## Summary by Fund Source

<table>
<thead>
<tr>
<th></th>
<th>Enacted</th>
<th>FY 2019 Revised</th>
<th>FY 2020</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Revenues</td>
<td>$102.0</td>
<td>$102.0</td>
<td>$106.1</td>
</tr>
<tr>
<td>Federal Funds</td>
<td>2.9</td>
<td>3.1</td>
<td>3.1</td>
</tr>
<tr>
<td>Restricted Receipts</td>
<td>12.1</td>
<td>12.2</td>
<td>13.0</td>
</tr>
<tr>
<td>Other Funds</td>
<td>7.4</td>
<td>8.2</td>
<td>4.5</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$124.4</strong></td>
<td><strong>$125.5</strong></td>
<td><strong>$126.7</strong></td>
</tr>
</tbody>
</table>

$ in millions
## Summary by Fund Source

<table>
<thead>
<tr>
<th>Change to Enacted</th>
<th>FY 2019 Revised</th>
<th>FY 2020</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Revenues</td>
<td>$0.1</td>
<td>$4.1</td>
</tr>
<tr>
<td>Federal Funds</td>
<td>0.1</td>
<td>0.2</td>
</tr>
<tr>
<td>Restricted Receipts</td>
<td>0.2</td>
<td>0.9</td>
</tr>
<tr>
<td>Other Funds</td>
<td>0.8</td>
<td>(3.0)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$1.1</strong></td>
<td><strong>$2.3</strong></td>
</tr>
</tbody>
</table>

$ in millions
FY 2020 by Source

General Revenues 83%

Federal Funds 2%
Restricted Receipts 10%
Other 5%
FY 2020 by Category

- Salaries & Benefits: 74%
- Contracted Services: 2%
- Operating Assistance & Grants: 9%
- Capital: 5%
- Operating: 10%
Centralized Services

- 2017 Assembly authorized establishment of internal service funds for centralized services
  - Information technology, capital asset management & maintenance, & human resources
- Costs previously budgeted in DOA
  - 2018 Assembly included costs in user agency budgets
  - Final FY 2018 & FY 2019 budget
## Centralized Services

<table>
<thead>
<tr>
<th>General Revenues</th>
<th>FY 2019 Enacted</th>
<th>FY 2019 Revised</th>
<th>Chg. to Enacted</th>
<th>FY 2020</th>
<th>Chg. to Enacted</th>
</tr>
</thead>
<tbody>
<tr>
<td>IT</td>
<td>$98,649</td>
<td>$98,649</td>
<td>$ -</td>
<td>$98,649</td>
<td>$ -</td>
</tr>
</tbody>
</table>
Governor distributes statewide general revenue savings enacted for FY 2019 in the Department of Administration

- **Prompt Payment** - $0.4 million
  - Vendors voluntarily offer a discount if payments are received within an agreed upon date

- **Contracts** - $3.0 million
  - Effort to reduce costs of certain commodities
    - Food, maintenance, office equipment, telecommunications

- **Insurance** - $0.5 million
  - Reductions in policies for property & crime
### Statewide Savings Initiatives

<table>
<thead>
<tr>
<th>Initiative</th>
<th>FY 2019 Revised</th>
<th>FY 2020</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prompt Payment</td>
<td>$(7,640)</td>
<td>$(7,640)</td>
</tr>
<tr>
<td>Contract</td>
<td>(193,061)</td>
<td>(200,344)</td>
</tr>
<tr>
<td>Insurance</td>
<td>(84,999)</td>
<td>(85,149)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$(285,700)</strong></td>
<td><strong>$(293,133)</strong></td>
</tr>
</tbody>
</table>

- Non general revenue savings are not reflected
# Staffing

## Full-Time Equivalent Positions

<table>
<thead>
<tr>
<th>Full-Time Positions</th>
<th>FTEs</th>
<th>Chg. To Enacted</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enacted Authorized</td>
<td>723.3</td>
<td>-</td>
</tr>
<tr>
<td>FY 2019 Gov. Rev.</td>
<td>723.3</td>
<td>-</td>
</tr>
<tr>
<td>FY 2020 Request</td>
<td>723.3</td>
<td>-</td>
</tr>
<tr>
<td>FY 2020 Governor</td>
<td>723.3</td>
<td>-</td>
</tr>
<tr>
<td>FY 2020 Funded FTE</td>
<td>696.3</td>
<td>(27.0)</td>
</tr>
<tr>
<td>Filled as of March 30</td>
<td>676.6</td>
<td>(46.7)</td>
</tr>
<tr>
<td>FY 2018 Average Filled</td>
<td>676.0</td>
<td>(47.3)</td>
</tr>
</tbody>
</table>
# Staffing

