Office of the Attorney General

FY 2014 – FY 2015 Budgets
FY 2015 – FY 2019 Capital Budget

Staff Presentation
February 27, 2014

Office of the Attorney General

- Central legal agency of the state
- Prosecutor of all criminal felony cases and misdemeanor appeals
- Represents all state agencies, departments, and commissions
- Initiates litigation to protect the interest of Rhode Island citizens
Office of the Attorney General

- Includes four programs:
  - Criminal – Prosecution of felony cases and misdemeanor appeals
  - Civil – Lawsuits brought against the state, agencies, boards and commissions
  - Bureau of Criminal Identification – Criminal history information, conducts background checks
  - General – Administrative Support

<table>
<thead>
<tr>
<th></th>
<th></th>
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<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>General Revenues</td>
<td>$23.7</td>
<td>$24.0</td>
<td>$23.6</td>
<td>($0.1)</td>
</tr>
<tr>
<td>Federal Funds</td>
<td>1.6</td>
<td>2.8</td>
<td>1.4</td>
<td>(0.3)</td>
</tr>
<tr>
<td>Restricted Receipts</td>
<td>5.1</td>
<td>16.0</td>
<td>11.2</td>
<td>6.2</td>
</tr>
<tr>
<td>Other</td>
<td>0.1</td>
<td>0.2</td>
<td>0.3</td>
<td>0.3</td>
</tr>
<tr>
<td>Total</td>
<td>$30.4</td>
<td>$43.0</td>
<td>$36.5</td>
<td>$6.1</td>
</tr>
</tbody>
</table>
FY 2015 Governor by Program

- Criminal 72%
- Civil 16%
- Bureau of Criminal Identification 4%
- General 8%

Budget Office Target

- General revenue target of $22.2 million
  - Current service adjustments of $251,755
  - 7.0% target reduction of $1.7 million
    - Office did not submit a constrained budget
  - Unconstrained budget is $4.5 million above target
- Governor recommended budget exceeds target by $1.3 million
Governor’s FY 2015 Changes

<table>
<thead>
<tr>
<th>(In Millions)</th>
<th>Gen Rev</th>
<th>Total</th>
<th>FTE</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2014 Enacted</td>
<td>$23.7</td>
<td>$30.4</td>
<td>233.1</td>
</tr>
<tr>
<td>Google Settlement</td>
<td>-</td>
<td>6.0</td>
<td>-</td>
</tr>
<tr>
<td>Tobacco Litigation</td>
<td>(0.3)</td>
<td>(0.3)</td>
<td>-</td>
</tr>
<tr>
<td>Salaries and Benefits</td>
<td>0.2</td>
<td>(0.1)</td>
<td>-</td>
</tr>
<tr>
<td>Parking Costs</td>
<td>0.1</td>
<td>0.1</td>
<td>-</td>
</tr>
<tr>
<td>Other Operations</td>
<td>-</td>
<td>0.2</td>
<td>-</td>
</tr>
<tr>
<td>Capital Projects</td>
<td>-</td>
<td>0.3</td>
<td>-</td>
</tr>
<tr>
<td><strong>Total Change</strong></td>
<td>($0.1)</td>
<td>$6.1</td>
<td>0.0</td>
</tr>
<tr>
<td>FY 2015 Governor</td>
<td>$23.6</td>
<td>$36.5</td>
<td>233.1</td>
</tr>
</tbody>
</table>

Google Settlement

- Office to receive $60.0 million from settlement
  - $4.0 million included in FY 2014 enacted
  - Governor recommends $15.0 million for FY 2014 and $10.0 million for FY 2015 as requested
  - Not yet identified projects, but current plan to include needs assessment
  - Funds are awarded for approved projects only by the Asset Forfeiture & Money Laundering Section of the U.S. Department of Justice
Tobacco Litigation

- Governor recommends $0.5 million for FY 2014 & none for FY 2015
  - FY 2014 revised recommendation includes $225,000 in reappropriation from FY 2013
  - FY 2014 enacted includes $0.3 million
    - FY 2013 expenses were $7,391
  - FY 2015 Governor recommends no funding
    - Recommendation assumes potential for adjustment to FY 2015 in FY 2016 Appropriations Act

Salaries and Benefits

Staffing Request

- Office requested $2.5 million more than enacted from general revenues for FY 2015
  - Adds 5.0 new full-time positions
    - 2.0 attorneys, 1.0 senior investigator, 1.0 paralegal clerk and 1.0 admin/fingerprint expert
- Office requested $0.3 million more than enacted for FY 2014 revised request
  - Restore enacted turnover savings
Salaries and Benefits

➢ Governor recommends $23.6 million from all funds for 233.1 full-time positions for FY 2015
  ❖ $21.8 million from general revenues
    ✔ $0.2 million more than enacted, $2.3 million less than requested
    ✔ No new positions

➢ Governor includes $23.4 million for FY 2014
  ❖ $21.4 million from general revenues
    ✔ $0.2 million less than enacted
    ✔ Additional turnover & statewide medical benefit savings

