, programmed for FY 2019 to complete final payments for the project. *The Governor recommends funding consistent with the approved plan.*

**Information Technology Redundancy.** The Authority requests \$2.9 million to be used through FY 2020 to provide backup and disaster recovery for its computerized systems. The Authority is currently investigating solutions to protect its data by providing offsite redundancy in the case of electrical failure or other conditions that could lead to the loss of stored data and system functionality. Most of the funding is from federal sources. The request is \$1.0 million more than approved primarily from an inadvertent overstatement of prior year spending. *The Governor recommends funding consistent with the approved plan.*

**Paratransit Vehicles.** The Authority requests \$10.4 million to be used in the five-year period for the replacement of paratransit vehicles. The Department's fleet currently includes 111 vehicles, as well as spare vehicles which are used to allow for unscheduled repairs and preventive maintenance. During FY 2016, the Authority replaced 25 vehicles; 75 vehicles are scheduled for replacement starting in FY 2019. The request includes total project costs of \$38.0 million, consisting of \$30.4 million from federal funds and \$7.6 million from the Authority's paratransit revolving funds and is \$0.4 million more than the approved plan. *The Governor recommends funding as requested.*

## Rhode Island Public Airport Corporation

Projects	Status	5 Year Total	Project Total
T.F. Green Airport General Improvements	Revised	\$ 98,359,925	\$ 107,038,850
Outlying Airports General Improvements	Ongoing	25,050,000	28,150,000
<b>Total</b>		<b>\$ 123,409,925</b>	<b>\$ 135,188,850</b>
<b>Sources of Funds</b>			
Airport Operating Funds		\$ 11,542,000	\$ 17,343,000
Federal Funds		81,992,632	84,695,264
Other Funds		1,500,000	3,380,000
Passenger Facility Charges		28,375,293	29,770,586
<b>Total</b>		<b>\$ 123,409,925</b>	<b>\$ 135,188,850</b>

**Summary.** The Airport Corporation requests total capital expenditures of \$137.2 million, including \$125.4 million during the five-year period, for two projects with various components. The request is \$126.8 million less than the approved plan to reflect the exclusion of prior year expenditures for completed projects. *The Governor recommends \$2.0 million less than requested, based on the availability of funds.*

**T.F. Green Airport General Improvements.** The Corporation requests \$98.4 million to be used in the five-year period for general improvement components at T.F. Green Airport totaling \$107.0 million. This assumes use of \$61.4 million from federal funds, \$8.6 million from the Corporation's operating funds and \$28.4 million from passenger facility charges. Passenger facility charges are generated from a \$4.50 charge on all enplaned passengers and can only be used for certain projects deemed eligible by the Federal Aviation Administration.

The request is \$141.4 million less than the approved plan to reflect the exclusion of prior year expenditures for completed projects including runway 5/23. The Corporation is in the process of updating its master plan and indicates that based on the plan, the capital improvement plan will be updated. *The Governor recommends funding as requested.*

**Outlying General Airports Improvements.** The Corporation requests total project costs of \$30.2 million, of which \$27.1 million will be used in the five-year period to make improvements at the Corporation's five general aviation airports. Projects include rehabilitation to runways, taxiways and aircraft parking aprons. The request assumes use of \$20.6 million from federal funds, \$3.0 million from the Corporation's operating funds, and \$3.5 million from other funds, which are federal funds that are passed through the National Guard for Quonset Point projects.

The request is \$5.9 million more than the approved plan, to primarily reflect the Corporation's revised project costs at the Quonset Airport. This includes \$2.0 million for projects beyond the boundary of the Quonset Airport, which the Corporation subsequently indicated that the Federal Aviation Administration will not fund. *The Governor recommends \$2.0 million less than requested as the source of funding has not been determined.*