## FY 2020 Governor Recommendation

<table>
<thead>
<tr>
<th></th>
<th>Judiciary</th>
<th>Statewide</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Gross Salaries (in millions)</strong></td>
<td>$62.4</td>
<td>$1,249.1</td>
</tr>
<tr>
<td><strong>Turnover (in millions)</strong></td>
<td>(2.3)</td>
<td>(42.9)</td>
</tr>
<tr>
<td><strong>Turnover %</strong></td>
<td>3.8%</td>
<td>3.4%</td>
</tr>
<tr>
<td><strong>Turnover FTE</strong></td>
<td>27.2</td>
<td>529.0</td>
</tr>
<tr>
<td><strong>FY 2020 FTE recommended</strong></td>
<td>723.3</td>
<td>15,413.1</td>
</tr>
<tr>
<td><strong>Funded FTE</strong></td>
<td>696.1</td>
<td>14,884.1</td>
</tr>
<tr>
<td><strong>Filled as of March 30</strong></td>
<td>676.6</td>
<td>14,131.4</td>
</tr>
<tr>
<td><strong>Funded but not filled</strong></td>
<td>19.5</td>
<td>752.7</td>
</tr>
</tbody>
</table>
Target Budget

- Budget Office provided a general revenue target of $100.4 million
  - Current service adjustments of $3.2 million
  - 5% target reduction of $4.8 million
    - Adjusted for certain exclusions
- Judiciary did not submit a constrained budget request
- Governor’s budget is $0.9 million above current services
Governor announced appointment to fill 6 vacancies on Dec 10
- FY 2019 revised budget includes $0.5 million to fund them for 4th quarter
- FY 2020 budget includes $2.0 million
- 6 other vacancies currently

<table>
<thead>
<tr>
<th>Court</th>
<th>Vacancies</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supreme</td>
<td>-</td>
</tr>
<tr>
<td>Superior</td>
<td>(3.0)</td>
</tr>
<tr>
<td>District</td>
<td>-</td>
</tr>
<tr>
<td>Family</td>
<td>(1.0)</td>
</tr>
<tr>
<td>Traffic Tribunal</td>
<td>(1.0)</td>
</tr>
<tr>
<td>Workers’ Comp.</td>
<td>(1.0)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>(6.0)</strong></td>
</tr>
</tbody>
</table>
$1.9 million of unspent general revenues from FY 2018 reappropriated to FY 2019

- Current law requirement
- Judiciary requested ~ $1.0 million
- Governor recommended $0.9 million less than requested
- Statewide savings
Overhead Functions
# Overhead Functions FY 2020
Changes to Enacted

<table>
<thead>
<tr>
<th>Change to Enacted</th>
<th>Gen. Rev.</th>
<th>All Funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pay-Go Judges Pensions</td>
<td>$0.0</td>
<td>$0.0</td>
</tr>
<tr>
<td>Case Mgmt. System</td>
<td>-</td>
<td>0.1</td>
</tr>
<tr>
<td>Other Court Technology</td>
<td>(0.0)</td>
<td>(0.0)</td>
</tr>
<tr>
<td>Security</td>
<td>0.5</td>
<td>0.5</td>
</tr>
<tr>
<td>Facilities &amp; Operations</td>
<td>0.1</td>
<td>0.3</td>
</tr>
<tr>
<td>Indigent Defense</td>
<td>0.4</td>
<td>0.4</td>
</tr>
<tr>
<td>Interpreters &amp; Translators</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Legal</td>
<td>0.0</td>
<td>0.1</td>
</tr>
<tr>
<td>Other Overhead Functions</td>
<td>(0.3)</td>
<td>(0.3)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$0.7</strong></td>
<td><strong>$1.1</strong></td>
</tr>
</tbody>
</table>