Salaries and Benefits

Staffing

➢ Governor’s FY 2015 recommendation is $0.1 million less than enacted from all sources
  ❖ $0.2 million more from general revenues
    ✔ Offset by $0.2 million less from federal funds
    ✔ $0.1 million from statewide benefit savings
    ✔ Current service adjustments
    ✔ Updated benefit rates
Salaries and Benefits
Full-Time Equivalent Positions

<table>
<thead>
<tr>
<th></th>
<th>FTEs</th>
<th>Chg. To Enacted</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enacted Authorized</td>
<td>233.1</td>
<td>-</td>
</tr>
<tr>
<td>FY 2014 Rev. Req.</td>
<td>233.1</td>
<td>-</td>
</tr>
<tr>
<td>FY 2015 Request</td>
<td>238.1</td>
<td>5.0</td>
</tr>
<tr>
<td>FY 2014 &amp; 15 Governor</td>
<td>233.1</td>
<td>-</td>
</tr>
<tr>
<td>FY 2013 Average Filled</td>
<td>226.8</td>
<td>(6.3)</td>
</tr>
<tr>
<td>Filled as of February 22</td>
<td>229.1</td>
<td>(4.0)</td>
</tr>
</tbody>
</table>

Funded Positions

- Enacted includes savings of $0.6 million from turnover and appears to fund 224.1 positions

  - Consistent with Governor’s recommended turnover assumption for FY 2015

- Governor’s revised budget assumes $0.7 million in turnover savings and appears to fund 223.1 positions
Parking Costs

- Office requests $184,927 from all funds for parking costs
  - $165,125 from general revenues
    - $76,649 more than enacted from all sources, $65,813 from general revenues
  - FY 2014 revised requests $179,546 from all sources, $160,138 from general revenues
  - New vendor contract includes additional parking spaces
  - Request for Google funding to support these costs was denied by the US Department of Justice

Parking Costs

- Governor recommends $179,940 from all funds for parking costs
  - $160,138 from general revenues
    - $71,662 more than enacted from all sources, $60,826 from general revenues
    - $4,987 less than requested based on subsequent costs revisions
- The Governor recommends funding as requested for FY 2014 revised budget
Other Operations

Office requests $2.5 million from all sources for all other expenses for FY 2015

<table>
<thead>
<tr>
<th>Fund Source</th>
<th>FY 2014 Enacted</th>
<th>FY 2015 Governor</th>
<th>Change to Enacted</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Revenues</td>
<td>$1,689,580</td>
<td>$1,674,529</td>
<td>($15,051)</td>
</tr>
<tr>
<td>Federal Funds</td>
<td>248,261</td>
<td>193,959</td>
<td>(54,302)</td>
</tr>
<tr>
<td>Restricted Receipts</td>
<td>307,107</td>
<td>591,998</td>
<td>284,891</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>$2,244,948</strong></td>
<td><strong>$2,460,486</strong></td>
<td><strong>$215,538</strong></td>
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</tbody>
</table>

Other Operations

General Revenues

<table>
<thead>
<tr>
<th>Items</th>
<th>FY 2015 Governor</th>
<th>Chg. to enacted</th>
<th>Chg. to request</th>
</tr>
</thead>
<tbody>
<tr>
<td>Building maintenance &amp; repairs</td>
<td>$243,008</td>
<td>($2,131)</td>
<td>($17,260)</td>
</tr>
<tr>
<td>Utilities</td>
<td>$143,045</td>
<td>$9,410</td>
<td>$4,324</td>
</tr>
<tr>
<td>Subscriptions &amp; dues</td>
<td>$133,725</td>
<td>$11,313</td>
<td>$4,012</td>
</tr>
<tr>
<td>Records management</td>
<td>$124,879</td>
<td>$24,644</td>
<td>$6,440</td>
</tr>
<tr>
<td>Witness Protection</td>
<td>$82,188</td>
<td>($342)</td>
<td>($29,564)</td>
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</table>
**Other Operations**

**Other Funds**

<table>
<thead>
<tr>
<th>Budget Items</th>
<th>FY 2015 Governor</th>
<th>Chg. to enacted</th>
<th>Chg. to request</th>
</tr>
</thead>
<tbody>
<tr>
<td>Civil Division database system</td>
<td>$ 250,000</td>
<td>$ 240,259</td>
<td>$ -</td>
</tr>
<tr>
<td>Background Check Grant</td>
<td>$ -</td>
<td>$ (180,969)</td>
<td>$ -</td>
</tr>
<tr>
<td>Federal funds shifted from personnel - use not specified</td>
<td>$ 143,003</td>
<td>$ 143,003</td>
<td>$ 143,003</td>
</tr>
</tbody>
</table>

**Capital Projects**

- Governor includes $1.7 million from RICAP for the five-year period
  - $1.1 million more than previously approved levels
  - $0.3 million for FY 2015
  - $0.2 million for FY 2014 revised
    - Nearly all as carried forward from prior year
  - Primarily for HVAC upgrades and office space renovations
Capital Projects

- Funds for:
  - HVAC system upgrades
  - General interior renovations
  - Elevator and security system upgrades
  - Exterior re-pointing of the building
  - Building’s piping infrastructure upgrades

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