$ in millions
Pay-Go Pensions

- $5.7 million for FY 2020
  - $2,544 more than enacted
- For judges & magistrates hired before 1990
  - Not part of Employees’ Retirement System
  - Paid through annual appropriation rather than through the retirement trust fund
- 6 judges still working that are not part of the pension system
  - All are eligible to retire
Electronic filing for all courts
- Computers, servers, software
- Increased efficiency for data management

$0.5 million for FY 2020
- Mostly from restricted receipts
- $0.1 million more than enacted
- To create an automated payment plan through the portal to enhance collections
Court Technology

- Unrelated to case management system
  - Computers
  - Copier and scanner replacement
  - Contract work for reporting, network support, & applications support
- $3.0 million for FY 2020
$0.8 million for FY 2020
- $0.5 million more than enacted
- Related to increase in gang violence in and around Providence courthouses

Reimbursements to Capitol Police, Sheriffs, State Police & Providence Police

Brinks & Dunbar Armored Car Service
- Cash and check deposit pickups

Parking area security for judges
Facilities and Operations

- $6.1 million for FY 2020
  - $0.3 million more than enacted
  - Custodial and maintenance
  - Minor renovations and repairs
Court appoints legal representation when Public Defender has a conflict of interest

$4.1 million for FY 2020
- $0.4 million more than enacted
- $0.4 million less than FY 2019

$4.5 million for FY 2019

<table>
<thead>
<tr>
<th>Spent</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2015</td>
<td>$3.9</td>
</tr>
<tr>
<td>FY 2016</td>
<td>$3.9</td>
</tr>
<tr>
<td>FY 2017</td>
<td>$4.0</td>
</tr>
<tr>
<td>FY 2018</td>
<td>$4.1</td>
</tr>
</tbody>
</table>
Federal requirements for language access

- Unfunded mandate
- $0.3 million for FY 2020
  - Consistent with FY 2019 enacted budget
  - Mostly from general revenues
- Services provided in 32 languages according to a recent annual report
$3.9 million for FY 2020 and 24 FTE
- $0.1 million more than enacted
  - Anticipated staffing costs
  - Statewide benefit adjustments
- Law Library
- Board of Bar Examiners
- Mandatory Continuing Legal Education
- Appellate Screening Unit
- Disciplinary Board/Counsel
Other Overhead Functions

- $6.6 million for FY 2020
  - Finance, Budget, & Employee Relations
  - Planning
  - Judicial Records Center
- $0.1 million less than enacted
  - Anticipated staffing costs
  - Statewide benefit adjustments
  - Statewide operating savings
Individual Courts
FY 2020
Recommendation
Supreme Court

- Final court of review
  - Only constitutionally established court
- 5 Judges, 164.7 total positions
  - Most associated with some degree of overhead
- $18.3 million for FY 2020
  - $1.7 million more than enacted
    - Almost all for salaries and benefits
      - Restores enacted turnover savings
      - Current service adjustments
      - Statewide benefit savings
$230,000 from general revenues for FY 2019 and FY 2020

- Funding for the Coalition to administer Domestic Abuse Court Advocacy Project
  - Project established in RIGL 12-29-7
- Consistent with enacted budget
$90,000 from general revenues for FY 2019 and FY 2020

- Funding for RI Legal Services to provide housing and eviction defense to indigent individuals
- Consistent with enacted budget
Superior Court

- Jurisdiction over felony & misdemeanor cases appealed or waived from District Court
  - Small claims
  - Violations of municipal ordinances & regs.
  - Misdemeanors when right to jury trial waived
- 27 Judges/Magistrates, 164.7 total FTE
- $25.5 million for FY 2020
  - $1.1 million more than enacted
Superior Court

- Judicial Appointments - $0.5 million
  - 2 associate judges
- Diversion Program - $50,000
  - Additional risk assessments for defendants who score as high risk
    - New requirement from 2017 law
    - Justice Reinvestment initiative
District Court

- 16 Judges/Magistrates, 95.0 total FTE
- $14.0 million for FY 2020
  - Small claims
  - Violations of municipal ordinances & regs.
  - Misdemeanors when right to jury trial waived
- $0.1 million less than enacted
  - Turnover savings
Veterans’ Court

$0.1 million and 4 FTE

- Specialized calendar is a jail diversion program aimed at addressing veterans charged primarily with misdemeanor offenses
- Created in April of 2011
- 2015 Assembly established calendar in statute
Cases involving
- Juveniles
- Parental neglect, Paternity issues & Divorces

21 Judges/Magistrates, 171.7 total FTE

$25.9 million for FY 2020
- $1.6 million more than enacted
  - $0.5 million for judicial appointments
  - $0.8 million for other salaries and benefits
    - Restored turnover savings
    - Statewide benefit adjustments
    - Current service adjustments
Traffic Tribunal

- Civil traffic violations
  - License suspensions
  - DOT and DEM violations
- 8 Judges/Magistrates, 76.2 total FTE
- $9.3 million for FY 2020
  - $0.4 million less than enacted
    - $0.3 million more for judicial appointments
    - $0.7 million less for other salaries and benefits
      - Turnover and statewide benefit savings
Workers’ Compensation Court

- Adjudicates all workers’ compensation cases
  - Including rehabilitation of injured employees
- 10 Judges, 50.0 total positions
- $8.9 million from restricted receipts for FY 2020
  - $0.5 million more than enacted
    - $0.3 million for current service adjustments
    - $0.2 million to restore turnover savings
    - Statewide benefit savings not taken
Judicial Tenure and Discipline Commission

- Conducts investigations of judges suspected or charged with misconduct
- 14 member commission serves without compensation
- $0.2 million – funding for 1.0 position and operations
## FY 2020 – 2024 Capital Plan

<table>
<thead>
<tr>
<th>Project</th>
<th>Status</th>
<th>Cost</th>
<th>Financing</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fan Coil Unit</td>
<td>New</td>
<td>$2.0</td>
<td>RICAP</td>
<td>FY 2019</td>
</tr>
<tr>
<td>Garrahy Interior</td>
<td>New</td>
<td>$4.5</td>
<td>RICAP</td>
<td>FY 2024</td>
</tr>
<tr>
<td>McGrath</td>
<td>New</td>
<td>$0.2</td>
<td>RICAP</td>
<td>FY 2023</td>
</tr>
<tr>
<td>Asset Protection</td>
<td>Revised</td>
<td>$14.7</td>
<td>RICAP</td>
<td>perpetual</td>
</tr>
<tr>
<td>HVAC</td>
<td>Revised</td>
<td>$13.3</td>
<td>RICAP</td>
<td>FY 2024</td>
</tr>
<tr>
<td>Garrahy</td>
<td>Revised</td>
<td>$1.8</td>
<td>RICAP</td>
<td>FY 2024</td>
</tr>
</tbody>
</table>

$ in millions
## FY 2020 – 2024 Capital Plan

<table>
<thead>
<tr>
<th>Project</th>
<th>Status</th>
<th>Cost</th>
<th>Financing</th>
<th>End Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Licht Chillers Replacement</td>
<td>Revised</td>
<td>$1.2</td>
<td>RICAP</td>
<td>FY 2020</td>
</tr>
<tr>
<td>Noel Shelled Build Out</td>
<td>Ongoing</td>
<td>$10.0</td>
<td>RICAP</td>
<td>FY 2019</td>
</tr>
<tr>
<td>Licht Complex</td>
<td>Ongoing</td>
<td>$7.3</td>
<td>RICAP</td>
<td>FY 2024</td>
</tr>
<tr>
<td>Murray Courtroom</td>
<td>Ongoing</td>
<td>0.7</td>
<td>RICAP</td>
<td>FY 2022</td>
</tr>
<tr>
<td>Licht Windows/Exterior</td>
<td>Ongoing</td>
<td>$1.3</td>
<td>RICAP</td>
<td>FY 2019</td>
</tr>
</tbody>
</table>

$ in millions
Fan Coil Unit Replacement

- New project to replace fan coil units
  - Licht Judicial Complex
  - Murray Judicial Complex
  - Fogarty Judicial Annex

- Current units are 30 years old
  - 5 years beyond useful life

- Energy savings are expected from better efficient units
FY 2020 – 2024 Capital Plan

- Garrahy Interior Restoration – Excluding Courtrooms
  - Removing and replacing floors and carpets
  - Sealing and repainting walls
  - Woodwork refinishing
  - Refurbishing employee bathrooms
- McGrath – Replacement of Rooftop Units
  - Replace all 18 units
Rhode Island Judiciary

FY 2019 Revised and FY 2020, and Capital Recommendations
House Finance Committee
April 4, 2019